

Traces:

1. Carded information dated August 1944:
UMPFENBACH (fnu) SS Sturmbahnfuhrer
Helped build SD organization; later commanded SD branch in Gnesen;
now in Abteilung VI in Marburg; party number 5304874; in SD (1938);
SS number 114080; daughter is typist at Posen Branch.

2. LONDON-X-2-PTS-56 Folder #82 Document #22 Pg 44
UMPFENBACH, Georg
In a list of Sipo/SD Members of SS-NSDAP (1937) Subject was mentioned
with the following info:

Born 2.1.97. SS #114080. Ustuf. 20.4.37. SD Hsuptamt. Iron Cross II,
Ehrenkreuz fuer Frontkaempfer

3. 32-7-0-1971 Reel 2652 (CE card index)
Same info as above

4. 200-5-11-1 (Bulky)
Same information as above

5. 200-7-213-12 SFX CE Cards MICRO
UMPFENBACH, Georg (cover name TUBA) dropped
"Difficulty being experienced because of Subject's SS background but
it is hoped that a job in the building trade will be found for him
soon." ** LMX 010-831 (8/31/45) TS 1648a *Numbering nor Top Secrec
can trace this to.*

"Camp 031 precis on Subject (filed without dissemination inadvertently)
states background info, in detail on career. Additional info states
subject surrendered to military authorities in Hirschback near
Pfarrkirchen on 2 May 45 (Third U.S. Army) **LWX 002-825d (9/7/45)

6. 200-7-213-15
UMPFENBACH or UMPFENBACH
dropped
"Agent being placed as assistant building superintendant for Arts &
Monuments Section of MG, Munich." TS 1669 (9-1-45)

7. Card in Eve's office cryptonym file
TUBA - Empfenbach or Umpfenbach

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2003 2007

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Files of this headquarters contain the following information concerning SUBJECT: SUBJECT attended the Volksschule in FRANKFURT/Main from 1904 to 1908 and the Volksschule in BAD HOMBURG in 1908. He attended the Realschule in BAD HOMBURG from 1909 to 1912 and the Realschule in FRIEDRICHSDORF from 1912 to 1915. SUBJECT served in World War I and was decorated. SUBJECT worked in BUENOS AIRES, Argentina, in 1923-1924. He joined the NSDAP on 1 October 1930 and remained until 1 November 1931. From 1 September 1932 to 15 February 1933 he was a porter at the Lido Bar. From 1 March 1933 to 1 December 1933 SUBJECT was employed by HOFMAN and MUELLER as a construction worker. From 1 May 1933 to 1 May 1940 SUBJECT was a member of the SD Abschnitt FRANKFURT/Main as Abteilungsleiter in the Nachrichtendienst Abteilung. From 13 March 1933 to 9 November 1934 SUBJECT was in the Allgemeine SS with the grade U-Scharf, and the number 114080. From 1 May 1934 to the end of the war SUBJECT was a member of the Sicherheitsdienst with the grade of Reichsfuehrer SS (Appointed SS Sturmabfuhrer on 30 January 1941). SUBJECT was a member of the NSDAP from 1937 to the end of hostilities. From 1935 to 1939 SUBJECT was a member of the Reichsluftschutzbund. From 1 May 1940 to 1 September 1942 SUBJECT was a member of the SD Abschnitt in HOHENSALZA as referat. From 1 September 1942 SUBJECT was in the SD Abschnitt under GRAZ. From 1 January 1945 to the end of the war SUBJECT was commander of the SD Abschnitt NUERNBERG. SUBJECT, after April 1945, was active as a Werewolf and Bundschuh organizer and became the head of "Sonderkommando Klara", a Werewolf unit. SUBJECT surrendered to US forces in HIRSCHBACK on 2 May 1945. SUBJECT also did duty with the Grenz polizei in Croatia and Hungary. (F-3) SUBJECT speaks fluent German; fair French and Spanish; and poor English. SUBJECT was interrogated and interned following World War II in the internment lager in MOOSBURG. On 29 July 1950 SUBJECT volunteered his services to an American Intelligence Agency in FRANKFURT/Main as an informant to aid in bringing into the hands of this Intelligence Agency an alleged amount of 700 grams of uranium, that was allegedly to be sold to the highest bidder. (B-2)

*1 Only Himmler
had this title*

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Georg UMPFENBACH

DOB & POB: 2 January 1897; Frankfurt/Main

HEIGHT : 180 cm
HAIR : black
EYES : dark

: Married, lives with wife and 14 year old son, Peter, at 29 Neue Kraeme. One daughter, about 18, is living and working away from home in Frankfurt. Two daughters married American soldiers, are now living in the US, one in New Jersey and one in California.

BACKGROUND: Subject was in the German Army briefly in 1919, went to Argentina where he worked during 1923-24. Back in Germany he worked at various jobs in and near Frankfurt until 1933 when he joined the SS. Joined the SD in 1934 and was assigned to Frankfurt, then to Poland in 1939. During the war he served at Poznan, Hohensalza, Gnesen, and Marburg/Drau. In April 1945 he held the Referat III b in SD Abschnitt Hqs at Nuernberg. He was placed in the "Automatic Arrest" category after the war, was considered an "operating agent" of ASS from 19 June to 14 September 1945, then returned to various PW camps until sometime later in 1947-48.

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SECRET

BIOGRAPHIC DATA ON UMPFENBACH

NAME: George UMPFENBACH

DOB: 2 January 1897

POB: Frankfurt/Main

RESIDENCE: Neue Kraeme 29, Frankfurt/M

OCCUPATION: Hausmeister at above address and also works for a Frankfurt beer, liquor and wine distributorship.

FAMILY: Wife and 14-year old son living with Subject; a daughter, about 18, living away from home and working in Frankfurt; 2 daughters married to American soldiers - one living in New Jersey and one in California.

EDUCATION: 1904-08 - Volksschule in Frankfurt/M
1908 - Volksschule in Bad Homburg
1909-12 - Realschule in Bad Homburg
1912-15 - Realschule in Friedrichsdorf

BACKGROUND: 1919- Entered Reichswehr for a brief period
1923-24 - Worked in Buenos Aires
1930-31 - Member NSDAP
1932-33 - Was a porter at the Lido Bar
March-December 1933 - Employed by Hofmann & Mueller as a construction worker.
1933-40 - Was a member of the SD Abschnitt Frankfurt/M as Abteilungsleiter in the Nachrichtendienst Abteilung.
March 1933 - November 1934 - Was in the Allgemeine SS
1934-45 - Member of Sicherheitsdienst as Reichsfuehrer SS
1935-39 - Member of the Reichsluftschutzbund
1937-45 - Member of NSDAP
1939 - Entered Poland with the Wehrmacht and stationed successively at Posen and Lodz as Sturmbannfuehrer.
1940-42 - Member of the SD Abschnitt in Hohensalz as referat.
1942 - Left Hohensalz to join the KdS staff at Marburg on Drau (Maribor) as head of Abt III Deputy KdS and head of Abt VI. In latter job was especially in Hungary and Croatia.

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BACKGROUND:
(continued)

December 1944 - April 1945 - Held the Referat IIIb in SD Abschnitt Wuernberg; was active as Werewolf and Bundschuh organizer.

May 1945 - Surrendered to US military authorities in Hirschbach near Pfarrkirchen.

May - September 1945 - Interrogated by 3rd Army Intelligence and considered for placement in Arts & Monuments Section of the MG in Munich. Dropped as an "active agent" by 3rd Army in September because it was too difficult to place a man of his high SD rank (Major) in the Munich government.

1945-47 or 48 - Because of his "automatic arrest" status, he was returned to various prison camps in Bavaria. Was released in 1947 or 1948 and returned to Frankfurt.

SECRET

SECRET

UNDER SEPARATE COVER EGFA-13463 16 November 1956

Subject:

Georg UMPFENBACH
DOB: 2 January 1897
POB: Frankfurt/Main

Married; lives with wife and 14 year old son, Peter, at 29 Neue Kraeme. One daughter, about 18, is living and working away from home in Frankfurt. Two daughters married American soldiers, are now living in the US, one in New Jersey, one in California.

Subject was in the German Army briefly in 1919, went to Argentina where he worked during 1923-24. Back in Germany he worked at various jobs in and near Frankfurt until 1933 when he joined the SS. Joined the SD in 1934 and was assigned to Frankfurt, then to Poland in 1939. During the war he served at Poznan, Hohensalza, Gnesen, and Marburg/Drau. In April 1945 he held the Referat III b in SD Abschnitt Hqs at Nuernberg. He was placed in the "Automatic Arrest" category after the war, was considered an "operating agent" of ASS from 19 June to 14 Sept 1945, then returned to various PW camps until sometime later in 1947-48.

FROM 1914 to 1919 - THIS ISN'T BRIEFLY

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DATE 2003 2007

SECRET

IN COPY []

date ?

CATADPO

General

...ly willing and aggressive. He has stated that he is willing to move anywhere for us, "except to communist territory". On surveillance work, he appears to be very persistent, cautious, and resourceful. He has produced many leads for us, as outlined in section 3 (c) above. He received a reprimand for not following instructions to the letter in regard to one job, but this seems to be a rarity with him.

Control and Motivation: Before CATADPOLE 1 became associated with us, his status was considerably below what he had enjoyed before and during the War. Now he has a comparatively well-paying job, and is back in work which he seems to genuinely enjoy. In terms of politics, he is generally CDU, but fearful of independent German initiative, which might endanger American-German unity. In retrospect he is extremely critical of the Nazi period in German history. He claims to have attempted to mitigate the worst aspects of the regime where he could, and has some tangible evidence to the effect that he did. For example, during his service in Poland he made friendships there some of which he still maintains. Currently he and his wife send packages of food, clothing and medicines to Poland. In terms of religious affiliation, he is an active member of a German Unitarian congregation in Frankfurt. He has two daughters and numerous grandchildren who are US citizens. When on home leave, Mrs. Howard J. Archable, who is a neighbor of CATADPOLE 1, visited one of the daughters. This apparently had a strong effect on Subject. He appears to be proud of his two daughters as they are making out. He has stated, and probably truthfully, that one of his best wishes is that sometime before he dies he might go to the United States to tour the country thoroughly from East to West and North to South.

Therefore, there are several aspects to control in this case. First economic assistance, second the better status which this leads to, and third, real and significant ties in the United States.

Security: It is impossible to tell how secure CATADPOLE 1 is from the point of view of the host service. If they ever took the trouble to find out his connections are, they could undoubtedly determine that he is working for us. He is a logical type for us to pick up. Although he is guarded in his conversations with old associates from the SS, it is likely that a number of them are aware of the nature of his employment for the Americans. There is no indication that he has been blown to any other service, however.

Operationally, he has an excellent security sense, and an ability to devise cover stories for his activity that are innocuous and misleading. His operational security, therefore, is good. He has had no contact with anyone in his known past association.

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NAZI WAR CRIMES DISCLOSURE ACT
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Secret

Subject: Engagement of Georg UMPFENBACH as independent contractor.

1. In accordance with the terms of the provisions for overhead funding, the individual named above has been engaged by me, under oral contract, for a term of an indefinite period. The effective date of oral contract is 14 October 1957.

2. Until further notice, the sum of DM 600.00 is to be paid to Subject each month. Payment will be made in DM's in the following manner: Subject is to receive DM 300.00 on the 15th and the last day of each calendar month.

3. In accordance with oral contract, Subject is not entitled to annual or sick leave benefits, or disability compensation, nor will his dependents be entitled to death benefits.

4. Subject has not been promised assistance in event of (flight, capture, exile, etc.).

5. Termination of oral contract may be made at any time upon oral notice by either party.

6. In view of the fact that Subject has twice left positions of employment at the request of representatives of intelligence organizations, Subject has been informed that his salary payments will continue for a period of two (2) months beyond the month in effect, at the time, if Subject's employment is terminated through fault of this organization.

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Georg Umpfenbach

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SECRET

To: []

5 November 1956

Fr: []

SUBJECT:

1. Subject, a former major in the SD, is the Hausmeister at 29 Neue Kraeme; he lives with his wife and 14 year old son in the apartment directly across the hall from our apartment. My wife and I meet him occasionally in the hall, and have visited him and his wife twice in their apartment. On 30 October, Frau handed an envelope to my wife and asked that she give it to me. The woman said she did not know what was in the envelope, but added that it was something her husband wanted me to consider.

2. As you already know, the envelope contained the attached letters which Subject had written on 30 October. Briefly, he states that because of his SS and SD background (from 1933 to 1945) he has certain knowledge of and connections with Hungary, Poland and Yugoslavia; he believes that these connections could be exploited now; and he would very much like to get in touch with the appropriate American authorities. After discussing this matter briefly with you and [], I talked with Subject in his apartment on the night of 31 October. His wife left the room, he poured Steinhaegers and champagne, and we discussed his request. I told him that, unfortunately, I was no longer in the Army as a soldier, that I was merely an administrative official with no direct contact with Army S-2 or with the American Consulate. I then asked him why he did not take this matter to the German authorities. He scowled and dismissed this rapidly with the comment that in things like this the Germans share children. He then talked, some more about his contact with U.S. Army intelligence in 1945, and about a few brushes with CIC in 1950 or 1951. At his instance or theirs, I am not sure which, he was met by a member of the local CIC outfit with the idea that he could, in some way, help them in some major undertaking. Subject claims that he lost interest when his time was spent only in long chats with the CIC agent.

3. At this point, I suggested to Subject that he go back to the CIC with the letter he had given me. He countered with the statement that he no longer knows anyone there, that they would probably doubt his sincerity, etc. He explained again that he had come to me with the letters because I am an American that he knows, who knows him, and might be able to help him. At this point I suggested the obvious, that I try and locate the appropriate CIC office, give them the letters, tell the what I know about Subject, and let them take the responsibility of getting in touch with Subject. He agreed readily to this proposal. We went on to talk of other things, never referring back to a date by which I would accomplish all this for him. I am sure, however, that Subject will expect to be contacted within the next few weeks.

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SECRET

4. I believe that it would be worth our while to have someone contact Subject regarding the attached letters. The contact can be brief and to the point. Subject is intelligent and shrewd. He knows the intelligence game, apparently, and will be quite ready to be debriefed on his background and possible use in the present situation. Although he may have other reasons for writing the letters, I believe that the following are applicable: He is overworked, but bored, by his present job in a liquor and wine distributionship; he is disturbed by the events in Eastern Europe and believes that he might have something to contribute. He has two daughters married to Americans (both living in the States). He knows that the Americans are doing something about Eastern Europe, and that they might be able to use someone with his background.

5. COS has considerable information about Subject dating from his postwar interrogation by 3rd Army Intelligence. The following data is a summary of that information plus what I have learned about Subject:

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SECRET

VIA: AIR
(SPECIFY AIR OR SEA POUCH)

DISPATCH NO. EGFA-13463

SECRET
CLASSIFICATION

TO : Chief, EE
~~XXXXXXXXXXXXXXXXXXXX~~
FROM : Chief of Base, Frankfurt

DATE: 16 November 1956

Info: COS

SUBJECT: GENERAL— Operational/Support

SPECIFIC— POA Request for Subject of Reference

Reference: EGFA-13435, 16 Nov 56

1. We request a POA at this time to authorize contact and assessment of Subject as a potential support agent for FOB/SAT activities.

2. Forwarded as an attachment to this dispatch is a 5 November report written by the undersigned explaining the immediate background of our interest in Subject. [] will undoubtedly continue to see Subject casually and socially. It is planned, however, that the actual contact and assessment of Subject will be handled by [] who is expected to arrive this weekend at FOB.

[]

Approved []

[]

Distribution:

3 - EE w/1 cc ea att a/s above / ^{Hu +} UNDERSEPCOV
2 - COS w/o atts

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SOURCE/METHOD/EXEMPTION 3B2B
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DATE 2007

SECRET
CLASSIFICATION

FORM NO. 51-28 A
MAR. 1949

RE COPY

[]

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S E C R E T

To : Chief of Station, Germany
 From : Chief of Base, Frankfurt
 Subject: General - Operational/Support
 Specific- Trace Request on Georg UMPFENBACH

Date 16 November 1956

Info: POB

ROB

EE

1. Routine traces are requested on the following individual:

Georg UMPFENBACH DOB: 2 January 1897 POB: Frankfurt/Main
 Residence: Neue Kraeme 29, Frankfurt/Main
 Occupation: Hausmeister at above address; also works for a
 Frankfurt beer, liquor and wine distributorship.
 Family: Married; wife and 14-year old son, Peter, live with
 Subject at Neue Kraeme 29; one daughter, about 18,
 lives away from home and works in Frankfurt. Two
 older daughters married American soldiers and now
 live with their husbands in the U.S., one in New
 Jersey and one in California.

Background:

1919 - Entered Reichswehr for a brief period.
 1923-24 - Worked in Buenos Aires, Argentina
 1924-34 - Worked at various jobs in Frankfurt/Main
 1933 - Joined Allgemeine SS.
 1934 - Transferred to the SD and assigned to Frankfurt area.
 1939 - Entered Poland with the Wehrmacht and stationed
 successively at Posen and Lodz as first Aussenstellen-
 leiter IIIB (Volksturm) at what was then the Abschnitt
 of Hohensalz. Rank of Sturmbannfuhrer.
 1942 - (September) Left Hohensalz to join the KdS staff at
 Marburg on Drau (Maribor) as head of Abt III Deputy
 KdS and head of Abt VI. In latter job was especially
 in Hungary and Croatia.

Dec. 1944

to Apr. 1945 Held the Referat IIIb in SD Abschnitt Nuernberg;
 was then active as a Werewolf and Bundschuh organizer.
 Surrendered to U.S. military authorities in Hirschbach
 near Pfarrkirchen on 2 May 1945.

May to

Sept. 1945 Interrogated by Third Army Intelligence and considered
 for placement in Arts and Monuments Section of the
 Military Government in Munich. Dropped as an "active
 agent" by Third Army in September because it was too
 difficult to place a man of his high SD rank (Major)
 in the Munich government.

Sept. 1945 to

1947 or 1948 - Because of his "automatic arrest" status, he was

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returned to various prisoner of war camps in Bavaria. Was released sometime in 1947 or 1948 and returned to Frankfurt where he is now living and working.

2. Possible use as a support agent for FOB/SAT activities accounts for our tracing Subject at this time. The information in paragraph 1 above is a summary of COS traces on Subject, all of which come from Third Army Intelligence Reports dated June through September 1945. FOB has no traces on Subject.

3. All agency check forms are attached in triplicate. Please check MID, CIC and OSI files ~~and~~ A-2.

4. MOB: Please check all files and liaison sources which you feel would yield the most information.

Approved L J

Distribution:

- 3 - COS w/atts a/s HERewith
- 2 - ~~FOB~~ w/atts a/s HERewith
- 2 - EE w/o atts
- 2 - BOB w/atts a/s HERewith

S E C R E T

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REQUEST FOR INVESTIGATION AND APPROVAL

DATE
17 December 1956
PROJECT

TO: CI/Operational Approval and Support Division

FROM: (Division)
EE/Czech

SUBJECT	CI/OA FILE NO.	SO FILE NO.
TRUE NAME Georg UMPFENBACH	C-56926	
CRYPTONYM OR PSEUDONYM		
TYPE OF AGENT OR EMPLOYEE Field Agent		

USE OF AGENT OR EMPLOYEE (Full details)

POA requested for operational assessment and possible recruitment of Subject as a potential support agent. Subject would be an asset for operations being conducted in the SAT countries.

TYPE ACTION REQUESTED

<input checked="" type="checkbox"/> PROVISIONAL APPROVAL	PROVISIONAL COVERT SECURITY APPROVAL
OPERATIONAL APPROVAL	COVERT SECURITY APPROVAL
GOVERNMENT NAME CHECK	PROPRIETARY APPROVAL
SPECIAL INQUIRY (SO field investigation)	OTHER:

PRQ AND GREEN LIST STATUS

<input checked="" type="checkbox"/> PRQ I, OR EQUIVALENT, IS ATTACHED IN TWO COPIES	<input checked="" type="checkbox"/> PRQ II WILL BE FORWARDED WHEN RECEIVED
PRQ II IS ATTACHED, IF OBTAINABLE	<input checked="" type="checkbox"/> GREEN LIST CHECK HAS BEEN INITIATED

FIELD TRACES

REVEAL NO INFORMATION OF VALUE	<input checked="" type="checkbox"/> WILL BE FORWARDED WHEN RECEIVED
REVEAL INFORMATION AS ATTACHED	NOT INITIATED. NOT EXPECTED TO POSSESS RELEVANT INFO.

SUGGESTED INVESTIGATIVE COVER

GOVERNMENT	ACADEMIC
COMMERCIAL	OTHER:

RI TRACES

DIVISION TRACES

RECORD Non derog.	NO RECORD	RECORD Non-derog.	NO RECORD
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INDICATE SPECIAL LIMITATIONS ON INVESTIGATION OR SPECIAL COVERAGE DESIRED

POA is requested as soon as possible

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SUBJECT	IS	AWARE	<input checked="" type="checkbox"/> UNAWARE OF CIA INTEREST
		AWARE	<input checked="" type="checkbox"/> UNAWARE OF U.S. GOVERNMENT INTEREST
		AWARE	<input checked="" type="checkbox"/> UNAWARE OF IMPENDING INVESTIGATION
SIGNATURE		EXTENSION	SIGNAL

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REQUEST FOR INFORMATION	DATE	20 feb 57
	CASE NO.	C- 56926
	NAME	UMPFENBACH Georg
	PSEUDONYM	
	CRYPTONYM	

TO: EE/C []

FROM: Chief, CI/OA

In order that ~~XXXXXXXX~~ consideration may be given to your request for ~~action~~ ^{POA} in connection with this case, it is requested you please furnish this office the information indicated below:

<input type="checkbox"/>	PRQ I	<input type="checkbox"/>	RI AND OPERATIONAL TRACES
<input type="checkbox"/>	PRQ II	<input type="checkbox"/>	ADDITIONAL BIOGRAPHIC INFORMATION
<input type="checkbox"/>	GREEN LIST	<input type="checkbox"/>	RESULTS OF TECHNICAL INTERROGATION
<input checked="" type="checkbox"/>	FIELD TRACES	<input checked="" type="checkbox"/>	OTHER (See Remarks)

REMARKS

Is Subject still of interest? ←

*Field asked
25 Feb 57*

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OFFICIAL DISPATCH

VIA AIR
(Specify Air or Sea Pouch)

DISPATCH NO. EEFW-3826

SECRET
CLASSIFICATION

TO Chief of Base, Frankfurt

4 MAR 57 09 42z

FROM Chief, EE

INFO: COS/Germany

SUBJECT { GENERAL Operational/DIZTAG
SPECIFIC Georg Umpfenbach, Erstwhile Target

REFERENCE: EGPA-13463 dtd 16 November 1956

A POA for Subject was requested upon receipt of reference. KUWRAP is still awaiting the results of field traces and has inquired if Subject continues to be of operational interest. Please let us know what your plans for Subject are and what has transpired since writing of reference. If Subject is still of interest, please initiate field traces. We shall inform KUWRAP to hold the case in abeyance in the meantime.

26 February 1957

Distribution:

- 2 - FOB
- 2 - COS/Germany
- 2 - EE/C
- 1 - RI

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(Releasing officer)

DC/EE/C

(Coordinating officer)

(Authenticating officer)

EE/C

SECRET
CLASSIFICATION

FORM NO. 51-29
JUN 1949

VIA: ATB
(SPECIFY AIR OR SEA POUCH)

DR. CH NO. EGFA-15273

SECRET
CLASSIFICATION

TO : Chief, EE
Attn: []
FROM : Chief of Base, Frankfurt

DATE: 11 March 1957

INFO: COS

SUBJECT: GENERAL— Operational/DIZTAG

SPECIFIC— Georg UMPFENBACH; Target, Erstwhile

Reference: EGFW-3826
[] []

1. In view of the apparent misunderstanding which has developed regarding the fate of the various field traces initiated on Subject, we would like to call your attention to the field dispatches which represent replies to the request contained in EGFA-13425:

- A. BOB reply to EGFA-13425, dated 20 December 1956 - No Trace.
- B. EGLA-22063, forwarded EE, 16 January 1956 - States "The only UMPFENBACH in our files is one Dr. Ing. Karl of East Berlin who appears in EGLA-6886, 6 January 1954 and in the Biographic Research Air".
- C. EGQA-85671, forwarded EE, 15 January 1957 (COS, OSI, MID and A-2) states "no trace".
- D. EGQA-86530, CIC Central Registry file check, forwarded to EE on 12 February 1957 accompanied by attachment, contains traces which are an elaboration of the information in EGFA-13425.

2. As regards our plans for Subject and an accounting of what has transpired since the dispatch of reference EGFA-13463, the latter can be covered by stating that we have been awaiting receipt of POA. Our plans will be based on the results of discussions with Subject, in that Subject has approached [] who resides in the same apartment building, and has mentioned that he would appreciate speaking with [] regarding the situation in the East, particularly in Hungary and Jugoslavia. Subject has added that he feels that, as a former officer in the German GEHEIMDIENSTAS, he can be of service to the U.S.

3. Subject has implied that he still has considerable contacts in both Hungary and Jugoslavia and that reactivation of those contacts would be a comparably simple matter. He has added that he will be going to Graz, Austria this summer and could possibly be of service to the "Americans". In view of the preceding, we feel that we should speak with Subject and determine to what extent he could "be of service" or in what capacity he might be utilized in our current operational effort.

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FORM NO. 51-28 A
MAR. 1949

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SECRET

EGFA-15273
Page 2

4. We request that you forward the references cited in paragraph 1, above, to KUWRAP and would appreciate a cable response at such time as POA may be granted.

[]

APPROVED:

[]

DISTRIBUTION:

3 - EE
2 - OCS

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[]

OFFICIAL DISPATCH

VIA AIR
(Specify Air or Sea Pouch)

DISPATCH NO. EGFW-4079

CLASSIFICATION

[]

TO Chief of Base, Frankfurt

DATE _____

FROM Chief, EE

INFO: Chief of Station, Germany

SUBJECT { GENERAL Operational/CALL/Support
SPECIFIC Subject of Reference

REFERENCE: EGFA-15273, 11 March 1957

ACTION REQUIRED: None.

1. Due to an unfortunate misrouting of papers at Headquarters, the request for POA on Subject has been delayed. We now have the complete set of papers and are relaying all pertinent information to KUWRAP with a request for expeditious action. We will cable the results as soon as we receive notice.

2. Upon review of all biographic data available and of the supplementary field trace attached to EGQA-86530, 12 February 1957, Headquarters is of the opinion that Subject may possibly be an intelligence opportunist. This suspicion should be clarified when contact and assessment is made by FOB.

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COPY

25 March 1957

FF/1/DA/ [] E/PSK

Distribution:

- Orig. & 1 cc - CCB, Frankfurt
- 2 cc - CCB, Germany
- 1 cc - EH/1, DA
- 1 cc - BI
- 1 cc - []

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CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

(Releasing officer)

(Coordinating officer)

(Authenticating officer)

CLASSIFICATION

FORM NO. 51-29
JUN 1949

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Subj file

25 March 1957

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: UMPFENBACH, George []

REFERENCE: Your memorandum dated 20 February 1957

1. Action regarding this POA request has been transferred from EE/C to EE/G/DA. We regret the delay that has occurred in this matter, but it was caused by the unfortunate misrouting of several pertinent documents.

2. A synopsis of traces is attached.

3. A more complete biographical survey on Subject is attached.

4. The field is still interested in contacting and assessing Subject and has asked for a cable reply; therefore, we request the POA on an expedite basis. An analysis of Subject's background and of field traces has resulted in the suspicion that Subject, with an intelligence history, may be an opportunist. We are concurrently informing the field of the regrettable delay in issuing the POA and apprising them of our suspicion regarding Subject in order that they take it into consideration during assessment.

5. With the above in mind, we reiterate our POA request.

[EE/G/DA]

Attachments:

1. Traces (2 copies)
2. Bio data (2 copies)

Distribution:

- Orig. & 1 cc - w/att. 1 & 2 (2 copies each) - EE/OA
- 1 cc - w/att. 1 & 2 (1 copy each - EE/OA Subj file)
- 1 cc - w/o att. - EE/G/DA Chrono
- 1 cc - w/o att. - EE/G/Support Chrono

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SECRET

ATTACHMENT 1.

SYNOPSIS OF TRACES ON UMPFENBACH

1. Headquarters: EOPW-3526, 25 January 1957
No derogatory information.
2. Chief of Station, Germany: EGQA-85671, 15 January 1957
OSI, MID and A-2 indicated no trace.
3. Pullach: EOLA-22063, 16 January 1957
No derogatory information.
4. Berlin: According to FOB, Berlin issued a no trace reply dated 20 December 1956, Headquarters, however, has no record of this reply.
5. CIC: EGQA-86530, 12 February 1957
Other than biographic data which, if pertinent, is included in attachment 2, the following information was recorded: On 29 July 1950 Subject volunteered his services to an AIS in Frankfurt/M as an informant to aid in bringing into the hands of this IS an alleged amount of 700 grams of uranium, that was allegedly to be sold to the highest bidder. (B-2)

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PROVISIONAL OPERATIONAL APPROVAL

No. C-56926

MEMORANDUM FOR: Chief,

EE/G
~~EE/G~~ C J

Provisional Operational Approval is granted for UMPFENBACH, Georg

as requested in your memorandum dated 17 Dec 56

Limitations:

For contact and assessment only. No operational or support use to be made of Subject without prior approval of KUWRAP.

Please furnish PRQ Part II.

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

A Provisional Operational Approval is temporary in nature and expires in ninety days unless it is cancelled, extended or superseded by a full Operational Approval.

CI/OPERATIONAL APPROVAL AND SUPPORT DIVISION

Date: 22 April 1957

smh

SECRET

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CLASSIFIED MESSAGE

ROUTING

ORIG
UNIT EE/G/DA
EXT 3927
DATE 1 MAY 57

727

S-E-C-R-E-T

4
5
6

TO FRANKFURT OPERATIONS BASE FRANKFURT

FROM DIRECTOR

CONF EE 6

INFO CI, G/IX, F/RI 2 SIG 2

VAPFENBACH, GEORG

MAY 1 22 10 57

RECEIVABLE SECT.

DEFERRED	PRIORITY	INI
<input checked="" type="checkbox"/> ROUTINE	OPERATIONAL IMMEDIATE	INI

01751432

TO PROB

INFO TRAV

CITE DIR

5434

RE: 5019-15213

PCA CHANGED FOR CONTACT AND ASSESSMENT.

END OF MESSAGE

DEPT AVAILABLE COPY

W.D. [Signature]

ABSTRACT INDEX

[Signature]

DATE 3 OCT 1957

COORDINATING OFFICERS

EE/COP
RELEASING OFFICER

S-E-C-R-E-T

EE/C [Signature] -ICER

IT IS FORBIDDEN TO MAKE A C

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SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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29 August 1957

MEMORANDUM FOR: Chief, EE/G/DA

ATTENTION: []

SUBJECT: UMPFENBACH, Georg
[]

1. Please advise status of this case. The Provisional Operational Approval expired on 22 July 1957.
2. If there is no further interest in Subject, cancellation should be requested and the case will be closed and sent to Archives.
3. If there is a current interest, please furnish complete PRQ Part I and PRQ Part II and advise what steps have been taken to establish Subject's bona fides.

[]
Chief, CI/OA

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SECRET

SECRET

*File: FROB/Sat/Support/
Umpfenbach*

11 September 1957

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: G-56926

REFERENCE: CI/OA Memo dated 29 August 1957

1. Per referenced memorandum, the Field has been advised that PCA action with respect to Subject has been suspended pending receipt of information advising us of the present status of this case.

2. Complete FRQ Part I and PRQ Part II will be forwarded as soon as received from the Field. Should the Field report that interest in Subject has been dropped, we shall so inform you and request cancellation of the PCA at that time.

[EE/G/DA]

Distribution:

Orig & 1 Chief, CI/OA

- ✓ 1 - Subj File - FROB/Sat/Sat/Support/Umpfenbach
- 1 - DA Chrono
- 1 - EE/G/IS

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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OFFICIAL DISPATCH

VIA A I R
(Specify Air or Sea Pouch)

DISPATCH NO. EGFW-5322

SECRET
CLASSIFICATION

TO Chief of Base, Frankfurt
FROM Chief, EE

DATE 17 SEP 57 11 40

INFO: Chief of Station, Germany

SUBJECT { GENERAL - Operational/
 SPECIFIC - Subject of Reference

REFERENCE: EGFA-15273, 11 March 1957

ACTION REQUIRED: See below.

1. POA on Subject expired on 22 July 1957. We will not attempt to have POA extended until further advised on the status of this case.

2. If there is a current interest in Subject, please furnish complete PRQ Part I and PRQ Part II, and advise what steps have been taken to establish Subject's bona fides.

11 September 1957

Distribution:

- 2 - COB, Frankfurt
- 2 - COS, Germany
- 1 - RI
- 1 - EE/G/IS
- 2 - EE/G/DA (COB/Sat Support/Umpfenbach)

EE/G/DA/ []

:mar

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CENTRAL INTELLIGENCE AGENCY
SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

EE/G []

(Releasing officer)

(Coordinating officer)

EE/G []

(Authenticating officer)

SECRET
CLASSIFICATION

FORM NO. 51-29
JUN 1949

BEST AVAILABLE COPY

COPY

7

[]

[]

VIA: AIR
(SPECIFY AIR OR SEA POUCH)

DIS: H NO. EGFA - 18126

SECRET
MICROFILMED
CLASSIFICATION
JAN 17 1964
DOC. MICRO. SER.

TO : Chief, EE
ATTN :
FROM : Chief of Base,

DATE: 17 September 1957

INFO: COS

SUBJECT: GENERAL— Operational/CALL/Support

SPECIFIC— Subject of Reference

REFERENCE: EGFW-4979 - N.R. 6 July 56
4079 28 Mar 57
20

MICROFILMED
MAR 30 1965
DOC. MICRO. SER.

ACTION REQUIRED: See Paragraph 4

George Umfrenbach

1. On the basis of discussions regarding the need for a support facility to be utilized by the various Country Desks represented by the Satellite Branch, approach was made to Subject, said approach having been approved by DIR 15434, and assessment initiated.

2. Subject was informed that he was being considered for a position by a U. S. organization and was queried on his attitude toward giving up his present position and accepting another. Subject showed considerable interest and stated that he would gladly make himself available, at such time as we had need of his services. Subject did not, at any time, request additional information as to the type of work involved, which made it quite obvious that he understood that the work would be of an intelligence nature.

3. In regard to the possibility offered in Paragraph 2 of reference, the undersigned is of the opinion that Subject is not an intelligence opportunist but conveyed that impression by statements made to [redacted]. The undersigned believes that Subject had a genuine desire to offer his services and was attempting to wrap himself in an attractive package, based on his primary commodity, an extensive experience in the I. S. field, in order to attract the attention of the appropriate authorities.

4. It is anticipated that Subject will be utilized as a member of an over-all Satellite Support Team, engaging, primarily, in surveillance activity.

5. Operational Approval is requested on the basis of information contained in PRQ Parts I and II, which are forwarded as separate cover attachments.

att has been assigned stark number 172

APPROVED: _____

Distribution: (3)EE w/att S/C
2-COS w/att

FORM NO. 51-28A
MAR. 1949

NO att to DOCUMENT NOW
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SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

17 Sept 57

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SECRET

EGFA-18126/1

12 September 1957

HP

PERSONAL RECORD QUESTIONNAIRE - PART ONE

1. Georg U M P F E N B A C H
3. Same
5. 2 January 1897 in Frankfurt/Main, Germany
6. German
7. German
8. Frankfurt/M., Neue Kraeme 29
10. Hotel Portier (Desk Clerk)
11. (a) Liselotte DUCOMMUN, Nee UMPFENBACH, DOB 1 February 1924 in Frankfurt/M, married to Erwin Edward DUCOMMUN (1948) and residing at 3707 W. 187th St., Torrance, Calif. Children: Joan Ann, Steven and Linda (no DOB's given.)
- (b) Carola ERVING, Nee UMPFENBACH, DOB 28 November 1927 in Frankfurt/M, married to Raimond Kenneth ERVING (1948) and residing at 319 Pacific Ave., Bradley Beach, N. Y. Children (5): Carol Ann, Margaret, Cindy, Kenneth and Henry (no DOB's given.)
12. John STEVENSON, 601 South St., Chicago 43, Illinois. ✓
13. Father: Georg SEHRING, DOB 2 August 1871 in Langen, Hesse, Germany. Deceased 1927.
14. Mother: Margarethe UMPFENBACH, DOB 5 May 1876 in Langen, Hesse, Germany. Deceased 1937.
15. Anna Margarethe UMPFENBACH, Nee FISCHER, DOB 15 November 1901 in Frankfurt/M, Germany, German citizen. Married on 7 August 1922 in Frankfurt/M.
16. (a) Liselotte DUCOMMUN (see 11 a. above)
- (b) Carola ERVING (see 11 b. above)
- (c) Ingeborg UMPFENBACH, DOB 30 October 1936 in Frankfurt/M, Germany, residing at Frankfurt/M, Hönburgerstrasse 22.
- (d) Peter UMPFENBACH, DOB 25 February 1942 in Gnesen, Germany, German citizen, residing at Frankfurt/M, Neue Kraeme 29.

MICROFILMED
JAN 19 1963
DOC. MICRO. SER.

MICROFILMED
MAR 30 1965
DOC. MICRO. SER.

17 Sept 57

[]

RI COPY

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EGFA-18126/1

Page Two
~~PRQ PART I~~

19. (a) Personal Identity Card: HE-16-179489, valid until 25 May 1958, issued in Frankfurt/M.
- (b) Refugee Identity Card: B 6311/21/1048 issued in Frankfurt/M.
- (c) Drivers Licence: 38364 issued by the Polizei Praesidium, Frankfurt/M on 12 July 1951.
20. Unitarian
21. NSDAP from 1936 until 1945. Presently, no affiliation.
22. 1923 to 1924: Employed by the Compania Mercantile Argentina, Buenos Aires, Argentina.
- 1922 to 1937: Repeated trips to Switzerland to visit cousin, Director Friedel SOMMER, Zuerich, Bolleystr. 1. SOMMER presently residing in Lugano.
- 1935 to 1938: Repeated trips to France, Luxemburg, Belgium, Switzerland in capacity as intelligence officer, SD.
- 1939 to 1944: Repeated trips to Hungary and Italy in same capacity as above.
23. Volks u. Mittle Schule, Frankfurt/M; Trade School, FFM; Intelligence school, SD and Police Academy, Berlin-Charlottenburg.
24. German, Spanish, English and French (read, write and speak) Good.
25. 4 April 1915 to 9 November 1918: Field Artillery Regt. 205, Schallmesstrupp 29, Lichtmesstrupp 78. Served on Russian and Serbian fronts as Unteroffizier. Awarded Iron Cross II/c.
- December 1918 to November 1919: Riechwehr Reiterregt. 11., Discharged on personal request.
- December 1944 to End WW II: Waffen SS, Fuehrer des Frontnachrichten-Kommandos "CLARA." Awarded KVK I and II, Clasp for Iron Cross II.
26. NSV 1935-45
NSDAP 1936-45
27. Basic knowledge of law, especially, criminal law and a good background in the fundamentals of criminology.
28. Dependent on present salary. No reserve funds or major capital funds or property.

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SECRET

EGFA-18126/1

Page Three
PRQ PART I

29. 1921 - 1923: Fa. BOTT u. Co., FFM (volunteer) (sic)
1921 - 1923: Fa. Eugen BAB, Bankers, FFM (cashier)
1923 - 1925: Fa. Mercantile Argentina S.A. Buenos Aires
1925 - 1930: Fa. HOFMANN u. MUELLER, Construction, FFM
1930 - 1933: No permanent position.
1933 - 1945: German Intelligence Service (SD).
1945 - 1948: POW, interned.
1949 - 1950: Fa. HOFMANN u. Co., Construction, FFM.
1955 - 1957: Fa. Hans TAENZER, Alcoholic Beverage Wholesalers,
FFM, left at own request.
Present : Portier, Hotel Vera, Frankfurt/M, Mainzerland-
strasse 118.

30. Corresponds with the following:
(a) Gromovna ~~AGRYPINA~~, Katowice, Poland, Rymera 1 m 6.
(b) (Dr.) Hendryk ~~CRZYSLAK~~, Gniezno, Poland, ul. Chrobrego 35.
(c) Ernst ~~BIKOWETZ~~, Mureck, Austria.
(d) Wolf v. ~~TEPPNER~~, Graz, Leechgasse 50, Austria
(e) Ernesto Lambert ~~GARCIA~~, Buenos Aires, Rivadavia 318.
(f) Jupp ~~KRAUTZ~~, Bonn-Venusberg, Hollunderweg 29.
(g) (Dr.) Wilhelm ~~ALBERT~~, Duesseldorf, Neanderstr. 36.
(h) (Herr) Toni ~~ULLRICH~~, Rosenheim, Amselweg 1.

32. (a) (Dr.) Viktor ~~RATKA~~, Obermedizinalrat, Buggingen/Baden,
Kallstrasse.
(b) (Dr.) Karl ~~SOMMER~~, Landesrat a.D. Kreisgeschaefsfuehrer
des BHE, Bad Homburg, Hessenring 202.
(c) Hans ~~TAENZER~~, Frankfurt/M., Trierischer Hof 2, Kaufmann.
(d) (Oberregierungsrat) Hermann ~~BUETTNER~~, Innministerium Stutt-
gart, wohnhaft Waiblingen, Ludwigsburgerstrasse.
(e) (Rechtsanwalt) Jupp ~~KRAUTZ~~, Bonn/Venusberg, Hollunderweg 29
(f) (Frau) Trude ~~KUEHNLE~~, Stuttgart S. Obere Bachstr. 46 (can
be queried about Subject's status and conduct in Poland
during WW II.)
(g) Heinz ~~FRANKE~~, Prokurist der Tempo-Werke, Hannover.
(h) (Oberingenieur) Oscar ~~THIEL~~, Frankfurt/M, Gleimstr. 4.

33. Held in Denazification Group II. No conviction.

34. 60 years	Erect
55 years	150 lbs.
176 cms.	Attached partial plate
brown	brown-grey
long	none
olive	slim

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EGFA-18120/1

Page Four
PRQ PART I

35. As prepared by subject: "Als aufgeschlossener und vielseitig interessierter Mensch habe ich neben meiner allgemeinen Erahrungen auf dem Wirtschaftsgebiet Interessen auf dem Gebiet der Literatur und darstellenden Kunst. Mein besonderes Interesse gilt den allgemeinen weltpolitischen und weltwirtschaftlichen Erscheinungen und Zustaenden, ueber die ich mich laufend durch lesen guter Zeitungen und Zeitschriften des In-und Auslandes zu orientieren versuche.

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SECRET 29

KAPOK

PERSONAL RECORD QUESTIONNAIRE
PART TWO



36. Cryptonym: To be assigned by Headquarters.
37. Subject is a neighbor of [] with whom he has had casual social contact since September 1956. Subject was first met for intelligence purposes by [] in Frankfurt/M on 3 September 1957.
38. Subject could be turned over quickly and securely to any German-speaking case officer introduced by []
39. Through Subject's pre-war and World War II experiences in the German Sicherheitsdienst he has developed a knowledge and interest in intelligence work. In his conversation he expresses a consistently pro-West, anti-Communist outlook, and he has explicitly volunteered on several occasions to work with American intelligence against the Communists.
40. Subject was traced per EGFA 13435 dated 16 November 1956 with BOB, MOB, POB (US files only), MID, A-2, OSI and CIC. Please check replies from the above for results of our trace.
41. No direct control over Subject exists as yet. The means of gaining and increasing control are the following: (a) Subject has two daughters married to American citizens and living in the United States; (b) Subject has recently been unemployed and his present job is unsatisfactory in pay and challenge; (c) Subject is an occasionally critical, yet honest, admirer of the United States.
42. Subject believes he is in contact with American intelligence, organization unknown.
43. Please see the trace request and replies cited above and the attachments to EGFA 13463, 16 November 1956.
44. Subject has furnished information on his background and potential operational leads in Austria. We will be better able to evaluate the leads once we have debriefed him thoroughly.
45. Subject's principal duty will be to assist in the training and to serve as the indigenous leader of the FOB/SAT surveillance team.
- ✓ 46. Subject has not yet received any agent or surveillance training from us.
47. He will obtain information as directed by his case officer.

SECRET
per CSN 43-26

17 Sept 57

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**SECRET
KAPOK**

at CIA-15126/

PAGE TWO
PRQ PART II

48. We have asked approval to pay DM 600 per month starting salary with provision for periodic increase if his performance deserves it. We will also give him occasional gifts of cigarettes and liquor.
49. No commitments have yet been made to Subject.
50. No.
51. Middle class.
52. Subject drinks and smokes moderately.
53. Subject is a responsible and considerate husband and father. he has worked hard under discouraging conditions to provide for his family since his release from PW camp after World War II. If treated with fairness and friendship, he will perform reliably and well. Although he has a tendency to gossip about his current activities, he probably will respond professionally and well to the need for complete operational security.
54. Subject could conceivably cooperate with any Western power that could identify its goals with his. In reality, however, he appears to have a modicum of disrespect for the intelligence capabilities of every Western power other than the United States.
55. Subject had about 14 years experience with German intelligence before and during World War II.
56. Sample of Subject's handwriting, his signature and picture will be supplied shortly.
57. PRQ Part I was filled out by Subject and discussed by him with []
58. We consider Subject's background in the German Sicherheitsdienst as a positive factor in selecting him for the leader of the FOB/SAT surveillance team.

SECRET

[17 Sept 57]

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FOB Satellite/Umpfenbach
31 October 1957

MEMORANDUM FOR: Chief, CI/OA
SUBJECT: C-56926
REFERENCE: EE/G/OA Memorandum dated 11 September 1957

Forwarded herewith is PRQ Part I on Subject.

[EE/G/OA]

Attachment:
PRQ Part I (2 cys)

Distribution:
Orig & 1 - Chief, CI/OA
1 - Chrono
✓ 1 - Subj. File - FOB Sat/Umpfenbach
1 - EE/G/IS

EE/G/OA [] (31 October 1957)

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CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SECRET

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SECRET

FOB Sat/Umpfenbach

31 October 1957

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: []

REFERENCE: EE/G/OA Memorandum dated 11 September 1957

1. Forwarded herewith is PRQ Part II on Subject.

2. It is requested that Operational Approval be granted Subject. His principal duty will be to assist in the training and to serve as the indigenous leader of the FOB/SATELLITE surveillance team.

3. In a memorandum to you on Subject dated 25 March 1957, we stated that an analysis of Subject's background and of Field traces had resulted in the suspicion that Subject may be an intelligence opportunist. We have recently received information from the Field stating that, in the opinion of the Case Officer, Subject is not an intelligence opportunist but had conveyed that impression as he had a genuine desire to offer his services and was attempting to "wrap himself up as an attractive package," based on his primary commodity, an extensive experience in the I.S. Field, in order to attract the attention of the proper authorities.

4. PRQ Part I on Subject is being forwarded separately.

[EE/G/OA]

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Attachment:

PRQ Part II (2 cys)

Distribution:

- Orig & 1 - Chief, CI/OA
- 1 - EE/G/OAO Chrono
- 1 - Chrono-Subj. FOB/Sat/Umpfenbach
- 1 - EE/G/IS

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

EE/G/OAO/[]:mar (31 October 1957)

SECRET

No. 0-56926

MEMORANDUM FOR: Chief, EE/G [J

Operational Approval is hereby granted in the case of UMPFENBACH Georg
_____, for the purpose specified in your request
dated 31 Oct 1957.

For use to assist in the training and to serve as the indigenous leader of the FOB/SATELLITE surveillance team.

Subject's long connection with German Intelligence should be borne in mind at all times and efforts should continue to establish his bona fides, including LCFLUTTER if feasible.

This Approval is based upon evaluation of information available to this Office, and is granted under authority contained in CSI 10-5 and FR 10-215.

[]
CI/OPERATIONAL APPROVAL AND SUPPORT DIVISION

Date: 13 Nov 1957

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

Distribution:
Orig. to Addressee
1 to Files

dea

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Case Officers: _____

Name: UMPFENBACH, Georg

DOB: 2 Jan 1897

POB: Frankfurt/Main, Germany

CRYPTONYM: CABATON-46

POSITION: _____

Original Request: Trace Requested: EGFA-13435, 16 Nov 1956

POA EGFA-13463, 16 Nov 1956

Desk Card: _____

Ice Box Cards: _____

c#

Traces Needed: EGQA-85671, 15 Jan 1957 No traces OSI, MID, and A-2

EGIA-22063, 16 Jan 1957

EGFW-3526, 25 Jan 1957

EGQA-86530, 12 Feb 1957

Green List: 70650, 17 Dec 1956

FJ Nocturn: _____

Request to CI/OA: 17 Dec 1956

POA Granted: (date) 22 April 1957

Field Advised: OUT 51432, 1 May 57

POA Expires: (date) 22 July 1957

POA Extension granted to: (date) _____

PRQ I: Received: EGFA-18126, 3 Oct 57

Sent to CI/OA: 31 Oct 1957

RI Trace: _____

PRQ II: Received: EGFA-18126, 3 Oct 57

Sent to CI/OA: 31 Oct 57

OA Granted: 13 Nov 57

Field Advised: 20 Nov 57

REMARKS ^{formerly} CAECTOMY-18, CABADPOLE-1. (Survillane work)
also part of employment w/ NECKERBANN

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DATE 2007

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OFFICIAL DISPATCH

VIA A I R
(Specify Air or Sea Pouch)

DISPATCH NO. EGFW-5792

SECRET
CLASSIFICATION

[]

TO Chief of Base, Frankfurt

DATE 20 Nov 57 11 04

FROM Chief, EE

Info: Chief of Station, Germany

SUBJECT { GENERAL - Operational/CALL/Support
SPECIFIC - Subject of Reference

REFERENCE: EGFA-18126, 17 September 1957

ACTION REQUIRED: As stated.

Operational Approval was granted Subject on 13 November 1957 to assist in the training and to serve as the indigenous leader of the FOB/SATELLITE surveillance team; however, Subject's long connection with German Intelligence should be borne in mind and efforts to establish his bona fides should be continued, including LCFLUTTER is feasible.

15 November 1957

Distribution:

- 2 - COB, Frankfurt
- 2 - COS, Germany
- 1 - RI
- 1 - EE/G/IS
- 2 - EE/G/OAO

EE/G/OAO/c]:mar

EE/G

(Releasing officer)

I

(Coordinating officer)

EE/G

(Authenticating officer)

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CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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CLASSIFICATION

FORM NO. 51-29
JUN 1949

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OFFICIAL DISPATCH

VIA A I R
(Specify Air or Sea Pouch)

DISPATCH NO. EGFW 6955

SECRET
CLASSIFICATION

21 MAY 1958

TO Chief of Base, Frankfurt

DATE _____

FROM Chief, EE

INFO: Chief of Station, Germany

SUBJECT { GENERAL Operational/CALL/CATARSI
SPECIFIC Subject of References

REFERENCES: A. FROB 9448, 5 January 1958
B. EGFW 6176, 13 January 1958

ACTION REQUIRED: As stated

1. POA was granted, effective 13 May 1958, for contact and assessment of Subject. When feasible, Subject should be LCFLUTTERed.
2. Please furnish complete PRQ Part I and PRQ Part II.
3. KUDESK is interested in any comments the Field may have relative to the Headquarters traces on Subject which were dispatched in Reference B.

19 May 1958 EE/G/OAO

DISTRIBUTION:

- 2 - FOB
- 2 - COS
- 1 - RI
- 1 - EE/G/IS
- 1 - EE/G/OAO

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CENTRAL INTELLIGENCE AGENCY
SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

C/EE/G

(Releasing officer)

(Coordinating officer)

EE/G
(Authenticating officer)

SECRET
CLASSIFICATION

FORM NO. 51-29
JUN 1949

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INFORMATION REPORT INFORMATION REPORT

CENTRAL INTELLIGENCE AGENCY

This material contains information affecting the National Defense of the United States within the meaning of the Espionage Laws, Title 18, U.S.C. Secs. 793 and 794, the transmission or revelation of which in any manner to an unauthorized person is prohibited by law.

C-O-N-F-I-D-E-N-T-I-A-L
NOFORN

COUNTRY	Yugoslavia	REPORT NO.	CS-3/361,131
SUBJECT	1. Military Units in Maribor	DATE DISTR.	3 July 1958
	2. Airfields at Wislinaka Dobrava, Slivnica and Kranj	NO. PAGES	3
		REFERENCES	RD
DATE OF INFO.	April 1958		
PLACE & DATE ACQ.	Germany, Frankfurt (8 May 1958)		

SOURCE EVALUATIONS ARE DEFINITIVE. APPRAISAL OF CONTENT IS TENTATIVE.

SOURCE: A 60-year old West German with 13 years experience in the intelligence field (F) from a West German traveller who frequently visits Yugoslavia (F)
Appraisal of Content: 3

Army

- The 60th Infantry Division, Field Post Number 7895, in Maribor, is under the jurisdiction of the 5th Vojna Oblast, which is in Zagreb (Agram). The division, commanded by N. Garbc, a Slovene about 45 years old, consists of the following: an infantry regiment, a motorized artillery unit, an armored unit, an anti-aircraft unit, an engineer unit, a reconnaissance battalion, a reconnaissance company, a chemical company, and an auto company (autokompagnie). The commander of the Reconnaissance Company is Captain 1st Class Sodan Jere. His company consists of approximately 120 men and is equipped with a number of jeeps. Some of the units are in Maribor, while the others are located in the immediate area.
- The barracks are outside the city, south of the Drava River on Ptujaska Street; others are on Ljubljanska, Damatinova, Joska, and Pariska Streets.
- The armored unit is separated from the others by barbed wire. The entrance to the armored unit's garages is on Pehova Street in Maribor, where there are newly constructed garages, approximately 80 to 100 meters long, to the left of the entrance. The capacity of the new garages is about 300 trucks and 50 tanks; about 25 Sherman and T-34 tanks are housed in the garages. In this same area, eight large underground gasoline storage tanks are being constructed.
- In Maribor, the Division's vehicles carry the identifying numbers: JLA - A - 69 and JLA - A - 64. These are reportedly changed constantly. In the Cadet Caserne there is a unit (each unit consists of eight to ten soldiers) of "reaction mine throwers" (reaktiven Minenwerfern). This unit, commanded by Captain 2nd Class Cedomir Drobnjak, has three mine throwers assigned to it; the barrels are approximately 80 to 100 cm. with an average bore of 10 cm.

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

ATE	X	ARMY	EV#	X	NAVY		X	AIR	EV#	X	FBI		AEC
-----	---	------	-----	---	------	--	---	-----	-----	---	-----	--	-----

te: Washington distribution indicated by "X"; Field distribution by "#"

INFORMATION REPORT INFORMATION REPORT

/G	2	SR											EGF-3152
		WE											
		WH											CATADPOLE/1
/YA-2+3-0	10												

AFK
JJW
JST

D-I-R-E-C-T

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5. In addition, an infantry regiment, the former Proletariat Brigade is located in Maribor. This is composed of three battalions, of three companies each; there are approximately 450 to 480 men in each battalion. At company level, they are equipped with rifles and machine guns. The battalion level contains a mortar company, a machine-gun section, and an anti-aircraft section. The division artillery regiment, composed of four completely motorized sections, is located in Slovenska-Bistrica, near Maribor. The regiment has pieces of 40 mm., 50 mm., 76 mm., and also larger bore equipment.
6. An independent engineer battalion, Field Post Number 6916, is stationed in Celje. The battalion is under the corps commander in Ljubljana and the 5th Vojna Oblast in Zagreb. It has approximately 400 men and is made up of two engineer companies, one pontoon company, a headquarters company, and a technician unit. It is quartered in an old building on the edge of the city, on the left bank of the Savinja River. Major Miroslav Svaradic, a Montenegrin, about 45 years old, is the commanding officer.

Military Police

7. The Military Police were organized in spring 1957. They are identified by a white Sam Brown belt on ordinary army uniforms and police type clubs. In addition, they wear special grey, egg-shaped insignia on the left pocket of their shirt or uniform jacket. The insignia is approximately 5 to 6 cm. in size and has in the center a yellow star on two crossed rifles and the letters JNA. On the edge are printed the words Military Police (Vojna Policija). The Military Police are probably not to be confused with the police, since they are only seen in Maribor and Ljubljana. They are available as guards patrolling the streets, checking the documents of military personnel, and standing duty in the military headquarters. Motorcycles and jeeps are available for their use. The average M.P. is young, earnest and appears to have undergone a rather severe schooling.

People's Militia

8. The members of the People's Militia, in the years 1948-50 contracted for six years, are now, in part, being released voluntarily. A large number has been released by discharging them as if a poor level of discipline and the unwillingness of many individuals in service. Out of approximately 10,000 militia members, only 10,000 remained. The militia is severely short of personnel. In December 1956, approximately 100 students were placed as honorary traffic police. They earn 30 dinars an hour and must serve at least two hours a day. They wear the ordinary uniform of the militia with a wide white strap on the shoulder. While on duty, they have the same rights as regular members; they may visit the quarters of the militia and study there if they wish. In this way, the personnel shortage within the militia is eased, and the students benefit in the form of quarters, food, and a minimum of spending or expense money.

Airfields

9. The local aeroclub is building a new airport in Mislinska Dobrava. This airfield, about 200 meters by 800 meters, is south of Turiska Vas in the direction of Graska Gora. Although the airfield is covered with grass, it is so constructed that it can be cement covered to serve larger and heavier aircraft. At the present time, it is serviceable only for civilian aircraft.
10. A new airfield is being constructed near Slivnica, not far from Maribor. The present airfield in Slivnica is capable of handling only light civilian aircraft.

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11. In the area of Kranj, near Cerklje, there is a field on the right side of the road approaching Voglje. This airfield is approximately 2,000 meters long and 300 meters wide. The expansion of this field was very poorly received by the local population, since the price paid for the property acquired was extremely low.

Military Industry

The Automobile Factory Maribor (Tovarna Avtomobilov Maribor) which produces aircraft parts, tanks, and field kitchens, is presently constructing special automobiles for Burma. This was the former Thesen Aircraft Plant, near Maribor.

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CLASSIFIED MESSAGE

DATE : 380 MM/ MCB/ p/b
10 OCT 58

S-E-C-R-E-T

ROUTING			
1	H	4	BA
2	H	5	CEE
3	chr	6	COJ

TO : DIRECTOR
 FROM : FRANKFURT
 ACTION: EE 6
 INFO : CI, CI/OPS, FI, FI/OPS, S/C 2

OCT 10 2056Z 58

ROUTINE

IN 12360

TO VIEN INFO DIR FRAN BRN MUNI BERN CITE FROB 2351

HIWAY CATADPOLE

REF EAVA 20751

1. CAN OFFER SERVICES CATADPOLE 1. C1 DISTINGUISHED LOOKING 60 YEAR OLD CHIEF SAT SURVEILLANCE TEAM. FORMER SS STURMBAHNFUEHRER CHIEF OF SS SECTION IN POLAND HUNGARY AND JUGO DURING WW II. SPEAKS PASSABLE SWISS GERMAN AND KNOWS ZURICH WELL.

2. REQUEST EARLY REPLY IF INTERESTED.

END OF MESSAGE

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 SOURCES METHODSEXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2007

S-E-C-R-E-T

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CLASSIFIED MESSAGE

DATE : 103/RLG/OT
15 OCT 58

S-E-C-R-E-T

ROUTING			
1	H	4	G
2	H	5	CEE
3	Ch	6	Copy

TO : DIRECTOR
 FROM : VIENNA
 ACTION: EE 6
 INFO : CI, CI/OPS, FI, FI/OPS, S/C 2

1) DCE/6
 2) W-8

OCT 15 1312Z58

ROUTINE

IN 14106

TO FROB INFO FRAN DIR CITE VIEN 3908

HIWAY [] CATADPOLE

RE FROB 2351 (IN 12360)* - 71 CATADPOLE/1

APPRECIATE OFFER. SUGGEST [] (IDEN) COME FROB 22 OCT DISCUSS WITH
 CASE OFFICER, MEET CATADPOLE. PLS ADVISE.

END OF MESSAGE

C/S COMMENT : *STATED SERVICES COULD BE OFFERED CATADPOLE 1.

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 SOURCE METHOD EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2007

S-E-C-R-E-T

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DATE : 154/RLG/OT *for [signature]*
15 OCT 58

CLASSIFIED MESSAGE

S-E-C-R-E-T

ROUTING	
1	H <i>4</i> G
2	H 5 P.F.E.
3	<i>Ch</i> 6 <i>Copy</i>

OCT 15 1206Z58

ROUTINE

TO : DIRECTOR
FROM : VIENNA
ACTION: EE 6
INFO : CI, CI/OPS, FI, FI/OPS, S/C 2

*1) defect
2) w-8*

IN 14067

TO FROB INFO FRAN DIR CITE VIEN 3909

HIWAY Σ \rightarrow CATADPOLE

RE VIEN 3908 (IN 14106) - *attached*

END OF MESSAGE

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

S-E-C-R-E-T

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CLASSIFIED MESSAGE

SECRET

DATE : 275 ES/M *1308/*
15 OCT 58

		ROUTING	
1	H	4	G
2	H	5	CEE
3	CL	6	CAF

TO : DIRECTOR
 FROM : FRANKFURT
 ACTION: EE 6
 INFO : CI, CI/OPS, FI, FI/OPS, S/C 2

*1) OCT 16/58
 2) W-8*

OCT 16 1727Z 58

ROUTINE

IN 14738

TO VIEN INFO FRAN DIR CITE FROB 2400

HIWAY CATADPOLE

RE VIEN 3908 (IN 14106) *ho file out W-8*

FINE.

END OF MESSAGE

CS COMMENT: *SUGGESTED GO FROB 22 OCT. MEET CASE OFFICER.

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280 MJ/LR/*[handwritten]*
DATE : 16 OCT 58

CLASSIFIED MESSAGE

S-E-C-R-E-T

ROUTING			
1	<i>[handwritten]</i>	4	<i>[handwritten]</i>
2	<i>[handwritten]</i>	5	
3	<i>[handwritten]</i>	6	

TO : DIRECTOR
 FROM : VIENNA
 ACTION: SE 6*
 INFO : CI, CI/OPS, FI, FI/OPS, EE 4, S/C 2

[handwritten]
DDCEEL6
2/w-8

OCT 16 1725Z 58

ROUTINE

IN 14750

TO FROB INFO FRAN MUNI DIR CITE VIEN 3919

HIWAY C → CATADPOLE

RE: FROB 2392 (IN 14402) *[handwritten]* no record

IF 22 OCT CONVENIENT PREFER] COME FROB TO CHECK DOCUMENTS SITUATION,
STUDY CATADPOLE FILE IN ADDITION MEETING AGENT.

END OF MESSAGE

CS COMMENT: *ACTION UNIT DETERMINED AS THOUGH INDICATOR SHAM USED.

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DATE 2007

S-E-C-R-E-T

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MEMORANDUM FOR THE RECORD:

SUBJECT : PRQ Part I - CATADPOLE/1

At the time undersigned took over the files on Subject, his PRQ Part I was missing. A copy was obtained from CI/OA and the original turned up on 17 October. Traces had apparently not been initiated on other individuals which accounts for the late tracing.

17 October 1958

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SECRET

30 October 1958

MEMORANDUM FOR: EE/G/W-8
ATTENTION: []
SUBJECT: CATADPOLE-1
[]

1. This will acknowledge receipt of the copy of the technical interrogation afforded Subject on 23 April 1958.
2. In view of the ^{or}inclusiveness of this interrogation, it is recommended that Subject be re-examined after a 6 months period has elapsed.

[]
Chief, CI/OA

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SECRET

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893 18 MAR 59/EE
187 JO/BJ
11 MAR 59

CLASSIFIED MESSAGE

S-E-C-R-E-T

DATE :

TO : DIRECTOR

FROM : VIENNA

ACTION: C/EE 3

INFO : COP, C/CI, C/PI, S/C 2 ADDED: C/EE

ROUTING			
1	#	4	
2		5	
3		6	

MAR 11 1630Z 59

ROUTINE

IN 30292

TO

FROB

FRAN MUNI DIR

CITE

VIEN 4641

KAPOK HIWAY

REQUEST CATADPOLE/1 MEET [] 1200 MAR 19. VIEN 4422 (IN 15473) INSTRUCTIO

APPLY. SIGNALS PER FROB. 3432 (IN 19370). PLAN STAY TO 24 MARCH. CONFIRM.

END OF MESSAGE

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DATE 2007

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COLITHO - PRTO. BY MOORE BUSINESS FORMS, INC., NIAGARA FALLS, N. Y.

Copy No.

892 26 MAR 59
24 MAR 59

S-E-C-R-E-T

ROUTING

1	4
2	5
3	6

TO : DIRECTOR
 FROM : VIENNA
 ACTION: C/EE 3
 INFO : COP, C/CI, C/FI, S/C 2

[Handwritten signature]

MAR 25 0357Z 59

ADDED: C/EE

ROUTINE

IN 36260

TO FROB INFO FRAN MUNI DIR CITE VIEN 4710

KAPOK HIWAY . C ->

ESZTERGALYOS DID NOT SHOW 21 MARCH OR ALTERNATE NEXT DAY. C -> CALLED
 LEGATION, WASH TOLD 3 OUT OF TOWN EXPECTED 26 MARCH. C-> WILL CALL THEN ATTEMPT
 SET UP CONTACT SOONEST THEREAFTER. CATADPOLE/1 STANDING BY.

END OF MESSAGE

Catadpole 1

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 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2007

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862 31 MAR 59/EE
257 WCJ/BJ
7 MAR 59

CLASSIFIED MESSAGE

S-E-C-R-E-T

ROUTING

1		4	
2		5	
3		6	

DIRECTOR

VIENNA

ON: C/EE 3

NFO : COP, C/CI, C/FI, S/C 2 ADDED: C/EE

Wish/S C.W.

MAR 27 1902Z 59

ROUTINE

IN 37536

TO FROB INFO FRAN MUNI DIR CITE VIEN 4724

KAPOK HIWAY . C ☐

RE VIEN 4710 (IN 36260)*

J / Catadpole / 1

ESZTERGALYOS LEFT MESSAGE ☐ ☐ TO CALL AGAIN 31 MARCH. SINCE NEXT AVH MEETING PROBABLY WILL NOT BE HELD UNTIL 4 APRIL EARLIEST, CATADPOLE/1 RETURNING FRAN 27 MARCH.

END OF MESSAGE

C/S COMMENT: *ESZTERGALYOS DID NOT SHOW 21 MAR OR ALTERNATE NEXT DAY.

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION			
HIWAY []		RI FILE NO.			
		DISPATCH OR SOURCE DOC. SYMBOL NO.			DATE
		VIEN 4813			8 Apr 59
ANALYST []		SOURCE CRYPTONYM	DATE OF INFO.	EVALUATION	
		HIWAY-HIDUOK			
DATE PROCESSED		DISSEMINATED IN		DATE	
13 Apr 1959					
DOCUMENT DISPOSITION					
<input checked="" type="checkbox"/> CROSS REFERRED TO THIS FILE		<input type="checkbox"/> TRANSFERRED TO RI FILE NO. :			

PERTINENT INFORMATION

KAPOK HIWAY []

REQUEST FOR CATADPOLE/1 TO RETURN VIEN FOR BRIEFING CONNECTION
[] MEET WITH AVH TARGET.

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

FILE THIS FORM IN FILE NO. 

FORM NO. 867 REPLACES FORM 867, 1 AUG 54
1 MAY 56 AND FORM 137 WHICH ARE OBSOLETE.

SECRET

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DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION			
HIWAY C D		RI FILE NO.			
		DISPATCH OR SOURCE DOC. SYMBOL NO.			DATE
		PROB 3984 (IN 43143)			10 Apr 1959
ANALYST []		SOURCE CRYPTONYM	DATE OF INFO.	EVALUATION	
		DATE PROCESSED		DISSEMINATED IN	DATE
		13 Apr 1959			

DOCUMENT DISPOSITION	
<input checked="" type="checkbox"/> CROSS REFERRED TO THIS FILE	TRANSFERRED TO RI FILE NO. :

PERTINENT INFORMATION

KAPOK HIWAY C D CATADPOLE

CATADPOLE/1 ARRIVING 13 APR AS REQUESTED VIEN 4813

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SOURCE METHOD EXEMPTION 3B2D
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

FILE THIS FORM IN FILE NO.	➔				
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EE/1012

DISPATCH		CLASSIFICATION SECRET	DISPATCH SYMBOL AND NO. CAECTOMY/18 EGPW-8625
TO INFO	Chief, Frankfurt Operations Base Chief of Station, Germany		HEADQUARTERS FILE NO. [] (G/19) [] (C/18)
FROM	Chief, EE		DATE 17 APR 1958
SUBJECT	Operational/CATAPSI/CATAPOLE/CAECTOMY Request for Current MOAs		RE: "43-3" - (CHECK "X" ONE) MARKED FOR INDEXING <input checked="" type="checkbox"/> NO INDEXING REQUIRED
ACTION REQUIRED	Submission of Memoranda of Oral Agreement on CAECTOMY/18 [] []		INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

REFERENCE(S)

A. EGPA-19531, 17 Dec. 1957
B. EGPA-19002, 12 Nov. 1957

1. Please submit an up-to-date Memorandum of Oral Agreement on [] [] (formerly [] [] According to our records, his last MOA was prepared on 17 December 1957 (Ref. A), at which time he was being paid an annual salary under CATAPSI of \$142.00. While we do not question that his present full-time responsibilities warrant the \$192.00 requested in the current CAECTOMY FPO, this increase and any commitments made to Subject should be covered by a new MOA.
2. The last MOA in our files on CAECTOMY/18 (formerly CATAPOLE/1) is also obsolete, and should be replaced by an agreement executed under CAECTOMY.

DISTRIBUTION:
2-POB
2-COS

1-RI
1-EE/G/IS
1-EE/G/W-8
1-Chromo

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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FORM 10-57 53 (40)	USE PREVIOUS EDITION. REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.	CLASSIFICATION SECRET	<input type="checkbox"/> CONTINUED	PAGE NO.
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RECORD

RECORD

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SECRET

29 May 1959

MEMORANDUM FOR: EE/G/W-8

ATTENTION: []

SUBJECT: []

CATADPOLE-1

Co. 18

1. Reference is made to CI/OA memorandum dated 30 October 1958 in which it was recommended that Subject be re-polygraphed after a six months period due to the inclusiveness of the interrogation of 23 April 1958.

2. It is requested that a copy of the polygraph be forwarded to CI/OA or, if Subject has not been re-polygraphed, a statement as to why this has not been feasible.

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

Chief, CI/OA

SECRET

SECRET

May

9 July 1959

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: CAECTOMY-18 (formerly CATADPOLE-1)

[]

1. Please note the change in Subject's cryptonym which occurred when the CATADPOLE designation was changed to Unit B of CAECTOMY. This administrative change in no way alters Subject's function as a surveillance support agent.

2. We have no indication that Subject has been polygraphed since April 1958. The field is being queried on this matter, and your office will be advised of their reply.

[EE/d/W-6]

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

*file
Caectomy-18*

SECRET

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DISPATCH

CLASSIFICATION
SECRET

DISPATCH SYMBOL AND NO.

EGFW-9067

TO
INFO
Chief of Base, Frankfurt
COS, Germany

HEADQUARTERS FILE NO.

CS COPY

FROM
Chief, EE

DATE
10 JUL 1958

SUBJECT
**CATARSI/CAECTOMY
CAECTOMY-18**

RE: "43-3" - (CHECK "X" ONE)

ACTION REQUIRED
See below

MARKED FOR INDEXING

NO INDEXING REQUIRED

INDEXING CAN BE JUDGED
BY QUALIFIED HQ. DESK ONLY

REFERENCE(S)
A. EGQA-97200, 13 February 1958
B. EGFW-8078, 12 December 1958, paragraph 2

1. Although the recommendation in Reference A for an LCFLIGHTER at six month intervals was endorsed in Reference B, the fact that KUBESK also made the same recommendation in October 1958 unfortunately was not specifically noted.
2. At any rate, KUBESK has recently requested the results of any examination subsequent to the one given in April 1958, or if there has been no examination since that time, a statement as to why this has not been feasible.
3. Please advise.

9 July 1959/MRC:jh

Distribution:
2 - FOB
2 - COS, Germany
1 - RI
2 - EE/G

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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RM 53

USE PREVIOUS EDITION.
REPLACES FORMS
51-28, 51-28A AND 51-29
WHICH ARE OBSOLETE.

CLASSIFICATION
SECRET

CONTINUED

PAGE NO.

RECORD COPY

RECORD COPY

CLASSIFIED MESSAGE

DATE : 340/DNCL/LS *WAP/E*
29 OCT 59

S-E-C-R-E-T

ROUTING	
1	4
2	5
3	6

TO : DIRECTOR

FROM : FRANKFURT

ACTION: *SR 6*

INFO : CI, CI/OPS, FI, EE 4, S/C 2

OCT 29 2039Z 59

ROUTINE

IN 42538

TO DIR INFO FRAM CITE FROM 5651

REDWOOD CALL CATARSI

RE EGVW 9515

CABATON-46

1. FRAU WANDA MAKOWSKI, BEI UNFFENBACH, FRANKFURT AM MAIN, NEUE KRAEHE 29.
NOTE "AE" IN KRAEHE IS UNLAUT "A" AND NORMAL "STR" DOES NOT FOLLOW NEUE KRAEHE.

2. IMPOSSIBLE-HAVE MAKOWSKI REGISTERED THIS ADDRESS BUT UNFFENBACH
ALERTED STATE MAKOWSKI WORKS THERE IN RESPONSE ANY CASUAL QUERIES.

END OF MESSAGE

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

S-E-C-R-E-T

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DB 26942

DISPATCH		CLASSIFICATION S E C R E T	DISPATCH SYMBOL AND NO. EGFA-28807
TO Chief, EE COS, Germany	HEADQUARTERS FILE NO. <i>[Handwritten marks]</i>		DATE DEC 11 1959
FROM Chief of Base, Frankfurt	SUBJECT Operational/CATARSI/CAECTOMY Change in Status of CAECTOMY 18		RE: "43-3" - (CHECK "X" ONE) <input type="checkbox"/> MARKED FOR INDEXING <input checked="" type="checkbox"/> NO INDEXING REQUIRED
ACTION REQUIRED For your information	REFERENCES		<input type="checkbox"/> INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

1. CAECTOMY-18 has been put on spare time basis with us and his salary reduced from DM 600.00 to DM 200.00 per month. On 28 October 1959 told Subject that due to general cuts in the Army budget and the fact that he was not always kept busy, he was to be reduced to part-time status effective 1 January 1960.

2. Subject received this news with apparent relief, and seemed to be happy with the prospect of a job which would take his full time and give him an overt and normal employment. On about November 15 he landed a job in the shipping department of Neckerman Versand K.G. in Frankfurt. He had been given a certification of termination of employment from our cover organization, discussed below, which indicated that his engagement with us ended effectively on 31 December 1959. Therefore, he is on a probation basis with Neckermann. However, there is every indication that the job will be permanent and satisfactory from the point of view of both parties.

3. Since the beginning of his employment with us he has been on a salary of DM 600.00 per month. In addition, it has been the practice to reimburse him for his taxes and insurance and his telephone, which he obtained in order to remain on call for us. Insurance and taxes have run DM 101.25 per month, and telephone between DM 30 and DM 40 per month, and will now be eliminated. In addition, we have maintained an office for CAECTOMY 18 -- the same one which was originally procured when he started with the defunct CATADPOLE activity. Rent, phone, electricity, and maintenance on this real estate has cost DM 160 per month. The landlord is now converting the property to a regular apartment and we have dropped it. The result of the dropping of the above expenses amounts to DM 682.00 per month from the FOB budget.

4. At the same time, the effectiveness of CAECTOMY 18 is not significantly reduced since most of the work he has done for us in the past six months can perfectly well be accomplished in his spare time. Specifically, Subject has agreed to hold himself available for us at all times when he is not tied down by his job with Neckerman. Furthermore, as was reported in FROB 5651 of 29 October 1959, he is now serving as accommodation address for non-operational correspondence from Poland in support of

5. We believe we lose virtually nothing by the change in the relationship with CAECTOMY 18. Furthermore, his morale at the moment seems to be greatly improved as a result of being busy and having a job which is normal and which requires no evasion or fabrication vis-a-vis his friends and relatives.

6. A revised Memorandum of Oral Agreement is being forwarded via a separate dispatch.

Distribution:
2 - EE
2 - COS

Someone else worked in NECKERMANN that came up during the CAECTOMY flap - need time on review mk

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FORM 10-57 (40)
DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

CLASSIFICATION S E C R E T	<input type="checkbox"/> CONTINUED	PAGE NO.
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26

DISPATCH		CLASSIFICATION S E C R E T	DISPATCH SYMBOL AND NO. EGFA-28808
TO Chief, EE COS, Germany	HEADQUARTERS FILE NO. []		DATE DEC 13 1959
FROM Chief of Base, Frankfurt	SUBJECT Operational/CATARSI/CAECTOMY Revised Memorandum of Oral Agreement for CAECTOMY 18		RE: "43-3" -- (CHECK "X" ONE) <input type="checkbox"/> MARKED FOR INDEXING <input type="checkbox"/> NO INDEXING REQUIRED
ACTION REQUIRED For your information			<input checked="" type="checkbox"/> INDEXING CAN BE JUDGED BY QUALIFIED HQ. STAFF ONLY

EGFA-28807, 11 December 1959 *NRK*

Attached herewith is a revised Memorandum of Oral Agreement for CAECTOMY 18.

Approved: [] [] []

Attachment - As stated above

Distribution:
3 - EE w/Att h/w
2 - COS w/Att h/w

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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CS COPY

FORM 53b 13-57 (M)	USE PREVIOUS EDITION. REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.	CLASSIFICATION: S E C R E T	<input type="checkbox"/> CONTINUED	PAGE NO.
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[] []

SECRET

10 December 1959

MEMORANDUM FOR: Chief, Finance Division
VIA : Contract Approving Officer
FROM : Chief of Base, Frankfurt
SUBJECT : Engagement of CAECTOMY 18 as Independent Contractor

1. Term and Project Charge: The Subject individual has been engaged under oral contract for use under project CAECTOMY. The effective date of the oral contract is 1 November 1959 and shall continue thereafter for an indefinite period subject to termination by ODYOKE upon 30 days' actual notice.
2. Security Approval: The appropriate security approval for Subject's use has been obtained. Type: OA. Date: 20 November 1957.
3. Citizenship: Subject is a foreign national.
4. Compensation: Subject will be paid DM 200 per month. The approximate equivalent of this in PBPRIME currency is fifty dollars. Holiday compensation will also be paid to Subject in accordance with local German customs and German Station directives.
5. Taxes: Subject does not pay PBPRIME income taxes.
6. Quarters and/or Subsistence:
 - (a) Subject will not be furnished quarters or subsistence.
 - (b) No commitments have been made regarding additional compensation to accommodate increased cost-of-living and quarters expense.
7. Operational Expenses:
 - (a) Subject will be reimbursed for operational travel.
 - (b) Subject will be reimbursed for operational expenses such as but not limited to entertainment, gifts, telephone charges, etc.
8. Other Commitments: No other commitments have been made to Subject.
9. Contingent Obligations: No contingent obligations are contemplated. No commitments other than those stated above have been made to Subject.

Approved: [] [] [] []

~~SECRET~~
SECRET

CS copy

CLASSIFIED MESSAGE

DATE : 306 WCJ/BQ
21 JAN 60

S-E-C-R-E-T

ROUTING			
1	G	4	ad
2		5	
3	Ch	6	

TO : DIRECTOR
FROM : FRANKFURT
ACTION: SR 6
INFO : CDC, CI, CI/OPS, FI, EE 4, S/C 2

*Wanda
A wish
w/d*

JAN 21 1912Z 60

ROUTINE

IN 31975

TO DIR INFO FRAN CITE FROB 6155

REDWOOD [] CALL CATARSI

RE DIR 11138 (OUT 84799)*

1. RECEIVED NEW YEAR'S POSTAL CARD 18 JANUARY 60 ADDRESSED TO WANDA MAKOWSKA. TRANSLATED POLISH TEXT READS "HEARTY WISHES. ZYGMUNT IS NOT SWIMMING". SIGNED RODZIEC.

2. WRITTEN IN GDYNIA 29 DECEMBER 59 AND POSTMARKED GDYNIA 20 DECEMBER.
ACTUAL CARD FOLLOWING BY POUCH.

END OF MESSAGE

C/S COMMENT: * REQUESTED WEEKLY CHECKS AND CABLED NOTICE ARRIVAL MAIL ADDRESSED FRAU WANDA FRANKFURT AM MAIN, NEU KRAEME 29.

*Very good!
Why?*

*ml. 30
?*

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

S-E-C-R-E-T

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Copy No.

DB 26916

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DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION		
CAECTOMY Progress Report 1 Aug through 31 Jan 1960		RI FILE NO.	32E -6-22/3	
		DISPATCH OR SOURCE DOC. SYMBOL NO.		DATE
		EGFA-29806		15 March 60
		SOURCE CRYPTONYM	DATE OF INFO.	EVALUATION
ANALYST	DATE PROCESSED	DISSEMINATED IN		DATE
DOCUMENT DISPOSITION				
CROSS REFERRED TO THIS FILE		TRANSFERRED TO RI FILE NO.		
PERTINENT INFORMATION				
<p>"13. <u>CAECTOMY-18</u>: CAECTOMY-18 has never been in contact with the Team or with [] He was originally a member of CATADPOLE, a separate investigative-surveillance group to be set up for work against Satellite targets. Project CATADPOLE was administratively tacked on CAECTOMY as an addendum to the Project Outline; subsequently the nascent CATADPOLES were re-named CAECTOMYs, a continuing source of confusion. CAECTOMY-18 does not presently have any investigative or surveillance function and has been of most value as a live letter drop. We therefore request that he be dropped from the CAECTOMY Project; a separate dispatch will report his present activities in full and suggest that he be carried under the CABATON Project."</p>				
<p style="text-align: right;">DECLASSIFIED AND RELEASED BY CENTRAL INTELLIGENCE AGENCY SOURCE METHOD EXEMPTION 3B2B NAZI WAR CRIMES DISCLOSURE ACT DATE 2007</p>				
FILE THIS FORM IN FILE NO.		<div style="text-align: center;">➔</div> <div style="text-align: center;">[]</div>		

FORM NO. 867 REPLACES FORM 867, 1 AUG 54
1 MAY 56 AND FORM 137 WHICH ARE OBSOLETE.

SECRET

(7 - 46)

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DISPATCH

CLASSIFICATION
SECRET

DISPATCH SYMBOL AND NO.
EGFA-29942

TO Chief, EE
INFO COS, Germany

HEADQUARTERS FILE NO.

FROM Chief of Base, Frankfurt

DATE
MAR 18 1960

SUBJECT Operational/CATARSIS/CAECTOMY/CABATON
Shift of CAECTOMY-18 to CABATON

RE: "43-3" -- (CHECK "X" ONE)

MARKED FOR INDEXING

X NO INDEXING REQUIRED

ACTION REQUIRED For your information

INDEXING CAN BE JUDGED
BY QUALIFIED HQ. DESK ONLY

- REFERENCES:
- A. EGFA-28807, 11 December 1959
 - B. EGFA 28808, 18 December 1959
 - C. EGFA-29806, 15 March 1960 (para 13)

Handwritten notes:
 []
 f. Caectomy
 []
 Chel Agun
 (copy in file)

1. Reference A described the change in status of CAECTOMY-18 from full-time employment to part-time status. Since that time his chief service to KUBARK has been as a letter drop. He has nothing to do with the investigatory activities of the CAECTOMY Project. We therefore suggest that he be shifted to the CABATON Project and be known as CABATON 46.

2. The status of Subject differs from that described in reference A only in that he left the Neckermann firm for medical reasons, being too old for work in the shipping department. He is now drawing unemployment compensation from the German government. However, his son is gainfully employed, Subject still serves as Hausmeister in the building where he lives, and thereby gets his apartment free of charge, and he continues to receive DM 200.00 from us for receipt of mail and miscellaneous support services. He is therefore not in dire financial straits.

3. Subject continues to serve as a spotter and an unusually flexible and cooperative ILD; one of the persons he has spotted is now being assessed for possible consideration as a CAECTOMY.

Approved: [Signature]

Distribution:

- 2 - EE
- 2 - COS

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 SOURCES METHOD EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2007

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FORM 10-57 52b (50)

USE PREVIOUS EDITION.
REPLACES FORMS
SI-29, SI-28A AND SI-29
WHICH ARE OBSOLETE.

CLASSIFICATION
SECRET

CONTINUED

PAGE NO.

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077 RLG/SW/10 EFB

CLASSIFIED MESSAGE

DATE 5 APRIL 60

SECRET

ROUTING

TO DIRECTOR

FROM FRANKFURT

ACTION: SR 6

INFO: CDC, Q1, CI/OPS, FI, EE 4, S/C 2

APRIL 5 1218Z 60

ROUTINE

IN 22219

TO DIR

INFO FRAN

CITE FROB 6620

REDWOOD CALL CATARS!

RE DIR 11138 (OUT 84799)

1. RECEIVED 6 BY 9 ENVELOPE 4 APRIL POSTMARKED 16 MARCH FROM BANK IN KRAKOW. FORWARDED THROUGH WARSAW POSTAL CUSTOMS UNIT ON 30 MARCH.

2. MAN, POSSIBLY PIS DIRECTED, CANVASSED CAECTORY 12 BUILDING IN FRAN ON 29 AND 30 MARCH. INQUIRED EVERY APARTMENT ABOUT RESIDENCE MAKOWSKA USING COMMERCIAL STORY THAT HE WISHED DELIVER PACKAGE TO HER FROM HIS FIRM, HERBERT ADAMS, DUISBURG-HAMBORN, AM INZERFELD. WIFE C-18, CAUGHT UNWARE, DENIED MAKOWSKA RESIDENCE.

3. CASUAL TELEPHONE CALL TO DUISBURG FIRM DETERMINED HELMUT WEISER, NEU ISENBURG/FRAN, JAHNSTR. 28 IS LOCAL REP. CAN ATTEMPT CAECTORY CHECK WEISER SEE IF IDENTICAL CANVASSER. ADVISE.

4. DETAILS AND LATEST MAIL BY POUCH. NO FROB/CDS TRACES WEISER OR ADAMS.

END OF MESSAGE

C/S COMMENT: *REQUESTED WEEKLY CHECKS AND CABLED NOTICE ARRIVAL MAIL ADDRESSED PARA 1 REF (FROB 5651 / IN 12538)

SECRET

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

DR-26909

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DISPATCH

CLASSIFICATION
SECRET

DISPATCH SYMBOL AND NO.
EGFA-30179

TO Chief, SR
INFO EE; COS, Germany

FROM Chief of Base, Frankfurt

SUBJECT Operational/REDWOOD/ /CATARSI
 Correspondence

ACTION REQUIRED For your information

REFERENCE(S) FROB 6620

1. Forwarded under separate cover is mail for as described in reference.
2. In regard to the possible Polish Intelligence Service check on the accommodation address for Subject, the salesman who was canvassing the building at Neue Kraeme 29, Frankfurt/Main, claimed to represent the firm
Herbert Adams (Schwerbeschae digtenbetrieb - Staatl. Registr. Nr. 139
Duisburg-Hamborn
Am Inzerfeld.

*Good
Good!*

This would be a business employing seriously injured persons, in this case for the manufacture of turtle and avocado oil soap. There is a telephone listing in the current phone book for Duisburg-Hamborn for Herbert Adams, Kaufman (salesman) at the above address. There was no listing for Herbert Adams in the 1958 edition of the Duisburg telephone listings.

3. On 29 March the salesman apparently called at all of the apartments in the building, in some cases leaving an advertisement of his merchandise which stated that he would return. When he called at the CAECTOMY-18 apartment, C-18's son was the only person at home. The man merely left his advertising material. On the same day, however, in calling at another apartment in the building the man had a package with the name (identity) written on it. He seemed to have difficulty pronouncing the name, acted a bit confused, and told the occupant that he had a package of merchandise to deliver to identity and understood that she lived in this building. Of course the occupant could give no information on identity. We assume that the man called at every apartment in the building as he had a list of all the inhabitants with him. The occupant mentioned above noted the incident and spoke to CAECTOMY-18 about it on 31 March (C-18 is the Hausmeister in the building) because the man appeared to be so nosey. There are twenty-eight occupants listed in the city directory for this address.

4. On 30 March the man called at CAECTOMY-18's apartment for the second time. This time the wife of C-18 was at home. He had made no impression on C-18's son and was not expected. C-18's wife was friendly and natural and when she was asked about identity, she replied that she did not know any such person. However, there were many foreigners in the building and many Polish people patronized the Cafe Lido (the Cafe Lido - a kosher cafe - is a hangout for Polish Jewish refugees). C-18 later established that the man had gone to the Cafe Lido and again inquired after identity. The proprietor did not like his attitude and told him that since he was not on business there, but was merely trying to get information, he had better leave. (Apparently this is not an unusual attitude for the manership of the Cafe Lido).

5. The failure of CAECTOMY-18's wife to come up with the right answer was an honest lapse on her part. The mail which has been coming for identity has always been picked up by her husband, and she had forgotten the original instructions. If there is any other inquiry about identity at C-18's apartment, their story is to be that the lady works there occasionally. She had asked C-18 if she could receive her mail there, but Mrs. C-18 did not know about it and did not actually know her

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SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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FORM 53b
10-57
(40)

USE PREVIOUS EDITION.
REPLACES FORMS
51-28, 51-28A AND 51-29
WHICH ARE OBSOLETE.

CLASSIFICATION
SECRET

CONTINUED

PAGE NO.
1

COPY

376-6-27/3

family name. The lady is employed by her husband as a cleaning woman for the building.

6. On 2 April CAECTOMY-18, on the instruction of the case officer, called the number in Duisburg. He said that a representative of theirs had called at his apartment but that he had been out at the time and wished to get in touch with the salesman to buy some of their merchandise. The phone was answered by a woman. She did not ask the name of the caller and immediately gave him the name of their Frankfurt representative:

Helmut WEISER
Neu Isenburg
Jahnstrasse 28.

7. The technique of the questioning, plus the fact that only ourselves and the Polish Service through mail censorship or other means know about the identity address precludes the possibility that the episode was coincidence. There are at least two things we can do at this point. We can check out Helmut Weiser and determine whether he is identical with the person who called at Neue Kraeme 29. We can also check on the Herbert Adams business in Duisburg-Hamborn in an attempt to identify PIS assets. However, we are deferring action until we receive an answer to reference #.

Approved: [] []

Attachment A - Mail s/c
B - Identity

Distribution:

2 - SR w/Atts A & B s/c
1 - EE w/Att B s/c
2 - COS w/Att B h/w

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s/c Attachment B to
EGFA-30179

Identity: Frau Wanda MAKOWSKA

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BEST AVAILABLE COPY

ORIG : []
UNIT : SR/6/I&D
EXT : 3356
DATE : 8 April 1960 567

CLASSIFIED MESSAGE

SECRET

ROUTING			
1	G	4	Bot
2	P	5	
3	ch	6	

TO : FRANKFURT OPERATIONS BASE FRANKFURT

FROM : DIRECTOR

CONF : SR 6

INFO : CDC, CI, CI/OPS, FI, EE 4, S/C 2

11 20 37:00
C'D CABLE SE

P R O C E D U R E	DEFERRED	PRIORITY	INITIALS
	<input checked="" type="checkbox"/> ROUTINE	OPERATIONAL IMMEDIATE	INITIALS

OUT63778
OUT 63778

TO FROB INFO FRAN CITE DIR 24187
REDWOOD [] CALL CATARSI
RE FROB 6620* (IN 22219)

1. RE PARA THREE REF: PLEASE CHECK WIESER. HQTRS TRACES WILL FOLLOW.
2. DOES CABATON 46 FORMERLY CAECTOMY 18 SERVICE ONLY MAKOWSKA.

PLEASE ADVISE.

END OF MESSAGE

*Possible PIS-directed man canvassed CAECTOMY-18 building about residence "Makowska", and wife of CAECTOMY-18 denied "Makowska" residence.

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SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SR/3 []
SR/COPT/CE []

SR/2 []

EE/P []

EE/G []

COORDINATING OFFICERS

EE/COP []
RELEASING OFFICER

SECRET

CSR/ []
AUTHENTICATING OFFICER

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CABATON-46

DB-26951

12 April 1960

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: CABATON-46
[]

1. The cryptonym CABATON-46 has been assigned to CAECTOMY-18 (formerly CATADPOLE-1).

2. CAECTOMY Progress Report (1 August - 31 January 1960):
"CAECTOMY-18 has never been in contact with the Team or with [] He was originally a member of CATADPOLE, a separate investigative-surveillance group to be set up for the work against Satellite targets. Project CATADPOLE was administratively tacked on CAECTOMY as an addendum to the Project Outline; subsequently the nascent CATADPOLES were re-named CAECTOMYS, a continuing source of confusion. CAECTOMY-18 does not presently have any investigative or surveillance function and has been of most value as a live letter drop. We, therefore, request that he be dropped from the CAECTOMY Project and suggest that he be carried under the CABATON Project."

[EE/G/PI-4]

Distribution:

Orig. & 1 - Addressee
1 - EE/G/IS
1 - EE/G/PI-4

MK: jh

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DATE 2007

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143 WCJ/BQ

SECRET MESSAGE

DATE 13 APR 60

SECRET

ROUTING

TO DIRECTOR

FROM FRANKFURT

ACTION: SR 6

INFO CDC, CI, CI/OPS, FI, EE 4, S/C 2

1	4
2	5
3	6

APR 13 1408Z 60

ROUTINE

IN 25977

DIR INFO FRAN CITE FROB 6677

REDWOOD CALL CATARSI

REF DIR 24187 (OUT 63778)*

1. WILL ATTEMPT PHOTO SURVEILLANCE WEISER TO DETERMINE IF IDENTICAL WITH CANVASSER. CAN ALSO DO NEIGHBORHOOD CHECK WITH SOME RISK THIS ACTION BE REPORTED WEISER BY NEIGHBORS. ADVISE.

2. CABATON 46 SERVICES ONLY MAKOVSKA.

END OF MESSAGE

C/S COMMENT:* QUERIED IF CABATON/46 FORMERLY CAECTOMY 18 SERVICE ONLY MAKOVSKA.

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 DATE 2007

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DB 26954

590 590

CLASSIFIED MESSAGE

ORIG
UNIT : SE/6/1AD
EXT : 3356
DATE : 14 April 1960

SECRET

ROUTING			
		4	
		5	
		6	
18 23 01 z60			
AID CABLE SEC			
DEFERRED	PRIORITY	INITL	
<input checked="" type="checkbox"/>	<input type="checkbox"/>		
ROUTINE	OPERATIONAL IMMEDIATE	INITL	
<input checked="" type="checkbox"/>	<input type="checkbox"/>		
OUT 66312			
OUT 66312			

TO : FRANKFURT OPERATIONS BASE FRANKFURT
FROM : DIRECTOR
CONF : SR 6
INFO : CDC, CI, CI/OPS, F1, EE 4, SC 2

TO : FROM INFO FROM CTS DE 25418
25418
REWOOD CALL ONTARI
RE FROM 6677* (IN 2977)

PLEASE PROCEED PARAGRAPH ONE RIF.

END OF MESSAGE

Advised would attempt photo surveillance WEISER and that neighborhood check could be made with some risk that action would be reported by neighbors to WEISER.

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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SE/2
SE/3
SE/COF
SE/7 Coordinated in draft
SE/0
COORDINATING OFFICERS

RE/COF
RELEASING OFFICER

SECRET

OSR/6
AUTHENTICATING OFFICER

IT IS FORBIDDEN TO MAKE A COPY OF THIS MESSAGE

Copy No.

SECRET

4 May 1960

MEMORANDUM FOR: Chief, EE/G/PI-1

ATTENTION: []

SUBJECT: CABATON/46
[]

1. Reference is made to previous correspondence in connection with this case regarding the re-polygraphing of Subject.
2. Your memorandum of 9 July 1959 states that "the Field is being queried on this matter".
3. CI/OA memoranda dated 22 October 1959 and 22 January 1960 requested information regarding what action had been taken to re-polygraph Subject and, if he had not been re-polygraphed, why it has not been feasible to do so.
4. Your memorandum of 12 April 1960 furnished more recent information regarding Subject's activities but failed to answer the questions raised by CI/OA.
5. A reply relative to the status of the polygraph will be appreciated

[]

Chief, CI/OA

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

Field Bureau 10 May 60.

SECRET

BEST AVAILABLE COPY

055
DATE 14 MAY 60

SECRET

ROUTING	
1	4
2	5
3	6

TO DIRECTOR
 FROM FRANKFURT
 ACTION SR. 6
 INFO CI, CI/OPS, FI, EE 4, S/C 2

MAY 14 1122Z 60

ROUTINE

IN 39419

DIR INFO FRAN CITE FROB [] ACTING) 6984

REDWOOD [] CALL CATARSI

REF A. DIR 25418 (OUT 04312) *

B. EGFA 38179

- RESULTS PHOTO SURVEILLANCE SHOWN WIFE C-18. SHE BELIEVES CANVASSER "VERY LIKELY" HELMUT WEISER BUT NOT CERTAIN. C-18 SON RETURNS FROM VACATION IN WEEK. WILL SHOW HIM PHOTOS ALSO.
- AWAITING RESULTS NEIGHBORHOOD CHECK.

END OF MESSAGE

C/S COMMENT: *PLEASE PROCEED PARAGRAPH J REF FROB 6677 (IN 25977) **

*ADVISED WOULD ATTEMPT PHOTO SURVEILLANCE WEISER.

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 SOURCES METHOD EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2007

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SECRET

21 May 60

EGFA 30675
21 May 60

CABATON 46: Case officer - []
Alternate - []
Location - Frankfu. c/1

Comment: This is the former CAECTOMY 18 who has been converted to a CABATON (see EGFA 29942, 18 March 1960).

Assignment: [] [] (Headquarters).

Performance: Very satisfactory.

Finance: DM 200 per month.

Plans: This agent is functioning primarily as a live letter drop. However, he is also doing some spotting for us for possible CAECTOMY candidates and is ready and available to perform additional support functions. He has also been addressing large quantities of envelopes for FOB/SAT (HIWAY) where a German hand-writing is desired.

Security: A recent development concerning what appears to be a Polish IS attempt to locate and identify the addressee for [] [] mail is covered in EGFA 30179, 7 April 1960.

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DATE 2007

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O
P
Y

Chief, SR

EGFA-30897

EE; COS, Germany

[]

Chief of Base, Frankfurt

Operational/REDWOOD? [] /CABATON

June 6, 1960

for your info; as stated in para 3

- A. FROB 6620, 5 Apr 60
- B. FROB 6904, 13 May 60

1. Forwarded herewith is the CAECTOMY surveillance report on Helmut WEISER. We pointed out in reference B that attempts to identify the person who was looking for "Frau MAKOWSKA" was Helmut WEISER of Neu Isenberg were inconclusive. They hinged upon the opinion of the son of CABATON-46 who was on vacation and had not seen the photos.

see 17422

2. The son of CABATON-46 was employed by the "American Weekend" in Frankfurt. About two weeks ago this publication discharged almost their entire German staff, including the son of CABATON 46 in absentia. This communication reached the young man at his vacation spot on the French Riviera. He is a house guest of his sister and brother-in-law and is making good money on the side as a house painter. His relatives rent bungalows and publish a periodical ballyhooing the virtues of their island paradise, which happens to be a nudist colony. It is not likely that we shall see this young man for a long time.

3. We are of the opinion that a true neighborhood investigation of Helmut WEISER would certainly come to the target's attention. Naturally, however, it is still possible to track down the two leads which the surveillance has produced. If Helmut WEISER and the door-to-door salesman are identical, the circumstantial evidence is pretty strong for his being a PIS agent and further action would seem well warranted. We have made a file search in FOB and COS/RI on the names that came up in the surveillance report and find no traces. Further action awaits Headquarters instructions.

4. We note that no further mail has come through the drop for "Frau MAKOWSKA" since 4 April 1960.

[]

[]

- 2 SR w/att. (surveillance report)
- 1 EE w/o att.
- 2 COS w/att.

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CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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DISPATCH

CLASSIFICATION
SECRET

DISPATCH SYMBOL AND NO.

EGFA-30176

31076

TO Chief, EE
INFO COS, Germany

HEADQUARTERS FILE NO.

[]

FROM Chief of Base, Frankfurt

DATE

23 June 1960

SUBJECT Operational/CATARSI/CABATON
CABATON 46

RE: "43-3" - (CHECK "X" ONE)

MARKED FOR INDEXING

ACTION REQUIRED
For your information

X

NO INDEXING REQUIRED

INDEXING CAN BE JUDGED
BY QUALIFIED HQ. DESK ONLY

REFERENCE(S) EGFW 10529, 12 May 1960

1. We have checked through the records on LCFLUTTER testing of Subject and notice that he has been tested three times - 23 December 1957, 24 January 1958 and 23 April 1958. At the conclusion of the latest test it was suggested that he have no further tests because of a moderately large goiter and an over-active thyroid gland which could cause a considerable amount of nervous tension. At the last testing [] who is still here, attempted to put Subject in an absolutely relaxed frame of mind and establish the optimum conditions for a valid test. This failed. The reason was probably the physical problem of the goiter which was detected by the KUBARK physician.

2. Through elicitation over the past several weeks we have determined that Subject's thyroid condition and goiter have become more severe. He is to undergo surgery sometime in the next six months. We, therefore, propose to postpone another LCFLUTTER examination until after surgical correction of his condition has been completed.

Approved: []

Distribution:

- 2 - EE
- 2 - COS

Copy sent CI/OA on 1⁶ Jul 60

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FORM 10-57 53 (40)

USE PREVIOUS EDITION.
REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.

CLASSIFICATION
SECRET

CONTINUED

PAGE NO.

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SECRET

EE/G/PI-1

6 July 1960

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: CABATON-46
[]

The attached dispatch, EEFA-31076, dated 23 June 1960, explains the present status of efforts to re-polygraph Subject. Should Subject be re-tested as proposed in paragraph 2 of the dispatch, a copy of the results will be sent to you without delay.

[EE/G/PI-1]

Attachment:
As Stated

DDP/EE/G/PI-1 [] new

Distribution:

- Orig. & 1 - Addressee w/att
- 1 - EE/G/PI-1
- 1 - EE/G/IS

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DATE 2007

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DISPATCH

CLASSIFICATION
SECRET

DISPATCH SYMBOL AND NO.
EGFW - 11174

TO
INFO
Chief of Base, Frankfurt
Chief of Station, Germany

HEADQUARTERS FILE NO.
[]

FROM
Chief, EE

DATE
8. 24. 1960

SUBJECT
Operational/CATARSI/CABATON
CABATON-46

RE: "43-3" - (CHECK "X" ONE)

MARKED FOR INDEXING

NO INDEXING REQUIRED

ACTION REQUIRED
For Your Information

INDEXING CAN BE JUDGED
BY QUALIFIED HQ. DESK ONLY

- REFERENCE(S)
- A. EGFA-30179, 7 April 1960
 - B. EGFA-30675, 21 May 1960 (Paragraph 4)
 - C. EGFW-11160, 17 August 1960 (Paragraph 4. (3))

We recently were informed that the Frankfurt mailing address, serviced by CABATON-46, for the [] (Headquarters) case has been changed to an address in FBPRIME.

[] [] [] []

24 August 1960

DISTRIBUTION:

- 2-FGB
- 2-COS

- X-RI
- 1-EE/G/PI
- 1-EE/G/IS
- 1-EE/P

MK:new

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FORM 10-57 53 (40)

USE PREVIOUS EDITION, REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.

CLASSIFICATION
SECRET

CONTINUED

PAGE NO. 1

RECORD COPY

RECORD COPY

DATE : 13 SEPT 60

SECRET

ROUTING

1	G	4	bl
2	P	5	
3	ch	6	

TO : DIRECTOR

FROM : FRANKFURT

ACTION: SR 6

INFO : CI, CDC, CI/OPS; FI (EE 4) S/C 2

1) catelo
2) PINK

[Handwritten signature]

SEPT 13 1803Z 60

ROUTINE

IN 11982

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SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

DIR INFO FRAN CITE FROB 7747

REDWOOD CATARSI

RE EGFW 9515, 22 OCT 1959

BEST AVAILABLE COPY

1. RECEIVED POSTCARD, POSTMARKED BONN 7 SEPT ADDRESSED WANDA MAKOWSKA TO CORRECT CABATON 46 ADDRESS WITH DR. DESANKA ANTONOVIC, (wt) BONN HANS-BOECKLERSTR 5, DENTAL CLINIC, GIVEN AS RETURN ADDRESS. FOUCHING CARD.

2. FOLLOWING IS TRANSLATION MIXED POLISH SERBIAN TEXT: DEAR EVA, DO YOU REMEMBER ME. WHEN YOU WERE IN YUGOSLAVIA I WAS IN NOVBI SAD ON ADRIATIC. I WAS A MANAGER. NOW YOU KNOW WHO I AM. I CAME TO BONN TO WORK AT DENTAL CLINIC OF PROF. DR. KORKHAUS. I LIKE IT VERY MUCH. I AM CORRESPONDING WITH ZOSIA DRAZDOWSKA FROM WARSAW. SHE IS NOW IN CANADA AND IS TRYING TO GET HER DIPLOMA. HOW ARE YOU. I AM SURE YOU ARE DOING FINE HERE. IT IS POSSIBLE THAT I WILL COME TO VISIT YOU. IT WOULD BE NICE TO SEE YOU AGAIN. I HOPE YOU WILL WRITE TO ME HERE IN BONN. GREETINGS TO YOUR HUSBAND AND KISSES TO YOU. BEBA ANTONOVIC.

3. 1959 BONN ADDRESS BOOK LISTS DR. GUSTAV KORKHAUS AS DIRECTOR UNIVERSITY DENTAL CLINIC, KAISERSTRASSE 12. NO TRACES.

4. NO TRACES ANTONOVIC WHO NOT LISTED BONN TELEPHONE, ADDRESS BOOKS.

END OF MESSAGE

SECRET

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Copy No.

ECFA-33061
26 Jan 61

CABATON Progress Report: 1 Oct - 31 Dec 1960.

CABATON-46

CABATON-46

Case Officer

Assignments (Non-Bloc mail only)

None

Comments: Subject has recently provided personal data on five prospective letter drops, four of which are now in process of development. It is hoped that at least two of these will eventually become recruited drops. CABATON-46 continues to perform various support tasks such as servicing his post box and addressing envelopes for other branches of FOB. His performance remains enthusiastic and efficient.

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DATE 2007

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SECRET

15 February 1961

MEMORANDUM FOR: Chief, EE/G/PI-1

ATTENTION: []

SUBJECT: CABATON/46
[]

1. Dispatch EGFA-31076 dated 23 June 1960 indicates that within the following six months Subject was to undergo surgery to correct a moderately large goiter and an over-active thyroid gland which could have caused a considerable amount of nervous tension and which could have affected Subject's polygraph testing in December 1957 and January and April 1958. The dispatch stated further that re-polygraphing of Subject was being postponed until after completion of the surgery.

2. In view of the above please advise CI/OA of the status of the Agent at the present time, whether he has been re-tested and, if not, when it is anticipated that this will be accomplished.

[]
Chief, CI/OA

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SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

440
311

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SECRET

20 February 1961

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: CABATON-46
[]

As soon as we receive the Field's reply regarding Subject's health status and whether he has been re-polygraphed we will inform you.

[]
KEY W/ P1-1

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

SECRET

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DISPATCH

CLASSIFICATION

PROCESSING

TO	Chief of Base, Frankfurt	PROPOSED	ACTION	ACCOMPLISHED
INFO.	Chief of Station, Germany	XX	MARKED FOR INDEXING	
FROM	Chief, EE		NO INDEXING REQUIRED	
			ONLY QUALIFIED HEADQUARTERS DESK CAN JUDGE INDEXING	
			ABSTRACT	
			MICROFILM	

SUBJECT Operational/CATARSI/CABATON CABATON-46

ACTION REQUIRED - REFERENCES

EGFA 31076, 23 June 1960

Has Subject undergone surgery since the Reference? We have been queried regarding another ~~LCFLUTTER~~ examination for Subject. Therefore, any word from you would be appreciated.

[]

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- 2 - COS

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DATE 2007

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HQ'S DISTRIBUTION:

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- 1 - EE, G/PI-1
- 1 - EE, G, IS

m lly

DATE TYPED		DATE DISPATCHED	
21 February 1961			
DISPATCH SYMBOL AND NUMBER			
EGFW 12040			
HEADQUARTERS FILE NUMBER			
[]			
CROSS REFERENCE TO			
CLASSIFICATION			
OFFICE		ORIGINATING	
EE, G/PI-1 []		WPIST IJC	
EXT. 3915			
COORDINATING			
OFFICE SYMBOL	DATE	OFFICER'S NAME	
OFFICE SYMBOL		REL OFFICER	
C, EE, G, PI		[]	

FORM 5-60 53 USE PREVIOUS EDITION. REPLACES FORM 6106 WHICH MAY BE USED. (40)

DISPATCH

SECRET

Kola

9 October 1961

MEMORANDUM FOR: Chief, CI/OA

SUBJECT: CABATON-46
C-56926

1. The Field has not yet informed us that Subject has undergone surgery for goiter and thyroid condition, nor has word been received that Subject has been re-tested.

2. As soon as we receive any further word on Subject's case we will inform you.

[Chief, CI/OA]

DDP/EE/G/E/ [] njc

Distribution:
Orig. # 1 - Addressee
1 - EE/G/E
1 - EE/G/IB

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DATE 2007

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SECRET

427

CLASSIFIED MESSAGE

DATE : 19 OCT 61

SECRET

ROUTING

TO : DIRECTOR

FROM : FRANKFURT

ACTION : C/EE 3

INFO : COP, C/CI, C/WE 2, S/C 2

1	Ca	4
2	Ca	5
3	Ca	6

OCT 19 1917Z 61

ROUTINE

IN 48828

*ink
hasn*

SP 12

HAGU INFO DIR CITE FRAN 4710

RYBAT

BECAUSE OF BROADCASTS TO OTHER AGENTS WHICH FOLLOW ONE HOUR AFTER
BROADCAST, AND DUE HIS SPEED OF 4/6 GPM, MUST ASK YOU LIMIT
MESSAGE LENGTHS TO 125 GROUPS.

END OF MESSAGE

*action transferred } sr/2
x-8545*

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SECRET

EGFA-34934

26 Oct 61

CABATON Progress Report: 1 July-30 September 1961

CABATON-46

Status unchanged.

[] has replaced [] as Case officer.

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DATE 2007

SECRET

20 November 1961

MEMORANDUM FOR: Chief, EE/G/PI-1

ATTENTION:

[]

SUBJECT:

[]
[]

1. Please advise the present status of the above-identified case. The 90 day extension of the Provisional Operational Approval expired on 16 October 1961. The case has been pending since 13 December 1960.
2. If there is no further interest in Subject, cancellation should be requested and the case will be closed.
3. If there is a current interest, please furnish PRQ Part II.
4. It is noted that FROB-0498 (IN Cable 48848) indicates that the Frankfurt Station is in favor of terminating GABATON-46 which it is stated would also necessitate the termination of Subject because of his close relationship with GABATON-46. Please comment on the security aspects of this situation.

[Chief, CI/OA]

CI/OA/JBB:mp

copy of EGFW-13038,
18 Oct 61 +

of EGOA-15836, 16 Oct 61

sent to CI/OA.

27 Nov 61

mk

Orig filed Cabaton - 58.

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DATE 2007

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S E C R E T

EOFA 37105

8 May 1962

GABATON Progress Report: 1 January - 31 March 1962

GABATON-46

GABATON-46

ent was terminated effective 1 February 1962.

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DATE 2007