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Monday October 3, 1988

# Part VIII

# Department of Justice

Office of Juvenile Justice and Delinquency Prevention

Grants and Cooperative Agreements; Serious Habitual Offender Comprehensive Action Program (SHOCAP); Notice

#### DEPARTMENT OF JUSTICE

#### Grants and Cooperative Agreements; Serious Habitual Offender Comprehensive Action Program (SHOCAP)

AGENCY: Office of Juvenile Justice and Delinquency Prevention (OJJDP), Office of Justice Programs, Department of Justice.

**ACTION:** Notice of Issuance of a solicitation for a cooperative agreement to develop Phase II of the Serious Habitual Offender Comprehensive Action Program (SHOCAP), an OIIDP national program initiative. SHOCAP has been a national training, technical assistance and replication program initiative since August of 1986. The program is designed to increase the capacity of the juvenile justice system to deal more appropriately with the serious juvenile offender. The cooperative agreement competition solicits proposals for a Phase II to continue the development and replication of this program through a Cooperative Agreement.

**SUMMARY:** The Office of Juvenile Justice and Delinquency Prevention (OJJDP) pursuant to section 224 (a) (5) of the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, is mandated to develop and implement special emphasis prevention and treatment programs relating to juveniles who commit serious crimes. In furtherance of this mandate OJJDP has provided fiscal support to develop and implement Phase I of SHOCAP. SHOCAP is a program that provides comprehensive training and technical assistance to law enforcement, prosecutors, courts, corrections, schools and social service agencies to enable these agencies and the juvenile justice system to give additional, focused attention on juveniles who repeatedly commit serious crimes.

This cooperative agreement is to fund Phase II of SHOCAP to expand upon a successful ongoing national initiative through a Cooperative Agreement.

FOR FURTHER INFORMATION CONTACT: Robert O. Heck, Program Manager, Special Emphasis Division, OJJDP, (202/ 724–5914), 633 Indiana Avenue, NW., Washington, DC 20531.

# SUPPLEMENTARY INFORMATION:

I. Introduction and Background II. Program Objectives III. Program Strategy IV. Dollar Amount and Duration V. Eligibility Requirements VI. Application Requirements VII. Criteria for Applicant Selection VIII. Submission Requirements IX. Civil Rights Compliance

#### I. Introduction and Background

In the later part of 1982 the Office of Invenile Instice and Delinquency Prevention (OJJDP) embarked upon an ambitious effort to assist jurisdictions to identify and appropriately respond to the serious juvenile offender. Two demonstration programs were established: the Serious Habitual Offender/Drug Involved (SHO/DI), located within the law enforcement community; and, the Habitual Serious and Violent Juvenile Offender (HSVIOP) program, located within the prosecutor's office. The Serious Habitual Offender **Comprehensive Action Program** (SHOCAP) design and concept is the result of the experiences gained from the testing of both programs over a period of three years. The testing has resulted in the combining of the various strengths of both programs; and a decision to replicate the resulting program in as many as 20 jurisdictions throughout the United States. This contemplated cooperative agreement action is to carry on the supportive training and technical assistance efforts under Phase II of the SHOCAP program. Jurisdictions that are involved in the SHOCAP program do not receive grants supporting their implementation and replication effort. In lieu of grants, participating jurisdictions receive training and technical assistance support assigned and scheduled by OJJDP.

SHOCAP can increase the quality and relevance of information provided authorities in the juvenile and criminal justice system to enable them to make more informed decisions on how best to deal with the small percentage of juveniles who are serious habitual offenders. SHOCAP is a comprehensive and cooperative information and case management process for police, prosecutors, schools, probation, corrections, and social service and community after-care services. SHOCAP enables the juvenile and criminal justice system to focus additional attention upon juveniles who repeatedly commit serious crimes, and are a demonstrated threat to public safety, with particular attention given to providing relevant and complete case information to result in more informed sentencing dispositions.

The juvenile justice system has attempted to come to grips with a most pressing and vexing problem—the problem of the habitual serious juvenile offender. To deal more effectively with this population, many communities have shown a keen interest in a program aimed at improving the SYSTEM response to the serious habitual offender. The Serious Habitual Offender Comprehensive Action Program (SHOCAP), is a nationwide effort spearheaded by the Office of Juvenile Justice and Delinquency Prevention (OJJDP) to assist local jurisdictions in dealing with the problem of the serious habitual juvenile offender, a problem that plagues communities throughout the nation.

Major research studies have continually indicated that less than 7 percent of the juvenile population accounted for nearly two-thirds of all the violent crimes committed by juveniles of their birth groups. In addition, research and experience continue to find that the crimes charged to these juveniles represent but 10 percent of their criminal activity.

Studies of career criminals have determined that patterns of criminal behavior are established by the age of 15. Many young people will get into trouble as a symptom of adolescent development. Most will overcome delinquent tendencies with maturity, but some will continue delinquent behavior into adulthood. The nature of the juvenile justice system makes it functional or appropriate for the bulk of juvenile offenders. However, history tells us it has failed when it comes to the control of the active, serious juvenile criminal.

Juvenile offenders have often fallen through the cracks of the juvenile justice system. The system, up to now, has suffered from the lack of shared information and knowledge that is relevant to the juvenile's criminal actions. Thus, the true extent of a youth's criminal activity might never have been known, and decisions have been based upon isolated incidents rather than all of the available data. Therefore, many of the decisions were not always in the best interest of the juvenile, and more importantly, not in the best interest of the public. Juvenile justice system agencies were often unable to respond because of inconsistency in the information available for sentencing and dispositions. Because of the lack of sufficient information sharing and coordination, serious habitual offenders (SHO's) who happen to be juveniles are virtually untouchable, repeatedly victimizing the community.

#### **II. Program Objectives**

1. Coordinate the delivery of training and technical assistance to 20/30 jurisdictions in the process of implementing the Serious Habitual Comprehensive Action Program (SHOCAP);

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2. Deliver and monitor ongoing training and technical assistance that has been initiated in 20 jurisdictions;

3. Edit, revise and/or create training and technical assistance manuals as the needs develop;

4. Develop and provide supportive training and technical assistance to program jurisdictions to stimulate statewide SHOCAP programs;

5. Continue site assessments for replication jurisdictions being added to the program by OJJDP;

6. Develop and provide for selective topical training programs at designated training host centers;

7. Continue identifying and training potential instructors/consultants for program participants;

8. Develop and provide service support for regional, topical training workshops at training host centers for national program participants;

9. Provide site assessments for new jurisdictions as proposed by OJJDP;

10. Provide a monitoring system for documenting and providing site program development assistance;

11. Develop promotional program strategies for enlisting potential program participants;

12. Complete the development of the SHOCAP corrections program.

#### III. Program Strategy

The cooperative agreement is to assure the necessary continuation of training, technical assistance and other related program replication support services being provided to an existent program activity. The successful applicant will be required to demonstrate the experience and ability to provide professional program continuity for a nationwide program; the ability to establish the rapport necessary to provide knowledgeable program continuation assistance; and, to provide the necessary program technology transfer. OJJDP SHOCAP program

OJJDP SHOCAP program development activities will require the successful applicant to provide, and arrange for all necessary personnel, facilities, equipment, materials and services required for the successful replication of the SHOCAP process. These activities will require, at a minimum, the applicant to:

1. Identify the major issues and problems associated with juvenile crime nationally and locally.

2. Identify local crime statistics and the sources of this information.

3. Identify and explain the major components of SHOCAP.

4. Discuss in an interagency forum the issues regarding the local implementation of SHOCAP.

5. Develop multi-agency problem solving skills for their participating jurisdictions.

6. Assist participating agencies to implement SHOCAP through processes and procedures designed to fit the need and concerns of the local jurisdictions.

7. Provide support (training and technical assistance) to assist communities in developing and utilizing a self-assessment process for program development purposes.

8. Provide continued support (training and technical assistance) in replicating SHOCAP.

9. Provide for system performance technical assistance and training support so that:

(a) Law Enforcement agencies can institute basic organizational development procedures and improvements to calls for service management, case assignment, and patrol and investigative procedures dealing with juvenile crime; (b) Schools will be assisted in establishing legally acceptable code of conduct rules and set disciplinary procedures; (c) Social service agencies, in partnership with probation and parole agencies, will provide family and mental health services, especially focused towards serious habitual juvenile offender families; (d) Juvenile Intake will hold designated habitual juvenile offenders, and will notify the prosecutor, and prepare records for the detention hearing; (e) pretrial detention can be secured for serious habitual juvenile offenders; (f) A prosecutor will be responsible for screening, detention. hearing, arraignment, discovery, adjudication and dispositional hearings; (g) The Court can authorize the sharing of relevant information; (h) Probation services can institute case management and adopt community wide control concepts that will provide mandatory sanctions for each infraction of probation rules; (i) State corrections facilities will be responsible for the secure housing and rehabilitation and re-entry process for adjudicated serious habitual juvenile offenders who are sentenced to either a definite or indefinite period of incarceration and/or treatment; (I) Parole/Aftercare agencies will help reintegration of the youth; and. working with a SHOCAP multi-agency team, will develop an individualized family and community reintegration plan, including intensive supervision, case management and gradually less secure alternative placements.

10. Development of an inter-agency program planning agreement.

11. Provide methods, strategies, training and technical assistance to each SHOCAP participating jurisdictions to:

(a) Identify serious offender information sources; (b) Draft criteria to identify the serious habitual offender: (c) Develop case load information; (d) Document services available for handling juvenile serious habitual offenders; (e) Develop relevant and quality information on the juvenile serious habitual offender; (f) Review for possible legal, policy, procedural, practices of barriers to information generation and sharing; (g) Develop crime analysis operational capabilities to service the program; (h) Develop crime analysis unit enhancements for case management role; (i) Provide technical review of system case load capability; (j) Develop pre-training implementation plan; (1) Develop agency level program communication on program planning and development; (m) Conduct training needs for current staff; (n) Establish procedures for identifying SHO's and monitoring their actions; (o) Establish instant status check on SHO's through communication and dispatch; (p) Provide program component agencies with SHO list on regular basis; (q) Develop multi-agency unified case file; (r) Determine appropriate agency services available for SHO's; (s) Adopt inter-agency agreements on SHO processing: (t) Assess range and type of services available for SHO's; (u) Establish placement priorities for SHO's; (v) Identify unmet requirements for services needed for SHO's.

#### **IV. Dollar Amount and Duration**

The program period for this SHOCAP Cooperative agreement is for two phases over three (3) years. Up to \$1,400,000 has been allocated for the initial award. One cooperative agreement will be awarded competitively, and the initial budget period will be for 18 months. It is anticipated that this demonstration program will entail three (3) years and two phases of program activities (i.e. three year project period). Supplemental funds will be allocated for an additional 18 month budget period. Funding for the noncompeting continuation award, i.e. the second budget period within the approved three year project period, may be withheld for justifiable reasons. They include: (1) The results do not justify further program activity; (2) the recipient is delinquent in submitting required reports; (3) adequate grantor agency funds are not available to support the project; (4) the recipient has failed to show satisfactory progress in achieving the objectives of the project or otherwise failed to meet the terms and conditions of the award; (5) a recipient's management practices have failed to

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provide adequate stewardship of grantor agency funds; (6) outstanding audit exceptions have not been cleared: and

(7) any other reason which would indicate that continued funding would not be in the best interest of the Government.

#### V. Eligibility

Applicants are invited from public agencies and not-for-profit private organizations. Applicant organizations may choose to submit joint proposals with other eligible organizations as long as one organization is designated in the application as the applicant and coapplicants are designated as such. The applicant must demonstrate fully the required experience to deliver superior support services as required in Section VI, A. Applicants must, in addition to program knowledge and support experience demonstrate programmatic and fiscal management capabilities.

#### **VI. Application Requirements**

All applicants must submit a completed Standard Form 424, Application for Federal Assistance (SF 424), including a program narrative, a detailed budget, and a budget narrative. All applications must include the following information outlined in Section VI of this solicitation in Part IV. Program Narrative of the application. The Program Narrative should not exceed 70 double-spaced pages in length.

In submitting applications that contain more than one organization, the relationships among the parties must be set forth in the application. As a general rule, organizations that describe their relationships in the development of products or provider of certain services as primarily cooperative or collaborative in nature will be considered co-applicants. In the event of co-applicant submissions, one coapplicant must be designated as the principal applicant and pavee to receive and disperse project funds and be responsible for the supervision and coordination of the activities of the other co-applicants.

Under this arrangement, each organization would agree to be jointly and severally responsible for all project funds and services. Each co-applicant must sign the SF-424 and indicate their acceptance of the conditions of the joint and several responsibility with the other co-applicants.

Applications that include noncompetitive contracts for the provision of specific services must include a sole source justification for any procurement in excess of \$10,000. The information must be included in the application:

#### A. Organizational Capability

Applicants must demonstrate that they are eligible to compete for this cooperative agreement and have substantial organizational experience that can be applied to provide continuation replication support to the SHOCAP program. The criteria used in Section VII of this solicitation is based upon the responsiveness of applicant to the program information and descriptions found in this solicitation, especially Sections I, II, III, V, and VI.

1. Organizational Experience.— Applicants must demonstrate that they have the requisite knowledge of and experience with training and technical assistance on the organizational and development processes essential to the effective administration of juvenile, criminal justice and social service agencies such as, law enforcement, courts, probation, corrections, family service and schools. In addition, applicants must demonstrate knowledge and experience with providing technical assistance and training with regard to serious juvenile offenders.

Applicants should demonstrate their organizational capability and experience by providing information responsive to the following:

a. A complete but concise description of the current program activities of the applicant agency that demonstrate the requisite knowledge-base and experience with regard to organizational and development issues for the juvenile justice system and knowledge of and experience with serious juvenile offenders.

b. A discussion of training and technical assistance activities related to multiagency organizational development for the juvenile justice system, coordination of interagency efforts, and serious juvenile crime.

c. A discussion of the applicant's experience in facilitating a nominal group process to refine, modify and develop multi-agency consensus on issues related to the juvenile justice system.

Applicants are invited to append to their applications examples of prior work that would be directly connected to the requirements set forth above, i.e., the jurisdiction and job descriptions that would be responsive to the application and organizational requirements of this solicitation.

2. Financial Capability. Applicant must demonstrate that their organization can establish the fiscal controls and accounting procedures that will assure that the Federal funds made available

under this agreement will be disbursed and accounted for properly. Applicants who have previously received federal funds will be asked to submit a copy of the Office of Justice Programs (OJP) Accounting System and Financial Capability Questionnaire (OJP Form 7120/1). Copies of these forms will be made available in the application kit and will be prepared and submitted along with the application. Other cooperative agreement applicants may be requested to submit this form. All questions are to be answered regardless of instructions (Section C.I.B. note). The CPA certification is required only of those applicants who have not previously received Federal funding.

#### B. Program Objectives

A statement of your understanding of the objectives and tasks associated with the program should be included. The application should include a problem statement and a discussion of the potential contribution of this program to the field.

#### C. Program Strategy

Applicants should describe the proposed approach for achieving the objectives of the program (Section II), and for achieving the requirements of the program strategy Section. (Section III).

#### D. Program Implementation Plan

Applicant should prepare a plan that outlines the major activities involved in implementing the program; how resources will be applied; and how the program will be managed. The plan should include an organizational chart depicting the roles and responsibilities of key personnel and organizational functional components. Applicant must provide detailed position descriptions, qualifications, and how and why these persons were picked for the position. Part time and practitioner professionals should also be noted, and their qualifications and experience that would directly bear upon their needs to service the SHOCAP program.

#### E. Time and Task Plan

Applicant should develop two—18 months task/time plans indicating proposed major milestone activities and the estimated cumulative costs.

#### F. Products

Applicant should describe program products that will be developed to service the program; and describe who they will be prepared for and for what purpose(s).

#### G. Program Budget

Applicant shall provide two 18 month budgets. Co-applicant associated costs must be detailed separately and accounted for in as much detail as principal applicant.

# **VII. Criteria for Applicant Selection**

All applicants will be evaluated and rated based on the extent to which they meet the following weighted criteria. In general, all applications received will be reviewed in terms of their ability to demonstrate requisite experience to continue the development of the successful SHOCAP specialized technical assistance, training and technology transfer program. Organizational capability, technical/ professional soundness of the approach to program development and replication; system management involvement and operational experience relevancy will be important general considerations upon which the application will be judged. Applications will be evaluated by a peer review panel according to **OJJDP** Competition and Peer Review Policy, 28 CFR Part 34 Subpart B, published August 2, 1985 at 50 FR 31366-31367. The selection criteria and their point values (weights) are as follows:

1. Applicant demonstrated organizational experience, and program management capabilities in the areas described and defined throughout this solicitation (especially Sections II, III, V, VI); experience in working with various state and municipal juvenile justice and criminal justice and non-criminal justice agencies; providing technical assistance in program development and implementation to programs relating to juvenile justice similar to SHOCAP; experience in program technical assistance in organizational and development processes essential to specifying juvenile or criminal justice administration and with serious juvenile crime issues; applicant's staff and consultant experience that is relevant to SHOCAP; and applicants previously prepared technical assistance training materials that are relevant to SHOCAP program development needs. (50 points)

2. Applicant's demonstrated understanding and approach to the program objectives and strategies for program implementation. (35 points)

3. Applicant's key staff and consultant relevant experience and qualifications. (10 points)

4. The extent to which the applicant has provided a sound and fully justified budget that is cost effective. (5 points)

#### **VIII. Submission Requirements**

All applicants responding to this solicitation are subject to the following submission requirements.

1. Organizations that plan to respond to this announcement are requested to submit a written notification of their intent to apply to OJJDP by October 28, 1988. Such notification should specify; the name of the organization; coapplicants and contact person. This notification submission is optional and will be used only to estimate that application review workload.

2. Applicants must submit the original signed application and three copies to OJJDP. The necessary forms for application (SF 424) will be provided upon request. Applications must be delivered to OJJDP by 5:00 p.m. on November 14, 1988. Those applications sent by mail should be addressed to OJJDP, U.S. Department of Justice, 633 Indiana Avenue NW., Washington, DC 20531. Hand delivered applications should be delivered to the 633 Indiana Avenue address between business hours or 8:00 and 5:00 p.m. except Saturday, Sundays or Federal holidays.

3. OJJDP will notify applicants in writing of the receipt of their application. Subsequently, applicants will be notified by letter as to the decision made regarding whether or not there submission will be recommended for funding. It is anticipated that the grant will be awarded as early as January 1, 1989.

#### **IX. Civil Rights Compliance**

A. All recipients of OJJDP assistance must comply with the nondiscrimination requirements of the Juvenile Justice and Delinquency Prevention Act of 1974 as amended; section 504 of the Rehabilitation Act of 1973 as amended; Title IX of the Education Amendment of 1972; the Age Discrimination Act of 1975; and the Department of Justice Non-Discrimination Regulations (28 CFR Part 42, Subparts C, D, E, and G).

B. In the event a Federal or State court or Federal or State administrative agency or State administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin or sex against a recipient of funds, the recipient will forward a copy of the finding to the Office of Civil Rights Compliance (OCRC) of the Office of Justice Programs.

#### Verne L. Speirs,

Administrator. Office of Juvenile Justice and Delinquency Prevention.

[FR Doc. 88-22686 Filed 9-30-88; 8:45 am] BHLING CODE 4410-18-M



# **Reader Aids**

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#### FEDERAL REGISTER PAGES AND DATES, OCTOBER

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At the end of each month, the Office of the Federal Register publishes separately a List of CFR Sections Affected (LSA), which lists parts and sections affected by documents published since the revision date of each title.

#### LIST OF PUBLIC LAWS

Last List: September 30, 1988 This is a continuing list of public bills from the current session of Congress which have become Federal laws. It may be used in conjunction with "PLUS" (Public Laws Update Service) on 523-6641. The text of laws is not published in the Federal Register but may be ordered in individual pamphlet form (referred to as "slip laws") from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402 (phone 202-275-3030).

H.R. 2342/Pub. L. 100-448

Coast Guard Authorization Act of 1988. (Sept. 28, 1988; 102 Stat. 1836; 15 pages) Price: \$1.00

H.R. 5090/Pub. L. 100-449 United States-Canada Free-Trade Agreement Implementation Act of 1988.

(Sept. 28, 1988; 102 Stat. 1851; 48 pages) Price: \$1.50 S.J. Res. 322/Pub. L. 100-

450

To designate the week of September 23-30, 1988, as "National American Indian Hentage Week." (Sept. 28, 1988; 102 Stat. 1899; 1 page) Price: \$1.00

S.J. Res. 336/Pub. L. 100-451

Designating October 16, 1988, as "World Food Day." (Sept. 28, 1988; 102 Stat. 1900; 2 pages) Price: \$1.00

### **CFR CHECKLIST**

This checklist, prepared by the Office of the Federal Register, is published weekly. It is arranged in the order of CFR titles, prices, and revision dates.

An asterisk (\*) precedes each entry that has been issued since last week and which is now available for sale at the Government Printing Office.

New units issued during the week are announced on the back cover of the daily Federal Register as they become available.

A checklist of current CFR volumes comprising a complete CFR set, also appears in the latest issue of the LSA (List of CFR Sections Affected), which is revised monthly.

The annual rate for subscription to all revised volumes is \$595.00 domestic, \$148.75 additional for foreign mailing.

Order from Superintendent of Documents, Government Printing Office, Washington, DC 20402. Charge orders (VISA, MasterCard, or GPO Deposit Account) may be telephoned to the GPO order desk at (202) 783–3238 from 8:00 a.m. to 4:00 p.m. eastern time, Monday—Friday (except holidays).

Title	Price	<b>Revision Date</b>
1, 2 (2 Reserved)	\$10.00	Jan. 1, 1988
3 (1987 Compilation and Parts 100 and 101)	11.00	<sup>2</sup> Jan. 1, 1988
4	14.00	Jan. 1, 1988
	14.00	2011. 1, 1700
5 Parts: 1–699	14.00	1 1 1000
		Jan. 1, 1988
700-1199 1200-End, 6 (6 Reserved)		Jan. 1, 1988
	11.00	Jan. 1, 1988
7 Parts:		
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	. 17.00	Jan. 1, 1988
10 Parts:		
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51-199		Jan. 1, 1988
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11	10.00	July 1, 1988
12 Parts:		
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	10.00	las 1 1000
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300-399	20.00	Jan. 1, 1988
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16 Parts:		
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litle	Price	<b>Revision Date</b>	Title	Price	Revision D
29 Parts:			42 Parts:	11100	116 1101011 1
°C-99	17.00				
100 400	17.00	July 1, 1988	1-60	15.00	Oct. 1, 1
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1927-End	23.00	July 1, 1987	4000-End	11.00	Oct. 1, 1
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/CO-End	8.50	July 1, 1987	1-199		Oct. 1, 1
	18.00	July 1, 1987	200–499		Oct. 1, 1
31 Parts:			500-1199		Oct. 1, 1
-199	12 00	July 1, 1987	1200-End	14.00	Oct. 1, 1
200-End			46 Parts:		
	10.00	July 1, 1987	1-40	10.00	
32 Parts:					Oct. 1, 1
-39, Vol. 1	15.00	4 July 1, 1984	41-69		Oct. 1, 1
-39, Vol. II	10.00		70-89		Oct. 1, 1
20 Val III	19.00	<sup>4</sup> July 1, 1984	90–139		Oct. 1, 1
-39, Vol. III	18.00	4 July 1, 1984	140–155		Oct. 1, 1
-189	20.00	July 1, 1987	156–165		Oct. 1, 1
90–399	23.00	July 1, 1987	166-199		
00–629		July 1, 1987	200 400		Oct. 1, 1
30-699			200–499	19.00	Oct. 1, 1
		<sup>5</sup> July 1, 1986	500-End	10.00	Oct. 1, 1
00–799		July 1, 1988	47 Parts:		
00-End	16.00	July 1, 1987	0-19	17.00	Oct. 1, 1
3 Parts:			20–39		
					Oct. 1,
-199	27.00	July 1, 1987	40-69		Oct. 1,
00-End	19.00	July 1, 1987	70–79	17.00	Oct. 1,
4 Parts:			80-End	20.00	Oct. 1,
			48 Chapters:		
-299		July 1, 1987			
00–399	11.00	July 1, 1987	1 (Parts 1–51)		Oct. 1,
00End	23.00	July 1, 1987	1 (Parts 52–99)		Oct. 1,
5	9.00		2 (Parts 201–251)	17.00	Oct. 1,
	9.00	July 1, 1987	2 (Parts 252-299)		Oct. 1,
6 Parts:			3-6		Oct. 1,
-199	12.00	July 1, 1987			
00-End			7–14		Oct. 1,
		July 1, 1988	15-End	23.00	Oct. 1,
7	13.00	July 1, 1988	49 Parts:		
8 Parts:			1–99	10.00	Oct. 1,
-17	21.00	1.1. 1 1007	100–177		Oct. 1,
- 17	21.00	July 1, 1987	178-199		
8-End	16.00	July 1, 1987			Oct. 1,
9	13.00	July 1, 1988	200-399		Oct. 1,
0 Parts:			400–999		Oct. 1,
			1000–1199	17.00	Oct. 1,
-51	21.00	July 1, 1987	1200-End	18.00	Oct. 1,
2	26.00	July 1, 1987			
3–60		July 1, 1987	50 Parts:		
1–80			1–199		Oct. 1,
		July 1, 1988	200–599		Oct. 1,
1-99		July 1, 1987	600-End		Oct. 1,
00–149		July 1, 1987			
50–189		July 1, 1987	CFR Index and Findings Aids	28.00	Jan. 1,
90–399	29.00	July 1, 1987			
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, 1–1 to 1–10	12.00	6 hely 1 1004	Subscription (mailed as issued)		
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, 1-11 to Appendix, 2 (2 Reserved)	13.00	<sup>6</sup> July 1, 1984	Individual copies		
-6		6 July 1, 1984			
*****		6 July 1, 1984	<sup>1</sup> Because Title 3 is an annual compilation, this v	rorume and all previou	s volumes sho
	4.50	6 July 1, 1984	retained as a permanent reference source.		
		<sup>6</sup> July 1, 1984	<sup>2</sup> No amendments to this volume were promulgat	ed during the period J	an. 1, 1987 to
0–17	0.50		31, 1987. The CFR volume issued January 1, 1987,		
		<sup>6</sup> July 1, 1984	<sup>3</sup> No amendments to this volume were promulgate		r. 1, 1980 to /
8, Vol. 1, Parts 1–5		<sup>6</sup> July 1, 1984	31, 1988. The CFR volume issued as of Apr. 1, 1980		
8, Vol. II, Parts 6–19		<sup>6</sup> July 1, 1984	<sup>4</sup> The July 1, 1985 edition of 32 CFR Parts 1-		
8, Val. III, Parts 20–52	13.00	6 July 1, 1984	inclusive. For the full text of the Defense Acquisiti	on Regulations in Part	
9–100		6 July 1, 1984	three CFR volumes issued as of July 1, 1984, contain	ing those parts.	
-100			<sup>5</sup> No amendments to this volume were promulgat		luly 1, 1986 to
		July 1, 1988	30, 1988. The CFR volume issued as of July 1, 1986		
01		July 1, 1987	<sup>6</sup> The July 1, 1985 edition of 41 CFR Chapters 1-		nly for Chapter
02–200		July 1, 1988	49 inclusive. For the full text of procurement regulati		
201–End					

# CFR ISSUANCES 1988

January—July 1988 Editions and Projected October, 1988 Editions

This list sets out the CFR issuances for the January—July 1988 editions and projects the publication plans for the October, 1988 quarter. A projected schedule that will include the January, 1989 quarter will appear in the first Federal Register issue of January.

For pricing information on available 1987—1988 volumes consult the CFR checklist which appears every Monday in the Federal Register.

Pricing information is not available on projected issuances. Individual announcements of the actual release of volumes will continue to be printed in the **Federal Register** and will provide the price and ordering information. The weekly CFR checklist or the monthly List of CFR Sections Affected will continue to provide a cumulative list of CFR volumes actually printed.

Normally, CFR volumes are revised according to the following schedule:

Titles 1—16—January 1 Titles 17—27—April 1 Titles 28—41—July 1 Titles 42—50—October 1

All volumes listed below will adhere to these scheduled revision dates unless a notation in the listing indicates a different revision date for a particular volume.

1—199 200—End

10 Parts:

400-499

500-End

12 Parts:

220-299 300-499

500 - 599

600-End

14 Parts:

60-139

140-199

200-1199 1200-End

15 Parts:

300-399 400-End

16 Parts: 0-149

150-999 1000-End

0-299

1-59

13

1—199 200—219

11

200-399 (Cover only)

0-50 51-199

\*Indicates volume is still in production.

#### Titles revised as of January 1, 1988:

Title

**CFR** Index

1-2 3 (Compliation) 4 5 Parts: 1-699 700-1199 1200-End 6 [Reserved] 7 Parts: 0-26 27-45 46-51 52 53-209 210—299 300—399 400-699 700-899 900-999 1000—1059 1060—1119 1120-1199 1200-1499 1500-1899 1900-1939 1940-1949 1950-1999 2000-End

8

9 Parts:

Titles revised as of Apri	l 1, 1988:
Title	
17 Parts:	
1—199	23
200—239	20
240—End	24 Parts:
240-210	0-199
10 Destas	
18 Parts:	200-499
1-149	500-699
150-279	700-1699
280-399	1700-End
400—End	
10 B-11-	25
19 Parts:	OC Destas
1-199	26 Parts:
200-End	1 (§§ 1.0-1-1.60)
	1 (§§ 1.61—1.169)
20 Parts:	1 (§§ 1.170-1.30
1—399	1 (§§ 1.301-1.40
400-499	1 (§§ 1.401-1.50
500-End	1 (§§ 1.501-1.64)
	1 (§§ 1.641-1.85
21 Parts:	1 (§§ 1.401—1.500 1 (§§ 1.501—1.640 1 (§§ 1.641—1.850 1 (§§ 1.851—1.100
1-99	1 (§§ 1.1001-1.14
100-169	1 (§§ 1.1401-End
170-199	2-29
200-299	30-39
300-499	40-49
300—499 500—599	50-299
600-799	300-499
800—1299	
1300—End	500-599 (Cover ( 600-End
1300-210	000-Elia
22 Parts:	27 Parts:
1-299	1-199
300-End	200-End
Titles revised as of July	/ 1, 1988:
28*	400-End*
29 Parts:	35*
0-99	
	26 Dortes
100-499	36 Parts:
500-899*	1—199*
900-1899	200-End
1900-1910*	
1911-1925	37
1926*	100
1927—End*	38 Parts:
	0-17*
30 Parts:	18-End*
1-199	
200-699*	39
700-End*	
	40 Parts:
31 Parts:	1-51*
0-199*	52*
200-End*	53-60*
	61-80
32 Parts:	81-99*
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190-399*	
	150-189*
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630-699 (Cover only)	300-399*
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	700-End*
33 Parts:	
1-199*	41 Parts:
200-End*	Chs. 1-100
	Ch. 101*
34 Parts:	Chs. 102-200
	Ch. 201-End*
1-299*	CH. ZUI-ENU

1-299\*

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# Projected October 1, 1988 editions:

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**42 Parts:** 1—60 61—399 400—429 430—End

**43 Parts:** 1—999 1000—3999 4000—End

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# 46 Parts:

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# 49 Parts:

47 Parts:

0-19

1-99 100-177 178-199 200-399 400-999 1000-1199 1200-End

50 Parts: 1-199 200-599 600-End

# TABLE OF EFFECTIVE DATES AND TIME PERIODS-OCTOBER 1988

This table is used by the Office of the Federal Register to compute certain dates, such as effective dates and comment deadlines, which appear in agency documents. In computing these dates, the day after publication is counted as the first day. When a date falls on a weekend or holiday, the next Federal business day is used. (See 1 CFR 18.17)

A new table will be published in the first issue of each month.

DATE OF FR PUBLICATION	15 DAYS AFTER PUBLICATION	30 DAYS AFTER PUBLICATION	45 DAYS AFTER PUBLICATION	60 DAYS AFTER PUBLICATION	90 DAYS AFTER PUBLICATION
October 3	October 18	November 2	November 17	December 2	January 3
October 4	October 19	November 3	November 18	December 5	January 3
October 5	October 20	November 4	November 21	December 5	January 3
October 6	October 21	November 7	November 21	December 5	January 4
October 7	October 24	November 7	November 21	December 6	January 5
October 11	October 26	November 10	November 25	December 12	January 9
October 12	October 27	November 14	November 28	December 12	January 10
October 13	October 28	November 14	November 28	December 12	January 11
October 14	October 31	November 14	November 28	December 13	January 12
October 17	November 1	November 16	December 1	December 16	January 17
October 18	November 2	November 17	December 2	December 19	January 17
October 19	November 3	November 18	December 5	December 19	January 17
October 20	November 4	November 21	December 5	December 19	January 18
October 21	November 7	November 21	December 5	December 20	January 19
October 24	November 8	November 23	December 8	December 23	January 23
October 25	November 9	November 25	December 9	December 27	January 23
October 26	November 10	November 25	December 12	December 27	January 24
October 27	November 14	November 28	December 12	December 27	January 25
October 28	November 14	November 28	December 12	December 27	January 26
October 31	November 15	November 30	December 15	December 30	January 30

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# Guide to Record Retention Requirements in the Code of Federal Regulations (CFR)

GUIDE: Revised January 1, 1986 SUPPLEMENT: Revised January 1, 1988

The GUIDE and the SUPPLEMENT should be used together. This useful reference tool, compiled from agency regulations, is designed to assist anyone with Federal recordkeeping obligations.

The various abstracts in the GUIDE tell the user (1) what records must be kept, (2) who must keep them, and (3) how long they must be kept.

The GUIDE is formatted and numbered to parallel the CODE OF FEDERAL REGULATIONS (CFR) for uniformity of citation and easy reference to the source document.

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