

Doc. 3193

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[H 4-3] 子 散 集	ク H 4レ, 5, 075ク
[H 4-4] 子 散 集	H 4, 0 K 47-ク
[H 4-5] 子 散 集	ク H 4, 4-1, 47-ク
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3193

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EVIDENTIARY DOC. NO. 3193

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U.S.S.B.S. _____

DEFENSE SUMMATION _____

PROS. SUMMATION _____

Signature Shinji
Room # 300

ckd

INTERNATIONAL PROSECUTION SECTION

Doc. No. 3193

22 Oct 1947

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Bound Volume, File of Navy Dept Directives, General Headquarters (DAI-KAI-SHI TSUZURI), No. 2, 1st Half of 1943

Date: 1943 (1st Half) Original (x) Copy () Language: Japanese

Has it been translated? Yes () No (x)

LOCATION OF ORIGINAL

Court Exhibit No. 3053

SOURCE OF ORIGINAL:

PERSONS IMPLICATED: NAGANO, Osami; YAMAMOTO (Commander of the Combined Fleet); YOSHIDA (Commander of CHINA Waters Fleet)

CRIMES TO WHICH DOCUMENT APPLICABLE: Naval Warfare

SUMMARY OF RELEVANT POINTS

For the summary of the contents of this document, see Defense Document Analyses No. 36.

Analyst: ET GARDEN

Doc. No. 3193

C E R T I F I C A T E

W.D.C. No. _____

I.P.S. No. 3193

Statement of Source and Authenticity

I, FURUMOTO, Nobuo hereby certify that I am officially connected with the Japanese Government in the following capacity: Member, Institute of War Records and Technical Intelligence, Second Demobilization Bureau and that as such official I have custody of the document hereto attached consisting of 355 pages, dated _____, 19____, and described as follows: File of Navy Department Directives, General Headquarters (Dai-Kai-Shi Tsuzuri) No.2, 1st Half of 1943.

I further certify that the attached record and document is an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Institute of War Records and Technical Intelligence, SDB.

Signed at Tokyo on this

24 day of October, 1948.

FURUMOTO Nobuo
Signature of Official
Member, Institute of War
Records & Technical Intelligence **SEAL**
Official Capacity

Witness: Iaro Isobay

Statement of Official Procurement

I, James G Lambert, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above certification was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this

24 day of October, 1948

Witness: James G Lambert

James G Lambert
NAME
Investigator, I.P.S.
Official Capacity

證明書

「ワシントン」文書局 第 號
國際 檢 察 部 第三一九三號

典據及ビ公正ニ關スル證明

余、古本信夫(音讀)ハ余ガ下記ノ資格ニ於テ、即チオ復員局、戰爭記録及技術情報所所員
モノナルコト、竝ニ該官吏トシテ余ガ茲ニ添附セラレタル、三五五頁ヨリ成ル、千九百 年ノ昭和 年ノ
附、下記題名、即チ大海指綴
カニ号、昭和十八年前半期
ノ文書ノ
保管ニ任ジ居ルコトヲ茲ニ證明ス。

余ハ頁ニ添附ノ記録及ビ文書ガ日本政府ノ公文書ナルコト、竝ニ右ガ下記名稱ノ省又ハ部局ノ公式書類及ビ綴ノ一部ナルコトヲ證明ス。(若シアラバ綴番號又ハ引用、其ノ他公式書類又ハ綴ニ於ケル該文書ノ成規所在ノ公式名稱ヲモ特記スベシ)
戰爭記録及技術情報部
カニ復員局

千九百四十六年ノ昭和二十年ノ十月二十四日

東京ニ於テ署名

當該官吏署名欄 古本 信夫(音讀)

右ノ者ノ公的資格 オ復員局戰爭記録及技術情報所所員

證 人 磯辺 太郎(音讀)

公式入手ニ關スル證明

余、ジェームズ・ラムバートハ、余ガ聯合國最高指揮官總司令部ニ關係アルモノナルコト、竝ニ上記題名ノ文書ハ余ガ公務上、日本政府ノ上記署名官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス。

千九百四十六年ノ昭和二十年ノ十月二十四日

東京ニ於テ署名

氏 名 榎 ジェームズ・ラムバート

右ノ者ノ公的資格 アイ、ピー、エス、調査官
證 人「ヘンリー、エイ、ドローラン」

*Processed but
not used*

IPS Doc. No. 3193-E

Page 1

(Military top secret) (Copy)

Navy Department Directives, General Headquarters
No. 211

March 25, 1943.

Chief of the Naval General Staff, NAGANO, Osami

Instructions to:

KOGA, Commander-in-Chief of YOKOSUKA Naval Station,
TAKAHASHI, Commander-in-Chief of KURE Naval Station,
NAGUMO, Commander-in-Chief of SASEBO Naval Station,
NIIMI, Commander-in-Chief of MAIZURU Naval Station,
GOTO, Commander-in-Chief of CHINKAI Guard Station,
MAKIDA, Commander-in-Chief of OSAKA Guard Station,
KAWASE, Commander-in-Chief of OMINATO Guard Station,
TAKAGI, Commander-in-Chief of MAKO Guard Station.

1. The plan of operations of the Imperial Navy for the third stage of military operations in the Greater East Asia War is decided on as shown in Annex No. 1.

2. Units of the various Naval Stations and Guard stations shall operate in accordance with the plan of operations set forth in Annex No. 2.

Annex No. 1

Plan of Operations of the Imperial Navy for the third stage of military operations in the Greater East Asia War.

(Omitted, as it is the same as Annex No. 1 of Navy Department Directive, General Headquarters No. 209).

Annex No. 2

Plan of Operations to be followed by the units of the various Naval stations and Guard stations based on the plan of operations of the Imperial Navy for the third stage of military operations in the Greater East Asia War.

I. Operations Against the U.S.A., Great Britain and China.

1. The strict defense of the strategic points in their respective districts and the strict protection of sea transport shall be enforced, and the units shall cooperate with the combined fleet and the China Area Fleet regarding

the operations in the areas in their charge. As to the outline of the above mentioned operations, it is in conformity with the "Outline of Operations of Home Units During the Greater East Asia War" which is an attached document of Naval Department Directives, General Headquarters No. 161.

2. Strict precautions shall be taken against enemy air raids on Japan proper.

3. As regards defense along the coasts of Japan proper, especially on solitary islands in the North and in the South, strict guard shall be enforced, keeping in close contact, with the Imperial Army.

II. Operations in the case of opening hostilities with the U.S.S.R. during the operations against U.S.A., Great Britain and China. (Operations B)

1. Particularly strict watch should be kept against Russian submarines and airplanes in the districts in their respective charge.

2. Coordinating with the operations of the overseas units, it will cooperate in the opportune transfer of air forces and other necessary forces to the necessary points.

3. Besides the above, the operations shall be carried out in accordance with the plan of operations against the U.S.A., Great Britain and China.

C E R T I F I C A T E

W.D.C. No. _____
IPS No. 3193 A, B & C

Statement of Source and Authenticity

I, FURUMOTO, Nobuo hereby certify that I am officially connected with the Japanese Government in the following capacity: Member, Institute of War Records and Technical Intelligence, Second Demobilization Bureau and as such official I have custody of the document hereto attached consisting of 355 pages, dated _____, 19____, and described as follows: File of Navy Department Directives, General Headquarters (Dai-Kai-Shi Tsuzuri) No. 2, 1st Half of 1943.

I further certify that the attached record and document is an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Institute of War Records and Technical Intelligence, SDB.

Signed at Tokyo on this
24 day of October, 1947.

Witness: s/ Taro Isobe

s/ FURUMOTO, Nobuo
Signature of Official
Member, Institute of War
Records & Technical Intelligence
(Seal)
Official Capacity

Statement of Official Procurement

I, James G. Lambert, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above certification was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this
24 day of October 1947.

Witness: Henry M. Dolan, Jr.

s/ James G. Lambert
NAME
Investigator, IPS
/s/ Official Capacity

Processed but not used
Doc 3193 - B Cert attached

No. 1

昭和十八年度前期
大海指綴(其二)

史実調査

部
抜萃

三上部員

大海指第二一子ハ、之

昭和十八年三月二十五日

軍機
寫

軍令部總長

永野修身

- 吉賀横須賀鎮守府司令長官
- 高橋 吳 鎮守府司令長官
- 南雲 佐 鎮守府司令長官
- 新見 舞 鶴 鎮守府司令長官
- 後藤 鎮 海 警備府司令長官
- 牧田 大 阪 警備府司令長官
- 河瀬 大 湊 警備府司令長官
- 高木 馬 公 警備府司令長官

二指示

- 一、大東亞戰爭第三段作戰帝國海軍作戰方針別紙第一、通定
- 二、各鎮守府部隊及各警備府部隊別紙第一
- 二、作戰方針ニ準據シ作戰スベシ

原加野一

Doc 3193-B

別紙第一

大東亞戰爭

第三段作戰

帝國海軍作戰方針

大海指第二。九号別紙
第一ト同一ニ付略

別紙第二

大東亞戰爭第三段作戰帝國海軍作戰方針ニ基キ鎮守
府部隊警備府部隊ノ準據スルキ作戰方針

第一 對米英支作戰

一各担任區域内ニ於ケル要地ノ防備並ニ海上交通ノ保護ヲ嚴
ニシ且各担任方面ノ作戰ニ関シ聯合艦隊及支那方面艦隊ニ協力ス
右作戰要領ニ関シテハ大海指第六十一号別冊「大東亞
戰爭中内戰部隊作戰要領」ニ據ル

ニ帝國本土ニ對スル敵ノ空襲ニ對シ警戒ヲ嚴ニス

三本邦沿岸特ニ北方及南方ニ於ケル離島ノ防衛ニ関シテ帝
國陸軍ト緊密ニ連繫ヲ保持シ警戒ヲ嚴ニス

第二 對米英支作戰中蘇聯ト關

戰スル場合ノ作戰（乙作戰）

一各担任區域内ニ於ケル蘇聯潜水艦及飛行機ニ對スル警戒
ヲ持ニ嚴ニス

ニ外戰部隊ノ作戰ニ策志シ機宜航空部隊其他所要兵
力ヲ所要地ニ移動シ之ニ協力ス

三其他第一對米英支作戰ノ方針ニ準據シテ作戰ス

No. 2

昭和十八年三月三十一日

「ワシントン」
国際文書局
文書局
検察部
オ三一九三
オ三一九三

典據五五公正ニ関スル證明

余古本信夫(音讀)ハ余ガ下記ノ資格ニ於テ即チオ二復員局戦争記録及技術情報所ノ員トシテ日本政府ト公的関係ニ在リタルコト並ニ該官吏トシテ余ガ茲ニ添附セラレタル三五五頁成ル千九百二十年/昭和二十年/附下記題名即チ大海指綴オ三一九三和十八年前半期ノ文書ノ保管ニ任シ居ルコトヲ茲ニ證明ス
余ガ更ニ添附記録及文書ガ日本政府ノ公文書ナルコト並ニ右ガ下記名稱ノ省又ハ部局ノ公文書類五五綴ノ一部ナルコトヲ證明ス
居シアラバ綴番号又ハ引用其他公文書類又ハ綴ニ於テ該文書ノ成規所在ノ公式名稱ヲモ特記ス(一)オ二復員局戦争記録及技術情報部

千九百四十七年/昭和二十二年/十月二十四日

東京ニ於テ署名
古本 信夫(音讀)
此該官吏署名棟
オ二復員局戦争記録及技術情報所ノ員
右ノ着公的資格
成 辺 太郎(音讀)

公文入手ニ関スル證明

余ハシニエムス・シイ・ラムバートハ余ガ聯合國最高指揮官總司令部ニ関係アルモノナルコト並ニ上記題名ノ文書ハ余ガ公務上日本政府ノ上記署名官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス

千九百四十七年/昭和二十二年/十月二十四日

東京ニ於テ署名
氏名 棟
シイ・ラムバート
右ノ着公的資格
アイ・ピー・エス 調査官
證人 「シリール・エイ・ドローニ」

Excerpts from File of Navy Department Directive, General Headquarters, No. 2, first half of 1943.

IPS Doc No. 3193-A
(Copy) (Military top Secret)

Page 1

Navy Department Directives
General Headquarters
No. 210

March 25, 1943

Chief of Naval General Staff, NAGANO, Osami

Instructions to Commander of China Area Fleet YOSHIDA.

1. The plan of operations of the Imperial Navy for the third stage of military operations in the Greater East Asia War is decided on as shown in Annex No. 1

2. The Commander of the China Area Fleet shall operate in accordance with the plan of operations in Annex No. 2.

Annex No. 1

Plan of Operations of the Imperial Navy for the third stage of military operations in the Greater East Asia War.

(Omitted, as it is same as Annex No. 1 of Navy Department Directive, General Headquarters, No. 209).

Annex No. 2

Plan of operations to be followed by the China Area Fleet based on the plan of operations of the Imperial Navy for the third stage of military operations in the Greater East Asia War.

1. Military Operations against U.S.A., Great Britain and China.

1. As a whole, a rapid stabilization of the various occupied districts shall be sought for under the present situation and at the same time, the blockade against the enemy shall be continued and efforts made to crush the enemy plan to continue the war.

2. Strict precaution shall be taken against the movements of the enemy air forces in China and if possible, efforts should be made to destroy them at the opportune moment as well as to adopt defensive measures against them as much as possible.

3. Navigation along the Chinese coasts shall be safeguarded and at the same time, the utilization of the Chinese coasts by enemy vessels and planes shall be prevented.

4. As regards the defense of the French holdings at CHIAO CHOUWAN, the China Area Fleet shall cooperate with France, and the enemy reinforcement and supply to Hainan Island shall be cut off as well thereby hastening the establishment of peace in the Island, coordinating with the results of the positive mopping up operations on the Island.

5. The above various operations shall be enforced in cooperation with the Army, if necessary, and regarding the convoy of the Army's sea transport, etc., the China Area Fleet shall cooperate with the Combined Fleet, when necessary.

II. The Operations in the case of opening hostilities against the U.S.S.R. during the operations against U.S.A., Great Britain and China. (Operations B)

1. The China Area Fleet shall be in charge of convoying the sea transport of army troops to be transferred from the China Area. Protection of sea traffic along the North China coasts shall also be reinforced.

2. Excepting for the opportune adjustment of battle lines along with the reduction of a portion of the Army occupied areas, as a general rule, the operations shall be carried on in conformity with the plan of operations against the U.S.A., Great Britain and China set forth in No. I.

C E R T I F I C A T E

W.D.C. No. _____
IPS No. 3193 A, P & C

Statement of Source and Authenticity

I, FURUMOTO, Nobuo hereby certify that I am officially connected with the Japanese Government in the following capacity: Member, Institute of War Records and Technical Intelligence, Second Demobilization Bureau and as such official I have custody of the document hereto attached consisting of 355 pages, dated _____, 19____, and described as follows: File of Navy Department Directives, General Headquarters (Dai-Kai-Shi Tsuzuri) No. 2, 1st Half of 1943.

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Signed at Tokyo on this
24 day of October, 1947.

s/ FURUMOTO, Nobuo
Signature of Official
Member, Institute of War
Records & Technical Intelligence
(Seal)
Official Capacity

Witness: s/ Taro Isobe

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Signed at Tokyo on this
24 day of October 1947.

s/ James G. Lambert
NAME
Investigator, IPS
/s/ Official Capacity

Witness: Henry M. Dolan, Jr.

Processed but not used

Doc 3193-A Cert attached

昭和十八年度前期
大海指綴 (其ノ二)

史実調査部

三上部長

大海指綴第二〇號川之

軍機

昭和十八年三月二十五日

軍令部總長

水野修身

寫

吉田支那方面艦隊司令長官ニ指示

一、大東亞戰爭第三段作戰帝國海軍作戰方針別紙

第一ノ通定ハ

二、支那方面艦隊司令長官ハ別紙第二作戰方針ニ準據シ

作戰スベシ

別紙第一

大東亞戰爭
第三段作戰

帝國海軍作戰方針

大海指綴第二〇九號別紙
第一ト同一ニ付略

別紙第二

大東亞戰爭第三段作戰
帝國海軍作戰方針ニ基キ

支那方面艦隊ノ準據スベキ作戰方針

第一、對米英支作戰

一、概不現能ハ執力ヲ以テ各占據地域ノ迅速ナル安定ヲ圖ルト共ニ對敵封鎖ヲ續行シ敵陸戰企圖ノ破摧ニ努ム

二、在支敵航空兵力ノ動靜對シ警戒ヲ嚴ニシ爲シ得ル適時之攻撃ヲ破スルニ努ムルト共ニ極力之ガ防衛ノ手段ヲ講ス

三、支那河口岸海上交通ヲ保護スルト共ニ敵艦艇航空機支那沿岸利用ヲ阻止ス

四、廣州灣佛國租借地防衛ニ用シ佛國側ニ協力スルト共ニ海南島ニ對スル敵ノ増援補給ヲ遮斷シ同島ニ於ケル積極的掃蕩作戰ノ成果ト相俟テ島内治安ヲ確立シ促進ス

No. 2

Doc 3193-A

五、右各項ノ作戰ハ所要ニ應ジ陸軍ト協同實施シ又
陸軍ノ海上輸送ノ護衛等ニ関シ要スルハ聯合艦隊
ニ協力ス

第二、對英支作戰中蘇聯ト用戦スル場合
ノ作戰(乙作戰)

一、支那方面ヨリ轉用スル陸軍部隊ノ海上輸送ノ護衛ニ任
ズルト共ニ北支沿岸ニ於ケル海上交通ノ保護ヲ強化ス
二、陸軍ノ占據地域一部縮小ニ伴ヒ機宜戰線ヲ整理
スルノ外概テ第一、對英支作戰ノ方針ニ準據
シテ作戰ス

證明書

又書局 才三一九三六号

国際検査部 才三一九三六号

余古本信夫(吾讀)ハ余ガ下記資格ニ於テ、即チ才ニ復員局戦争記録及技術情報所所員トシテ、日本政府ト公的関係

ニ在ルモノナリトシ、並ニ該官吏トシテ余ガ茲ニ添附セラレタル三五五頁ヨリ

成ルニ千九百 年/昭和 年/ 附下記題名、即チ大海指綴才ニ号、昭和八年前羊期、ノ文書ノ保管ニ任シ居ルコ

トシ、茲ニ證明ス。余ハ更ニ證明ス。附ノ記録及ヒ文書ガ日本政府公文書ナルコト、並ニ右

ガ下記名稱ノ省又ハ部局ノ公式書類及ヒ綴ノ一部ナルコトヲ證明ス。君ニアラハ綴番号又ハ引用、其ノ他公式書類又ハ綴ニ於テ該文書

ノ成規所在ノ公式名稱ヲモ、特記スベシ。才ニ復員局戦争記録及技術情報部

東京ニ於テ署名 古本 信夫 (吾讀)

右ノ者ノ公的資格 才ニ復員局戦争記録及技術情報所所員 磯 辺 太郎 (吾讀)

公式入手ニ関スル證明

余、シラス、セイラバート、ハ、余ガ聯合國最高指揮官總司令 部ニ關係アルモノナルコト、並ニ上記題名ノ文書ハ余ガ公務上、日 本政府ノ上記署名官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス。

千九百四十七年/昭和二十二年/十月二十四日 東京ニ於テ署名

氏名 楠 シエームズ、ジイ、ランバート

右ノ者ノ公的資格 アイ、ビイエス、調査官

證 人 「ヒリリー、エイ、ドラン」

IPS-3193, same as
ref doc 36

Cy for Cole

INTERNATIONAL PROSECUTION SECTION

DEFENSE DOCUMENT SERIES A

22 Oct 1947

ANALYSIS No. 36

DEF Doc. No. 1943

Ct Exh No. 3053
(IPS Doc. No. 3193)

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF DOCUMENT

Title and Nature: Bound Volume, File of Navy Dept Directives,
General Headquarters (DAI-KAI-SHI TSUZURI), No. 2, 1st Half of 1943

Date: 1943 (1st Half)

Language: Japanese

Original processed in full? ()

Excerpts only (x)

PERSONS IMPLICATED: NAGANO, Osami; YAMAMOTO (Commander of the Combined
Fleet); YOSHIDA (Commander of China Waters Fleet)

INCIDENT, PHASE OR CRIMES TO WHICH DOCUMENT APPLICABLE: Naval Warfare

SUMMARY OF RELEVANT POINTS

Document contains Naval Department Directives No. 183 to 255,
covering the period from 1 January to 28 June 1943. A complete index
has been prepared and is attached to the original document for
reference.

Directives 194, 210, 211 and 221 pertaining to operations in South
AMERICAN Coast area, operations by China Waters Fleet, and communication
and espionage activity in Pacific and Indian Ocean Areas are given below.

Imperial General Headquarters Naval Department Directive No. 194

23 Jan 1943

Chief of Naval General Staff NAGANO, Osami
Issued to Commander of the Combined Fleet YAMAMOTO

Parts of stipulations in Item 2 of the Imperial General Headquarters
Naval Department Directive No. 107, to be observed in dealing with shipping,
are hereby revised as follows:

1. From among the countries to be regarded as strictly
neutral, as stipulated in Paragraph (1), "CHILE" is deleted.

Analysis No. 36
Page 1

2. From latter part of Paragraph (3) "and South American Coast south of Peru" is deleted.

(Imperial General Headquarters Naval Dept Directive Top-Secret Telegram No. 271513)

Imperial General Headquarters Naval Department Directive No. 210

25 March 1943

Chief of Naval General Staff NAGANO, Osami

Issued to China Waters Fleet Commander YOSHIDA

1. Greater East Asia War, 3rd Stage Operations, Imperial Navy Operations Plan, is decided on as indicated in Annexed Document No. 1.

2. China Waters Fleet Commander is hereby instructed to conduct operations according to operations plan as indicated in Annexed Document No. 2.

Annexed Document No. 1 is omitted, being the same as the one annexed to I.G.H.N.D. No. 209.

Annexed Document No. 2

A. Operations against AMERICA, BRITAIN, and CHINA.

1. Stabilization of occupied areas, and continuation of the blockade.
2. Strict watch against enemy air forces, with bases in China.
3. Protection of Japanese sea transportation along CHINESE coast, and prevention of enemy shipping and aircraft from utilizing Chinese coast.
4. Cooperation with French authorities in defending Kwangchow Bay French-leased Territory, and cutting off Hainan Island from China Proper.
5. Cooperation with the army or the Combined Fleet, if required, in conducting abovementioned operations.

B. Operations in case hostilities are begun while operations against AMERICA, BRITAIN and CHINA are still in progress.

1. Assistance to transport by sea army units to be transferred from the China theater.
2. Shortening of the fighting line in keeping with the army's relinquishing part of the occupied areas.

Imperial General Headquarters Naval Dept Directive No. 211

25 Mar 1943

Chief of Naval General Staff NAGANO, Osami

Issued to Commanders of various Naval Districts and Guard Districts

1. Greater East Asia War 3rd Stage Operations, Imperial Navy Operations Plan, is decided on as indicated in Annexed Document No. 1.
2. Each Naval District force and each Guard District force are hereby instructed to conduct operations according to Annexed Document No. 2.

Annexed Document No. 1 is omitted, being the same as the one annexed to I.G.H.N.D. No. 209.

Annexed Document No. 2

A. Operations against AMERICA, BRITAIN and CHINA.

1. Defense of strategic points, protection of sea transportation, and cooperation with Combined and China Waters Fleets.
2. Strict watch against air-raids on Jap homeland.
3. Cooperation with the army re defense of islands along the homeland, especially those to the North and the South.

B. Operations in case hostilities are begun ^{while} with operations against AMERICA, BRITAIN and CHINA are still in progress.

1. Strict watch against Soviet submarines and aircraft.
2. Cooperation with Japanese fleets fighting away from the homeland, by sending air units and other required forces.
3. Other operations shall be according to Annexed Document No. 1.

Imperial General Headquarters Naval Dept Directive No. 221

17 Apr 1943

Chief of the Naval General Staff NAGANO, Osami

Issued to Combined Fleet Commander YAMAMOTO

The commander of the Combined Fleet is hereby instructed to put into effect measures re communications and espionage pertinent to Pacific and Indian Ocean areas.

Notification of required details thereabout shall be from the Chief of the Special Service Section of the Naval General Headquarters.

Processed but not used

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Imperial General Headquarters Naval Dept Directive No. 232

4 May 1943

Chief of the Naval General Staff NAGANO, Osami
Issued to Combined Fleet Commander

You are hereby instructed to dispatch Submarine "I" No. 8 to EUROPE in order to conduct operations, the schedule being to leave Japan during the last decade /ten days/ of May and to return by about the end of October.

Issued to the Commander-in-Chief of the Combined Fleet.

Submarine I-8 shall be dispatched to Europe to take part in the operations, with a schedule to depart in the latter part of May and return home by the latter part of October. Imperial Department, General Headquarters, Tokyo (Reference: 221546 Telegram).

Analyst: ET GARDEN

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not used*

IPS Doc. No. 3193-C

(Military Top Secret) (Copy)

Navy Department Directives, General Headquarters
No. 232

May 4th, 1943

Chief of the Naval General Staff, NAGANO, Osami

Instructions to the Commander-in-Chief of the Combined Fleet.

Submarine I-8 shall be dispatched to Europe to take part in the operations, with a schedule to depart in the latter part of May and return home by the latter part of October. (Naval Department, General Headquarters, Top Secret No. 041546 Telegram).

C E R T I F I C A T E

W.D.C. No. _____
IPS No. 3193 A, B & C

Statement of Source and Authenticity

I, FURUMOTO, Nobuo hereby certify that I am officially connected with the Japanese Government in the following capacity: Member, Institute of War Records and Technical Intelligence, Second Demobilization Bureau and as such official I have custody of the document hereto attached consisting of 355 pages, dated _____, 1947, and described as follows: File of Navy Department Directives, General Headquarters (Dai-Kai-Shi Tsuzuri) No. 2, 1st Half of 1943.

I further certify that the attached record and document is an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Institute of War Records and Technical Intelligence, SDR.

Signed at Tokyo on this
24 day of October, 1947.

s/ FURUMOTO, Nobuo
Signature of Official
Member, Institute of War
Records & Technical Intelligence
(Seal)
Official Capacity

Witness: s/ Taro Isobe

Statement of Official Procurement

I, James G. Lambert, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above certification was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this
24 day of October 1947.

s/ James G. Lambert
NAME
Investigator, IPS
/s/ Official Capacity

Witness: Henry M. Dolan, Jr.

Processed but not used

Doc. 3193-c Cert

證明書

ワシントン 文書局 第 號
國際檢察部 第三九三號

典據及公正に關スル證明

余古本信夫音譯ハ余ガ下記資格於テ即チ第一復員局戰爭記録及技術情報所所員トシテ日本政府ト公的關係在ルモノナルコト並ニ該官吏トシテ余ガ茲ニ添附スラルニ三頁ヨリ成ル千九百二十年昭和年ノ附下記題名即チ大海指綴第百零九年前半期ノ文書保管ニ在リ居ルコトヲ茲ニ證明ス。

余ハ更ニ添附記録及ヒ文書ガ日本政府公文書ナルコト並ニ若ク下記名稱省又ハ部局ノ公式書類及ヒ綴一部ナルコトヲ證明ス。若クハ綴番號及引用其他公式書類又綴ニ於ケル該文書放規所在公式名稱ヲモ特記ス。此第一復員局戰爭記録及技術情報部

千九百四十七年ノ昭和二十二年ノ十月二十四日

東京ニ於テ署名

當該官吏署名欄 古本信夫 (音讀)

右ノ者ノ公的資格 第一復員局戰爭記録及技術情報所所員

證人 磯辺 太郎 (音讀)

公式入手ニ關スル證明

余、レームズ、ビー、ラムバートハ、余ガ聯合國最高指揮官總司令部ニ關係アルモノナルコト、並ニ上記題名ノ文書ハ余ガ公務上、日本政府ノ上記官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス。

千九百四十七年ノ昭和二十二年ノ十月二十四日

東京ニ於テ署名

氏名欄 レームズ、ビー、ラムバート

右者ノ公的資格 アイ、ビー、エス 調査官

證人 ハンリー、エイ、ドローラン

No. 2

No. 1

Doc 3132

軍機

cert attached

寫

三上部長

昭和十八年度前期
大海指綴 (其一)

史實調査部

大海指第二三二號ハ、

昭和十八年五月四日

軍令部總長 永野修身

聯合艦隊司令長官ニ指示

伊號第八潜水艦ヲ五月下旬内地發又十月末頃
迄内地歸還ノ豫定ヲ以テ歐洲ニ派遣シ作
戰行動ニ從事セシムヘシ

W 3053A
Def Doc 1943

文書ノ出所竝ニ成立ニ關スル證明書

自分、土肥一夫ハ復員廳第二復員局資料整理部史
實班長ノ職ニ居ル者ナル處、茲ニ添附セラレタル
日本語ニ依ツテ誓カレ一三頁ヨリ成ル大海指第二
〇九號ト題スル書類ハ日本政府（復員廳第二復員
局）ノ保管ニ係ル公文書ノ拔萃ノ正確ニシテ眞實
ナル寫シナルコトヲ證明ス

昭和二十二年七月十日 於東京

土肥一夫 (印)

右署名捺印ハ自分ノ面前ニ於テ爲サレタリ

同日於同所

立會人

宗宮信次 (印)

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大海指二〇九號

昭和十八年三月廿五日

軍令部總長 永 野 修 身

山本聯合艦隊司令長官ニ指示

一 大東亞戰爭第三段作戰帝國海軍作戰方針別紙第

一ノ通り定ム

ニ聯合艦隊司令長官ハ別紙第二作戰方針ニ準據シ
作戰スベシ

別紙第一

大東亞戰爭 帝國海軍作戰方針
第三段作戰

第一 對米英又作戰

一 第三段作戰ニ於ケル帝國海軍作戰目的ハ東亞海
域ニ來攻スル敵艦隊及航空兵力ヲ殲滅シ且敵海
上輸送路ヲ破壞スルト共ニ遠ニ帝國自國必勝ノ
戰略態勢ヲ確立シ以テ敵ノ戰意ヲ破擯スルニ在
リ之ガ爲

(一) 航空戰ニ於テ先ヅ遠ニ必勝態勢ノ確立ヲ期ス

(二) 機宜敵艦隊ヲ其ノ前進根據地等ニ奇襲殲滅シ
且所長戰略要點ヲ攻略又ハ奇襲破壞シ敵ノ進
攻企圖ヲ未然ニ破擯スルト共ニ敵艦隊ノ誘出
ヲ圖リ之ヲ捕提殲滅ス

(三) 戰略要地ノ防備ヲ遠ニ強化シ敵來攻セバ海上
及航空兵力ノ緊密ナル協同ノ下ニ之ヲ先制擊

破ス

(四) 海上險惡破毀域ヲ強化シ敵ノ兵刀増援及補給

ヲ遮断シ其ノ威意喪失ヲ促進ス

(五) 海上交通保護ノ徹底ヲ期ス

(六) 敵ノ帝國本土型襲ニ對シ警戒ヲ嚴ニス

ニ防 備

防備ノ擔任ヲ左ノ通り定メ内線方面ニ在リテハ

對空、對潛ヲ主トシ外線方面ニ在リテハ特ニ所

在陸軍部隊ト密接ニ連絡ヲ保持シ敵ノ攻略阻止

並ニ對空、對潛ニ重點ヲ置キ防備ニ任ズ

鎮守府警備府部隊 (海軍警備府部隊ヲ除ク)

各所管警備區

第四艦隊 南洋海軍區 「ギルバート」諸島

「ナウル」 「オーシャン」大島

島大官島及沖ノ島島

第五艦隊 「アリュエーション」方面占領地

區

南東方面艦隊 舊英領「ニューギニア」以

東ノ南東占領地

南西方面艦隊 舊葡領「ニューギニア」以

西ノ南西占領地

支那方面艦隊 支那沿岸占領地區

ニ海上交通保護

(一) 鎮守府部隊警備府部隊、支那方面艦隊、南

東方面艦隊、

南西方面艦隊、第四艦隊及第五艦隊ハ各擔任
區分ニ從ヒ本邦沿海、支那沿海及占領地沿海
面ノ交通保護ニ任ズ

二以上ノ區域ニ亘ル海面ニ於ケル海上交通ノ
保護ハ必要ニ應ジ適宜部隊ヲ指示シ之ヲ實施
セシム

(一) 前項以外ノ外敵部隊ヲシテ其ノ任務行動ニ支
障ナキ限り機宜所在海濱附近ニ於ケル雷艇掃
蕩ヲ實施シ當該區域擔任部隊ニ協力セシム

回還輸補給

(一) 外敵艦隊ニ對スル輸給輸送ニ當リテハ使用船
艇航路時機等ノ選定ヲ適切ニシ且所要ノ海上
及空軍警戒ヲ行ヒ以テ外敵部隊ニ對スル補給
安全確保ヲ期ス

(二) 先端基地ニ對シテハ所要ニ應ジ潜水艦其他ノ
艦艇ヲ以テ補給輸送ヲ行フ

(三) 外敵要港ノ封鎖部隊ニ對スル補給輸送ニ當リ
爲シ得ル限り援助ヲ行フ

第二 對米英支作戦中ノ聯ト開戦スル場合ノ作戦
(乙作戦)

一 作戦方針ノ大綱
(一) 對米英支作戦中ノ聯ト開戦スル場合ニ於ケル雷
艇海軍ノ作戦目的ハ對米英支作戦ノ目的ヲ達成
スルト共ニ東部ソ聯ニ於ケル海軍艦隊及航空兵力

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ヲ擊滅シ且北緯太及南緯加方面ノ長江ヲ攻略確
保スルニ在リ之ガ爲

(一) 海陸軍緊密ナル協同ノ下ニ開戦勇勇爲シ得ル
限リ大兵力ヲ以テ先制攻撃ヲ加ヘ短期間ニ作
戦目的ヲ達成スルニ努ム

(二) 航空戦ニ於テハ特ニ先制攻撃ニ依リ速ニ敵航
空兵力ヲセン滅シ以テ海陸作戦ノ迅速ナル進展
ヲ圖ルト共ニ我後方輸送路及重要施設ノ安全

確保ヲ期ス

(三) 敵ノ各種妨害ニ對シ特ニ警戒ヲ嚴ニシ之ヲ先
制討殺スルニ努ム

(四) 米ソ連絡遮断ニ努ム

(五) 對米英支作戦ハ概不現作戦方針ニ準據シテ之
ヲ續行ス

ニ防備海上交通保護及運輸補給等

(一) 帝國要地ノ防備特ニ海空防備ヲ嚴ニシ又該馬
海峽、津區海峽及宗谷海峽ヲ扼シ敵艦隊ノ進
航ヲ阻止ス

(二) 本邦太平洋沿岸支那沿海及南方占領地トノ海
上交通保護等ニ我ガ本土及支那方面ヨリ朝鮮
及關東州ニ至ル海上交通ヲ確保ス

(三) 策源地使用區分ヲ左ノ通謀定ス
第五艦隊ヲ基幹トスル部隊作戦初期横須賀軍
港及大湊要港作戦中期以降舞鶴軍港及大湊要

別紙第二

大東亞戰爭第三段作戰帝國海軍作戰方針ニ基キ
聯合艦隊ノ準據スベキ作戰方針

第一、對米英支作戰

一 航空 戰

(一) 直ニ敵航空兵力ヲ捕捉撃滅シ制空權ヲ確立スルニ
努ム

(二) 基地航空兵力ノ主力ヲ南太平洋方面ニ一部兵力ヲ
南西方面ニ配備シテ敵航空力及進攻部隊ヲ捕捉環
滅スルト共ニ敵ノ前進基地ニ對スル補給輸送路ノ
攻撃遮斷ヲ徹底ス

一部兵力ヲ本邦北部ニ配シ本邦東方及北方ヲ警戒ス
(三) 母艦航空兵力ノ主力ヲ太平洋方面ニ一部兵力ヲ機
宜南西方面ニ配シ適時機動作戰ヲ實施スルト共ニ
集散離合ヲ適切ナラシメ邀撃作戰ヲ以テ敵ノ艦隊
ヲ撃滅スルニ遺憾ナキヲ期ス

二 潜水艦 戰

(一) 潜水艦隊ノ重點ヲ敵海上輸送ノ破壊ニ指向シ敵ノ
進攻企圖ヲ阻止スルト共ニ敵艦力ヲ滅殺シ以テ其
ノ屈伏ヲ促進スルニ努ム

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- (一) 機宜一部兵力ヲ以テ敵艦隊又ハ要地ニ對スル奇襲
戰ヲ行フ
- (二) 一部潜水艦ヲ以テ前進基地ニ對スル補給輸送ヲ行
フ
- (三) 敵艦隊主力來攻スル場合ハ之ニ洞接ヲ保持シ敵勢
滅殺ニ努ム
- 三 海上輸送破壊戰
- (一) 潜水艦飛行機及水上艦艇等各種兵力ヲ以テ極力敵
海上輸送破壊戰ヲ強化ス
- (二) 海上輸送破壊戰ハ南太平洋ニ於ケル米濠連絡及印
度洋東部ニ於ケル對印度並ニ對濠輸送路ノ遮斷ニ
主眼ヲ置キ敵情及使用兵力等ニ依リ適宜其ノ重點
指向方向ヲ定ム
- 敵勢ノ推移ニ依リ一部兵力ヲ以テ米西岸及ハワイ
近接海面ノ輸送破壊ヲ行フ
- (三) 印度洋西部ニ於ケル獨伊ノ潜水艦隊ト緊密ナル連
絡ヲ保持シ協同作戰ノ實ヲ發揚スルニ努ム
- 四 各方面ニ於ケル作戰
- (一) 南太平洋方面
- (1) 海陸軍緊密ニ協同シ努メテ主動的作戰ヲ實施シ
南太平洋方面ニ於ケル戰略態勢ヲ確立スルト共
六ニ之ガ擴充ヲ圖ル

(ロ) ソロモン群島方面ニ於テハ「イサベラ」島以西ノ要地ヲ確保シ海上及航空兵力ヲ以テ同島以東ノ敵兵力ヲ封殺スルト共ニ同方面ニ策動スル敵海上及航空兵力ヲ先制撃滅ス情況之ヲ許セバ別ニ定ムル所ニ據リ機ヲ見テ「ガダルカナル」島以東ノ諸島ノ奪回ヲ策ス

(ハ) 東部「ニューギニヤ」ニ於テハ「ラエ」「サラモア」以西ノ要地ヲ確保シ同方面ニ於ケル作戰根據ヲ確立スルト共ニ同方面ニ於ケル敵兵力ヲ封殺スルニ努ム
別ニ定ムル所ニ據リ機ヲ見テ「モレスビー」攻略ヲ策ス

(ニ) 機宜敵要地ノ攻略又ハ奇襲破壊ヲ行フト共ニ前進根據地ニ據レル敵艦隊ヲ奇襲撃滅ス
(ホ) 敵補給輸送路ノ攻撃遮断ヲ徹底的ニ實施ス

(二) 中部太平洋方面
南洋群島「ギルバート」諸島大島島方面ノ防備並ニ南洋群島東方海面ニ對スル哨戒ヲ嚴ニシ敵ノ反撃ヲ先制撃破ス

(三) 北太平洋及本邦東方海面
(イ) 海陸軍協同シ「アリューシャン」群島西部ヲ確

固シテ本邦東方海面ニ對スル哨戒ヲ嚴ニシ敵ノ反撃ヲ先制撃破ス

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10

保シ北方方面ヨリスル敵ノ進攻阻止ニ努ム

(ロ) 主トシテ航空兵力及潜水艦兵力ヲ以テ敵海上及

航空兵力ヲ捕捉撃滅スルト共ニ極力敵補給輸送
路ノ破壊ニ努ム

(ハ) 本邦東方海面ノ哨戒監視ヲ嚴ニシ敵ノ帝國本土
ニ對スル奇襲ニ備フ

(一) 中略

(以下次頁へ續ク)

(ホ) 始略

(ニ) 略

略

略

略

略

略

略

略

(甲) 南西方面

- (イ) 海陸軍緊密ニ協同シテ南方占領地域ノ防備ヲ嚴ニシテ奪回及空襲ヲ阻止撃破ス
- (ロ) 漳州北西部ニ對シテ時流空襲ヲ實施シ同方面ヨリスル敵ノ反攻企圖ヲ未然ニ撃退スルニ努ム

(イ) 「ベンガル」海東部ニ於ケル海上及制空權ヲ確保シテ「ビルマ」ニ對スル補給輸送路ヲ安固ナラシムルト共ニ同方面ニ對スル敵ノ反撃ヲ先制撃破スルニ努ム

- (ニ) 別ニ定ムル所ニ依リ機ヲ見テ「ニューギニア」南部ノ要地ヲ攻陥ス

(乙) 印度方面

- (イ) 潜水艦及適宜水上艦艇ヲ以テ印度沿海及洋州西岸ニ於ケル敵海上輸送路ヲ破壊ス
- (ロ) 機宜陸軍ト協同シ「ココス」島ヲ攻陥シ又敵艦隊及要地ニ對シテ奇襲破壊ヲ行フ

三 防 備

- (一) 海陸軍緊密ニ連繫ヲ保持シ擔任區域及占領要地ノ防備ヲ嚴ニス
- (二) 外廓要地ニ對シテハ各方面毎ニ防衛地帯ヲ設定シ兵力配備及諸施設ヲ適當ナラシメ以テ強固ナル防衛態勢ヲ確立ス

第二 遠東英支作戦中ソ聯ト開戦スル為メ(乙作戦)

一 第一段作戦

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(一) 善地航空部隊ノ一部及母艦航空部隊飛行機隊ノ大部又ハ一部ヲ以テ開戦勞頭先制攻撃ニヨリ主トシテ沿海州兩部及勸察加方面ノ敵航空兵力ヲ擊滅シ其機成後ソ聯東部ニ於ケル敵艦隊ヲ捕捉擊滅ス

情況ニ依リ右勸察加方面ニ討スル航空攻撃ハ海陸軍協同實施ス

(二) 第五艦隊ヲ基幹トスル部隊ヲ以テ陸軍ト協同シ開戦勞頭「オハ」及「ベオロバウロスク」並ニ情況ニ依リ別ニ定ムル所ニヨリ「ソワガワニ」ヲ奇襲攻撃スルト共ニ「オホーツク」海及「アリュートシヤン」方面ニ策動スル敵艦隊ヲ捕捉擊滅ス

(三) 潜水部隊ノ一部ヲ以テ「アリュートシヤン」及「アラスカ」方面ノ敵海上輸送隊ヲ破壊シ米ソ連絡阻止ニ努ム

(四) 爾他ノ部隊ヲ以テ「第一米英」作戦レノ方針ニ準據シ米英又ニ討シ主トシテ攻勢防禦ノ態勢ヲ堅持シテ敵ノ進軍阻止並ニ敵艦隊ニ努ム

ニ第二段作戦

(一) 第五艦隊ヲ基幹トスル部隊及善地航空部隊ノ一部ヲ以テ「アリュートシヤン」以西ノ副海嶺及副空嶺ヲ確保スルト共ニ占領地ノ防衛態勢ヲ強化シ且機ヲ見テ陸軍ト協同シ海嶺

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新機ヲ攻陥ス

(一) 爾他ノ部隊ヲ以テ第一段作戰ヲ續行シ米英支
ニ對シ努メテ積極的攻勢作戰ヲ行フ

終

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Def. Doc. No. 1043

Naval General Staff Directive No. 209

Date: March 25, 1943.

From: Chief of Naval General Staff, Admiral NAGANO, Osami.

To : Commander-in-Chief, Admiral YAMAMOTO

1. The Imperial Naval Operational Policy for the Third Phase of the Pacific War is decided as set forth in Annex I.
2. The Commander-in-Chief of the Combined Fleet shall conduct operations in accordance with the Policy of Operations as defined in Annex II.

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ANNEX I.

IMPERIAL NAVAL OPERATIONAL, POLICY FOR THIRD PHASE
OF PACIFIC WAR.

Chapter I

The Campaigns against the United States, the
British Commonwealth, and the Chinese Republic.

The aim of the Imperial naval operations for the 3rd phase of the war is to demolish hostile naval and air forces encroaching upon the east Asiatic waters, to destroy enemy sea traffic lines, and to establish speedily the strategical structure of the Empire so firmly as to insure her self-consolidation and ultimate victory and thereby to frustrate the fighting volition of the enemy. In order to realize these:

1. First of all the foundation of never-failing victory shall be obtained in aerial warfare.
2. Enemy fleets shall be destroyed by surprise in their advanced bases or elsewhere as may be feasible, enemy positions of strategic importance shall be taken or destroyed by surprise as required, and thus the offensive designs of the enemy shall be forestalled; at the same time attempts shall be made to draw out enemy fleets to meet them and destroy.
3. The defense of our strategic positions shall be speedily consolidated, and when enemy forces are seen approaching to our

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areas they shall be destroyed by the closely concerted actions of our sea and air forces which shall initiate attack in ahead of the enemy.

4. By means of intensifying sea traffic destruction warfare the lines of enemy reinforcement and supplies shall be disrupted thus to contribute to a speedy frustration of enemy's fighting morale.
5. Efforts shall be made to protect our lines of sea communications as fully as possible.
6. Stern vigil shall be maintained against enemy's airraid upon the homeland of the Empire.

II Defense

The defense shall be executed in accordance with the below stated division. And the special emphasis of the defense shall be put upon anti-submarine and anti-air measures in the case of the interior lines of the defense, and upon the measures to checkmate invading enemies in close cooperation particularly with local army forces as well as the measures of anti-submarine and anti-airraid protections in the case of the exterior defense lines.

(The forces of the major and minor naval stations

(The forces of the Hainan Minor Naval Station is excepted) the patrol areas under their respective charge;

The 4th Fleet ... the Mandated South Sea Naval District, and the areas including the Gilbert Islands, Nauru Island, Ocean Island, Wake Island, Guam, Douglas Reef;

The 5th Fleet ... the occupied area in the Aleutian Islands;

The Southeastern Area Fleet ... the occupied areas to the east of and including of British New Guinea;

The Southeastern Area Fleet ... the occupied areas to the west of and including Dutch New Guinea; and

The China Seas Fleet the occupied territories along the China Coast.

III. The Sea Communication Protection

1. The all Major Naval Station Forces, the all Minor Naval Station Forces, the China Seas Fleet, and the Southeastern Area Fleet, the Southwestern Area Fleet, the 4th Fleet, and the 5th Fleet shall protect the lines of sea communications in the waters around Japan, along the China coast and in the vicinity of the occupied territories respectively in accordance with the division of their assignment.

The sea lanes stretching between two or more jurisdictional waters shall be protected by a special force to be designated as situation may demands.

2. Besides the above, units belonging to front line forces shall

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be made to cooperate with the local forces originally assigned to each specific area in the anti-submarine hunt while operating in such area, if their original mission permits as well as if situation so demands.

VI. Transportation and Supply

1. In transporting supplies to the outlying bases for front line field forces, the ships to be employed, the routes of the sailing, and the time of supply shall be adequately selected, and necessary guarding measures shall be taken against the attacks by hostile naval or air forces, in order to insure safety of the supply activities to those front line field forces.
2. Bases situated at the very head of front lines shall be supplied by means of submarines or the like combatant vessels if occasion demands.
3. Every possible assistance shall be made in transporting supplies to army units disposed on outflung positions.

Chapter II

In case War Breaks Out With U.S.S.R. During War
With U.S.A., British Commonwealth, And China (Campaign B)

1. Basic Principle of Operational Policy

The strategical aims to be attained by the Imperial Japanese navy in case war breaks out with the Union of Soviet Socialist

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Republics while we are at war with the United States, the British Empire and the Chinese Republic shall be in the demolition of the hostile naval and air forces in the eastern part of the U.S.S.R. and in the capture and secure possession of strategically important positions in the Kamchatka and northern Sakhalin area aside from the fulfillment of the strategical ends original to the campaign against the U.S.A., the British Commonwealth, and the Chinese Republic.

For this purpose:

1. It shall be striven to attain the operational aims in a short time by means of instituting attack ahead of the enemy at the very beginning of the war using as large forces as possible in close cooperation with the army.
2. In aerial warfare a speedy and complete demolition of enemy air power shall be accomplished, especially through initiating attack ahead of the enemy, in order to pave the way for a speedy favorable development of our operations, both on land and sea, and in order to securely protect the supply routes and important establishments behind the front lines.
3. Special precautions shall be vigilantly maintained against various obstructive measures by the enemy and efforts shall be made to forestall them.
4. The connections between the United States and the U.S.S.R. shall be disrupted as fully as possible.
5. The campaigns against the United States, the British Commonwealth, and the Chinese Republic shall be continued almost in accordance with the operational policy now in operation.

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II. Defense, Sea Traffic Protection
Transportation and Supply, Etc.

1. The important positions of our Empire shall be defended tight and firm, especially against air attack; and the Tsushima Straits, the Tsugaru Straits, and the La Perous Straits shall be blocked against the passage of the enemy vessels.
2. The lines of communications in the waters along the Pacific coast of the Japanese mainland, and along the China coast and in the interim sea area between the above mentioned waters and the occupied southern territories shall be secured laying special emphasis upon the routes from our mainland and China to Korea and Kwantung Peninsula.
3. The following is the schedule for the division of the use of operational bases:

The forces with the 5th Fleet as the main strength

..... in the early stage of the campaign: --

the major naval port at Yokosuka and the

minor naval port at Ominato; and later than

the middle stage of the campaign:- the major

naval port at Maizuru and the minor naval port

at Ominato.

The other forces ... same as those now observed.

CERTIFICATE OF SOURCE AND AUTHENTICITY

I, DOHI, Kazuo, who occupy the post of the Chief of the Historical Section of the Material Readjustment Branch of 2nd Demobilization Section of Demobilization Bureau, hereby certify that the document hereto attached, written in Japanese, consisting of 13 pages and entitled "Naval General Staff Directive No. 209" is an exact and authorized excerpt from an official document in the custody of Japanese Government (2nd Demobilization Section of Demobilization Bureau).

Certified at Tokyo,
on this 10 day of July, 1947

/S/ DOHI, Kazuo (seal)

I hereby certify that the above signature and seal were affixed hereto in the presence of the Witness.

At the same place,
on this same date

Witness: SOMIYA, Shinji (seal)

Ex 3053B

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ANNEX II.

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POLICY OF OPERATIONS TO BE OBSERVED BY
COMBINED FLEET AS BASED ON IMPERIAL
NAVAL OPERATIONAL POLICIES FOR THIRD
PHASE OF PACIFIC WAR.

Chapter I.

The Campaigns against the United States of
America, the British Commonwealth, and China.

I. Air Operations

1. Speedily catching and destroying enemy air powers, the Combined Fleet shall strive to establish our control of air.
2. Disposing the main body of our shore-based air forces in the Southern Pacific Area and a portion of them in the South-western Pacific and Indian Ocean Area, the Combined Fleet shall catch and destroy enemy air units and other approaching forces of the enemy on the one hand and shall attack and disrupt the enemy route of supply to their advanced bases to the full extent on the other hand. A part of these air forces shall be disposed in the northern section of the Empire to maintain vigilant watch to the east and to the north.
3. Maintaining the major portion of carrier-borne aerial forces in the Pacific Front while disposing a part of them in

the Southwestern Pacific and Indian Ocean Area as may be required, and thereby carrying out maneuvering operations at any opportune moment as well as securing their concentration and dispersal to the best advantage of each stage, the Combined Fleet shall have nothing left for the thorough destruction of enemy naval strength by means of receiving campaign.

II. Submarine Campaign.

1. The stress of the submarine campaign shall be placed upon the destruction of the sea traffic of the enemy so as to forestall the enemy's design of invasion as well as to reduce his fighting strength with a view to hasten the surrender.

2. As may be thought fit a portion of the submarine forces shall be used for surprise attacks upon enemy fleet or positions of strategic importance.

3. Some of the submarines shall be employed to transport supplies to outlying bases.

4. In case the main forces of the enemy fleet are approaching toward us the submarine forces shall keep contact with the enemy fleet and strive to reduce the strength on the way.

III. Sea Traffic Destruction Warfare.

1. The Combined Fleet shall intensify the warfare to destroy enemy's sea traffic to the utmost extent employing various available forces such as submarines, aircraft, surface vessels, etc.

2. The main emphasis of the sea traffic destruction campaign shall be directed against the American and Australian communication route in the Southern Pacific Ocean and the traffic routes to India and Australia in the eastern part of the Indian Ocean, shifting the emphasis from one to another as may be thought wise in accordance with the conditions of the enemy as well as the strength of our forces available.

When the development of war so demands, a portion of submarine forces shall be employed in the destruction of the enemy sea traffic in the waters along the west coast of the United States or in the vicinity of Hawaii.

3. A close connection shall be maintained with the submarines of Germany and Italy so that the operational cooperation will be effected.

IV. Operations by Areas.

1. The South Pacific Area.

- a. The Combined Fleet shall keep close cooperation with the army forces and whenever possible take the initiative of operations over the enemy so that our strategic foothold will be consolidated and further extended.
- b. In the Solomon Islands area, firmly holding the strategic positions to the west of and including Isabella Island, and employing sea and air forces, the Combined

Fleet shall forestall the activities of the enemy force in the area to the east of the island and at the same time shall initiate attack ahead of the enemy to destroy his air and sea forces moving about in the area. If situation permits, attempt shall be made to recover Guadalcanal Island together with the islands to the east of it in opportune moment in accordance with a directive as instructed separately.

- c. In the eastern part of New Guinea, the strategic positions to the west of and including Lae and Salamou shall be firmly secured so as to consolidate our operational bases, and efforts shall be made to forestall the activities of the enemy in the area. In opportune moment an attempt shall be made to take Moresby in accordance with a directive as instructed separately.
- d. When considered appropriate strategic positions of the enemy shall be destroyed by surprise or captured. Surprise shall also be made to demolish enemy fleet harbored in a advance base.
- e. Enemy's supply route shall be attacked and disrupted in a thorough-going manner.

2. The Mid Pacific Area.

The Mandated Islands, Gilbert Islands, and Wake Island shall be strongly defended, and the sea areas to the east of the Mandated Islands shall be vigilantly watched and patrolled. And

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thereby enemy's counter offensive shall be forestalled through initiating destructive attack in advance of the enemy.

3. The North Pacific Area and the Sea Area to the East of Japan.

- a. Firmly securing the western part of the Aleutian Islands and cooperating with the army forces, the Combined Fleet shall strive to arrest the advancing of the enemy.
- b. Employing chiefly air forces and submarines, the Combined Fleet shall search out and destroy the enemy's sea and air forces. At the same time every available effort shall be exerted to destroy the supply lines of the enemy.
- c. Stringent watch and patrol shall be maintained in the sea area to the east of Japan to safeguard the mainland of the Empire against the surprise, attack by the enemy.

4. The South Pacific Area.

- a. Closely cooperating with the army the Combined Fleet shall defend firm and tight the southern occupied territories forestalling the recapture by the enemy as well as destroying the enemy's airraid forces.
- b. Aerial offensive shall be conducted against the Northwestern part of Australia in any opportune moment so as to forestall and destroy the enemy's attempt of counter offensive.
- c. The control of the sea and air shall be secured in the

eastern section of the Bay of Bengal with a view to safeguard our line of supply to Burma. Efforts shall also be made to forestall and destroy the counter offensive of the enemy to that area.

d. As separately instructed strategic enemy positions in the southern part of New Guinea shall be captured in opportune time.

5. The Indian Ocean Area.

a. Submarines and sometimes surface vessels shall be employed to destroy the enemy's sea traffic in the Indian coast and the west coast of Australia.

b. Cooperating with the army, the Combined Fleet shall take Cocos Island as considered advisable. The Combined Fleet shall also launch a surprise attack upon enemy fleets and his strategic positions to destroy them.

V. The Defense

1. In a close cooperation with the army the Combined Fleet shall defend its jurisdictional areas and occupied territories tight and firm.

2. In outflung positions of strategic importance several separate zones of defense shall be established by areas with adequately disposed forces and appropriately installed establish-

ments of various sorts so as to build up a tough and durable defense system.

3. Employing a portion of the shore-based air forces and

Chapter II.

III. Case War Breaks Out With USSR During

War With USA, British Commonwealth and
China (Campaign B).

1. First Phase of the Campaign.

1. Employing a portion of the shore-based air forces and a greater part or a portion of the carrier-borne aerial units the Combined Fleet shall crush the enemy air strength based in the area in Kamchatka and the southern part of Sikhota lin at the very beginning of the war. When this operational objective has been almost attained enemy surface strength in the eastern part of the USSR shall be searched out and destroyed.

The aerial offensive against Kamchatka shall be conducted in cooperation with the army depending upon circumstances.

2. A force with the 5th Fleet as its main strength shall be so employed as to capture by surprise Okha and Petrosavlovsk at the very beginning of the war in cooperation with the army; depending upon circumstances Sovgavani shall also be included in the above mentioned scheme of surprise capture though the details will be decided separately. This force shall also be used

to search out and destroy enemy's surface fleet moving out in the Okhotsk Sea and in the Aleutian area.

3. Employing a portion of the submarines forces the Combined Fleet shall destroy the enemy's sea traffic with a view to block the Russo-American sea communication.

4. The forces other than mentioned above shall be always kept ready to meet the forces of the United States, the British Commonwealth, and China in a manner of offensive defense and be made to strive to check their invasion as well as to weaken the strength of their forces.

II. The Second Phase of the Campaign.

1. Employing a force with the 5th Fleet as the nucleus and a portion of the shore-based air forces, the Combined Fleet shall maintain the command of the sea and air of the area to the west of and including the western part of the Aleutian Islands, and at the same time strengthen the defense system of occupied areas; in opportune moment the force shall be employed to take Vladivostok in cooperation with the army.

2. The other forces shall be employed to continue the operations prescribed for the first phase of the campaign and to launch vigorous offensive operations against the forces of the United States, the British Commonwealth, and China, so long as situation permits.