

Doc. 3196

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CHARGE OUT SLIP

DATE 1 Sept 1948

EVIDENTIARY DOC. NO. 3196

TRIAL BRIEF \_\_\_\_\_

EXHIBIT NO. \_\_\_\_\_

BACKGROUND DOC. NO. \_\_\_\_\_

FILE NO. \_\_\_\_\_

PRESS TRANS. etc \_\_\_\_\_

U.S.S.B.S. \_\_\_\_\_

DEFENSE SUMMATION \_\_\_\_\_

PROS. SUMMATION \_\_\_\_\_

*proc copies + envelope  
analysis  
etc*

Signature J. W. Walton

Room # 382

CHARGE OUT SLIP

DATE 15 OCT 47

EVIDENTIARY DOC. NO. 3196

TRIAL BRIEF \_\_\_\_\_

EXHIBIT NO. \_\_\_\_\_

BACKGROUND DOC. NO. \_\_\_\_\_

FILE NO. \_\_\_\_\_

PRESSTRANS \_\_\_\_\_

U. S. S. B. S. \_\_\_\_\_

DEFENSE DOC. NO. \_\_\_\_\_

Signature COLE

Room# 370

Processed but  
not used

Doc 3196-A Cert attached

NO 1

昭和十九年度後期

大海指綴

其ノ五 (ヨリノ抜萃)

軍機

寫

大海指才四三三号  
昭和十九年七月二十日

軍令部總長 嶋田繁太郎

西豆田聯合艦隊司令長官ニ指示

一、聯合艦隊ノ準據スルキ當面ノ作戰方針別紙通

旨

二、聯合艦隊司令長官ハ右作戰方針準據ニ爾後ノ作戰ヲ實施スベシ

大海指才四三三号別紙

聯合艦隊ノ準據スルキ當面ノ作戰方針

才ノ作戰方針

一、極力現戰略態勢ヲ保持活用シテ敵兵力ヲ漸減ヲ策シテ自ラノ戰機ヲ作為シ又ハ好機ヲ捕捉シテ敵艦隊及敵進攻兵力ヲ擊滅ヲ期ス

二、陸軍ト緊密ニ協同シテ国防要域ノ確保

ニ任シ爾後ノ攻勢ヲ準備ス

三、關係部隊ト緊密ニ協同シテ本邦及南方資源要域間海上交通ヲ確保ス

才二、作戰要領  
一、各種作戰

(1) 基地航空部隊作戰

基地航空兵力、主カヲ本土(北海道本州)南西諸島台湾比島方面三部ヲ干島列島南方要域、中部太平洋方面ニ配備シ敵艦隊及進攻兵力、捕提擊滅ニ任ス

(2) 機動部隊及爾余、海上部隊作戰

大部ハ之ヲ南西方面ニ配備シ敵情ニ応ビ比島方面又ハ一時期南西諸島ニ進出セシメ一部ハ之ヲ本土方面ニ配備シ適時機動作戰、突進ニ努ムト共ニ基地航空部隊ニ策定敵艦隊及進攻兵力、擊滅ニ任ス

(3) 潜水部隊作戰

大部ヲ以テ邀撃作戰或ハ戦機ニ投スル奇襲作戰ヲ実施ス

一部ヲ以テ敵情偵知敵後方補給路、遮断及味方大端基地ニ対スル補給輸送ニ任ス

(4) 奇襲作戰

(一) 又カメテ奇襲作戰ヲ行ヒ特ニ好機敵艦隊ヲ其前進根據地ニ奇襲漸減スルニ努ム

(二) 潜水艦、飛行機、特種奇襲兵器等ヲ以テ  
各種奇襲戰ノ實施ニ努ム

(三) 局地奇襲兵力ハ之ヲ重点的ニ集中配備シ  
敵艦隊又ハ敵進攻兵力海上撃滅ニ努ム  
(四) 海上交通保護及対潜作戰

本邦及南方資源要域間海上交通確保  
為所要、戰略要域ヲ確保スルト共ニ關係部  
隊ニ緊密ニ協力シテ敵機動部隊ノ策動  
及基地ヨリスル敵空襲並ニ敵潜水艦ノ跳  
梁ヲ封殺ス

二 各方面ニ於テノ作戰

(一) 本土(北海道本州)南西諸島台湾比島方面  
(小笠原地区ハ之ニ準ズ)

陸軍及關係部隊ト協力、優先的ニ之ヲ防  
衛ヲ強化シ萬策ヲ盡シテ速ニ決戰即成ノ  
態勢確立ニ努メ敵未寇セバ集中可能ノ全  
兵力ヲ拵テ概ネ我基地航空機ノ威力  
圈内ニ之ヲ邀撃々々滅シテ要域ヲ確保ス  
四 北東方面

陸軍ト協力、戰備ヲ強化シ概ネ所在兵力ヲ  
以テ來攻スル敵ヲ撃滅シ要域ヲ確保ス  
之ヲ特ニ

(一) 縦深配備ヲ執リ強靱ナル作戰ヲ行フト共ニ敵  
ノ跳躍的上陸作戰ニ対応スルセラス

(二) 為シ得六積極的ニ敵前進據点ヲ奇襲シ敵進攻  
氣勢ヲ未到ニ破摧スルニ努ム

(ハ) 中部太平洋方面

(一) 機宜航空基地ヲ活用シテ奇襲攻撃ヲ行ヒ敵基地  
利用封殺並ニ敵兵力漸減ヲ策ス

(二) 小笠原及「パラオ」兩方面ヨリ主トシテ航空機ヲ以テヨリテ  
方面ノ敵ニ対スル攻勢ヲ極力持續スル共ニ敵來寇ニ概所  
在兵ヲ以テ之ヲ擊破シ以テ敵進攻阻止ニ努ム

(ニ) 南東方面

陸軍ト緊密ニ協力シ防備ヲ嚴シ一部飛行場ノ機ニ投  
ズル利用ヲ可能ナラシムツ極力要域確保ニ努ム

(ホ) 南西方面

(一) 資源地帯及之ガ防衛ニ必要ナル要域確保並ニ艦隊  
泊地ニ対スル防衛ニ重兵ヲ置キ陸軍ト密ニ協同シテ極  
力原地人員物資ノ戦力化並ニ自活態勢確立ニ努ム

(二) 敵來寇ニバ為シ得ル限り所在戦力ヲ結集シテ之ヲ擊滅  
シ要域ヲ確保ス

(三) 一部潜水艦又ハ情況ニ依リ一部水上艦艇ヲ機宜印度  
沿海及豪洲西岸ニ行動セシメ敵情報知並ニ敵補給  
路ノ遮断ニ任ズ

(四) 獨潜水艦ノ印度洋ニ於ケル交通破壞戰ニ對シ積極  
的ニ協カス



證明書

ワシントン文書局 第 号

國際檢察部 第三一九六号A

典據及び公正ニ関スル證明

余、工肥天ハ余が下記資格ニ於テ、即チ第二復員局資料整理部史實班長トシテ、日本政府ト公的関係ニ在ルニ於テ、該官吏トシテ余が茲ニ添附スルタル七頁ヨリ成ル、テ九百四十四年ノ昭和十九年度附下記題名、即チ昭和十九年後期大悔指綴文書ノ保管ニ任ジタルコトヲ茲ニ證明ス。

余、更ニ添附記録及び文書ガ日本政府公文書ナルコト、並ニ右が下記名稱ノ省及部局ノ公式書類及綴ノ部ナルコトヲ證明ス。若シテハ綴番号又ハ引用、其他公式書類又ハ綴於ケル該文書成規所在ノ公式名稱ヲモ特記スベシ。

第二復員局資料整理部史實班

于九百四十七年ノ昭和二十二年ノ十月二十日

東京ニ於テ署名

當該官吏署名欄 工肥一夫

右ノ者公的資格 第二復員局資料整理部史實班長  
證 人 筑土龍男

公式入手ニ関スル證明

余、R.H. ラーニシテ、余が聯合國最高指揮官總司令部ニ関係アルニ於テ、並ニ上記題名文書、余が公務上、日本政府、上記署名官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス。

于九百四十七年ノ昭和二十二年ノ十月二十日

東京ニ於テ署名

代 名 欄 Richard H. Laska

右ノ者公的資格 Investor I.P.S.

證 人 Henry Shimoyama

Doc 3196-A Cert.

No. 5

No 1

昭和十九年度後期

大海指綴

其五 (ヨリノ抜萃)

軍機

大海指才四三三号  
昭和十九年七月二十日

寫

軍令部總長 嶋田繁太郎

曲豆田聯合艦隊司令長官ニ指示

一、聯合艦隊ノ進據スルキ當面ノ作戰方針別紙通

旨ニ

二、聯合艦隊司令長官ハ右ノ作戰方針ニ準據シ爾後ノ作戰ヲ實施スベシ

大海指才四三三号別紙

聯合艦隊ノ進據スルキ當面ノ作戰方針

ヲ作戰方針

一、極力現戰略態勢ヲ保持活用シテ敵兵力ヲ漸減ヲ策シソノ自ラノ戰機ヲ作為シ又ハ好機ヲ捕捉シテ敵艦隊及敵進攻兵力ノ撃滅ヲ期ス

二、陸軍ト緊密ニ協同シテ国防要域ノ確保ニ任ジ爾後ノ攻勢ヲ準備ス

三、関係部隊ト緊密ニ協同シテ本邦及南方資

源要域間海上交通ヲ確保ス

才二  
一、各種作戦

(1) 基地航空部隊作戦

基地航空兵力、主カラ本土(北海道本州)南西

諸島台湾比島方面ニ部ヲ干島列島南

方要域中部太平洋方面ニ配備シ敵艦隊

及進攻兵力、捕提撃滅ニ任ス

(2) 機動部隊及爾余、海上部隊作戦

大部ハ之ヲ南西方面ニ配備シ敵情ニ心ビ比

島方面又ハ一時期南西諸島ニ進出セシメ

一部ハ之ヲ本土方面ニ配備シ適時機動作戦、

実施ニ努ムト共ニ基地航空部隊ニ策定敵

艦隊及進攻兵力、撃滅ニ任ス

(3) 潜水部隊作戦

大部ヲ以テ邀撃作戦或ハ戦機ニ投スル奇

襲作戦ヲ実施ス

一部ヲ以テ敵情偵知敵後ヲ補給路、遮断

及味方大端基地ニ対スル補給輸送ニ任ス

(4) 奇襲作戦

一、如クテ奇襲作戦ヲ行ヒ特ニ好機敵艦隊

ヲ其前進根據地ニ奇襲漸減スルニ努ム

(三) 潜水艦、飛行機、特種奇襲兵器等ヲ以テスル各種奇襲戰ノ實施ニ努ム

(三) 局地奇襲兵力之ヲ重點的ニ集中配備シ敵艦隊又ハ敵進攻兵力海上撃滅ニ努ム

(四) 海上交通保護及対潜作戦  
本邦及南方資源要域間海上交通確保、為所要、戰略要矣ヲ確保スルト共ニ関係部隊ニ緊密ニ協力シテ敵機動部隊ノ策動及基地ヨリスル敵、空襲並ニ敵潜水艦ノ跳梁ヲ封殺ス

二、各方面ニ於テ作戦

(1) 本土(北海道本州九州)南西諸島台湾比島方面  
(小笠原地巴之ニ準ズ)

陸軍及関係部隊ト協力、優先的ニ防備ヲ強化シ萬策ヲ盡シテ速ニ決戦即成ノ態勢確立ニ努ム敵未寇セハ集中可能ノ兵力ヲ挙ゲテ概テ我基地航空機ノ威力圈内ニテ邀撃々滅シテ要域ヲ確保ス

(四) 北東方面

陸軍ト協力、戦備ヲ強化シ概テ所在兵力ヲ以テ来攻スル敵ヲ撃滅シ要域ヲ確保ス之ヲ維持ス

(一) 纵深配備ヲ執リ強靱ナル作戦ヲ行フト共ニ敵ノ跳躍的上陸作戦ニ対応スルヲス

(二) 為シ得ル積極的ニ敵前進據点ヲ奇襲シ敵進攻  
 氣勢ヲ未到ニ破摧スルニ努ム

(ハ) 中部太平洋方面

(一) 機宜航空基地ヲ活用シテ奇襲攻撃ヲ行ヒ敵基地  
 利用封殺並ニ敵兵力ヲ漸滅ラサス

(二) 小笠原及「バラオ」兩方面ヨリ主トシテ航空機ヲ以テヨリテ  
 方面ノ敵ニ対スル攻勢ヲ極力持續スル共ニ敵來寇ニ概所  
 在兵ヲ以テ之ヲ擊破シ以テ敵進攻阻止ニ努ム

(ニ) 南東方面

陸軍ト緊密ニ協カシ防備ヲ嚴ニシ一部飛行場ノ機ニ投  
 ズル利用ヲ可能ナラシムツ極力要域確保ニ努ム

(ホ) 南西方面

(一) 資源地帯及之ガ防衛ニ必要ナル要域確保並ニ艦隊  
 泊地ニ対スル防衛ニ重兵ヲ置キ陸軍ト密ニ協同シテ極  
 力原地人員物資ノ戦力化並ニ自活態勢ヲ確立ニ努ム

(二) 敵來寇ニ為シ得ル限り所在戦力ヲ結集シテ之ヲ擊滅  
 シ要域ヲ確保ス

(三) 一部潜水艦又ハ情況ニ依リ一部水上艦艇ヲ機宜印度  
 沿海及豪洲西岸ニ行動マシメ敵情報偵知並ニ敵補給  
 路ヲ遮断ニ任ズ

(四) 獨潜水艦ノ印度洋ニ於ケル交通破壞戰ニ対シ積極  
 的ニ協カス

證明書

ワシントン 文書局 第 号

國際檢察部 第三一九六号A

典據及び公正ニ関スル證明

余、土肥天八余が下記資格ニ於テ、即チ第二復員局資料整理部史實班長トシテ日本政府ト公的関係ニ在ルモノトシテ該官吏トシテ余が茲ニ添附スルタル文。頁ヨリ成ルテ九百四十四年ノ昭和十九年ノ度附下記題名即チ昭和十九年後期大悔指綴文書ノ保管任ニ居ルコトヲ茲ニ證明ス。

余更添附記録及文書が日本政府公文書ナルトシテ右下記名稱ノ省及部局ノ公式書類及綴ノ部ナルコトヲ證明ス(若シテ綴番号又ハ引用其他公式書類又ハ綴於テ該文書成規所在ノ公式名稱ヲ特記スベシ)

第二復員局資料整理部史實班

于九百四十七年ノ昭和二十二年ノ十月二十日

東京ニ於テ署名

當該官吏署名欄 土肥一夫

右ノ者ノ公的資格 第二復員局資料整理部史實班長

公式入手ニ関スル證明

余、R.H.ラーニシテ余が聯合國最高指揮官總司令部ニ関係アルモノトシテ並ニ上記題名文書余が公務上日本政府ノ上記署名官吏ヨリ入手シタルモノナルコトヲ茲ニ證明ス。

于九百四十七年ノ昭和二十二年ノ十月二十日

東京ニ於テ署名

代名 欄 Roshard H. Laroche

右ノ者ノ公的資格 證 Investigator I.P.S.  
人 Henry. Shimoyama

INTERNATIONAL PROSECUTION SECTION

Doc. No. 3196

15 Oct 1947

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Bound Volume, File of Navy Dept Directives,  
General Headquarters (DAI-KAI-SHI TSUZURI), No. 5, 1944 (2nd half)

Date: July-Dec 1944 Original (x) Copy ( ) Language: Japanese

Has it been translated? Yes ( ) No (x)

Has it been photostated? Yes ( ) No (x)

LOCATION OF ORIGINAL

IPS Document Division

SOURCE OF ORIGINAL: 2nd Demobilization Bureau

PERSONS IMPLICATED: SHIMADA, Shigetaro; TOYODA, Teijiro

CRIMES OR PHASE TO WHICH DOCUMENT APPLICABLE: Japanese Naval Operations --  
Policy; Aggressive War

SUMMARY OF RELEVANT POINTS

Document contains Navy Dept Directives for July through December 1944, most of which pertain to agreements between Army and Navy authorities regarding defense of strategic areas, preparation for counter-attacks on the sea, aerial operations, and principles to be followed.

The most significant item summarized below, is Directive No. 431, dated 21 July 1944, explaining operation principles for the Combined Fleet.

Directive 431

From: Chief of Navy General Staff SHIMADA, Shigetaro

To: Cmdr-in-Chief of Combined Fleet TOYODA, Teijiro

1. The principles for the present operation to be followed by the Combined Fleet shall be decided as set forth in the annexed papers.

2. In accordance with the mentioned principles, the Cmdr-in-Chief of the Combined Fleet shall carry on operations henceforth.

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(The annexed papers):

### I. PRINCIPLES OF OPERATION

1. Maintain and utilize the present strategic trend in planning the gradual decrease of enemy power and make and grasp the chance for complete decrease of the enemy power.
2. Cooperate with the Army in securing the strategic points for national defence, preparing for the future trend of attack.
3. Secure the maritime transportation between Japan and Southern districts for abundant resources, keeping close connection with the units concerned.

### II. GIST OF OPERATION

1. Operations of various units and forces
  - A. Operation of Air Force Base
    1. Station main forces in Japan Proper (HOKKAIDO, HONSHU, SHIKOKU and KYUSHU)
    2. Station forces in South Western Islands, Formosa and Philippines areas and station a part in the southern strategic areas and in the middle Pacific for the destruction of enemy power.
  - B. Operation of Striking Forces and other maritime units

Station the majority of forces in the South Western area so that they may advance to Philippines and the South Western Islands area. Station a part of the force in Japan Proper area.
  - C. Operation of Submarine Units

Majority shall be used for interceptive operations or surprise attacks. A part of the units shall be prepared for the transportation of supplies to the bases.
  - D. Surprise Attack Operation
    1. Make as many surprise attacks as possible in attacking the enemy's advanced bases.
    2. Utilize all arms for the surprise attacks, including submarines, airplanes, etc.
  - E. Protection of Maritime Transportation and the Anti-Submarine Operation

Secure strategic points necessary for the security of maritime transportation between Japan proper and the southern territories for resources. Stop the enemy's air attacks and the prevalence of submarines with close cooperation of the units concerned.



2. Operations in Various Areas

A. In Japan Proper (Hokkaido, Honshu, Shikoku, Kyushu), South Western Islands, Formosa and Philippine areas, concentrate all possible power in case of attack from the enemy and intercept them within the distance which can be reached from the air force base.

B. Northeastern Area - Hold the strategic points for the interception of enemy attack.

C. Middle Pacific Area

1. Make surprise attacks, using the air base and utilize or destroy the enemy bases in aiming at decrease of enemy power.

2. Continue the attack on the enemy in Mariana district from Boning Islands or Parao.

D. Southeastern Area - Cooperate with the Army to secure the strategic points by making the defense complete.

E. Southwestern Area

1. Secure the territories having natural resources and the strategic points for their defense.

2. Stress the defense of fleet bases.

3. Secure the self-supplying operation by using the people and the materials on-the-spot for war purposes.

4. In case of attack from the enemy, secure the strategic points by intercepting operations and by mobilizing all powers available.

5. Keep a part of submarines off India coast or western shore of Australia for detecting the enemy's situation and cutting off the enemy's supply route.

6. Cooperate positively with the German submarines which are destroying the transport facilities in the Indian ocean.

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late 1944

" File of Naval Directives (Last period 1944)

No. 5 "

Directive 416 7 July 1944

" Number of mines constructed near Formosa, Batahan and Ogasawara Islands etc.

Directive 426 18 July 1944

" Agreement between the Navy and Army authorities on the Hsiangtung operation "

Directive 431 21 July '44

See

" Measures for the present operation to be followed by the combined fleet "

Directive 435 26 July '44

" Agreement between the Navy and Army authorities regarding the aerial operation of KACHIDOKI "

Directive 436 26 July '44

" Agreement between the Navy and Army authorities accompanied with the transfer of command of the 1st and 8th air divisions "

Directive 446 3 August '44

" Measures for the present operation to be followed by the fleet in China "

Directive 450 22 August '44

" Gist of preparation of counter-attacks on the sea, <sup>which</sup> accompanies <sup>to</sup> KACHIDOKI-GO-operation "

Shipping Advice #15055 (cont'd)  
Site: Home Ministry Library of Prohibited Books.

Sack 2A

<u>Item No.</u>	<u>Description</u>
154.	Printed booklet, "The Lesson of the March Revolution," translated by MACHIDA Teruhiko, Mar 1932. 86 pp.
155.	Printed booklet, "Development of the Mining Industry," by MARUYAMA Ichiro, 1933.
156.	Printed book, "The Proletariat Political Text book, Vol I," translated By MAIKAWA Kujoshi, Feb 1932. 293 pp.
157.	Printed booklet, "The Theory of Freedom in the Tenant Farmer Dispute," by KUDO Kumeji, Apr 1932. 66 pp.
158.	Printed booklet, "The Labor Unions Fight with Fascism," translated by HIGASHI Hideo, Apr 1932. 82 pp.
159.	Printed booklet, "The OSAKA ASAHI Newspaper is a Traitor to Our Country," by FUJI Yoshio, Mar 1932. 32 pp.
160.	No Entry.
161.	Printed book, "Sociology for the Proletariat," by SUMIYA Etsuji, May 1932. 204 pp.
162.	Printed booklet, "The Downfall of the Representative Government," translated from the original work of P. Kropotkine, by ISHIKAWA Sanshiro, Jun 1931. 131 pp.
163.	Printed book, "Twentieth Anniversary Edition," National Rejoice Conference of Chinese Nationals in Japan, Oct 1931. 42 pp.
164.	Printed book, "5th Purofuinten Run Conference Report," Industry and Labor Investigation Board, Feb 1932. 232 pp.
165.	Printed book, "Uprising," by KYO CHAN, 1931. 176 pp.
166.	No Entry.
167.	No Entry.
168.	Printed book, "Peasant Child," translated by ONIWA Toshiro, Jan 1931. 252 pp.
169.	Printed book, "How Should We Fight Landlords," by TAKEFUJI Unjiro, 1931. 236 pp.
170.	Printed book, "September 1st," by TETSUHISA Tsubono, Dec 1939. 97 pp.
171.	Printed booklet, "Restoration and Administration of Imperialism," by DEGUCHI Ushinzaburo, Dec 1934. 102 pp.
172.	Printed book, "The Call of the Endless Deep," by OTABE Fumio, Feb 1935. 141 pp.

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" Agreement between the Navy and Army  
authorities regarding the defense of the  
southern Borneo and Celebes, Andaman  
and Nicobar Islands "

Shipping Advice # 15055 (cont'd)  
Site: Home Ministry Library of Prohibited Books

Sacks # 2

<u>Item No.</u>	<u>Description</u>
103.	Printed booklet, "Collection of Proletariat Songs," Proletariat Musicians League, Oct 1931. 37 pp.
104.	Mimeographed booklet, "What is a Cooperation?" by NAGOYA Cooperation, Oct 1931. 20 pp.
105.	No Entry
106.	Printed book, "Various Problems of Proletariat Education," by ASABARA Kenshin, Sep 1931. 340 pp.
107.	Printed book, "Death of a Hero," Translated by NITA Uruo, Mar 1941. 444 pp.
108.	Printed book, "Proletariat, Vol III," Scientific Research Institute, Dec 1931. (2 copies).
109.	Printed book, "The Eve of Japan's Destiny," by SATOMI Koshio, Nov 1931. 128 pp.
110.	Printed booklet, "Revolutionary Labor Union Movement," by HIRANO Eiji, May 1931. 10 pp.
111.	No Entry
112.	Printed booklet, "The Manchurian Expedition and the Imme- diate Mission of the Japan Communist Party," Central Committee, Japan Communist Party, Nov 1931. 106 pp.
113.	Printed booklet, "Criticism of Industrial Newspaper," Central Committee, Japan Communist Party, Oct 1931. 55 pp.
114.	Printed book, "The Study of the Matriarchal Age of Japan by WATANABE Yoshimichi, Jan 1932. 188 pp.
115.	Printed booklet, "Concerning the State," compiled by SUZUKI Yasuzo, Jan 1932. 76 pp.