



NIOSH

NIOSH Guide to the  
**SELECTION AND USE OF  
PARTICULATE RESPIRATORS**  
CERTIFIED UNDER 42 CFR 84



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Public Health Service  
Centers for Disease Control and Prevention  
National Institute for Occupational Safety and Health

**CDC**  
CENTERS FOR DISEASE CONTROL  
AND PREVENTION

**NIOSH GUIDE TO THE SELECTION AND USE  
OF PARTICULATE RESPIRATORS  
CERTIFIED UNDER 42 CFR 84**

**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
Public Health Service  
Centers for Disease Control and Prevention  
National Institute for Occupational Safety and Health  
Education and Information Division  
Cincinnati, Ohio

**January 1996**

## DISCLAIMER

Mention of any company name or product does not constitute endorsement by the National Institute for Occupational Safety and Health.

**This document is in the public domain and may be freely copied or reprinted.**

Copies of this and other NIOSH documents are available from

National Institute for Occupational Safety and Health  
Publications Dissemination  
4676 Columbia Parkway  
Cincinnati, OH 45226-1998

1-800-35-NIOSH (1-800-356-4674)  
Fax number: (513) 533-8573

To receive other information about occupational safety and health problems, call  
1-800-35-NIOSH (1-800-356-4674), or  
visit the NIOSH Home Page on the World Wide Web at  
<http://www.cdc.gov/niosh/homepage.html>

**DHHS (NIOSH) Publication No. 96-101**

## FOREWORD

In June 1995, the National Institute for Occupational Safety and Health (NIOSH) updated and modernized the Federal regulation for certifying air-purifying particulate respirators [42 CFR 84]. The respirators certified under this new regulation are tested under much more demanding conditions than under the old regulation [30 CFR 11], and they provide increased worker protection. These new respirators also provide significant cost savings: estimates indicate that the health care industry alone will save millions of dollars as a result of this new generation of practical and efficient respirators.

This guide was designed to explain the new regulation and to provide valuable information for selecting and using the new respirators certified by NIOSH.

We greatly appreciate the assistance of respirator manufacturers and others in making this information available to all employers and respirator program managers. We believe this information will help protect the health and lives of U.S. workers who wear particulate filter respirators.



Linda Rosenstock, M.D., M.P.H.  
Director, National Institute for  
Occupational Safety and Health  
Centers for Disease Control and Prevention



# CONTENTS

Foreword .....	iii
Abbreviations .....	vii
Acknowledgments .....	ix

## I. SUMMARY FOR RESPIRATOR USERS ..... 1

II. DETAILED GUIDELINES FOR USE .....	3
A. Purpose .....	3
B. Background .....	3
C. 42 CFR 84 Filter Classes .....	4
D. Use Limitations .....	7
E. Particulate Respirator Selection .....	7
F. Respiratory Protection for Tuberculosis .....	9

## APPENDICES

A. Part 11 and Part 84 Labels for Various Filters .....	10
B. Substitution of Part 84 Respirators for Part 11 Respirators Already in Use .....	13
C. Flow Chart for Selecting Part 84 Particulate Filters .....	15
D. Examples of Part 84 Respirator Selection .....	16
E. Commonly Asked Questions and Answers About Part 84 Respirators .....	18

REFERENCES .....	20
------------------	----



## ABBREVIATIONS

APF	assigned protection factor
CFR	Code of Federal Regulations
DFM	dust, fume, and mist
DHHS	U.S. Department of Health and Human Services
DM	dust and mist
DOP	dioctyl phthalate
HEPA filter	high-efficiency particulate air filter
HR	hazard ratio
IDLH	immediately dangerous to life and health
MMAD	mass median aerodynamic diameter
MSHA	Mine Safety and Health Administration
NaCl	sodium chloride
NIOSH	National Institute for Occupational Safety and Health
OSHA	Occupational Safety and Health Administration
PAPR	powered air-purifying respirator
PEL	permissible exposure limit
REL	recommended exposure limit
SCBA	self-contained breathing apparatus
TB	tuberculosis





## **ACKNOWLEDGMENTS**

This document was initially drafted by Jeff Bryant and Walter Ruch. Technical review and assistance were provided by Roland Berry Ann, Nancy Bollinger, Donald Campbell, Bryan Hardin, Linda Martin, Richard Metzler, Ernest Moyer, Robert Mullan, and Laurence Reed. We thank Judy Hudnall and Dick Carlson for art work and cover design, Anne Hamilton for editing, and Diane Manning for preparation of the manuscript and the camera-ready copy.

NIOSH thanks the American Industrial Hygiene Association (AIHA), particularly Anna-Marie DiPasquale, John Meagher, and Tom Nelson, for providing the facilities and organizing the joint AIHA/NIOSH technical workshop (July 1995). Thanks to all the workshop participants and many reviewers who helped in completing this document.

## I. SUMMARY FOR RESPIRATOR USERS

This summary presents a brief overview of what the respirator user needs to know about the new categories of particulate respirators certified by the National Institute for Occupational Safety and Health (NIOSH).

NIOSH has developed a new set of regulations in 42 CFR\* 84 (also referred to as "Part 84") for testing and certifying nonpowered, air-purifying, particulate-filter respirators. The new Part 84 respirators have passed a more demanding certification test than the old respirators (e.g., dust and mist [DM], dust, fume and mist [DFM], spray paint, pesticide, etc.) certified under 30 CFR 11 (also referred to as "Part 11").

Changes in the new regulations involve only nonpowered, air-purifying, particulate-filter respirators. Certification requirements for all other classes of respirators (e.g., chemical cartridge, self-contained breathing apparatus [SCBA], airline, gas mask without a particulate filter, powered air-purifying respirator [PAPR] equipped with high-efficiency particulate air [HEPA] filter, etc.) have been transferred to Part 84 without change. Until further notice, the Occupational Safety and Health Administration (OSHA) is allowing the continued use of Part 11 particulate-filter respirators. Under Part 84, NIOSH is allowing manufacturers to continue selling and shipping Part 11 particulate filters as NIOSH-certified until July 10, 1998.

The new Part 84 regulation provides for nine classes of filters (three levels of filter efficiency,<sup>†</sup> each with three categories of resistance to filter efficiency degradation<sup>‡</sup>). The three levels of filter efficiency are 95%, 99%, and 99.97%. The three categories of resistance to filter efficiency degradation are labeled N, R, and P. The class of filter will be clearly marked on the filter, filter package, or respirator box. For example, a filter marked N95 would mean an N-series filter that is at least 95% efficient. Chemical cartridges that include particulate filter elements will carry a similar marking that pertains only to the particulate filter element.

The new classes of nonpowered particulate respirators require new decision logic for selection of the proper respirator. The selection process for using the new particulate classification is discussed fully in Section II (Detailed Guidelines for Use) and outlined as follows:

---

\* *Code of Federal Regulations*. See CFR in references.

<sup>†</sup> Filter efficiency is the stated percentage of particles removed from the air.

<sup>‡</sup> Filter efficiency degradation is defined as a lowering of filter efficiency or a reduction in the ability of the filter to remove particles as a result of workplace exposure.

1. The selection of N-, R-, and P-series filters depends on the presence or absence of oil particles, as follows:
  - If no oil particles are present in the work environment, use a filter of any series (i.e., N-, R-, or P-series).
  - If oil particles (e.g., lubricants, cutting fluids, glycerine, etc.) are present, use an R- or P-series filter. *Note:* N-series filters cannot be used if oil particles are present.
  - If oil particles are present and the filter is to be used for more than one work shift, use only a P-series filter.

**Note:** To help you remember the filter series, use the following guide:

N for *Not* resistant to oil,  
R for *Resistant* to oil  
P for oil *Proof*

2. Selection of filter efficiency (i.e., 95%, 99%, or 99.97%) depends on how much filter leakage can be accepted. Higher filter efficiency means lower filter leakage.
3. The choice of facepiece depends on the level of protection needed—that is, the assigned protection factor (APF) needed.

## II. DETAILED GUIDELINES FOR USE

### A. PURPOSE

The purpose of this user's guide is (1) to familiarize respirator users with the new Part 84 certification regulations for particulate respirators, and (2) to provide guidance for the selection and use of the new particulate respirators. The new regulation became effective on July 10, 1995, and replaces the old Part 11 regulation under which NIOSH and the Mine Safety and Health Administration (MSHA) jointly certified respirators before that date.

These guidelines are written for those responsible for establishing and administering an acceptable respiratory protection program. These individuals should be knowledgeable about the basic elements of a respiratory protection program as required in the OSHA respiratory protection standard [29 CFR 1910.134] and as recommended in the *NIOSH Guide to Industrial Respiratory Protection* [NIOSH 1987], the *American National Standard for Respiratory Protection* (ANSI Z88.2-1992) [ANSI 1992], and the *American Industrial Hygiene Association Respiratory Protection Manual* [AIHA 1993].

### B. BACKGROUND

The old Part 11 respirator certification regulation [30 CFR 11] was promulgated in 1972. Some of the particulate filter certification tests dated from Bureau of Mines procedures during the 1930s and were never significantly updated. New research, testing, and manufacturing technology have made the particulate filter certification procedures in Part 11 outdated.

Only certifications of nonpowered, air-purifying, particulate-filter respirators are affected by this change from Part 11 to Part 84. Powered, air-purifying, particulate-filter respirators will be addressed in a future revision to Part 84. The remaining portions of Part 11 are incorporated into Part 84 without change. This limited revision provides for certification tests using a worst-case penetrating aerosol (i.e., an aerosol that produces maximum filter penetration) so that the new certified filters can be used against any size of particulate in the workplace. Other respirator testing and certification procedures will be addressed through a series of future changes to Part 84.

On July 10, 1995, 30 CFR 11 was replaced by 42 CFR 84 as an active regulation. As of that date, NIOSH no longer accepts applications for new approvals or for extension of

approvals under Part 11 regulations. All nonpowered, air-purifying, particulate-filter respirators approved under Part 84 must meet the new performance standard. However, the new regulation permits the manufacture and sale of nonpowered particulate respirators certified under Part 11 until July 10, 1998. This 3-year period will provide time for manufacturers to have new respirators approved and manufactured to meet demand. OSHA, MSHA, and other regulatory agencies have the authority to set a use deadline for 30 CFR 11 filters purchased before July 10, 1998.

A new sequence of approval numbers (TC-84A-xxxx) is used for nonpowered particulate respirators certified under Part 84. All other respirator types will continue to use the sequence of approval numbers previously used for Part 11 because the requirements for these other types have not changed. For example, the number series TC-13F-xxxx indicates an SCBA that is certified under the provisions of either the old Part 11 or the new Part 84. Similarly, PAPRs for particulates that are certified under the new Part 84 will continue to be numbered with the sequence TC-21C-xxxx (as they were numbered under Part 11) because the certification requirements have not yet changed. Appendix A shows examples of the old Part 11 and the new Part 84 certification labels.

All particulate respirators approved under Part 84 will have a certification label bearing the NIOSH and the Department of Health and Human Services (DHHS) emblems, whereas those approved under Part 11 have the emblems of NIOSH and MSHA. This allows the user to distinguish particulate respirators certified before July 10, 1995, under Part 11 from particulate respirators certified after that date under Part 84.

The revised testing requirements for particulate filters are much more demanding than the old Part 11 tests, and they provide much better evidence of the filter's ability to remove airborne particles. The new requirements are consistent with 20 years of advances in respiratory protection technology.

### **C. 42 CFR 84 FILTER CLASSES**

The Part 84 certification regulation provides for nine classes of filters (three levels of filter efficiency, with three categories of resistance to filter efficiency degradation). The three levels of filter efficiency are 95%, 99%, and 99.97%. The three categories of resistance to filter efficiency degradation are labeled N (*Not resistant to oil*), R (*Resistant to oil*), and P (*oil Proof*) (see Table 1). **These new certification categories apply only to nonpowered, air-purifying, particulate-filter respirators.** PAPRs for particulates will be approved only with high-efficiency filters. PAPRs will not be approved with DM or DFM filters under Part 84. This rule also eliminates the combination categories of paint spray and pesticide respirator approvals; however, other combination respirators (e.g., particulates and acid gases or organic vapors) will be certified under Part 84.

**Table 1.—Description of filter classes certified under 42 CFR 84**

<b>Class of filter</b>	<b>Efficiency (%)</b>	<b>Test agent</b>	<b>Test maximum loading (mg)</b>	<b>Type of contaminant</b>	<b>Service time*</b>
N-series	—	NaCl <sup>†</sup>	200	Solid and water-based particulates (i.e., non-oil aerosols)	Nonspecific <sup>‡,§</sup>
N100	99.97				
N 99	99				
N 95	95				
R-series	—	DOP oil**	200	Any	One work shift <sup>‡,††</sup>
R100	99.97				
R 99	99				
R 95	95				
P-series	—	DOP oil	Stabilized efficiency	Any	Nonspecific <sup>‡</sup>
P100 <sup>‡‡</sup>	99.97				
P 99	99				
P 95	95				

\*NIOSH will be conducting and encouraging other researchers to conduct studies to assure that these service time recommendations are adequate. If research indicates the need, additional service time limitations may be recommended by NIOSH for specific workplace conditions.

<sup>†</sup>NaCl = sodium chloride.

<sup>‡</sup>Limited by considerations of hygiene, damage, and breathing resistance.

<sup>§</sup>High (200 mg) filter loading in the certification test is intended to address the potential for filter efficiency degradation by solid or water-based (i.e., non-oil) aerosols in the workplace. Accordingly, there is no recommended service time limit in most workplace settings. However, in dirty workplaces (high aerosol concentrations), service time should only be extended beyond 8 hours of use (continuous or intermittent) by performing an evaluation in specific workplace settings that demonstrates (a) that extended use will not degrade the filter efficiency below the certified efficiency level, or (b) that the total mass loading of the filter is less than 200 mg (100 mg per filter for dual-filter respirators).

\*\*DOP oil = dioctyl phthalate.

<sup>††</sup>No specific service time limit when oil aerosols are not present. In the presence of oil aerosols, service time may be extended beyond 8 hours of use (continuous or intermittent) by demonstrating (a) that extended use will not degrade the filter efficiency below the certified efficiency level, or (b) that the total mass loading of the filter is less than 200 mg (100 mg per filter for dual-filter respirators).

<sup>‡‡</sup>The P100 filter must be color-coded magenta. The Part 84 Subpart KK HEPA filter on a PAPR will also be magenta, but the label will be different from the P100 filter, and the two filters cannot be interchanged.

NIOSH established the new test criteria to simulate worst-case respirator use and very severe test conditions. These filters can be used without particle size analysis or filter penetration testing in the workplace. R- or P-series filters should be selected if there are oil (e.g., lubricants, cutting fluids, glycerine) or non-oil aerosols in the workplace. N-series filters should be used only for non-oil (i.e., solid and water-based) aerosols.

**Note:** To help you remember the filter series, use the following guide:

N for *Not* resistant to oil,  
R for *Resistant* to oil  
P for oil *Proof*

The filter certification test is called worst-case (i.e., it produces maximum filter penetration) because the test conditions are the most severe that are likely to be encountered in a work environment. These conditions are:

- Air flow that simulates a high work rate ( $85 \pm 4$  liters per minute for single filters,  $42.5 \pm 2$  liters per minute through each filter for paired filters)
- The most penetrating aerosol size (approximately 0.3 micrometer)
- Charge-neutralized particles
- The most filter-degrading test aerosol for R- and P-series filters
- Measurement of instantaneous (not average) penetration
- High total filter loading (up to 200 mg for N- and R-series filters, and continued loading until there is no further decrease in efficiency for P filters)

The degradation categories (N-, R-, and P-series) will be determined by using either sodium chloride (NaCl) or dioctyl phthalate (DOP) as the test aerosol. NaCl is only slightly degrading to filter efficiency, whereas DOP is very degrading. Respirators tested with NaCl (i.e., N-series filters) are not resistant to efficiency degradation by oils and



should be used only in workplaces free of oil aerosols. Filters passing DOP oil tests (i.e., R- and P-series filters) are resistant to efficiency degradation and can be used for protection against any aerosols (including oil-based particulates) in the workplace.

#### **D. USE LIMITATIONS**

The service life of filters in all three categories of filter efficiency degradation (i.e., N-, R-, and P-series) is limited by considerations of hygiene, damage, and breathing resistance. All filters should be replaced whenever they are damaged, soiled, or causing noticeably increased breathing resistance (e.g., causing discomfort to the wearer).

R- or P-series filters can be used for protection against oil or non-oil aerosols. N-series filters should be used only for non-oil aerosols. Use and reuse of the P-series filters would be subject only to considerations of hygiene, damage, and increased breathing resistance. Generally, the use and reuse of N-series filters would also be subject only to considerations of hygiene, damage, and increased breathing resistance. However, for dirty workplaces that could result in high filter loading (i.e., 200 mg), service time for N-series filters should only be extended beyond 8 hours of use (continuous or intermittent) by performing an evaluation in specific workplace settings that demonstrates (a) that extended use will not degrade the filter efficiency below the efficiency level specified in Part 84, or (b) that the total mass loading of the filter(s) is less than 200 mg. The R-series filters should be used only for a single shift (or for 8 hours of continuous or intermittent use) when oil is present. However, service time for the R-series filters can be extended using the same two methods described above for N-series filters. These determinations would need to be repeated whenever conditions change or modifications are made to processes that could change the type of particulate generated in the user's facility.

#### **E. PARTICULATE RESPIRATOR SELECTION**

To select the correct respirator for protection against particulates, the following conditions must be known:

- The identity and concentration of the particulates in the workplace air
- The OSHA or MSHA permissible exposure limit (PEL), the NIOSH recommended exposure limit (REL), or other occupational exposure limit for the contaminant
- The hazard ratio (HR) (i.e., the airborne particulate concentration divided by the exposure limit)

- The APF<sup>§</sup> for the class of respirator (the APF should be greater than the HR)
- The immediately dangerous to life or health (IDLH) concentration, including oxygen deficiency [NIOSH 1994]
- Any service life information available for combination cartridges or canisters

Multiplying the occupational exposure limit by the APF for a respirator gives the maximum workplace concentration in which that respirator can be used. For example, if the commonly accepted APF for a half-mask respirator is 10 and the PEL is 5 mg/m<sup>3</sup>, then 50 mg/m<sup>3</sup> is the highest workplace concentration in which a half-mask respirator can be used against that contaminant. If the workplace concentration is greater than 50 mg/m<sup>3</sup>, a more protective respirator (with a higher APF) should be used. In no case should an air-purifying respirator be used in IDLH concentrations.

Appendix B presents a simplified guideline that can be used to identify an appropriate Part 84 particulate respirator when a properly selected Part 11 respirator is already in use.

Appendix C presents a flow chart that can be used to select the appropriate Part 84 particulate filters.

Appendix D presents some substance-specific examples of Part 84 respirator selection.

Appendix E answers questions commonly asked about Part 84 respirators.

### **Note Concerning Part 11 Particulate Filters**

Because research shows that particles sized 2 micrometers or smaller can penetrate some DM and DFM filters, these Part 11 filters should be used only when the mass median aerodynamic diameter (MMAD) is known to be greater than 2 micrometers [NIOSH 1995]. If this diameter is less than 2 micrometers or is unknown, a Part 11 HEPA filter or *any* Part 84 filter should be used.

---

<sup>§</sup>The APF (assigned protection factor) is the minimum anticipated level of protection provided by each type of respirator worn in accordance with an adequate respiratory protection program. For example, an APF of 10 means that the respirator should reduce the airborne concentration of a particulate by a factor of 10 (or to 10% of the workplace concentration).

OSHA currently sets APFs in some substance-specific standards. OSHA is now conducting rulemaking [29 CFR 1910.134] that will set uniform APFs for all respirator types.

## Note on Respirator Fit

OSHA requires that all respirators be properly **fit-tested** using a quantitative or qualitative fit test when initially assigned to a user and periodically thereafter. In addition to fit-testing, your respirator manufacturer has recommended **fit-checking** procedures that should be followed by the user each time the respirator is worn.

## F. RESPIRATORY PROTECTION FOR TUBERCULOSIS

The only respirators certified by NIOSH under Part 11 that meet CDC filtration efficiency performance criteria for protection against tuberculosis (TB) are those with HEPA filters. All nine classes of nonpowered, air-purifying, particulate-filter respirators certified under Part 84 meet or exceed the CDC filtration efficiency performance criteria [CDC 1994]. Several of the Part 84 particulate-filter respirators will be less expensive and more comfortable than Part 11 HEPA-filter respirators, and they are likely to be more readily accepted by health care facilities and workers.

Health care delivery settings are generally free of oil aerosols that would be degrading to filter efficiency. Therefore, N-, R-, or P-series respirators are appropriate for protection against TB in health care settings and other workplaces in which oil aerosols are absent; these respirators are subject to replacement as necessary by considerations of hygiene, damage, and breathing resistance.

Current OSHA policy permits the use of a Part 11 HEPA filter or *any* Part 84 particulate filter for protection against TB [Miles 1995]. Pending completion of a final TB standard, respiratory protection against TB will be regulated by OSHA under the current unrevised respirator standard [29 CFR 1910.134] and compliance policy directives.

For additional information about respiratory protection for exposure to TB, refer to *Guidelines for Preventing the Transmission of Mycobacterium Tuberculosis in Health-Care Facilities* [CDC 1994] and *Protect Yourself Against Tuberculosis—A Guide for Health Care Workers* [NIOSH 1996].

# APPENDIX A

## PART 11 AND PART 84 LABELS FOR VARIOUS FILTERS

### PART 11 LABEL FOR HEPA FILTER

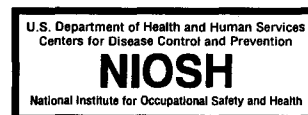
#### PERMISSIBLE

PERMISSIBLE PARTICULATE FILTER RESPIRATOR FOR DUSTS, FUMES, AND MISTS, INCLUDING ASBESTOS-CONTAINING DUSTS AND MISTS, AND RADIONUCLIDES

MINE SAFETY AND HEALTH ADMINISTRATION  
NATIONAL INSTITUTE FOR OCCUPATIONAL  
SAFETY AND HEALTH



**APPROVAL NO. TC-21C-XXX**



ISSUED TO  
ABC Company  
Anywhere, USA

#### LIMITATIONS

Approved for respiratory protection against dusts, fumes, and mists having a time-weighted average less than 0.05 milligram per cubic meter, including asbestos-containing dusts and mists, and radionuclides.

Not for use in atmospheres containing toxic gases or vapors.

Not for use in atmospheres immediately dangerous to life or health. Not for use in atmospheres containing less than 19.5% oxygen.

#### CAUTION

In making renewals or repair, parts identical with those furnished by the manufacturer under the pertinent approval shall be maintained.

Follow the manufacturer's instructions for changing filters.

This respirator shall be selected, fitted, used, and maintained in accordance with the regulations of the Mine Safety and Health Administration, the Occupational Safety and Health Administration, and other applicable agencies.

MSHA/NIOSH Approval TC-21C-XXX

Issued to ABC Co. February 31, 1990

The approved assembly consists of the following part numbers:

000-000

000-000

etc.



## PART 84 LABEL FOR N100 AND P95 FILTER



DEF MANUFACTURING COMPANY  
ANYWHERE, USA  
1-800-555-1234

**THESE RESPIRATORS ARE APPROVED ONLY IN THE FOLLOWING CONFIGURATIONS:**

RESPIRATOR COMPONENTS					
TC-	PROTECTION <sup>1</sup>	FACEPIECE	FILTER		CAUTIONS AND LIMITATIONS <sup>2</sup>
			HALO 1000	NO100	
84A-00X	N100	X	X		ABCJLMNO
84A-00Y	P95	X		X	ABCJLMNO

### 1. PROTECTION

N100-Particulate Filter (99.97% filter efficiency level) is effective against particulate aerosols free of oil; time use restrictions may apply.	P95-Particulate Filter (95% filter efficiency level) is effective against all particulate aerosols.
--	---

### 2. CAUTIONS AND LIMITATIONS

- A—Not for use in atmospheres containing less than 19.5% oxygen.
- B—Not for use in atmospheres immediately dangerous to life or health.
- C—Do not exceed maximum use concentrations established by regulatory standards.
- J— Failure to use and maintain this product properly could result in injury or death.
- L—Follow the manufacturer's instructions for changing cartridges and/or filters.
- M—All approved respirators shall be selected, fitted, used, and maintained in accordance with MSHA, OSHA, and other applicable regulations.
- N—Never substitute, modify, add, or omit parts. Use only exact replacement parts in the configuration specified by the manufacturer.
- O—Refer to user instructions and/or maintenance manuals for information about use and maintenance of these respirators.



**PART 84 LABEL FOR P100 FILTER**



DEF MANUFACTURING COMPANY  
 ANYWHERE, USA  
 1-800-555-1234

THESE RESPIRATORS ARE APPROVED ONLY IN THE FOLLOWING CONFIGURATIONS:			
TC-	PROTECTION <sup>1</sup>	RESPIRATOR	CAUTIONS AND LIMITATIONS <sup>2</sup>
84A-00X	P100	HALO 2000	ABCMNO

**1. PROTECTION**

P100-Particulate Filter (99.97% filter efficiency level) is effective against all particulate aerosols.

**2. CAUTIONS AND LIMITATIONS**

- A—Not for use in atmospheres containing less than 19.5% oxygen.
- B—Not for use in atmospheres immediately dangerous to life or health.
- C—Do not exceed maximum use concentrations established by regulatory standards.
- J— Failure to use and maintain this product properly could result in injury or death.
- M—All approved respirators shall be selected, fitted, used, and maintained in accordance with MSHA, OSHA, and other applicable regulations.
- N—Never substitute, modify, add, or omit parts. Use only exact replacement parts in the configuration specified by the manufacturer .
- O—Refer to user instructions and/or maintenance manuals for information about use and maintenance of these respirators.

## APPENDIX B

### SUBSTITUTION OF PART 84 RESPIRATORS FOR PART 11 RESPIRATORS ALREADY IN USE

The following selection guidelines are intended for those who are currently using a Part 11 respirator that has been properly selected. In this context, a properly selected respirator means one that has been selected based on knowledge of the contaminant and its concentration in a particular work setting, the exposure limit of the contaminant, and the general principles of respirator selection.

Following these recommendations is the simplest approach to making the transition from Part 11 filters to Part 84 filters. However, this approach may yield filter recommendations that exceed those actually required in a particular work setting. For example, because both the 99% and 95% filters outperform the DM and DFM filter classes, there may be situations where the 99% or 95% filters are an appropriate substitute for a HEPA filter.

#### 1. If you are currently using a DFM or DM filter:

- **In a work setting free of oil aerosols**, the minimally protective filter would be an N95.
- **In a work setting that may contain or does contain oil aerosols**, the minimally protective filter would be an R95 or P95.

#### 2. If you are currently using a HEPA filter:

- **In a work setting free of oil aerosols**, an N100 filter would be protective.
- **In a work setting that may contain or does contain oil aerosols**, an R100 or P100 filter would be protective.

**NOTE: All nine classes of Part 84 filters are appropriate for protection against TB (see Chapter II, Section F).**

**3. If you are currently using a paint-lacquer-enamel combination cartridge:**

- **In a work setting free of oil aerosols**, a combination respirator consisting of an organic vapor cartridge and an N95 particulate filter with an optional prefilter (to prevent rapid clogging by paint aerosols) would be minimally protective.
- **In a work setting that may contain or does contain oil aerosols**, a combination respirator consisting of an organic vapor cartridge and an R95 or P95 particulate filter with a prefilter (to prevent rapid clogging by paint aerosols) would be minimally protective.

**4. If you are currently using a pesticide respirator for protection against a particulate and an organic vapor:**

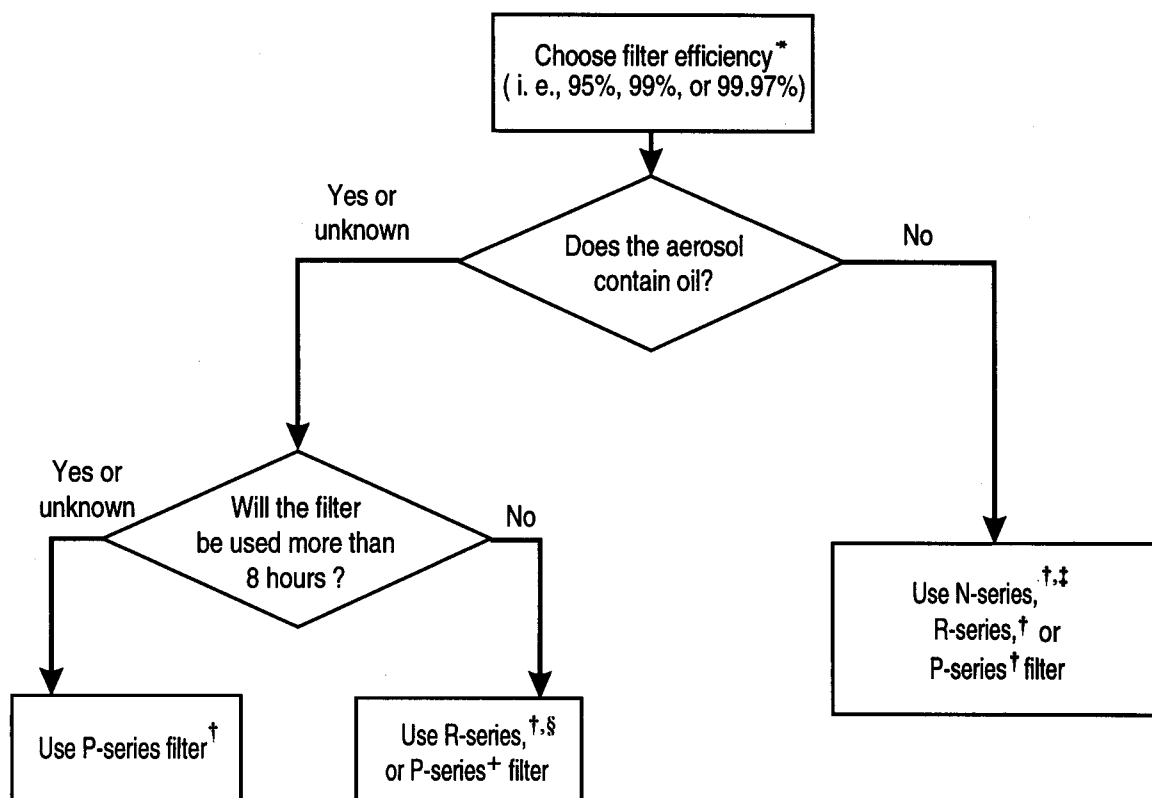
- A combination respirator consisting of an organic vapor cartridge and an N95 (non-oil aerosols) or an R95 or P95 particulate filter would be minimally protective.
- As another example, a particular pesticide may have such low vapor pressure that only a particulate filter may be needed. Thus in certain situations, there may be no need for a combination particulate filter and organic vapor cartridge as recommended above.

**NOTE: Particulate filters will not protect against gases or vapors.**



## APPENDIX C

### FLOW CHART FOR SELECTING PART 84 PARTICULATE FILTERS



\*The higher the filter efficiency, the lower the filter leakage.

<sup>†</sup>Limited by considerations of hygiene, damage, and breathing resistance.

<sup>‡</sup>High (200 mg) filter loading in the certification test is intended to address the potential for filter efficiency degradation by solid or water-based (i.e., non-oil) aerosols in the workplace.

Accordingly, there is no recommended service time in most workplace settings. However, in dirty workplaces (high aerosol concentrations), service time should only be extended beyond 8 hours of use (continuous or intermittent) by performing an evaluation in specific workplace settings that demonstrates (a) that extended use will not degrade the filter efficiency below the certified efficiency level, or (b) that the total mass loading of the filter is less than 200 mg (100 mg per filter for dual-filter respirators).

<sup>§</sup>No specific service time limit when oil aerosols are not present. In the presence of oil aerosols, service time may be extended beyond 8 hours of use (continuous or intermittent) by demonstrating (a) that extended use will not degrade the filter efficiency below the certified efficiency level, or (b) that the total mass loading of the filter is less than 200 mg (100 mg per filter for dual-filter respirators).

## APPENDIX D

### EXAMPLES OF PART 84 RESPIRATOR SELECTION

#### RESPIRATOR SELECTION PROCESS FOR IRON OXIDE FUME (25 mg/m<sup>3</sup>)

1. The IDLH for iron oxide is 2,500 mg/m<sup>3</sup> and there is sufficient oxygen so that an air-purifying respirator can be used.
2. Iron oxide is not an oil particulate. Therefore, a respirator with a Type N filter can be used.
3. The OSHA PEL for iron oxide is 10 mg/m<sup>3</sup>. The HR for iron oxide in this example is  $(25 \text{ mg/m}^3)/(10 \text{ mg/m}^3) = 2.5$ .
4. A minimally appropriate respirator would be a half-mask respirator (commonly accepted APF = 10) with an N95 filter. The N95 filter service time is limited only by considerations of hygiene, damage, and breathing resistance.

#### RESPIRATOR SELECTION PROCESS FOR MIXTURE OF COPPER DUST (22 mg/m<sup>3</sup>) AND OIL MIST (8 mg/m<sup>3</sup>)

1. The atmosphere is non-IDLH (2,500 mg/m<sup>3</sup> for oil mist and 100 mg/m<sup>3</sup> for copper) and has sufficient oxygen so that an air-purifying respirator can be used.
2. The OSHA PEL is 1 mg/m<sup>3</sup> for copper dust and 5 mg/m<sup>3</sup> for oil mist.
3. Oil mist is present; therefore, either an R- or P-series filter should be used.
4. In this example, the HR for copper dust is  $(22 \text{ mg/m}^3)/(1 \text{ mg/m}^3) = 22$ , and the HR for the oil mist is  $(8 \text{ mg/m}^3)/(5 \text{ mg/m}^3) = 1.6$ . Since the copper dust HR is the higher of the two contaminants, this value should be used to select the appropriate respirator. Because the HR of 22 exceeds the APF of 10 for a half-mask respirator, a minimally appropriate respirator would be a full-facepiece respirator with either an R95 or a P95 filter. If the R95 filter is used, it should be used for one shift only (see Table 1 in Section C of Chapter II) unless the testing previously described has been done to allow more than one shift.

**RESPIRATOR SELECTION PROCESS FOR THE INSECTICIDE DUST  
DINITRO-*o*-CRESOL (0.6 mg/m<sup>3</sup>)**

1. The IDLH for dinitro-*o*-cresol is 5 mg/m<sup>3</sup> and there is sufficient oxygen so that an air-purifying respirator can be used.
2. Dinitro-*o*-cresol is a solid particulate with a low vapor pressure, and there is no oil aerosol in this example. Therefore, a respirator with an N-series filter can be used (Note: See discussion on vapor in item 4, below).
3. The OSHA PEL for dinitro-*o*-cresol is 0.2 mg/m<sup>3</sup>. The HR in this example for dinitro-*o*-cresol is  $(0.6 \text{ mg/m}^3)/(0.2 \text{ mg/m}^3) = 3$ .
4. A minimally appropriate respirator would be a half-mask respirator (APF = 10) with an N95 filter. The N95 filter service time is limited by hygiene, damage, and breathing resistance. If a particular pesticide (including insecticide) presents a vapor hazard, a combination organic vapor and appropriate particulate filter should be used.

## APPENDIX E

### COMMONLY ASKED QUESTIONS AND ANSWERS ABOUT PART 84 RESPIRATORS

1. **How effective are the Part 84 filter respirators against particles smaller than 0.3 micrometer in diameter?**

The 0.3-micrometer diameter used in the certification testing is approximately the most penetrating particle size for particulate filters. Although it seems contrary to expectation, smaller particles do not penetrate as readily as 0.3-micrometer particles. Therefore, these respirators will filter all other particle sizes at least as well as the certified efficiency level.

2. **How effective are the Part 84 filter respirators against asbestos fibers or other rod-shaped particles?**

Although fibers or rod-shaped particles may have very small cross-sectional diameters relative to their lengths, the Part 84 particulate filter respirators will be at least as efficient against this particle shape as the certified efficiency level.

3. **How do I tell a new Part 84 particulate filter from the Part 11 predecessors?**

There are several labeling changes for Part 84 filter respirators that should enable users to distinguish between the Part 11 and Part 84 respirators. These are as follows:

- NIOSH and DHHS emblems on Part 84 labels replace NIOSH and MSHA logos on Part 11 labels.
- The approval number will be in the format of TC-84A-xxxx for Part 84 devices rather than TC-21C-xxxx or TC-23C-xxxx for Part 11 devices (except for particulate PAPRs, which will continue to be numbered with the sequence TC-21C-xxxx or TC-23C-xxxx).
- The certification filter series and efficiency levels (e.g., N95, P100, etc.) are included on the Part 84 filter approval label.

**4. How long can I use my particulate respirator for TB exposures before I discard it?**

In the health care setting, the filter material used in respirators may remain functional for weeks to months [CDC 1994]. As long as there is no oil mist, reuse is limited only by considerations of hygiene, damage, and breathing resistance. Respirators with replaceable filters are designed for reuse, and a respirator classified as disposable may be reused by the same health care worker as long as it remains functional.

Before each use, the filter material should be inspected. If the filter material is physically damaged or soiled, the filter should be changed (in the case of respirators with replaceable filters) or the respirator should be discarded (in the case of disposable respirators). Your employer should develop standard operating procedures for storing, reusing, and disposing of respirators designated as disposable and for disposing of replaceable filters.

**5. Is it always necessary to fit-check a respirator before each use?**

Fit-checking your respirator before each use is important to minimize contaminant leakage into the facepiece. Your respirator manufacturer has recommended fit-checking procedures that should be followed by the user each time the respirator is worn.

## REFERENCES

AIHA [1993]. Respiratory protection: a manual and guideline. Fairfax, VA: American Industrial Hygiene Association.

ANSI [1992]. American national standard for respiratory protection. New York, NY: American National Standards Institute, ANSI Z88.2-1992.

CDC [1994]. Guidelines for preventing the transmission of *Mycobacterium tuberculosis* in health-care facilities, 1994. MMWR 43(RR-13).

CFR. Code of Federal regulations. Washington, DC: U.S. Government Printing Office, Office of the Federal Register.

Miles JB Jr. [1995]. Memorandum of September 6, 1995, from John B. Miles, Jr., Occupational Safety and Health Administration, to Regional Administrators, National Office Directorates, and Area Directors, Occupational Safety and Health Administration, U.S. Department of Labor.

NIOSH [1987]. NIOSH guide to industrial respiratory protection. Cincinnati, OH: U.S. Department of Health and Human Services, Public Health Service, Centers for Disease Control, National Institute for Occupational Safety and Health, DHHS (NIOSH) Publication No. 87-116.

NIOSH [1994]. NIOSH pocket guide to chemical hazards. Cincinnati, OH: U.S. Department of Health and Human Services, Public Health Service, Centers for Disease Control, National Institute for Occupational Safety and Health, DHHS (NIOSH) Publication No. 94-116.

NIOSH [1995]. NIOSH testimony on the Occupational Safety and Health Administration's proposed rule on respiratory protection, June 8, 1995, OSHA Docket No. H-049. NIOSH policy statements. Cincinnati, OH: U.S. Department of Health and Human Services, Public Health Service, Centers for Disease Control, National Institute for Occupational Safety and Health.

NIOSH [1996]. Protect yourself against tuberculosis—a guide for health care workers. Cincinnati, OH: U.S. Department of Health and Human Services, Public Health Service, Centers for Disease Control, National Institute for Occupational Safety and Health, DHHS (NIOSH) Publication No. 96-102.



# NIOSH GUIDE TO THE SELECTION AND USE OF PARTICULATE RESPIRATORS CERTIFIED UNDER 42 CFR 84

## SUMMARY FOR RESPIRATOR USERS

This summary presents a brief overview of what the respirator user needs to know about the new categories of particulate respirators certified by the National Institute for Occupational Safety and Health (NIOSH).

NIOSH has developed a new set of regulations in 42 CFR\* 84 (also referred to as "Part 84") for testing and certifying nonpowered, air-purifying, particulate-filter respirators. The new Part 84 respirators have passed a more demanding certification test than the old respirators (e.g., dust and mist [DM], dust, fume and mist [DFM], spray paint, pesticide, etc.) certified under 30 CFR 11 (also referred to as "Part 11").

Changes in the new regulations involve only nonpowered, air-purifying, particulate-filter respirators. Certification requirements for all other classes of respirators (e.g., chemical cartridges, self-contained breathing apparatus [SCBA], airlines, gas masks without a particulate filter, powered air-purifying respirators [PAPRs] equipped with high-efficiency particulate air [HEPA] filters, etc.) have been transferred to Part 84 without change. Until further notice, the Occupational Safety and Health Administration (OSHA) is allowing the continued use of Part 11 particulate-filter respirators. Under Part 84, NIOSH is allowing manufacturers to continue selling and shipping Part 11 particulate filters as NIOSH-certified until July 10, 1998.

The new Part 84 regulation provides for nine classes of filters (three levels of filter efficiency,<sup>†</sup> each with three categories of resistance to filter efficiency degradation<sup>‡</sup>). The three levels of filter efficiency are 95%, 99%, and 99.97%. The three categories of resistance to filter efficiency degradation are labeled N, R, and P. The class of filter will be clearly marked on the filter, filter package, or respirator box. For example, a filter marked N95 would mean an N-series filter that is at least 95% efficient. Chemical cartridges that include particulate filter elements will carry a similar marking that pertains only to the particulate filter element.

The new classes of nonpowered particulate respirators require new decision logic for selection of the proper respirator. The selection process for using the new particulate classification is outlined as follows and is discussed in Section II of *NIOSH Guide to the Selection and Use of Particulate Respirators Certified Under 42 CFR 84* (see reverse side to order complete document):

\* *Code of Federal Regulations*. See CFR in references.

<sup>†</sup> Filter efficiency is the stated percentage of particles removed from the air.

<sup>‡</sup> Filter efficiency degradation is defined as a lowering of filter efficiency or a reduction in the ability of the filter to remove particles as a result of workplace exposure.

1. The selection of N-, R-, and P-series filters depends on the presence or absence of oil particles, as follows:
  - If no oil particles are present in the work environment, use a filter of any series (i.e., N-, R-, or P-series).
  - If oil particles (e.g., lubricants, cutting fluids, glycerine, etc.) are present, use an R- or P-series filter. *Note:* N-series filters cannot be used if oil particles are present.
  - If oil particles are present and the filter is to be used for more than one work shift, use only a P-series filter.

***Note:*** To help you remember the filter series, use the following guide:

N for *Not* resistant to oil,  
R for *Resistant* to oil  
P for oil *Proof*

2. Selection of filter efficiency (i.e., 95%, 99%, or 99.97%) depends on how much filter leakage can be accepted. Higher filter efficiency means lower filter leakage.
3. The choice of facepiece depends on the level of protection needed—that is, the assigned protection factor (APF) needed.

---

Call 1-800-35-NIOSH (1-800-356-4674) for additional information or for free single copies of the complete document *NIOSH Guide to the Selection and Use of Particulate Respirators Certified Under 42 CFR 84* [DHHS (NIOSH) Publication No. 96-101].

**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
Public Health Service  
Centers for Disease Control and Prevention  
National Institute for Occupational Safety and Health





Delivering on the Nation's promise:  
Safety and health at work for all people  
Through research and prevention

DHHS (NIOSH) Publication No. 96-101