Automata Theory (2A)

Young Won Lim 5/31/18 Copyright (c) 2018 Young W. Lim.

Permission is granted to copy, distribute and/or modify this document under the terms of the GNU Free Documentation License, Version 1.2 or any later version published by the Free Software Foundation; with no Invariant Sections, no Front-Cover Texts, and no Back-Cover Texts. A copy of the license is included in the section entitled "GNU Free Documentation License".

Please send corrections (or suggestions) to youngwlim@hotmail.com.

This document was produced by using LibreOffice and Octave.

Automata

The word **automata** (the plural of **automaton**) comes from the Greek word **αὐτόματα**, which means "**self-acting**".

Automata Informal description (1) – Inputs

An automaton <u>runs</u> when it is given some <u>sequence</u> of <u>inputs</u> in discrete (individual) time steps or steps.

An automaton <u>processes</u> <u>one</u> <u>input</u> picked from a set of **symbols** or **letters**, which is called an **alphabet**.

The <u>symbols</u> received by the automaton <u>as</u> <u>input</u> at any step are a <u>finite</u> <u>sequence</u> of <u>symbols</u> called **words**.

4

Automata informal description (2) – States

An automaton has a *finite set* of **states**.

At each moment during a <u>run</u> of the automaton, the automaton is in <u>one</u> of <u>its states</u>.

When the automaton receives <u>new</u> **input** it <u>moves</u> to <u>another</u> **state** (or **transitions**) based on a **function** that takes the **current state** and **input symbol** as parameters.

This function is called the **transition function**.

The automaton <u>reads</u> the <u>symbols</u> of the **input word** one after another and <u>transitions</u> from **state** to state according to the **transition function** until the word is <u>read</u> completely.

Once the input word has been <u>read</u>, the automaton is said to have <u>stopped</u>.

The state at which the automaton **stops** is called the **final state**.

Automata informal description (4) – Accept / Reject

Depending on the **final state**, it's said that the automaton either **accepts** or **rejects** an **input word**.

There is a subset of states of the automaton, which is defined as the set of **accepting states**.

If the **final state** is an **accepting state**, then the automaton **accepts** the **word**.

Otherwise, the **word** is **rejected**.

Automata informal description (5) – Language

The set of **all the words accepted** by an automaton is called the "**language** of that automaton".

Any **subset** of the **language** of an automaton is a language **recognized** by that automaton.

Automata informal description (6) – Decision on inputs

an **automaton** is a mathematical object that takes a word as <u>input</u> and <u>decides</u> whether to **accept** it or **reject** it.

Since all computational problems are reducible into the **accept/reject question** on **inputs**, (all problem instances can be represented in a finite length of symbols), automata theory plays a crucial role in computational theory.

Class of Automata

- Combinational Logic
- Finite State Machine (FSM)
- Pushdown Automaton (PDA)
- Turing Machine



Class of Automata

Finite State Machine (FSM)	Regular Language
Pushdown Automaton (PDA)	Context-Free Language
Turing Machine	Recursively Enumerable Language
Automaton	Formal Languages

Definition of Finite State Automata

A deterministic finite automaton is represented formally by a 5-tuple <Q, Σ , δ ,q₀,F>, where:

Q is a finite set of **states**.

 Σ is a finite set of **symbols**, called the **alphabet** of the automaton.

δ is the **transition function**, that is, δ: Q × Σ → Q.

 q_0 is the **start state**, that is, the state of the automaton

before any input has been processed, where $q_0 \in Q$.

F is a set of **states** of Q (i.e. $F \subseteq Q$) called **accept states**.

a type of automaton that employs a **stack**.

The term "pushdown" refers to the fact that the stack can be regarded as being "pushed down" like a tray dispenser at a cafeteria, since the operations never work on elements other than the **top element**.

A **stack automaton**, by contrast, does <u>allow</u> <u>access</u> to and <u>operations</u> on <u>deeper</u> <u>elements</u>.

Deterministic Finite State Machine

A deterministic finite state machine or acceptor deterministic finite state machine is a quintuple (Σ , S, s₀, δ , F), where:

- Σ is the **input alphabet** (a finite, non-empty set of symbols).
- S is a finite, non-empty set of **states**.
- s₀ is an **initial state**, an element of S.
- δ is the state-transition function: $\delta : S \times \Sigma \rightarrow S$
- F is the set of **final states**, a (possibly empty) subset of S.

A PDA is formally defined as a 7-tuple:

 $M = (Q, \Sigma, \Gamma, \delta, q_0, Z, F) \text{ where }$

Q is a finite set of **states** Σ is a finite set which is called the **input alphabet** Γ is a finite set which is called the **stack alphabet** δ is a finite subset of Q×($\Sigma \cup \{\epsilon\}$)× Γ ×Q× Γ *, the **transition relation**. $q_0 \in Q$ is the **start state** $Z \in \Gamma$ is the **initial stack symbol** $F \subseteq Q$ is the set of **accepting states**

Turing Machine

Turing machine as a 7-tuple $M = \langle Q, \Gamma, b, \Sigma, \delta, q_0, F \rangle$ where

\ set minus

Q is a finite, non-empty set of **states**;

Γ is a finite, non-empty set of **tape alphabet symbols**;

 $b \in \Gamma$ is the **blank symbol**

 $\Sigma \subseteq \Gamma \setminus \{b\}$ is the set of **input symbols** in the initial tape contents;

 $q_0 \in Q$ is the **initial state**;

 $F \subseteq Q$ is the set of **final states** or **accepting states**.

δ: (Q \ F) × Γ → Q × Γ × { L, R } is transition function, where L is left shift, R is right shift.

The initial tape contents is said to be <u>accepted</u> by M if it eventually <u>halts</u> in a state from F.

Deterministic PDA (1) – transition relation

An element (p, a, A, q, α) $\in \delta$ is a **transition** of M. It has the intended meaning that M, in **state** $p \in Q$, on the **input** $a \in \Sigma \cup \{ \epsilon \}$ and with $A \in \Gamma$ as **topmost stack symbol**, may <u>read</u> a, <u>change</u> the **state** to q, <u>pop</u> A, <u>replacing</u> it by <u>pushing</u> $\alpha \in \Gamma^*$.

The (Σ ∪ { ε }) component of the transition relation is used to formalize that the PDA can either <u>read</u> a letter from the input, or <u>proceed leaving</u> the input <u>untouched</u>.

Deterministic PDA (2) – transition function

δ is the **transition function**,

mapping $Q \times (\Sigma \cup {\epsilon}) \times \Gamma$ into finite subsets of $Q \times \Gamma^*$

$$\delta(p, a, A) \rightarrow (q, \alpha)$$

Here δ (p, a, A) contains all possible actions in **state** p with A on the **stack**, while reading a on the **input**.

One writes for example $\delta(p, a, A) = \{ (q, BA) \}$ precisely when $(q, BA) \in \{ (q, BA) \}, (q, BA) \in \delta(p, a, A)$ Because $((p, a, A), \{(q, BA)\}) \in \delta$. Note that finite in this definition is essential.

The following is the formal description of the PDA which recognizes the language { $0^n 1^n | n \ge 0$ } by final state:

```
M = (Q, \Sigma, \Gamma, \delta, q_0, Z, F), where
```

states:	Q = {p, q, r}
input alphabet:	$\Sigma = \{0, 1\}$
stack alphabet:	Γ = {A, Z}
start state:	$q_0 = p$
start stack symbol:	Z
accepting states:	F = {r}



Deterministic PDA Example (2) – instructions

The **transition relation** δ consists of the following six instructions:

(p, 0, Z, p, AZ)	0; Z/AZ, p→p
(p, 0, A, p, AA)	0; A/AA, p→p
(p, e, Z, q, Z)	e, Z/Z, p→q
(p, e, A, q, A)	∈, A/A, p→q
(q, 1, A, q, €)	1, A/∈, q→q
(q, ∈, Z, r, Z)	e, Z/Z, p→r



the instruction (p, a, A, q, α) by an edge from state p to state q labelled by a ; A / α (read a; replace A by α).

Deterministic PDA Example (3) – instruction description

(p, 0, Z, p, AZ) , (p, 0, A, p, AA),	in <u>state</u> p any time the <u>symbol</u> 0 is <u>read</u> , one A is <u>pushed</u> onto the stack. Pushing <u>symbol</u> A on <u>top</u> of <u>another</u> A is formalized as replacing top A by AA (and similarly for pushing <u>symbol</u> A on <u>top</u> of a Z)
(p, e, Z, q, Z), (p, e, A, q, A),	at any moment the automaton may <u>move</u> from <u>state</u> p to <u>state</u> q.
(q, 1, A, q, ∈),	in state q, for each <u>symbol</u> 1 read, one A is <u>popped</u> .
(q, e, Z, r, Z).	the machine may move from <u>state</u> q to <u>accepting state</u> r only when the <u>stack</u> consists of a <u>single</u> Z.

Deterministic PDA Computation (1) - ID

to formalize the **semantics** of the pushdown automaton a description of the current situation is introduced. Any 3-tuple (p , w , β) \in Q × Σ^* × Γ^* is called an **instantaneous description** (ID) of M = (Q, Σ , Γ , δ , q_0 , Z, F) which includes

the current **state**,

the part of the **input** tape that has not been read, and the contents of the **stack** (topmost symbol written first).



Deterministic PDA Computation (2) - step-relation

The transition relation δ defines the step-relation \vdash_{M} on instantaneous descriptions.

For instruction (p, a, A, q, α) $\in \delta$ there exists a step (p, ax, Ay) \vdash M (q, x, α y), for every $x \in \Sigma^*$ and every $y \in \Gamma^*$.

p, q : states

ax, x : inputs

Ay, $\alpha \gamma$: stack elementes



Nondeterministic :

in a given **instantaneous description** (p, w, β)

there may be <u>several</u> possible **steps**.

Any of these steps can be chosen in a computation.

With the above definition <u>in each step</u> always a <u>single</u> **symbol** (**top** of the **stack**) is <u>popped</u>, <u>replacing</u> it with as <u>many</u> <u>symbols</u> as necessary.

As a result no step is defined when the stack is empty.

Deterministic PDA Computation (5) – initial description

Computations of the pushdown automaton are <u>sequences</u> of **steps**.

The computation starts in the **initial state** q_0 with the **initial stack symbol** Z on the stack, and a string w on the **input tape**, thus with **initial description** (q_0 , w, Z).

There are two modes of accepting.

either accepts by final state,

which means <u>after reading</u> its input the automaton <u>reaches</u> an **accepting state** (in F) uses the **internal memory** (**state**)

or it accepts by **empty stack** (ϵ),

which means <u>after reading</u> its input the automaton <u>empties</u> its stack.

uses the external memory (stack).

The following illustrates how the above PDA computes on different input strings.

The subscript M from the step symbol \vdash is here omitted.



input string = 0011.

There are various computations, depending on the moment the move from state p to state q is made. Only one of these is accepting.

(p,0011,Z)⊢ (q,0011,Z)⊢ (r,0011,Z)

(p, 0, Z, p, AZ)
 (p, 0, A, p, AA)
 (p, ∈, Z, q, Z)
 (p, ∈, A, q, A)
 (q, 1, A, q, ∈)
 (q, ∈, Z, r, Z)

Computation Example (3)

The final state is accepting, but the input is not accepted this way as it has not been read.

 $(p, 0011, Z) \vdash$ (p, 0, Z, p, AZ) $(p, 011, AZ) \vdash$ $(q, 1, A, q, \epsilon)$ (q, 011, AZ) (p, 0, Z, p, AZ)
 (p, 0, A, p, AA)
 (p, ∈, Z, q, Z)
 (p, ∈, A, q, A)
 (q, 1, A, q, ∈)
 (q, ∈, Z, r, Z)

No further steps possible.

Computation Example (4)

 $(p, 0011, Z) \vdash$ $(p, 011, AZ) \vdash$ $(p, 11, AAZ) \vdash$ $(q, 11, AAZ) \vdash$ $(q, 1, AZ) \vdash$ $(q, \epsilon, Z) \vdash$ (r, ϵ, Z) (p, 0, A, p, AA) (p, 0, A, p, AA) (p, e, A, q, A) (q, 1, A, q, e) (q, 1, A, q, e) (q, e, Z, r, Z)

Accepting computation: ends in accepting state, while complete input has been read.

	A Z	A A Z p	A A Z q	A Z q			(p, (p, (p, (p, (q, (q, (r, (r, (p, (p, (p, (p, (r, (p, (r, (p, (p, (p, (p, (p, (p, (p, (p, (p, (p	$\begin{array}{c} 0011, \\ 011, \\ 11, \\ 11, \\ 1, \\ \varepsilon, \\ \varepsilon, \end{array}$	Z) AZ) AAZ) AAZ) AZ) Z) Z)	T T T T T
accepting computation for 0011							Ð			

Computation Example (5)

Input string = 00111. Again there are various computations. None of these is accepting.

(p,00111,Z)⊢ (q,00111,Z)⊢ (r,00111,Z) (p, e, Z, q, Z) (q, e, Z, r, Z) (p, 0, Z, p, AZ)
 (p, 0, A, p, AA)
 (p, ∈, Z, q, Z)
 (p, ∈, A, q, A)
 (q, 1, A, q, ∈)
 (q, ∈, Z, r, Z)

The final state is accepting, but the <u>input</u> is <u>not accepted</u> this way as it has <u>not been read</u>.

Computation Example (6)

(p,00111,Z)⊢ (p,0111,AZ)⊢ (q,0111,AZ)

No further steps possible.

(p, 0, Z, p, AZ) (p, є, A, q, A) (p, 0, Z, p, AZ)
 (p, 0, A, p, AA)
 (p, e, Z, q, Z)
 (p, e, A, q, A)
 (q, 1, A, q, e)
 (q, e, Z, r, Z)

Computation Example (7)

(p , 00111 , Z) ⊢	
(p , 0111 , A Z) ⊢	
(p,111,AAZ)⊢	
(q,111,AAZ)⊢	
(q,11,AZ)⊢	
(q,1,Z)⊢	
(r,1,Z)	

(p, 0, Z, p, AZ) (p, 0, Z, p, AZ) (p, €, A, q, A) (q, 1, A, q, €) (q, 1, A, q, €) (q, €, Z, r, Z) (p, 0, Z, p, AZ)
 (p, 0, A, p, AA)
 (p, ∈, Z, q, Z)
 (p, ∈, A, q, A)
 (q, 1, A, q, ∈)
 (q, ∈, Z, r, Z)

The final state is accepting, but the input is <u>not accepted</u> this way as it has <u>not</u> been (<u>completely</u>) <u>read</u>.

Every **context-free grammar** can be transformed into an equivalent **nondeterministic pushdown automaton**.

The derivation process of the grammar is simulated in a **leftmost way**

Where the grammar <u>rewrites</u> a **nonterminal**, the **PDA** <u>takes</u> the **topmost nonterminal** from its **stack** and <u>replaces</u> it by the **right-hand part** of a grammatical rule (expand).

Where the grammar generates a **terminal** symbol, the **PDA** <u>reads</u> a symbol from **input** when it is the **topmost symbol** on the **stack** (match).

In a sense the **stack** of the **PDA** contains the <u>unprocessed</u> data of the grammar, corresponding to a <u>pre-order</u> traversal of a derivation tree. Every **context-free grammar** can be transformed into an equivalent **nondeterministic pushdown automaton**.

The derivation process of the grammar is simulated in a **leftmost way**

In a sense the **stack** of the **PDA** contains the unprocessed data of the grammar, corresponding to a pre-order traversal of a derivation tree.

PDA and Context Free Language (2)

The derivation process of the grammar

is simulated in a **leftmost way**

Where the grammar <u>rewrites</u> a **nonterminal**, the **PDA** takes the **topmost nonterminal** from its **stack** and replaces it by the **right-hand part** of a grammatical rule (**expand**).

Where the grammar generates a **terminal** symbol, the **PDA** <u>reads</u> a symbol from input when it is the **topmost symbol** on the **stack** (**match**).

Technically, given a context-free grammar, the PDA has a single state, 1, and its transition relation is constructed as follows.

(1, ϵ , A, 1, α) for each rule A $\rightarrow \alpha$ (expand) (1, a, a, 1, ϵ) for each terminal symbol a (match)

PDA and Context Free Language (2)

Technically, given a context-free grammar, the PDA has a <u>single</u> **state**, 1, and its **transition relation** is constructed as follows.

(1, ϵ , A, 1, α) for each rule A $\rightarrow \alpha$ (expand) (1, a, a, 1, ϵ) for each terminal symbol a (match)

The PDA accepts by empty stack.

Its initial stack symbol is the grammar's start symbol.

Turing Machine

The Turing machine mathematically models a machine that mechanically operates on a tape.

On this tape are symbols, which the machine can read and write, one at a time, using a tape head.

Operation is fully determined by a finite set of elementary instructions such as

"in state 42, if the symbol seen is 0, write a 1; if the symbol seen is 1, change into state 17; in state 17, if the symbol seen is 0, write a 1 and change to state 6;" etc.

A **tape** divided into **cells**, one next to the other. Each cell contains a **symbol** from some finite **alphabet**. The alphabet contains a **special blank** symbol (here written as '0') and one or more other symbols.

The tape is assumed to be arbitrarily <u>extendable</u> to the left and to the right, i.e., the Turing machine is always supplied with as much tape as it needs for its computation.

Cells that have not been written before are assumed to be filled with the **blank symbol**.

A **head** that can <u>read</u> and <u>write</u> symbols on the tape and <u>move</u> the tape <u>left</u> and <u>right</u> one (and only one) cell at a time. In some models the head moves and the tape is stationary.

A **state register** that <u>stores</u> the state of the Turing machine, one of finitely many. Among these is the special **start state** with which the state register is initialized. These states, writes Turing, replace the "state of mind" a

person performing computations would ordinarily be in.

A **finite table** of **instructions** that, given the **state**(qi) the machine is currently in and the **symbol**(aj) it is reading on the tape (symbol currently under the head), tells the machine to do the following in sequence (for the 5-tuple models):

1. Either erase or write a symbol (replacing aj with aj1).

2. <u>Move</u> the head (which is described by dk and can have values: 'L' for one step left or 'R' for one step right or 'N' for staying in the same place).

3. Assume the <u>same</u> or a <u>new state</u> as prescribed (go to state qi1).

Note that every part of the machine (i.e. its state, symbol-collections, and used tape at any given time) and its actions (such as printing, erasing and tape motion) is finite, discrete and distinguishable;

it is the <u>unlimited</u> amount of tape and runtime that gives it an <u>unbounded</u> amount of storage space.

In the 4-tuple models, <u>erasing</u> or writing a symbol (aj1) and <u>moving</u> the head left or right (dk) are specified as <u>separate</u> instructions.

Specifically, the table tells the machine to (ia) <u>erase</u> or <u>write</u> a symbol or (ib) <u>move</u> the head left or right, and then (ii) assume the <u>same</u> or a <u>new state</u> as prescribed, but not both actions (ia) and (ib) in the same instruction. In some models, if there is no entry in the table for the current combination of symbol and state then the machine will halt; other models require all entries to be filled.

Note that every part of the machine (i.e. its state, symbolcollections, and used tape at any given time) and its actions (such as printing, erasing and tape motion) is finite, discrete and distinguishable; it is the <u>unlimited</u> amount of tape and runtime that gives it an unbounded amount of storage space.

Turing Machine – head, instruction



The **head** is always over a particular square of the tape; only a finite stretch of squares is shown. The **instruction** to be performed (q4) is shown over the scanned square.

Turing Machine – internal state, blank

Here, the **internal state** (q1) is shown inside the head, and the illustration describes the **tape** as being infinite and **pre-filled** with "**0**", the symbol serving as **blank**.

The system's full state (its complete configuration) consists of the **internal state**, any **non-blank symbols** on the tape (in this illustration "11B"), and the **position** of the head relative to those symbols including blanks, i.e. "011B".

Turing machine as a 7-tuple M = (Q, Γ , b, Σ , δ , q_0 , F) where

\ set minus

Q is a finite, non-empty set of **states**;

Γ is a finite, non-empty set of tape **alphabet symbols**;

 $b \in \Gamma$ is the **blank symbol** (the only symbol allowed to occur on the tape infinitely often at any step during the computation);

 $\Sigma \subseteq \Gamma \setminus \{b\}$ is the set of **input symbols**, that is, the set of symbols allowed to appear in the initial tape contents;

 $q_0 \in Q$ is the **initial state**;

 $F \subseteq Q$ is the set of **final states** or **accepting states**. The initial tape contents is said to be <u>accepted</u> by M if it eventually <u>halts</u> in a state from F.

 δ : (Q \ F) × Γ → Q × Γ × {L, R} is a partial function called the **transition function**, where L is **left shift**, R is **right shift**. (A relatively uncommon variant allows "**no shift**", say N, as a third element of the latter set.) If δ is not defined on the current state and the current tape symbol, then the machine halts;

The 7-tuple for the 3-state busy beaver looks like this (see more about this busy beaver at Turing machine examples):

Q = { A , B , C , HALT }	(states);
$\Gamma = \{ 0, 1 \}$	(tape alphabet symbols);
b = 0	(blank symbol);
$\Sigma = \{ 1 \}$	(input symbols);
q 0 = A	(initial state);
F = { HALT }	(final states);
δ = see state-table below	(transition function).

Initially all tape cells are marked with 0

The 7-tuple for the 3-state busy beaver looks like this (see more about this busy beaver at Turing machine examples):

Q = { A , B , C , HALT }	(states);
$\Gamma = \{ 0, 1 \}$	(tape alphabet symbols);
b = 0	(blank symbol);
$\Sigma = \{ 1 \}$	(input symbols);
q 0 = A	(initial state);
F = { HALT }	(final states);
δ = see state-table below	(transition function).

Initially all tape cells are marked with 0

Tane	Curr	ent state	A	Current state B			Current state C		
symbol	Write symbol	Move tape	Next state	Write symbol	Move tape	Next state	Write symbol	Move tape	Next state
0	1	R	В	1	L	Α	1	L	В
1	1	L	С	1	R	В	1	R	HALT

State table for 3 state, 2 symbol busy beaver



https://en.wikipedia.org/wiki/Turing_machine

Automata Theory (2A)

Each circle represents a "**state**" of the table —an "**m-configuration**" or "**instruction**". "**Direction**" of a state transition is shown by an **arrow**. The **label** (e.g. 0/P,R) near the outgoing state (at the "tail" of the arrow) specifies the **scanned symbol** that causes a particular transition (e.g. 0) followed by a slash /, followed by the subsequent "**behaviors**" of the machine, e.g. "P Print" then move tape "R Right".



https://en.wikipedia.org/wiki/Turing_machine

Automata Theory (2A)



https://en.wikipedia.org/wiki/Turing_machine

Automata Theory (2A)

the design specifications:

1. The machine has **n** "**operational**" **states** plus a **Halt state**, where **n** is a positive integer, and one of the **n** states is distinguished as the **starting state**.

2. The machine uses a single two-way infinite (or unbounded) tape.

- 3. The tape alphabet is {0, 1}, with 0 serving as the blank symbol.
- 4. The machine's **transition function** takes two inputs:

the current non-Halt state,

the **symbol** in the current tape cell,

and produces three outputs:

a symbol to write over the symbol in the current tape cell

(it may be the same symbol as the symbol overwritten),

a direction to move (left or right)

a state to transition into (which may be the Halt state).

"**Running**" the machine consists of starting in the **starting state**, with the current tape cell being any cell of a **blank** (all-0) tape, and then <u>iterating</u> the **transition function** <u>until</u> the **Halt** state is entered (if ever).

If, and only if, the machine eventually halts, then <u>the number of 1s</u> finally remaining on the tape is called the <u>machine's score</u>.

The n-state busy beaver (BB-n) game is a contest to find such an nstate Turing machine having the <u>largest possible score</u> — the largest number of 1s on its tape after halting. A machine that attains the largest possible score among all n-state Turing machines is called an n-state busy beaver, and a machine whose score is merely the highest so far attained (perhaps not the

largest possible) is called a champion n-state machine.

References

