

**2001 No. 3436 (C. 113)**

**FINANCIAL SERVICES AND MARKETS**

**The Financial Services and Markets Act 2000  
(Commencement No. 6) Order 2001**

*Made - - - - - 18th October 2001*

The Treasury, in exercise of the powers conferred on them by section 431(2) of the Financial Services and Markets Act 2000<sup>(a)</sup> (“the Act”), hereby make the following Order:

1. This Order may be cited as the Financial Services and Markets Act 2000 (Commencement No. 6) Order 2001.

2. 19th October 2001 is the day appointed for the coming into force of section 402 of the Act (power of the Financial Services Authority to institute proceedings for certain offences), for the purposes of proceedings for offences under prescribed regulations relating to money laundering.

*Anne McGuire*

*John Heppell*

Two of the Lords Commissioners of  
Her Majesty’s Treasury

18th October 2001

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(a) 2000 c. 8.

## EXPLANATORY NOTE

*(This note is not part of the Order)*

This Order brings into force on 19th October 2001 section 402 of the Financial Services and Markets Act 2000 (power of the Authority to institute proceedings for certain offences), for the purposes of proceedings for offences under prescribed regulations relating to money laundering. The Money Laundering Regulations 1993 (S.I. 1993/1933, as amended) were prescribed for the purposes of section 402 by S.I. 2001/1819.

## NOTE AS TO EARLIER COMMENCEMENT ORDERS

*(This note is not part of the Order)*

<i>Provision</i>	<i>Date of Commencement</i>	<i>S.I. No.</i>
ss. 22, 38, 41(1), 79(3), 86, 87(4),(5), 103, 118(3),(4),(10), 132(3), 134, 135, 137(6), 142 (except (5)), 144(4),(5), 145(5), 192(a), 215(6),(8),(9), 235 to 237, 239(1) to (3), 262, 286, 313, 326, 334(1),(2), 335, 336(1),(2), 337, 338(1),(2), 339, 342(5), 343(5), 351(7), 353, 397(9) to (14), 409, 414(1) to (3), 416(4),(5), 417, 419 to 423, 424(1),(2), 425 to 427, 429, Schedule 2, Schedule 9 (except for paragraph 7), Schedule 11, para.6(2) of Schedule 12 and para.9 of Schedule 13	25th February 2001	2001/516 (C. 21)
ss. 20(3), 21, 39(1), 47(1),(3), 71(2),(3), 75(3), 108, 150(3) to (5), 168(4)(b), 183(2), 188(2), 202(2), 213(10), 214(5), 224(4), 238, 264(1), (3)(c), 270, 284(2), 327(6), 349, 402(1)(b), 412, 424(3), Schedule 3, paragraphs 8 and 9 of Schedule 6, paragraph 19 of Part II of Schedule 19 (for the purposes of making regulations/orders)	25th February 2001	2001/516 (C. 21)
ss. 31(1)(b), 37, 111(2), 132(1), 132(4) (partially)	25th February 2001	2001/516 (C. 21)
ss. 416(1)(c) and (3)(a)	30th April 2001	2001/1282 (C. 48)
ss. 432(3) and Schedule 22 (for the purpose of repealing the Insurance Brokers (Registration) Act 1977)	30th April 2001	2001/1282 (C. 48)
ss. 1 to 11, 64, 65, 69, 70, 72, 73, 74(4),(5), 79 (so far as not already in force), 84, 87 (so far as not already in force), 88(1) to (3), 89(1), 93, 94, 96, 99, 100, 101, 102, 119 to 121, 124, 125, 138 to 141, 144 and 145 (so far as not already in force), 146, 147, 149, 152 to 164, 210 to 212, 213 to 217 (so far as not already in force), 218, 222, 225, 230, 234, 239 (so far as not already in force), 247, 248, 266, 278, 283(1), 299, 317, 319, 323, 324, 332, 340, 348, 349 (so far as not already in force), 350(3),(7), 351 (so far as not already in force), 380, 382, 395, 396, 398, 399, 400, 401, 403, 410, 414, 415, Schedule 1 (except paragraphs 7 and 8), Schedule 7,	18th June 2001	2001/1820 (C. 62)

<i>Provision</i>	<i>Date of Commencement</i>	<i>S.I. No.</i>
Schedule 8, Schedule 9 (so far as not already in force), Schedule 14, Schedule 17, Schedule 19 (so far as not already in force)		
ss. 59, 75(1), 77(1),(2),(4), 81(1),(5), 82(1),(5),(7), 98(1), 136, 143, 150, 226, 227, 229, 238, 293, 295, 322 and Schedule 3 (for the purpose of making rules)	18th June 2001	2001/1820 (C. 62)
ss. 51, 60, 148, 182, 242, 274, 287, 288, 294, 316 and 318 (for the purposes of giving directions and/or imposing requirements)	18th June 2001	2001/1820 (C. 62)
ss. 31(1)(b), 169, 223 and 352 (partially)	18th June 2001	2001/1820 (C. 62)
paragraph 6 of Schedule 20 and s. 432(1) (partially)	3rd July 2001	2001/2364 (C. 81)
paragraphs 6 and 7 of Schedule 1 (partially)	19th July 2001	2001/2364 (C. 81)
ss. 355, 360, 372, 378 and 379	20th July 2001	2001/2632 (C. 87)
ss. 40, 41(2),(3), 48 to 52, 55, Part IX (so far as not already in force), 148, Part XI (so far as not already in force), 193, 200 to 202, 250, 254 to 256, 265 (except (3)), 271 (except (2)), 279 to 282, 287 to 289, 292(1), 294, 297, 302, 303, 307, 311, 312, 314, 315(3) to (5), 325(4), 328, 329, 330, 331, 350, 352 (so far as not already in force), 354, 387 to 394, 405 (except (1)(c), (d)), 407(1), (2), 413, 418, paragraphs 7 and 8 of Schedule 1 (so far as not already in force), paragraph 1 of Schedule 4, paragraphs 1 to 9 (so far as not already in force) of Schedule 6, Schedule 13 (so far as not already in force), Schedule 15, paragraphs 1(b), 2(b), 3 and 7 (except sub-paragraphs (3)(a)) of Schedule 20	3rd September 2001	2001/2632 (C. 87)
ss. 31(1)(c), 37, 42, 43, 44 to 47, 53, 54, 56 to 63, 194 to 197, 199, 242 to 246, 251 (except (4)(b)), 252, 257, 259 to 261, 264, 270, 272 to 277, 290, 292(2) to (5), 298, 305, 306, 310, 320(3), (4), 321, 408, 432(1), paragraphs 19 and 20 of Schedule 3, paragraphs 3 and 5 of Schedule 4 (partially)	3rd September 2001	2001/2632 (C. 87)

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£1.75

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under the authority and superintendence of Carol Tullo, Controller of  
Her Majesty's Stationery Office and Queen's Printer of Acts of Parliament.  
E1857 11/2001 668497 19585

ISBN 0-11-038790-2



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