GHQ/SCAP Records(RG 331)
Description of contents

THE NATIONAL ARCHIVES OF THE UNITED STATES

(1) Box no. 2056

(2) Folder title/number: (15)
Purge Criteria

(3) Date: Jan. 1946 - June 1950

(4) Subject:

Classification	Type of record
340	e

(5) Item description and comment:

(6) Reproduction: Yes No

(7) Film no. Sheet no.

GENERAL HEADQUARTERS
SUPREME COMMANDER FOR THE ALLIED POWERS
Government Section
Public Administration Division
Statistics & Review Branch

2 June 1950

MEMORANDUM FOR MAJOR NAPIER

SUBJECT: Purge

1. Implementing SCAPIN 550.

a. One hundred sixty-five (165) screening committees were established (one central, 46 prefectural, and 118 in cities over 50,000).

b. The first Appeals Board was established by Imperial Ordinance No. 65 (3 May '47). It held 121 meetings, considered 1,070 appeals cases, recommended the release of 129 persons.

c. The program officially ended 10 May '48. 717,415 persons were screened and 201,961 were purged. (Purged by screening 8,781; by PD 193,180).

2. The second Appeals Board was established by Cabinet Order 39 dated 8 February '49. By 12 May '50 it had met 96 times, considered 26,033 cases and recommended recision of 7,443.

3. The Public Office Qualifications Section of the Prime Minister's Office was established by Cabinet Pronouncement 11 May '48 and its duties were outlined more fully in the Prime Minister's Office Establishment Law of 31 May '49. Under the provisions of the existing ordinances each prefectural governor is charged with screening within his prefecture. There is no uniform organization, in some prefectures the governor alone handles screening, in others, he has a staff of many people assisting him. Between 10 May '48 and 31 May '50, these committees screened 629,333 persons and barred or removed 5,644 of them. One thousand six hundred seventy (1,670) persons were reinstated.

4. At the present time there are 250 to 300 economic firms listed in the Appendix to Imperial Ordinance No. 1 which are in existence. To date, 105 persons have been purged by SCAP direction (three by SCAPINS).

J.D.M.

GENERAL HEADQUARTERS
SUPREME COMMANDER FOR THE ALLIED POWERS
Government Section
Public Administration Division
Statistics & Review Branch

9 May 1950

MEMORANDUM FOR: Mr. Rizzo

SUBJECT: GHQ Review of Prime Minister's purge appeals decisions

1. Attached are:

a. Letter to SCAP, from the Prime Minister, dated 3 May 1950 (Tab "A")

b. Standards of examination followed by Board (Tab "B")

c. Memorandum for Mr. Rizzo, subject "General recommendations regarding standards for special rescission", 8 May 1950 (Tab "C")

d. Appeals Board's standards compared with FEC Directives, SCAPINS, Japanese implementing ordinances and precedents established (Tab "D")

2. Held in Statistics and Review Branch pending policy decisions prior to review are the appeal applications forwarded to the Supreme Commander by the Prime Minister.

J.D.M.

GOVERNMENT SECTION
Public Administration Division
Statistics & Research Branch

8 May 1950

MEMORANDUM FOR: Mr. Rizzo

SUBJECT: General Recommendations Regarding Standards for Special Remission

Outlined below are general recommendations for acceptance, non-acceptance and changes in the standards for special remission of the Purge used by the Appeals Board and set forth in an attachment to the Prime Minister's letter to the Supreme Commander for the Allied Powers, dated 3 May 50.

Category B	
Standards (1)a,-b, -c,-d,-e,-f,-g,-h, -j, and -k.	Recommend no objection to these standards providing no reinstated person had been a General, Admiral or other high ranking officer and reinstatement would not harm the course of peace and security, and providing that the officer concerned is not purgeable under any other category.
Standards (1)i, (2)a,-b, and -c.	In so far as pertinent directives, SCAPINS and ordinances do not except these cases from the Purge, and in so far as clear-cut precedents have not been established during the last three years, recommend this standard be not accepted at this time.
Category C	In view of the pertinent existing regulations, recommend this standard not be accepted except in individual cases where the applicant can show positively that he has not been an active exponent of militarism and militant nationalism and does not fall within the purview of any other Purge category.
Category D	In view of existing directives, SCAPINS and ordinances, recommend that in general this standard not be accepted. Recommend that, in view of precedents established, an exception be made for individual applicants who did not hold office for more than 90 days, did not fall under other Purge categories, and who show positively that they were not active exponents of militarism and militant nationalism.

Category E	In so far as standards outlined for this category tend to be changes in criteria rather than general principles of interpretation of the existing criteria which was established in 1946 and 1947 after careful study by both GHQ and the Japanese Government, recommend these standards be not accepted. Recommend, instead, that appeals in this category be considered individually, taking into account only whether or not the applicant has been justly purged within the existing regulations.
Category G-1	Same recommendations as for Category E.
Category G-2	Same recommendations as for Category E.
Category G-4	Same recommendations as for Category D.
Category G-5	To assure just administration of the Purge ordinances, recommend that the suggested standards be modified to agree with those used by the Screening Board (Supervision Section, Prime Minister's Office), i.e., special remission may be granted to those Branch Chiefs who held office for less than one year, who fall within the purview of no other Purge category, and who have exhibited no inclinations toward militarism or militant nationalism.

J. D. M.

GENERAL HEADQUARTERS
SUPREME COMMANDER FOR THE ALLIED POWERS
Government Section

Purge Background

8 April 1947

ADMINISTRATIVE MEMORANDUM NO. GS: 4

SUBJECT : Policy re SCAPIN 550.

1. The responsibility for removing and excluding undesirable personnel from public service was placed upon the Japanese Government by the purge directive of 4 January 1946 (SCAPIN 550).

2. For the purpose of discharging that responsibility, the Japanese Government has established quasi-judicial committees to determine the desirability or undesirability of persons holding or seeking positions in the government public service.

3. The Supreme Commander reserves the right to review any case at any time to determine whether the decision of the Japanese Government in the case is correct. In reviewing a case the decision of the Japanese Government will be considered as final, unless:

a. The decision is contrary to the purge directive and the implementing 1947 Imperial ordinances of the Japanese Government;

b. There is material evidence which the Japanese Government did not possess or did not consider; or

c. The decision is clearly unreasonable.

If the Japanese Government could, on due consideration of the case as a whole, reasonably have reached its conclusion, the Government's decision is conclusive. Such decision is not disturbed merely because there is room for reasonable minds to differ as to the facts and inferences. The criterion is not whether SCAP as the reviewing authority would have reached the same decision as the Japanese Government but whether there is a reasonable basis for the decision of the Government.

4. All questions relating to the purge, not originating in another staff section, are referred to the Japanese Government and all appellants from decisions of the Japanese Government are informed that appeals should be made through the appropriate appellate machinery established by the Japanese Government. No advice is given to anyone concerning the purge except by the Chief of the Political Affairs Division of the Government Section, or the Chief Purge Officer.

5. There is no official contact between members of the Section and suspected war criminals and purgees and their homes and offices are considered off-limits to members of this Section.

Courtney Whitney

COURTNEY WHITNEY
Brigadier General, U. S. Army
Chief, Government Section

COPY

Purge Background File

GENERAL HEADQUARTERS
SUPREME COMMANDER FOR THE ALLIED POWERS
Government Section

8 April 1947

ADMINISTRATIVE MEMORANDUM NO. GS: 4

SUBJECT : Policy re SCAPIN 550.

1. The responsibility for removing and excluding undesirable personnel from public service was placed upon the Japanese Government by the purge directive of 4 January 1946 (SCAPIN 550).

2. For the purpose of discharging that responsibility, the Japanese Government has established quasi-judicial committees to determine the desirability or undesirability of persons holding or seeking positions in the government public service.

3. The Supreme Commander reserves the right to review any case at any time to determine whether the decision of the Japanese Government in the case is correct. In reviewing a case the decision of the Japanese Government will be considered as final, unless:

a. The decision is contrary to the purge directive and the implementing 1947 Imperial ordinances of the Japanese Government;

b. There is material evidence which the Japanese Government did not possess or did not consider; or

c. The decision is clearly unreasonable.

If the Japanese Government could, on due consideration of the case as a whole, reasonably have reached its conclusion, the Government's decision is conclusive. Such decision is not disturbed merely because there is room for reasonable minds to differ as to the facts and inferences. The criterion is not whether SCAP as the reviewing authority would have reached the same decision as the Japanese Government but whether there is a reasonable basis for the decision of the Government.

4. All questions relating to the purge, not originating in another staff section, are referred to the Japanese Government and all appellants from decisions of the Japanese Government are informed that appeals should be made through the appropriate appellate machinery established by the Japanese Government. No advice is given to anyone concerning the purge except by the Chief of the Political Affairs Division of the Government Section, or the Chief Purge Officer.

5. There is no official contact between members of the Section and suspected war criminals and purgees and their homes and offices are considered off-limits to members of this Section.

35

/s/ COURTNEY WHITNEY
/t/ COURTNEY WHITNEY
Brigadier General, U.S. Army
Chief, Government Section

GENERAL HEADQUARTERS
SUPREME COMMANDER FOR THE ALLIED POWERS

APO 500
4 January 1946

AG 091.1 (4 Jan 46)GS
(SCAPIN - 550)

MEMORANDUM FOR: IMPERIAL JAPANESE GOVERNMENT

THROUGH : Central Liaison Office, Tokyo.

SUBJECT : Removal and Exclusion of Undesirable Personnel from Public Office.

1. The Potsdam Declaration states: "There must be eliminated for all time the authority and influence of those who have deceived and misled the people of Japan into embarking on world conquest, for we insist that a new order of peace, security, and justice will be impossible until irresponsible militarism is driven from the world".

2. In order to carry out this provision of the Potsdam Declaration, the Imperial Japanese Government is hereby ordered to remove from public office and exclude from government service all persons who have been:

a. Active exponents of militaristic nationalism and aggression.

b. Influential members of any Japanese ultra-nationalistic, terroristic, or secret patriotic society, its agencies or affiliates; or

c. Influential in the activities of the Imperial Rule Assistance Association, the Imperial Rule Assistance Political Society or the Political Association of Great Japan,
as those terms are defined in Appendix A to this directive.

3. The term "public office" as used in this directive shall mean and include.

a. Any position in the government service which is customarily filled by one with the civil service rank of Chokunin or above (or equivalent rank under any reorganization of the civil service system); or

b. Any other position in the government service not customarily filled by a member of the civil service which is equivalent or superior to the civil service rank of Chokunin (in the case of government corporations the term will include at least: Chairman of the Board of Directors, President, Vice-President, Director, Advisor and Auditor).

4. The term "government service", as used in this directive, shall mean and include all positions in the central Japanese and Prefectural Governments and all of their agencies and local branches, bureaus (including Regional Administrative Bureaus) and offices and all positions in corporations, associations and other organizations in which said Governments or any of their agencies have a financial interest representing actual or working control.

5. The term " remove for public office" as used in this directive shall mean to discharge the person from public office which he holds and to terminate his influence and participation therein, directly and indirectly. Persons removed from public office will not be entitled to any public or private pensions or other emoluments or benefits without the consent of this Headquarters. An official removed under this procedure will be dismissed summarily and will not be entitled to the hearing or other procedures precedent to removal to which he may have been entitled under Japanese Law.

6. The term "exclude from government service" as used in this directive shall mean to bar the person in question from any position in the government service. Thus, persons removed from public office will be disqualified for holding any other positions in the government service. Also persons who may not be holding public offices from which they must be removed, may nevertheless be disqualified from taking a position in the government service. This disqualification from holding public office shall be continued until the provisions of the Potsdam Declaration quoted in paragraph 1 have been fulfilled in Japan.

7. The mere removal of officials from public office and the exclusion from government service of those persons described herein will not be sufficient to establish the new order of peace, security and justice envisaged by the Potsdam Declaration. If Japan is to achieve a peacefully inclined and responsible government, the greatest care must be taken to appoint new officials who will foster the revival and strengthening of democratic tendencies among the Japanese people and who will respect fundamental human rights and freedom of speech, religion and thought. If existing civil service qualification regulations provide obstacles to the appointment of such officials or unduly narrow the field from which appointments may be made, such regulations shall be amended or superseded.

8. The removals ordered by this directive shall be effected as expeditiously as possible, priority being given to the more important positions. Removal may be postponed in the case of individuals who are absolutely required to insure demobilization of the Japanese armed forces in the outlying theaters or to carry out the provisions of this directive. When their assistance is no longer absolutely required they will be dismissed. The names of such individuals, their positions, the reason for their disqualification, and the reasons for their temporary retention will promptly be reported to this Headquarters. The time of their final dismissal will also promptly be reported.

9. Appendix "A" contains a list of the categories of persons who must be removed from public office and excluded from government service by the Imperial Japanese Government in order to carry out the provisions of paragraph 2 of this directive. Persons included in the categories listed in Apprndix "A" shall be removed from public office as provided in paragraphs 8 and 10 and shall thereafter be excluded from government service. However, if the Imperial Japanese Government represents that in order to carry on indispensable peaceful executive activities of such government, the temporary reinstatement of an individual so removed is essential and that it is impossible to obtain a suitable replacement, an application so stating, signed by a responsible official of the Imperial Japanese Government, may be filed with this Headquarters. Such application shall contain a statement of the name, rank, position, duties, and responsibilities of the individual involved; shall state fully the reasons why such temporary reinstatement is regarded as essential, the requested period of temporary reinstatement and the efforts made to obtain a suitable replacement. Such application shall be accompanied by a copy of the questionnaire described in paragraph 10, below. No such temporary reinstatement will be effected by the Imperial Japanese Government until this Headquarters has registered its approval in writing.

10. In order to insure that the government service is cleansed of undesirable personnel the following action will be taken:

a. The Imperial Japanese Government will instruct each of its Ministries or other appropriate agencies to remove from the positions described in paragraph 3 which are within its competence, any persons whom the records show or who are known to have been within the categories listed in Appendix "A". A Questionnaire (see below) will be obtained from each such individual before he is notified of his dismissal.

b. In addition, the Imperial Japanese Government will instruct each of its Ministries or other appropriate agencies to prepare and distribute to all incumbents of positions described in paragraph 3 and to future applicants for government positions which are within its competence, the Questionnaire contained in Appendix "B". Such Questionnaires will be reviewed and on the basis of them and any other knowledge in possession of the Government, individuals will be removed from office or denied employment in accordance with the provisions of this directive.

11. Each Ministry or other appropriate agency will prepare a Plan for handling the Questionnaires which will provide for:

a. Distribution.

b. Collection.

c. Review.

d. Action on basis of information in Questionnaire.

e. Classification and filing -- this system should permit reference to the Questionnaire in terms of agency, rank of officials, and action taken (e.g. removal or retention.)

12. Each plan will provide for screening of positions occupied by higher rank officials first. A duplicate set of completed Questionnaires will be provided at the Headquarters of each Ministry or other agency where it will be available for inspection or removal by this Headquarters.

13. In addition to the Questionnaries each Ministry or other agency will maintain at its headquarters an alphabetical file of Questionnaire Record Cards substantially in the form indicated in Apprendix "C" available for inspection or removal by this Headquarters. The cards will be filled out in English (also in Japanese if desired). Identical numbers, with an identifying symbol for each Ministry or other agency, will be assigned to each Questionnaire and the Record Card relating thereto.

14. In order that the forthcoming elections may provide a full opportunity for democratic elements in Japan to obtain memberships in the Imperial Diet denied them during the years of Japan's militaristic nationalism and aggression and in order to eliminate from the new Diet the influence of those who have deceived and misled the people of Japan into embarking on world conquest, any person who comes within the categories described in Appendix "A" shall be disqualified as a candidate for any elective position in the Imperial Diet. Any such person shall be disqualified from standing at any time as a candidate for Prefectural Governor or Mayor of a city (Shi). Also, all such persons shall be removed from and henceforth excluded from appointment to the House of Peers. The Imperial Japanese Government shall adopt measures to enforce this disqualification of candidates for elective office, including the issuance of necessary regulations, the publication of disqualification categories prepared in conformity herewith and the certification by each candidate that he is not thereby disqualified from standing for election. A comprehensive report of the measures proposed to be adopted will be furnished to this Headquarters.

15. The Imperial Japanese Government will make the following reports to this Headquarters (in English; in triplicate):

a. Reports required by paragraphs 8 and 14 hereof;

b. An initial report of the Plan of each Ministry or other agency called for by paragraph 11. This Headquarters may direct revision of any of these Plans if they are not considered adequate.

c. A weekly report, divided into sections for the fields of

competence of each Ministry or other agency, showing:

(1) Total number of positions whose incumbents are to be investigated.

(2) Number and type of positions investigated previously and during the current week.

(3) Number of persons removed or denied employment during the current week.

(4) Names, ranks, positions, and Questionnaire numbers of persons removed or denied employment during the current week.

16. This Headquarters will provide for inspections and investigations necessary to check compliance with this directive, and the Imperial Japanese Government will render any assistance required for the making of such inspections and investigations. Action taken by the Japanese Government with respect to removal or denial of employment and with respect to disqualification of candidates for elective office will be reviewed and may be reversed by this Headquarters.

17. Wilful falsification of or failure to make full and complete disclosures in any Questionnaire, report or Application provided for in this directive will be punishable by the Supreme Commander for the Allied Powers as a violation of the Surrender Terms. In addition, the Imperial Japanese Government will make any provisions necessary to provide adequate punishment in Japanese courts and under Japanese law for such wilful falsification or non-disclosure and willuundertake such prosecutions as may be required.

18. In addition to the general provisions of this directive covering all public offices, this Headquarters has made and may make more restrictive requirements respecting employment of certain classes of individuals at all levels in special fields.

19. All officials and subordinates of the Imperial Japanese Government affected by the terms of this order will be held personally responsible and strictly accountable for compliance with and adherence to the spirit and letter of this directive.

FOR THE SUPREME COMMANDER:

/s/ H. W. ALLEN
H. W. ALLEN,
Colonel, A.G.D.,
Asst Adjutant General.

Inclosures:

Appendix A - Removal and Exclusion Categories.
Appendix B - Questionnaire.
Appendix C - Questionnaire Record Card.

QUESTIONNAIRE

Questionnaire number _____
(to be assigned by Ministry or other Appropriate agency)

(Instructions: This Questionnaire shall be filled out in both Japanese and English. The English version will prevail if discrepancies exist between it and the Japanese version. Answers must be typewritten or printed clearly in block letters. Every question must be answered precisely and conscientiously and no space is to be left blank. If the question is to be answered by either "yes" or "no", print the word "yes" or "no" in the appropriate space. If the question is inapplicable, so indicate by some appropriate word or phrase such as "none" or "not applicable". Add supplementary sheets if there is not enough space in the Questionnaire. Omissions or false or incomplete statements are criminal offenses and will result in prosecution and punishment.)

A. PERSONAL

1. List position which you hold or for which you are under consideration, with Civil Service Grade: _____

2. Name: _____
(Surname) (First & Middle Name)

3. Other names which you have used or by which you have been known: _____

4. Date of Birth: _____ 5. Place of birth: _____

6. Height: _____ 7. Weight: _____ 8. Scars, marks, or deformities: _____

9. Present address: _____
(in full)

10. Permanent Residence: _____
(in full)

11. Identity card type & number: _____

12. List any instances when you have been arrested, together with the reasons therefor, and any crimes of which you have been convicted: _____

13. Give any Civil Service rank and grade now held: _____

B. CHRONOLOGICAL RECORD OF EMPLOYMENT AND MILITARY SERVICE

14. In the space below, give a chronological history of your employment, including all of the positions which you have held, since 1 January 1931. In reporting either governmental or military positions, be sure to give all of the ranks which you may, at any time, have held: _____

APPENDIX "B"

APPENDIX "B" to AG 091.1 (4 Jan 46) GS, Memo to IJG

C. MEMBERSHIP IN ORGANIZATIONS

15. In the space below, report whether or not you are or were a member or a founder or organizaer or an officer of a national office or of a prefectural or metropolitan sub-division of the Imperial Rule Assistance Association, the Imperial Rule Assistance Political Society, or the Political Association of Great Japan. Report also whether or not you acted as edititor for any publication of any of these associations or organized any branches or special activities for any of them: _____

16. In the space below, report any other party, association, society, fraternity, club, union, institution, whether social, political, military, patriotic professional, cultural, honorary, athletic or otherwise, of which you are or were a member. Make this report whether or not this society was secret. State whether or not you were a founder or organizer or leader, or occupied any post of authority in any such organization and whether you have been an editor of any of its publications: _____

17. Has any member of you family held office, rank, or post of authority, or been otherwise influential, in any of the organizations listed above? If so give his name and address, his relationship to you and a description of the position which he held and of the organization: _____

18. With the exception of regular membership dues, list and give details of any contributions of money or property which you have made, directly or indirectly, to any of the organizations listed above, including any contributions made by any natural or juridical person or legal entity on your behalf or through your solicitation or influence: _____

19. Have you ever been the recipient of any titles, ranks, medals, testimonials, or other honors from any of the above organizations? If so, state the nature of the honor, the date conferred, and the reason: _____

D. RECORD OF OTHER SERVICE

20. With exception of those you have specifically mentioned in Sections B and C above, list:

(a) Any part time, unpaid or honorary position of authority or trust you have held since 1 January 1931 as a representative of the Army or Navy or of any National Ministry or other Central Government agency or as a representative of the Imperial Rule Assistance Association, the Imperial Rule Assistance Political Society, the Political Association of Great Japan, or their agencies, affiliates, or successor organizations.

(b) Any service of any kind you have rendered, since 1 January 1931, in any military, police, law-enforcement, peace protection, or intelligence organization or in any organization concerned with Protection and Surveillance and control of thought, speech, religion, or assembly.

From:	To:	Name and Type of Organization	Highest office or Rank you held or Type of your service	Date of Apmt to highest office or rank	Duties

E. WRITINGS AND SPEECHES

21. List on a separate sheet the titles and publishers of all publications from 1 January 1931 to the present which were written in whole or in part or compiled or edited by you, and all public addresses made by you, giving subject, date and approximate circulation and in the case of speeches, the number in audience. If such publications or speeches were sponsored by any organization give its name. If no speeches or publications write "None" in this space: _____

F. CORPORATE POSITIONS

22. With the exception of those you have specifically mentioned above, list any corporate directorships or executive positions held by you since 1 January 1931 and where you served whether in Japan proper or outside of Japan.

Corporation	Position Held	Dates

G. REMARKS

23. _____

_____

_____

_____

The statements on this Questionnaire are true and I understand that any omissions or false or incomplete statements are criminal offenses and will subject me to prosecution and punishment.

Signed _____ Date _____
(Signature of individual to whom the Questionnaire relates)

CERTIFICATION OF SERVICE SUPERIOR

(Instructions: This certification shall be signed by the service superior (or other responsible official) of the incumbent of public office, or, in the case of applicants for public office, by the official responsible for employing the applicant).

I certify that the above is the true name and signature of the individual concerned and that, with the exceptions noted below, the answers made on this Questionnaire are true to the best of my knowledge and belief and the information available to me.

Exceptions (if no exceptions, write "none")

_____

_____

_____

_____

Signed _____ Official Position _____ Date _____

QUESTIONNAIRE RECORD CARD

Questionnaire No. _____

NAME: _____ Japanese Governmental
(Surname) (First & Middle Name)

ADDRESS: _____ Agency _____

Position which applicant holds or for which he is under consideration (with Civil Service Grade):

_____

Summary of pertinent portions of Individual's Record: _____

_____

_____

ACTION TAKEN (Check one): DATE: _____

( ) Removed from position: _____
(describe position)

( ) Application for employment as _____ denied.
(describe position)

( ) Retained in position: _____
(describe position)

( ) Application for employment as: _____ approved.

OTHER ACTION:

(Here record any applications to Hq, SCAP, for approval of appointment or reinstatement, the action taken by Hq, SCAP, on such application, the action taken by the Japanese Government accordingly and the date of such action. Also record any other action concerning the individual, such as reversal of initial retention of the individual upon direction of SCAP, conviction of the individual for falsifications or omissions in the Questionnaire, subsequent employment of the individual, etc.)

_____

_____

APPENDIX "C"

GENERAL HEADQUARTERS
SUPREME COMMANDER FOR THE ALLIED POWERS

APO 500
4 January 1946

AG 091.1 (4 Jan 46)GS
(SCAPIN - 550)

MEMORANDUM FOR: IMPERIAL JAPANESE GOVERNMENT

THROUGH : Central Liaison Office, Tokyo.

SUBJECT : Removal and Exclusion of Undesirable Personnel from Public Office.

1. The Potsdam Declaration states: "There must be eliminated for all time the authority and influence of those who have deceived and misled the people of Japan into embarking on world conquest, for we insist that a new order of peace, security, and justice will be impossible until irresponsible militarism is driven from the world".

2. In order to carry out this provision of the Potsdam Declaration, the Imperial Japanese Government is hereby ordered to remove from public office and exclude from government service all persons who have been:

a. Active exponents of militaristic nationalism and aggression.

b. Influential members of any Japanese ultra-nationalistic, terroristic, or secret patriotic society, its agencies or affiliates; or

c. Influential in the activities of the Imperial Rule Assistance Association, the Imperial Rule Assistance Political Society or the Political Association of Great Japan,

as those terms are defined in Appendix A to this directive.

3. The term "public office" as used in this directive shall mean and include.

a. Any position in the government service which is customarily filled by one with the civil service rank of Chokunin or above (or equivalent rank under any reorganization of the civil service system); or

b. Any other position in the government service not customarily filled by a member of the civil service which is equivalent or superior to the civil service rank of Chokunin (in the case of government corporations the term will include at least: Chairman of the Board of Directors, President, Vice-President, Director, Advisor and Auditor).

4. The term "government service", as used in this directive, shall mean and include all positions in the central Japanese and Prefectural Governments and all of their agencies and local branches, bureaus (including Regional Administrative Bureaus) and offices and all positions in corporations, associations and other organizations in which said Governments or any of their agencies have a financial interest representing actual or working control.

5. The term " remove for public office" as used in this directive shall mean to discharge the person from public office which he holds and to terminate his influence and participation therein, directly and indirectly. Persons removed from public office will not be entitled to any public or private pensions or other emoluments or benefits without the consent of this Headquarters. An official removed under this procedure will be dismissed summarily and will not be entitled to the hearing or other procedures precedent to removal to which he may have been entitled under Japanese Law.

6. The term "exclude from government service" as used in this directive shall mean to bar the person in question from any position in the government service. Thus, persons removed from public office will be disqualified for holding any other positions in the government service. Also persons who may not be holding public offices from which they must be removed, may nevertheless be disqualified from taking a position in the government service. This disqualification from holding public office shall be continued until the provisions of the Potsdam Declaration quoted in paragraph 1 have been fulfilled in Japan.

7. The mere removal of officials from public office and the exclusion from government service of those persons described herein will not be sufficient to establish the new order of peace, security and justice envisaged by the Potsdam Declaration. If Japan is to achieve a peace-fully inclined and responsible government, the greatest care must be taken to appoint new officials who will foster the revival and strengthening of democratic tendencies among the Japanese people and who will respect fundamental human rights and freedom of speech, religion and thought. If existing civil service qualification regulations provide obstacles to the appointment of such officials or unduly narrow the field from which appointments may be made, such regulations shall be amended or superseded.

8. The removals ordered by this directive shall be effected as expeditiously as possible, priority being given to the more important positions. Removal may be postponed in the case of individuals who are absolutely required to insure demobilization of the Japanese armed forces in the outlying theaters or to carry out the provisions of this directive. When their assistance is no longer absolutely required they will be dismissed. The names of such individuals, their positions, the reason for their disqualification, and the reasons for their temporary retention will promptly be reported to this Headquarters. The time of their final dismissal will also promptly be reported.

9. Appendix "A" contains a list of the categories of persons who must be removed from public office and excluded from government service by the Imperial Japanese Government in order to carry out the provisions of paragraph 2 of this directive. Persons included in the categories listed in Apprndix "A" shall be removed from public office as provided in paragraphs 8 and 10 and shall thereafter be excluded from government service. However, if the Imperial Japanese Government represents that in order to carry on indispensable peaceful executive activities of such government, the temporary reinstatement of an individual so removed is essential and that it is impossible to obtain a suitable replacement, an application so stating, signed by a responsible official of the Imperial Japanese Government, may be filed with this Headquarters. Such application shall contain a statement of the name, rank, position, duties, and responsibilities of the individual involved; shall state fully the reasons why such temporary reinstatement is regarded as essential, the requested period of temporary reinstatement and the efforts made to obtain a suitable replacement. Such application shall be accompanied by a copy of the questionnaire described in paragraph 10, below. No such temporary reinstatement will be effected by the Imperial Japanese Government until this Headquarters has registered its approval in writing.

10. In order to insure that the government service is cleansed of undesirable personnel the following action will be taken:

a. The Imperial Japanese Government will instruct each of its Ministries or other appropriate agencies to remove from the positions described in paragraph 3 which are within its competence, any persons whom the records show or who are known to have been within the categories listed in Appendix "A". A Questionnaire (see below) will be obtained from each such individual before he is notified of his dismissal.

b. In addition, the Imperial Japanese Government will instruct each of its Ministries or other appropriate agencies to prepare and distribute to all incumbents of positions described in paragraph 3 and to future applicants for government positions which are within its competence, the Questionnaire contained in Appendix "B". Such Questionnaires will be reviewed and on the basis of them and any other knowledge in possession of the Government, individuals will be removed from office or denied employment in accordance with the provisions of this directive.

11. Each Ministry or other appropriate agency will prepare a Plan for handling the Questionnaires which will provide for:

a. Distribution.

b. Collection.

c. Review.

d. Action on basis of information in Questionnaire.

e. Classification and filing -- this system should permit reference to the Questionnaire in terms of agency, rank of officials, and action taken (e.g. removal or retention.)

12. Each plan will provide for screening of positions occupied by higher rank officials first. A duplicate set of completed Questionnaires will be provided at the Headquarters of each Ministry or other agency where it will be available for inspection or removal by this Headquarters.

13. In addition to the Questionnaries each Ministry or other agency will maintain at its headquarters an alphabetical file of Questionnaire Record Cards substantially in the form indicated in Apprendix "C" available for inspection or removal by this Headquarters. The cards will be filled out in English (also in Japanese if desired). Identical numbers, with an identifying symbol for each Ministry or other agency, will be assigned to each Questionnaire and the Record Card relating thereto.

14. In order that the forthcoming elections may provide a full opportunity for democratic elements in Japan to obtain memberships in the Imperial Diet denied them during the years of Japan's militaristic nationalism and aggression and in order to eliminate from the new Diet the influence of those who have deceived and misled the people of Japan into embarking on world conquest, any person who comes within the categories described in Appendix "A" shall be disqualified as a candidate for any elective position in the Imperial Diet. Any such person shall be disqualified from standing at any time as a candidate for Prefectural Governor or Mayor of a city (Shi). Also, all such persons shall be removed from and henceforth excluded from appointment to the House of Peers. The Imperial Japanese Government shall adopt measures to enforce this disqualification of candidates for elective office, including the issuance of necessary regulations, the publication of disqualification categories prepared in conformity herewith and the certification by each candidate that he is not thereby disqualified from standing for election. A comprehensive report of the measures proposed to be adopted will be furnished to this Headquarters.

15. The Imperial Japanese Government will make the following reports to this Headquarters (in English; in triplicate):

a. Reports required by paragraphs 8 and 14 hereof;

b. An initial report of the Plan of each Ministry or other agency called for by paragraph 11. This Headquarters may direct revision of any of these Plans if they are not considered adequate.

c. A weekly report, divided into sections for the fields of

competence of each Ministry or other agency, showing:

(1) Total number of positions whose incumbents are to be investigated.

(2) Number and type of positions investigated previously and during the current week.

(3) Number of persons removed or denied employment during the current week.

(4) Names, ranks, positions, and Questionnaire numbers of persons removed or denied employment during the current week.

16. This Headquarters will provide for inspections and investigations necessary to check compliance with this directive, and the Imperial Japanese Government will render any assistance required for the making of such inspections and investigations. Action taken by the Japanese Government with respect to removal or denial of employment and with respect to disqualification of candidates for elective office will be reviewed and may be reversed by this Headquarters.

17. Wilful falsification of or failure to make full and complete disclosures in any Questionnaire, report or Application provided for in this directive will be punishable by the Supreme Commander for the Allied Powers as a violation of the Surrender Terms. In addition, the Imperial Japanese Government will make any provisions necessary to provide adequate punishment in Japanese courts and under Japanese law for such wilful falsification or non-disclosure and willuundertake such prosecutions as may be required.

18. In addition to the general provisions of this directive covering all public offices, this Headquarters has made and may make more restrictive requirements respecting employment of certain classes of individuals at all levels in special fields.

19. All officials and subordinates of the Imperial Japanese Government affected by the terms of this order will be held personally responsible and strictly accountable for compliance with and adherence to the spirit and letter of this directive.

FOR THE SUPREME COMMANDER:

/s/ H. W. ALLEN
H. W. ALLEN,
Colonel, A.G.D.,
Asst Adjutant General.

Inclosures:

Appendix A - Removal and Exclusion Categories.
Appendix B - Questionnaire.
Appendix C - Questionnaire Record Card.

QUESTIONNAIRE

Questionnaire number _____
(to be assigned by Ministry or other Appropriate agency)

(Instructions: This Questionnaire shall be filled out in both Japanese and English. The English version will prevail if discrepancies exist between it and the Japanese version. Answers must be typewritten or printed clearly in block letters. Every question must be answered precisely and conscientiously and no space is to be left blank. If the question is to be answered by either "yes" or "no", print the word "yes" or "no" in the appropriate space. If the question is inapplicable, so indicate by some appropriate word or phrase such as "none" or "not applicable". Add supplementary sheets if there is not enough space in the Questionnaire. Omissions or false or incomplete statements are criminal offenses and will result in prosecution and punishment.)

A. PERSONAL

1. List position which you hold or for which you are under consideration, with Civil Service Grade: _____

2. Name: _____
(Surname) (First & Middle Name)

3. Other names which you have used or by which you have been known: _____

4. Date of Birth: _____ 5. Place of birth: _____

6. Height: _____ 7. Weight: _____ 8. Scars, marks, or deformities: _____

9. Present address: _____
(in full)

10. Permanent Residence: _____
(in full)

11. Identity card type & number: _____

12. List any instances when you have been arrested, together with the reasons therefor, and any crimes of which you have been convicted: _____

13. Give any Civil Service rank and grade now held: _____

B. CHRONOLOGICAL RECORD OF EMPLOYMENT AND MILITARY SERVICE

14. In the space below, give a chronological history of your employment, including all of the positions which you have held, since 1 January 1931. In reporting either governmental or military positions, be sure to give all of the ranks which you may, at any time, have held: _____

APPENDIX "B"

C. MEMBERSHIP IN ORGANIZATIONS

15. In the space below, report whether or not you are or were a member or a founder or organizaer or an officer of a national office or of a prefectural or metropolitan sub-division of the Imperial Rule Assistance Association, the Imperial Rule Assistance Political Society, or the Political Association of Great Japan. Report also whether or not you acted as edititor for any publication of any of these associations or organized any branches or special activities for any of them:

16. In the space below, report any other party, association, society, fraternity, club, union, institution, whether social, political, military, patrictic, professional, cultural, honorary, athletic or otherwise, of which you are or were a member. Make this report whether or not this society was secret. State whether or not you were a founder or organizer or leader, or occupied any post of authority in any such organization and whether you have been an editor of any of its publications:

17. Has any member of you family held office, rank, or post of authority, or been otherwise influential, in any of the organizations listed above? If so give his name and address, his relationship to you and a description of the position which he held and of the organization:

18. With the exception of regular membership dues, list and give details of any contributions of money or property which you have made, directly or indirectly, to any of the organizations listed above, including any contributions made by any natural or juridical person or legal entity on your behalf or through your solicitation or influence:

19. Have you ever been the recipient of any titles, ranks, medals, testimonials, or other honors from any of the above organizations? If so, state the nature of the honor, the date conferred, and the reason:

D. RECORD OF OTHER SERVICE

20. With exception of those you have specifically mentioned in Sections B and C above, list:

(a) Any part time, unpaid or honorary position of authority or trust you have held since 1 January 1931 as a representative of the Army or Navy or of any National Ministry or other Central Government agency or as a representative of the Imperial Rule Assistance Association, the Imperial Rule Assistance Political Society, the Political Association of Great Japan, or their agencies, affiliates, or successor organizations.

(b) Any service of any kind you have rendered, since 1 January 1931, in any military, police, law-enforcement, peace protection, or intelligence organization or in any organization concerned with Protection and Surveillance and control of thought, speech, religion, or assembly.

From:	To:	Name and Type of Organization	Highest office or Rank you held or Type of your service	Date of Apmt. to highest office or rank	Duties

E. WRITINGS AND SPEECHES

21. List on a separate sheet the titles and publishers of all publications from 1 January 1931 to the present which were written in whole or in part or compiled or edited by you, and all public addresses made by you, giving subject, date and approximate circulation and in the case of speeches, the number in audience. If such publications or speeches were sponsored by any organization give its name. If no speeches or publications write "None" in this space: _____

F. CORPORATE POSITIONS

22. With the exception of those you have specifically mentioned above, list any corporate directorships or executive positions held by you since 1 January 1931 and where you served whether in Japan proper or outside of Japan.

Corporation	Position Held	Dates

G. REMARKS

23. _____

_____

_____

The statements on this Questionnaire are true and I understand that any omissions or false or incomplete statements are criminal offenses and will subject me to prosecution and punishment.

Signed _____ Date _____
(Signature of individual to whom the Questionnaire relates)

CERTIFICATION OF SERVICE SUPERIOR

(Instructions: This certification shall be signed by the service superior (or other responsible official) of the incumbent of public office, or, in the case of applicants for public office, by the official responsible for employing the applicant).

I certify that the above is the true name and signature of the individual concerned and that, with the exceptions noted below, the answers made on this Questionnaire are true to the best of my knowledge and belief and the information available to me.

Exceptions (if no exceptions, write "none")

_____

_____

_____

_____

Signed _____ Official Position _____ Date _____

QUESTIONNAIRE RECORD CARD

Questionnaire No. _____

NAME: _____ Japanese Governmental
(Surname) (First & Middle Name)

ADDRESS: _____ Agency _____

Position which applicant holds or for which he is under consideration (with Civil Service Grade):

_____

Summary of pertinent portions of Individual's Record: _____

_____

_____

ACTION TAKEN (Check one): DATE: _____

( ) Removed from position: _____
(describe position)

( ) Application for employment as _____ denied.
(describe position)

( ) Retained in position: _____
(describe position)

( ) Application for employment as: _____ approved.

OTHER ACTION:

(Here record any applications to Hq, SCAP, for approval of appointment or reinstatement, the action taken by Hq, SCAP, on such application, the action taken by the Japanese Government accordingly and the date of such action. Also record any other action concerning the individual, such as reversal of initial retention of the individual upon direction of SCAP, conviction of the individual for falsifications or omissions in the Questionnaire, subsequent employment of the individual, etc.)

_____

_____

_____

APPENDIX "C"