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PAGE 1
JAPANESE FILE NO. 16
PERIOD COVERED 1 NOV. – 3 NOV

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | [redacted] NO. |
|---|---|---|---|---|---|
| 1 Nov. 1941 | 721 | American draft of official notes to be exchanged seems to have dropped right of self-defence issue. Is it so? | TOGO | NOMURA | |
| 2 Nov. 1941 | 722 | On the 5th, Cabinet meeting in the Imperial Presence, JAPAN's last proposal for JAPANESE-AMERICAN Relation adjustment will be decided on. The decision will be cabled to you, in which case your speedy action is most important. | TOGO | NOMURA | & 1163 |
| 3 Nov. 1941 | 723 | Ambassador GREW was told, AMERICA must be more realistic. SOVIET stations its army in MONGOLIA, a part of CHINA. AMERICA must understand JAPAN's position more fully. BRITAIN's good offices were asked through BRITISH Ambassador, on 29. | TOGO | NOMURA | IPS DOC. NO. 15 |

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | ~~IPS NO.~~ |
|---|---|---|---|---|---|
| 4 Nov. 1941 | 725 | Liaison Conference has decided on Proposals to AMERICA (separate telegrams Nos. 726 and 727), which only await the approval by the Cabinet meeting in the Imperial presence on the 5th. They are our last proposals. If they prove a failure, rupture is unavoidable. | TOGO | NOMURA | Ex 1164 |
| 4 Nov. 1941 | 726 | Prosal A. Our proposal as of 25 Sept. was modified as much as possible to meet American desires | TOGO | NOMURA | Ex 1165 |
| 4 Nov. 1941 | 727 | Proposal B. Secondary proposal in case AMERICA strongly objects to Proposal A. | TOGO | NOMURA | EDIS, p 44 |

| DATE | TEL.NO. | TITLE (If Note or Memo) | FROM | TO | ~~IPS NO.~~ |
|---|---|---|---|---|---|
| 4 NOV. 1941 | 730 | Ambassador KURUSU is despatched in view of the gravity of the situation. | TOGO | NOMURA | Ef 1166 |
| 4 NOV. 1941 | 731 | For Proposal A or Proposal B to become effective, agreement of BRITAIN and NETHERLANDS is also necessary. Their agreement must be obtained through the efforts of both AMERICA and JAPAN. | TOGO | NOMURA | EDIS, P 98 |
| 5 NOV. 1941 | 732 | It is better to instrument our proposal not as a treaty, but as an executive agreement, because the latter does not need Senatorial approval, and will make the solution of the problem quicker. | TOGO | NOMURA | EDIS, P 95 |

PAGE 4
JAPANESE FILE NO. 16
PERIOD COVERED 5 NOV. - 6 NOV.

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | ~~DES TO~~ |
|---|---|---|---|---|---|
| 5 NOV. 1941 | 735 | JAPAN's proposal cabled by outgoing telegram no. 725 has been decided on at the Cabinet Meeting of the 5-th w. Quick opening of negotiations is desired. | TOGO | NOMURA | Ex 1170 |
| 5 NOV. 1941 | 736 | Conclusion of the agreement by the 25-th is earnestly desired. | TOGO | NOMURA | Ex. 1171 |
| 6 NOV. 1941 | 739 | Ambassador KURUSU is despatched simply to help you. He carries no new instructions | TOGO | NOMURA | ED 15, P 101 |
| 6 NOV. 1941 | 740 | Compromise with regard to right of self-defence in connection with Tri-Partite Pact is impossible | TOGO | NOMURA | ED 15, P 102 |

| DATE | TEL.NO. | TITLE (If Note or Memo) | FROM | TO | ~~IRS NO.~~ |
|---|---|---|---|---|---|
| 6 Nov. 1941 | 741 | In view of the delicate situation, news of the interviews of the President and the JAP. Ambassador had better be kept from the press. | TOGO | NOMURA | ED 15, P 112 |
| 7 Nov. 1941 | 742 | Decision at the Cabinet meting in the Imperial Presence was calculated not to have AMERICA arbitrarily extend her right of self-defence. Consequently AMERICAN commitment must first be obtaind in this regard before we ourselves give commitment of non-extention of our right of self-defence. | TOGO | NOMURA | |
| 7 Nov. 1941 | 743 | Instructions about the correction of some English words and phrases in the JAP. proposal. | TOGO | NOMURA | |
| 7 Nov. 1941 | 745 | Request that the JAP. government be informed about the interview as quickly as possible. | TOGO | NOMURA | |

PAGE 6
JAPANESE FILE NO. 16
PERIOD COVERED 8 NOV. — 9 NOV.

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | ~~IPS NO.~~ |
|---|---|---|---|---|---|
| 8 NOV. 1941 | 746 | Instructions about the phraseology of the JAP. proposal | TOGO | NOMURA | |
| 8 NOV. 1941 | 747 | As for the part in the new proposal dealing with the right of self-defence, take steps according to the outgoing telegram no. 742. | TOGO | NOMURA | |
| 9 Nov. 1941 | 751 | HULL's proposal to have the highest authority on CHINA "bridge" JAPAN and CHINA is welcome in that it will promote SINO-JAP. peace. | TOGO | NOMURA | |
| no date | 755 | If HULL's proposal is meant to delay JAP.-AMERICAN agreement until SINO-JAP. peace is achieved, our acceptance of the proposal will make JAP.-AMERICAN understanding impossible, and moreover | TOGO | NOMURA | |

| DATE | TEL.NO. | TITLE (If Note or Memo) | FROM | TO | ~~IPS NO.~~ |
|---|---|---|---|---|---|
| | | lay the blame for the rupture of negotiations on JAPAN. So every precaution must be used. | | | |
| 11 Nov. 1941 | 758. | American Ambassador prefers to call the WASHINGTON Talk "Conversation" instead of "negotiation," but we are of the opinion that the Talk has reached the "negotiation" stage. | TOGO | NOMURA | |
| No date | No number | On the margin, "Discarded draft" is handwritten with a pencil | TOGO | NOMURA | |
| 11 Nov. 1941 | 763 | Re outgoing telegram no. 671. Germany has asked the JAP. government twice about JAPAN's representation to AMERICA about this matter. The JAP. | TOGO | NOMURA | FD 15, P 117 |

711

PAGE 8
JAPANESE FILE NO. 16
PERIOD COVERED 10 NOV - 12 NOV.

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS NO. |
|---|---|---|---|---|---|
| | | Government told the German Ambassador in TOKYO that JAPAN's stiff attitude in general would be more effective than a mere representation. | TOGO | NOMURA | |
| 10 NOV. 1941 | 168 | Ambassador KURUSU's alleged talk to a friend in MANILA that he has not much hope for the negotiations is played up in the NEW YORK Times. The news is suppressed in JAPAN. | TOGO | Consul-general MUTO, SAN FRANCISCO | |
| 12 NOV. 1941 | 2318 | Fundamental policy re JAP.-AMERICAN relationship adjustment has been decided on at the Cabinet meeting in the Imperial Presence on the 5th. We can make no further concession. If the negotiations fall through, the situation surrounding the Empire is expected to take a sudden turn. You are hereby advised in confidence. | TOGO | JAP. Ambassadors in PEKING, NANKING, MANCHUKO, SHANGHAI, HONGKONG, HANOI. BATAVIA, THAILAND, SAN FRANCISCO | |

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS NO. |
|---|---|---|---|---|---|
| 13 NOV. 1941 | 766 | AMERICA seems to think the present negotiations only a preliminary talk. But the matter is most urgent for us, which you must always bear in mind. | TOGO | NOMURA | ED 15, P 123 |
| 13 NOV. 1941 | 768 | Some corrections in the phraseology of Proposal B. | TOGO | NOMURA | |
| 14 NOV. 1941 | 771 | Please cable the Home Government as quickly as possible (especially about the interview on the 14th), as was requested by the outgoing telegram no. 745. | TOGO | NOMURA | |
| 13 NOV. 1941 | 772 | Proposal B, with the items for reference incorporated into the text will be cabled as Telegram No. 773. | TOGO | NOMURA | ED 15, 125 |

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | ~~IPS NO.~~ |
|---|---|---|---|---|---|
| 13 Nov. 1941 | 773 | Proposal B to be submitted to the AMERICAN government. Items 1, 2, 3, & 4 the same as in outgoing telegram No. 727. Items 5, 6, & 7 are newly added. | TOGO | NOMURA | ED. 15, P 126 |
| 14 Nov. 1941 | 774 | Re incoming telegram No. 1087. JAP. government does not want to implement "Suggestion" B through tri-partite talk among JAPAN, AMERICA & CHINA. | TOGO | NOMURA | ED. 15, P 129 |
| 15 NOV. 1941 | 779 | English translation of Proposal B sent by separate wire no. 780 | TOGO | NOMURA | |
| 15 NOV. | 780 | English translation of Proposal B. | TOGO | NOMURA | |

~~SECRET~~

This Translation in rough agreement with IPS 1532, but should be checked before use.

~~PEARL HARBOR~~ ~~110~~

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(Secret)

From: Washington (Nomura)
To: Tokyo
November 10, 1941
(Extremely Urgent)
#1070 (Part 1 of 4)

(To be handled in Government Code. Secret outside the department).

My interview with the President, referred to in my #1069, was held in a private room in the White House in order to avoid publicity. It was as follows:

Prefacing my remarks with the fact that I was speaking on instructions of the Government, I said, "I have had no talks with the Secretary of State for about three weeks; ever since the resignation of the KONOYE cabinet; and since the present situation between Japan and the United States is such that it could not be left as it is, I am very pleased to have this opportunity of speaking with you. The conversations on this question have lasted for more than six months. From their inception, Japan has been wishing to arrive at a quick settlement. The people of Japan also looked forward to these conversations with much hope; however, the conversations have dragged on and in the meantime the relation between the two countries has grown worse. It has become increasingly difficult for the people of my country to be patient. Now, the Government of Japan has in the meantime made many concessions, but the Government of the United States has held to its arguments and has shown no willingness to respond to our compromises. As a result, some people in Japan have begun to doubt if the United States is really sincere in this matter. The Japanese people regard the freezing of funds as a kind of economic blockade, and there seem to be some who say that modern warfare is not limited to shooting alone. No country can exist without the supply of materials indispensable to its industry. From what reports I have received from Japan, the situation seems to be serious and threatening and, therefore, the only way to keep peace is for Japan and the United States to come, without further delay, to some kind of a friendly and satisfactory agreement. It is for no other purpose than that of keeping peace in the Pacific that the Japanese Government is endeavoring so hard to arrive at a satisfactory agreement by continuing our conversations.

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~~Army #2494~~ ~~Trans. 11/13/41~~ (1)

To add to the end of Page 1. (Part 1 of 4).

(Part 1 of 4)

Further, as an explanation of our proposal, I stated that, adding the opinions of the Secretary of State and the undersecretary of State which were expressed on our proposal of September 25th, the difficulties apparently lied upon the following three points.

First, as to the Principle of Nondiscrimination, our government has decided to agree to apply it to the whole area of the Pacific including China, as per your government's desire, provided that it be applied to the whole world. Since this is Secretary Hull's long-cherished hope, I hope that the above-mentioned guaranty by our government will satisfy your government.

(To be ~~added~~ inserted) ----------

(2 of 4) (Not in typed translation of 1532 Hein 61)

Secondly, as to the attitude of both governments towards the European War, our government proposed, as per our proposal dated September 25th, that the actions of both governments ought to be based upon the consideration of 'protection and self-defence'. On this point, I wish to inquire whether your would give me the guarantee that the U.S. Government has no intention to interpret 'the words 'protection and self-defense' in an unreasonably broad sense. I stated that, if the U.S. government should give such guarantee, the Japanese government would also give the same guarantee. As to the words 'In case the U.S.A. should participate in the war ------', as per the last part of our proposal, Japan could not say anything further than the statement

made in our proposal of September 25th, since my conversation with you was started in an attempt to maintain peace on the Pacific. You would agree with me that, unless we trust in each other's sincerity, any amount of argument will be of no avail.

~~C-O-R-R-E-C-T-I-O-N-S~~

~~PEARL HARBOR~~

(Secret)

From: Washington (Nomura)
To: Tokyo
November 10, 1941
(Very Urgent)
#1070 (Part 3 of 4)

3. I submitted the new proposal, as it stood, regarding the question of stationing and withdrawing of troops and proceeded to say, "With regard to this question, the Japanese Government has gone a step beyond her past proposals and has, by definitely fixing not only the localities in China where our troops would be stationed but also the period of their occupation, made it clear that the occupation is not a permanent and definite one. No doubt you would like to see the ~~#~~ troops withdrawn at once, but I am sure you well understand that it is impossible under the present circumstances to do so. I earnestly wish that you would give favorable consideration to this question from the standpoint of the general situation."

Next, I said, "The Japanese Government is making the following proposal with regard to the Japanese troops in French Indo-China," and so saying, I submitted the proposal contained in your instructions.

In reply to this, the President, remarking that he had already thought on the question before our explanation was given him, spoke as follows from a note which he had been holding in his hand, "As a result of a confusion created by the forces of aggression, the whole world has fallen into a critical state. What seems to me to be common sense is for us to wish earnestly that the world will return to peaceful normalcy and for the ~~#####~~ United States Government to do its utmost in the spirit of fair play for the establishment of peace, stability, and order in the Pacific. If this object is to be realized, actual results must be effected for the sake of human welfare. It is my earnest wish that the preliminary talks relative to this question would have good results which would serve as a basis of future negotiations. I will, just as the Japanese Government hopes, do my best in order to help carry on these negotiations successfully. I wish that the Japanese Government would clearly set forth its intention of following a peaceful course in its policy and not an opposite course. This is the way to attain the results toward which both you and we are working."

What the United States desires, are:
(1) to prevent the war from spreading; and (2) to establish a permanent peace.

~~Army 2476~~

~~Navy 11/15/41 (1)~~

~~CORRECTION~~

PEARL HARBOR

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(Secret)

From: Washington (Nomura)
To: Tokyo
November 10, 1941
(Very Urgent)
#1070 (Part 4 of 4)

The President elaborated on the point that with regard to the question of non-discrimination, he had agreed with CHURCHILL when he had conferred with that statesman to abolish the economic

---end of page---

PEARL HARBOR

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limitations throughout the world; that he had opposed Germany because Germany followed a policy contrary to this idea; and that he wished that the principle of non-discrimination would be applied generally throughout the world. The President then said by way of an incidental remark that in the days of President TAFT when disturbance arose in Cuba, the United States had failed by resorting to a policy of force; however, today under his administration, because the government had adopted a friendly policy, the relations with the Latin-American countries had improved. He then explained how necessary it is to follow a new policy in accordance with new situations. Secretary HULL inserted the remark that the South American countries, who had in the past been fearful of the United States, have come to take an attitude of welcoming the United States. Speaking on the remark I had made to the effect that economic pressure had aroused the feelings of the /Japanese/ people and had made them impatient, the President said, "It is necessary to find a modus vivendi if the people are to live," and proceeded to explain that this expression should be translated as "method of living." Although it is not clear to me what it really means, I intend to ascertain whether he refers to, possible, a provisional agreement.

The President then asked if Ambassador KURUSU was bringing with him a proposal other than that referred to above. I replied that he was not bringing any proposal, but in response to my wishes he was coming to assist me. Then the President said that he is leaving on the 15th and will be away for one week, as he must attend, as is his custom, a children's party at Warm Springs during the Thanksgiving week (the 20th), and that he wondered whether he would have the opportunity of meeting Ambassador KURUSU before he leaves.

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(ROUGH, UNCHECKED TRANSLATION)

IPS DOC. NO. 3116-(736)

TELEGRAM 736

4 Nov 1941
From: TOGO
To : NOMURA

(Ambassador's Code: Absolutely Secret. (ZETTAI GOKUHI).

It is necessary for various reasons to complete the signing of the present negotiations at least by the 25th of this month. It may be forcing you to do a most difficult thing, but under the surrounding circumstances, it is absolutely unavoidable. So please understand the situation, and put forth your utmost efforts with great resolution to save American-Japanese relations from being ruptured.

Please keep this to yourself.

INTERNATIONAL PROSECUTION SECTION

Date: 27 August 1947

Doc. No. 3116

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: File of Telegrams on JAPANESE-AMERICAN NEGOTIATIONS, 1941, Japanese Foreign Ministry.

Date: 1 Nov. to 7 Dec. 1941 Original (X) Copy ( ) Language: Japanese

Has it been translated? Yes ( ) No (X)
Has it been photostated? Yes ( ) No (X)

LOCATION OF ORIGINAL:

IPS Document Division

SOURCE OF ORIGINAL:

Japanese Foreign Ministry

PERSONS IMPLICATED:

TOGO, Shigenori; NOMURA, Kichisaburo

CRIMES OR PHASE TO WHICH DOCUMENT APPLICABLE:

Relations with the U.S. - Japanese-American Negotiations

SUMMARY OF RELEVANT POINTS:

Following is a list of the contents of this file of telegrams (for the most part from TOGO to NOMURA) which may have evidentiary value. Items already introduced and having Exhibit numbers, or already included in Document 15 are given only by date and number.

IPS DOC. NO. 3116 (Summary continued)

| DATE 1941 | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS DOC. NO. |
|---|---|---|---|---|---|
| 1 Nov. | 721 | | TOGO | NOMURA | IPS 15, P.90 |
| 2 Nov. | 722 | | TOGO | NOMURA | IPS 15, P.90 Ex. 1163 |
| 3 Nov. | 723 | | TOGO | NOMURA | IPS 15, P.91 |
| 4 Nov. | 725 | | TOGO | NOMURA | IPS 15, P.92-94; Ex. 1164 |
| 4 Nov. | 726 | | TOGO | NOMURA | IPS 15, P.94-96; Ex. 1165 |
| 4 Nov. | 727 | | TOGO | NOMURA | IPS 15, P.96-7 |
| 4 Nov. | 730 | | TOGO | NOMURA | IPS 15, P.97 Ex. 1166 |
| 4 Nov. | 731 | | TOGO | NOMURA | IPS 15, P.98 |
| 5 Nov. | 732 | | TOGO | NOMURA | IPS 15, P.98 |
| 5 Nov. | 735 | | TOGO | NOMURA | IPS 15, P.99 Ex. 1170 |
| 6 Nov. | 739 | | TOGO | NOMURA | IPS 15, P.101 |
| 6 Nov. | 740 | | TOGO | NOMURA | IPS 15, P. 102 |
| 6 Nov. | 741 | | TOGO | NOMURA | IPS 15, P.102 |
| 7 Nov. | 742 | The decision at the Cabinet meeting in the Imperial Presence was calculated to prevent America from arbitrarily extending the right of self-defense and not to give our commitment regarding non-abuse of our right of self-defense without America's commitment about the non-extension of the right of self defense. | TOGO | NOMURA | |
| 7 Nov. | 743 | Instructions about the English phraseology of the agreement to be concluded. | TOGO | NOMURA | |

IPS Doc No 3116

Scanned by H. ITOH

PAGE 1
JAPANESE FILE NO. 16
PERIOD COVERED 1 NOV. — 6 NOV. 1941

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS Doc. NO. |
|---|---|---|---|---|---|
| 1 NOV. 1941 | 721 | | TOGO | NOMURA | ~~IPS~~ Doc ~~No~~. 15, P. 90. |
| 2 NOV. 1941 | 722 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 90 EX. 1163 |
| 3 NOV. 1941 | 723 | | TOGO | NOMURA | IPS No. 15. P. 91 |
| 4 NOV. 1941 | 725 | | TOGO | NOMURA | EX. 1164 IPS ~~No~~. 15, PP. 92–94 |
| 4 NOV. 1941 | 726 | | TOGO | NOMURA | IPS EX. 1165 ~~No~~. 15, PP. 94–96 |
| 4 NOV. 1941 | 727 | | TOGO | NOMURA | IPS ~~No~~. 15, PP. 96–97 |
| 4 NOV. 1941 | 730 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 97 EX. 1166 |
| 4 NOV. 1941 | 731 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 98 |
| 5 NOV. 1941 | 732 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 98 |
| 5 NOV. 1941 | 733 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 99 EX. 1170 |
| 6 NOV. 1941 | 739 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 101 |
| 6 NOV. 1941 | 740 | | TOGO | NOMURA | IPS ~~No~~. 15, P. 102 |

PAGE 2
JAPANESE FILE NO. 16
PERIOD COVERED 6 NOV. – 7 NOV. 1941

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS Doc. NO. |
|---|---|---|---|---|---|
| 6 NOV. 1941 | 741 | | TOGO | NOMURA | IPS ~~No.~~ 15, P. 102 |
| 7 NOV. 1941 | 742 | The decision at the Cabinet meeting in the Imperial Presence was calculated to prevent AMERICA from arbitrarily extending the right of self-defense and not to give our commitment regarding non-abuse of our right of self-defense without first AMERICA'S Commitment about the non-extention of the right of self-defense. ~~to be obtained first~~ | TOGO | NOMURA | (~~Not found~~ in ~~Doc. No. 15~~) |
| 7 NOV. 1941 | 743 | Instructions about the English phraseology of the agreement to be concluded. | TOGO | NOMURA | (~~Not found~~ in ~~Doc. No. 15~~) |
| 7 NOV. 1941 | 745 | Re message #1050. Send a brief message immediately after the interview, and cable details afterwards. | | | (~~Not found~~ in ~~Doc. No. 15~~) |

PAGE 3
JAPANESE FILE NO. 16
PERIOD COVERED 8 NOV. – 11 NOV. 1941

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS SEC. NO. |
|---|---|---|---|---|---|
| 8 NOV. 1941 | 746 | Instructions about the phraseology of the agreement to be concluded. | TOGO | NOMURA | ~~(not found in Doc. No. 15)~~ |
| 8 NOV. 1941 | 747 | Matters regarding the right of self-defence must be disposed of in accordance with outgoing telegram #742 | | | ~~(not found in Doc. No. 15)~~ |
| 9 NOV. 1941 | 751 | | TOGO | NOMURA | IPS ~~No.~~ 1 ~~15~~, p. 106 |
| (No date) | 755 | | TOGO | NOMURA | IPS ~~No.~~ 1 No. 15, pp. 107-8 |
| 11 NOV. 1941 | 758 | American Ambassador seems to prefer the word "conversation" to "negotiation" in regard to WASHINGTON talks, but we are of the opinion that the talks have already reached the stage of negotiation. | TOGO | NOMURA | ~~(not found in Doc. No. 15)~~ |

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS Doc. NO. |
|---|---|---|---|---|---|
| | | ~~Following~~ following the ~~Following~~ Telegram ~~No.~~ .758 ~~These~~ are four typewritten pages which seem to be a draft telegram from TOGO to NOMURA, re the latter's message #1070, but the document is unnumbered and undated, and two CHINESE characters meaning "discarded draft" are pencilled on the margin. | | | |
| | | | | | IPS ~~No.~~ 15, P. 117 |
| 11 NOV. | 763 | | | | |
| 10 NOV. | 168 | So Ambassador KURUSU. AP wired from MANILA that Ambassador KURUSU told a friend there he had no great hope for the favorable turn of the negotiations. You are informed for your reference, but the news is not published in JAPAN. | TOGO | MUTO, Consul-general at SAN FRANCISCO | (~~Not found~~ ~~in Doc. No. 15~~) |
| 12 NOV. | 2313 | JAP. government is putting forth last efforts, but further compromises are impossible. In case of rupture, the situation surrounding JAP. is expected to take a sudden turn. You are hereby advised in confidence. | TOGO | JAP. envoys at PEKING, NANKING, MANCHUKOU, SHAGHAI, HONGKONG, HANOI, BATAVIA, THAILAND, SAN FRNCISCO. | ~~Not found~~ in Doc. No. 15 |

PAGE 5
JAPANESE FILE NO. 16
PERIOD COVERED 13 NOV. — 20 NOV. 1941

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | DOC. IPS NO. |
|---|---|---|---|---|---|
| 13 NOV. 1941 | 766 | | TOGO | NOMURA | IPS ~~No.~~ 15, P. 123 |
| 13 NOV. 1941 | 768 | Instructions about some revisions in the phraseology of JAPAN's Proposal B. | TOGO | NOMURA | (~~not found in~~ Doc. No. 15) |
| 14 NOV. 1941 | 771 | Message # 1087 reached here at 10 P.M. on 13. ~~Be~~ sure to cable a brief report (especially about the talks on the 14th) as soon as possible. | TOGO | NOMURA | (~~not found in~~ Doc. No. 15) |
| 13 NOV. 1941 | 772 | | TOGO | NOMURA | IPS ~~No.~~ 15, Pp. 125-6 |
| 13 NOV. 1941 | 773 | | TOGO | NOMURA | IPS ~~No.~~ 15, P. 126 |
| 14 NOV. 1941 | 774 | | TOGO | NOMURA | IPS ~~No.~~ 15, PP. 129-30 |
| 15 NOV. 1941 | 779 | Telegram to the effect that Proposal B has been cabled ~~by~~ in a separate wire. | TOGO | NOMURA | (~~not found~~ on Doc. No. 15) |
| " | 780 | The separate wire referred to above. | | | |
| 20 NOV. 1941 | 810 | | TOGO | NOMURA | IPS ~~No.~~ 15, Pp. 163-4 |

| DATE | TEL. NO. | TITLE (If Note or Memo) | FROM | TO | IPS NO |
|---|---|---|---|---|---|
| 21 NOV. 1941 | 811 | | TOGO | NOMURA | IPS ~~No~~ 15, P. 164 |
| 10 NOV. 1941 | 753 | Make AMERICA understand why JAPAN is in a hurry to conclude the agreement. | TOGO | NOMURA | (~~not found~~ in ~~Doc. No. 15~~) |
| 22 NOV. 1941 | 817 | | TOGO | NOMURA | IPS ~~No~~ 15 P. 167 |
| 20 NOV. 1941 | 2364 | | TOGO | JAP. envoys ~~to SWITZERLAND, TURKEY,~~ VLADIVOSTOCK, to be relayed to SPAIN, PORTUGAL, SWEDEN, FINLAND, SOUTH AFRICA, ROUMANIA, BULGALIA, HUNGARY | IPS ~~No~~ 15, P. 160 |
| 24 NOV. 1941 | 823 | | TOGO | NOMURA | IPS ~~No~~ 15, P. 173 |
| 18 NOV. 1941 | 792 | The fact of your conversation with the President and Secretary of State may be published, but not the contents of the talk. | TOGO | NOMURA | (~~not found in Doc. No. 15~~) |

PAGE 7

JAPANESE FILE NO. 16

PERIOD COVERED NOV. 19 - 27 NOV. 1941

| DATE | TEL.NO. | TITLE (If Note or Memo) | FROM | TO | IPS NO. |
|---|---|---|---|---|---|
| 19 NOV. 1941 | 793 | Message #1118 ambiguous. Wire again. | TOGO | NOMURA | ~~(Not found in Doc. No. 15)~~ |
| 19 NOV. 1941 | 797 | Postpone the interview until further instructions | TOGO | NOMURA | ~~(not found in Doc. No. 15)~~ |
| 26 NOV. 1941 | 836 | | TOGO | NOMURA | IPS ~~No.~~ 15. P. 178 |
| 27 NOV. 1941 | 841 | | TOGO | NOMURA | IPS ~~No.~~ 15, P. 185 |
| 25 NOV. 1941 | 2414 | JAPAN submitted the last proposal on 20th, which will hardly be accepted by AMERICA, in view of her past attitude. We are in danger of facing the worst shortly. | TOGO. | JAP. envoys to BRITAN. AMERICA. HANOI, THAILAND, SOVIET, GERMANY, ITALY, BATAVIA, AUSTRALIA, PEKING, MANCHUKOU, NANKING, SHANGHAI, HONGKONG. | ~~(not found in Doc. No. 15)~~ |
| 26 NOV. 1941 | 510 | AMERICA strongly opposes stopping of CHIANG-aiding activities. | TOGO | Acting Ambassador HIDAKA, at NANKING. | ~~(not found in Doc. No. 15)~~ |

| DATE | TEL.NO. | TITLE (If Note or Memo) | FROM | TO | IPS No |
|---|---|---|---|---|---|
| 1 Dec. 1941 | 866 | ~~Tojo's speech which was drafted by the Secretariat of the ASIA Development League, and neither the Premier nor the government authorities have had anything to do with it~~ | TOGO | NOMURA | ~~(Not found in Doc. No. 15)~~ EX. 1214 |
| 3 Dec. 1941 | 877 | | TOGO | NOMURA | IPS ~~No.~~ 15, P. 225 |
| 6 Dec. 1941 | 904 | | TOGO | NOMURA | IPS 15 ~~No. 15~~. P. 245 EX. 1217 |
| 7 Dec. | 905 | Quickly inform us if the President directly wired to the Emperor, as is stated by AP & UP. | TOGO | NOMURA | ~~(Not found in Doc. No. 15)~~ |
| 7 Dec. 1941 | 908 | | TOGO | NOMURA | IPS ~~No.~~ 15. P. 248 |

— END —

Doc. 3116 (C) Evid. Folder 11

(6)

FILE COPY
RETURN TO ROOM 361

IPS DOCUMENT 3116-C

Chief of the Bureau of American Affairs:

Chief of the 1st Section:
Started the 12th of November, 1941.

Addressed to: Ambassador at Peiping, Ambassador at Nanking, Ambassador to Manchukuo, Special Envoys at Shanghai, Hongkong, Hanoi, Batavia, Ambassador to Thailand, San Francisco.

From : Minister TOGO

Re: The Present Situation of the Japanese-American Negotiations.

Telegram in cipher No. 2313 (Code for the Chief of the Office - Urgent)

1. The Government has been holding Imperial Headquarters Liaison Conferences every day since its formation and has been discussing the principal national policies to meet the grave situation which is confronting us, and the above, as well as the principal policies in connection with the adjustment of the diplomatic relations between Japan and the U.S.A., was decided at the council in the Imperial Presence held on the 5th.

2. In regard to the Japanese-American negotiations, it was decided to continue the negotiations for the adjustment of diplomatic relations based on a fair footing, and the Government has already opened negotiations. However, there exists a considerable gap, and it is doubtful whether a conclusion can be reached, considering the progress of the negotiations hitherto. And, although we are making a final effort to reach a conclusion, the situation does not permit any further concessions on our part. Therefore, the situation does not allow us to take an optimistic view, and the situation surrounding our Empire is anticipated to take a sudden turn in the event of maladjustment of the negotiations. The above is strictly for your private information.

Addressees of this telegram:
Ambassador at Peiping
" " Nanking
" to Manchoukuo
Special Envoy at Shanghai
" " " Hongkong
" " " Hanoi, Batavia
Ambassador to Thailand
Manila
San Francisco

To be transmitted to Singapore and Rangoon from Hongkong.
To be transmitted to the Consuls in the U.S.A. from San Francisco, also to Chicago, Honolulu, Vancouver.

IPS Doc. No. 3116-C Exh. No. _____

# C E R T I F I C A T E

W.D.C. No. _____

I.P.S. No. 3116-C

## Statement of Source and Authenticity

I, HAYASHI Kaoru hereby certify that I am officially connected with the Japanese Government in the following capacity: Chief of the Archives Section, Japanese Foreign Office and that as such official I have custody of the document hereto attached consisting of 3 pages, dated 12, Nov., 1941, and described as follows: Telegram No. 2313 from Foreign Minister TOGO to various Ambassadors abroad.

I further certify that the attached record and document is an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Foreign Ministry

Signed at Tokyo on this
15th day of December, 1947. /s/ K. Hayashi
Signature of Official

SEAL

Witness: /s/ K. Urabe Chief, Archives, Section.
Official Capacity

## Statement of Official Procurement

I, Henry SHIMOJIMA, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above certification was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this
15th day of Dec. 1947. /s/ Henry SHIMOJIMA
NAME

Witness: /s/ J.G. Lambert Investigator, IPS
Official Capacity

EXHIBIT No. 3836

Doc No 3116-C

電信課長

発電係

管主 亜米利加局長

任主 第一課長

昭和十六年十一月十二日起草

号 発分 時 宛先 北大、南大、満大、上海、香港、河内特派大使、桑港 東郷大臣

第送電 昭和 年 月 日 前

名件 日米交渉現段階ニ関スル件

名件録記

暗 略 合第二三一三号 (館長符号 至急)

一、政府ハ組閣以来連日大本営連絡會議ヲ開催シ当面ノ重大時局ニ対處スル國策ノ根本方針ヲ審議シ居リタル處右ハ五日ノ御前會議ニ於テ日米國交調整ニ関スル根本方策ト共ニ決定ヲ見タリ

二、日米交渉ニ付テハ公世ナル基礎ニ於ケル國交調整交渉ヲ續行スルコトトシ既ニ折衝ヲ開始セルカ相当ノ縣隔アリ従来ノ交渉経過ニ鑑ミ交渉妥結ニ至ルヘキヤ否ヤ疑問ニテ我方トシテハ交渉妥結ノ為最後ノ努力ヲ試ミ居ルモ此ノ上ノ我方ノ譲歩ハ之ヲ為シ得サル状態ニ在リテ前途楽観ヲ許サス交渉不調ノ際ハ帝國ヲ繞ル情勢ハ急轉ヲ予想セラル以上貴官限リ御含ミ迄

本電宛先 北大、南大、満大、上海、香港、河内特派大使、バタビヤ、泰大、マニラ、桑港

香港ヨリ新嘉坡、蘭貢ニ轉電アリ度

桑港ヨリ在米各領事及市俄古、ホノルル、ヴアンクーパー

RETURN TO ROOM 361
COPY

P.1.

Doc. 3116-C-Cert.

# 證明書

「ワシントン」文書局第　號

國際檢察部第三一一六一〇號

典據及ビ公正ニ關スル證明

余、林驛／HAYASHI Kaoru／ハ余ガ下記ノ資格ニ於テ、即チ日本外務省文書課長トシテ、日本政府ト公的關係ニ在ルモノナルコト、並ニ該官吏トシテ余ガ茲ニ添付セラレタル、三頁ヨリ成ル、千九百四拾一年十二月十二日附、下記題名、即チ東鄉外務大臣ヨリ在外大使宛ノ電報第二三一三號ノ文書ノ保管ニ任ジ居ルコトヲ茲ニ證明ス。

余ハ更ニ添附ノ記錄及ビ文書ガ日本政府ノ公文書ナルコト並ニ右ガ下記名稱ノ省又ハ部局ノ公式書類及ビ綴ノ一部ナルコトヲ證明ス。（若シアラバ綴番號又ハ引用、其ノ他公式書類又ハ綴ニ於ケル該文書ノ成規所在ノ公式名稱ヲモ特記スベシ）外務省

千九百四十七年／昭和二十二年／十二月十五日

東京ニ於テ署名

林驛／HAYASHI Kaoru／署名／捺印／

當該官吏署名欄

文書課長

右ノ者ノ公的資格

證人　浦部勝馬／URABE Katsuma／署名／

Doc. 3116C — Cert.

P.2.

公式入手ニ關スル證明

余、ヘンリー・シモジマ／HENRY SHIMOJIMA／ハ、余ガ聯合國最高指揮官總司令部ニ關係アルモノナルコト、竝ニ上記證明ハ余ガ公務上、日本政府ノ上記署名官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス

千九百四十七年／昭和二十二年／十二月十五日

東京ニ於テ署名

氏名欄　／HENRY SHIMOJIMA／ヘンリー・シモジマ／署名／

右ノ者ノ公的資格　國際檢察部調査官

證人　／J. G. LAMBERT／ジエー、ジー・ランバート／署名／

I. P. S. FILE UNIT

DATE: Jan 16 1948

I. P. S. Evidentiary Document Number 3116-C

has been received in the Office of the Clerk of the Court.

Signature: [signature]
For Clerk of Court

Doc. 3116 (G) Evid Folder 12

(7)

I. P. S. FILE UNIT

DATE: Jan 16 1948

I. P. S. Evidentiary Document Number 3116 G.

has been received in the Office of the Clerk of the Court.

Signature: H Haine
For Clerk of Court

Ex 3837

IPS DOCUMENT 3116-G

3837

FILE COPY
RETURN TO ROOM 361

Chief of the Bureau of American Affairs:

Chief of the 1st Section of the Bureau of American Affairs:

Drafted on ~~Nov. 25, 1941~~.

To: Ambassadors in Britain, America, Hanoi, Thailand, Soviet Russia, Germany, Italy, Batavia and Australia;
Ambassadors /?/ in North /China/ and Manchukuo, Nanking, Shanghai and Hongkong.

From: Minister TOGO.

Re: The Present Situation of the Negotiations Between Japan and the U.S.A.

No. 2414 (Code for the Chief of the Office; Top Urgent)

The Recent Situation of the Japanese-American Negotiations.

1. Ambassador NOMURA has made a new proposal to Secretary of State Hull and President Roosevelt which was worked out after the establishment of the new cabinet by revising some part of our former one. Negotiations have been carried on in Washington ever since the beginning of this month between President Roosevelt, Secretary Hull, and Ambassador NOMURA (Ambassador KURUSU has also taken part in them since the 17th). Moreover, I have been pressing upon the American and British ambassadors in Tokyo as well, the necessity of the earliest solution, but America is assuming such attitudes as to insist on requesting the Japanese Government's affirmation of its peaceful intentions and at the same time on exacting our affirmative promise in advance concerning other fundamental problems, before clarifying her own answer of yes or no to the said proposal. In this manner it still holds fast to theoretical fundamentals and its attitude does not conform in the least to the situation which is becoming more and more serious day by day. Therefore, on the 20th we presented our final proposal with a view to easing the situation in the southwestern Pacific area and thereby avoiding an imminent crisis in the Pacific. Since then, America seems to have consulted with Britain, Australia, the Netherlands, China, and other interested countries about the said proposal and an answer is expected to be given shortly in some way or other. Nevertheless, judging from the American attitude until the present, there is very little probability that America, reflecting seriously on itself on this occasion, will accept our final proposal made on the 20th. Under these circumstances, it is very difficult to save the situation and there exists a danger that we shall be confronted with the worst in the near future.

The present telegram being addressed to:

Britain, America, Hanoi, Thailand, Soviet Russia, Germany,

Italy, Batavia, Australia, Ambassador /?/ in North /China/, Ambassador/?/ in Manchukuo, Nanking, Shanghai and Hongkong.

Please transmit the present telegram from America to all envoys in North America (including Honolulu) and Canada, Mexico, Brazil, Chile and Argentina.

Please telegraph from Hongkong to Singapore and Manila.

IPS Doc. No. 3116-G

Exh. No. _____

# C E R T I F I C A T E

W.D.C. No. _____

I.P.S. No. 3116-G

## Statement of Source and Authenticity

I, HAYASHI Kaoru, hereby certify that I am officially connected with the Japanese Government in the following capacity: Chief of the Archives Section, Japanese Foreign Office and that as such official I have custody of the document hereto attached consisting of 4 pages, dated 25, Nov, and described as follows: Telegram No. 2414 from Foreign Minister TOGO to various Ambassadors abroad.

I further certify that the attached record and document is an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Foreign Ministry

Signed at Tokyo on this
15th day of December, 1947

/s/ K. Hayashi
Signature of Official

SEAL

Witness: K. Urabe /s/

Official Capacity

## Statement of Official Procurement

I, Henry SHIMOJIMA, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above certification was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this
15th day of Dec., 1947

/s/ Henry SHIMOJIMA
NAME

Witness: J.G. Lambert /s/

Investigator, IPS
Official Capacity

EXHIBIT No. 3837

IPS DOC 3116-G

電信課長　発信係

管主　亜米利加局長

主任　亜米利加局第一課長　昭和十六年十一月廿五日起草

| 号 | 電送才 | 発分 | 時 | 前後 | 日 | 月 | 年 | 昭和 | 件名 | 日米交渉現段階ニ関スル件（其ノ二） | 記録件名 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 暗 | 略 | | | | | | | | 第二四一四号 | | （館長符号 大至急） |

英、米、河内大使、泰、蘇、独、
宛伊、「バタビア」、濠州、北京、
満大、南京、
東郷大臣

RETURN TO ROOM 36

日米交渉近況

一、野村大使ハ「ハル」国務長官及「ローズベルト」大統領ニ対シ従来ノ我方案ニ新内閣成立後多少修正ヲ加ヘタル新提案ヲ提出シ交渉ハ本月初旬以来華府ニ於テ「ル」大統領及「ハ」長官ト野村大使間ニ行ハレ（来栖大使モ十七日ヨリ参加）オルノミナラズ東京ニ於テモ本大臣ヨリ米英大使ニ対シ急速解決ノ要アル旨力説シツツアル処米側ハ右案ニ対スル諾否ヲ明ニスルニ先チ帝国政府ノ平和的意図ニ関スル確言ヲ求ムルト共ニ其ノ他ノ原則的問題ニ付テモ豫メ我方ノ約諾ヲ取付ケントスル等其態度ハ依然ト理論的原則論ニ捉ハレ逐日急迫スル事態ニ副ハサル所甚シキニ依リ我方ハ二十日更ニ南西太平洋方面ノ事態ヲ緩和シ以テ目捷ニ迫リツヽアル太平洋ノ危機ヲ回避スル為メノ我方最終案ヲ提示シ其後米国側ハ英、濠、蘭及支等ノ関係国トモ協議ヲ行ヒ右ヲ審議

IPS DOC 3116-G

・P2

セル模様ナルカ近ク何等ノ回答アル手筈トナリ居レリ
処ロレドモ 今日迄ノ米側態度等ニ鑑ミ米側カ此際猛省シテ
二十日ノ我方最終案ヲ受諾スル見込極メテ少ク処ル場合
局面ノ收拾頗ル困難ニシテ近ク最悪ノ事態ニ直面スル
危険アル次第ナリ
本電宛先
英、米、河内、泰、蘇、独、伊、ラタビヤ、濠洲、
北大、満大、南京、上海、香港、
米ヨリ北米各公館長(含「ホイルル」)加、墨、伯、ペ、亜
へ転電アリ度
香港ヨリ新嘉坡ヘマニラヘ電報アリ度

P 1

Doc 3116-G、Cert、

# 證明書

「ワシントン」文書局第　號

國際檢察部第三一一六號ーG

典據及ビ公正ニ關スル證明

余、林馨／HAYASHI Kaoru／ハ余ガ下記ノ資格ニ於テ、即チ日本外務省文書課長トシテ、日本政府ト公的關係ニ在ルモノナルコト、竝ニ該官吏トシテ余ガ茲ニ添附セラレタル、四頁ヨリ成ル、千九百四十一年／昭和十六年／十一月二十五日附、下記題名、即チ東鄕外務大臣發在外各大使宛電報第二四一四號ノ文書ノ保管ニ任ジ居ルコトヲ茲ニ證明ス。

余ハ更ニ添附ノ記錄及ビ文書ガ日本政府ノ公文書ナルコト、竝ニ右ガ下記名稱ノ省又ハ部局ノ公式書類及ビ綴ノ一部ナルコトヲ證明ス。（若シアラバ綴番號又ハ引用、其ノ他公式書類又ハ綴ニ於ケル該文書ノ成規所在ノ公式名稱ヲモ特記スベシ）

千九百四十七年／昭和二十二年／十二月十五日　外務省

東京ニ於テ署名

當該官吏署名欄　林　馨　K. HAYASHI／署名捺印／

右ノ者ノ公的資格　外務省文書課長

證人　浦部勝馬　K. URABE　／署名／

Doc. 3116-G Cert.

R 2.

公式入手ニ關スル證明

余、ヘンリー・シモジマ／HENRY SHIMOJIMA／ハ余ガ聯合國最高指揮官總司令部ニ關係アルモノナルコト、並ニ上記題名ノ證明ハ余ガ公務上、日本政府ノ上記署名官吏ヨリ入手シタルモノナルコトヲ玆ニ證明ス。

千九百四十七年／昭和二十二年／十二月十五日

東京ニ於テ署名

ヘンリーシモジマ HENRY SHIMOJIMA／署

氏名 國際檢察部記錄官

右ノ者ノ公的資格

デエ・エム・ランバート

證人 J. M. LAMBERT／署名