INDEX OF THE ANNEXES.


Annex No. 5 Certificate by Mr. Tetsuo Fukushima, Physicion.

Annex No. 6 Certificate by Mr. Niza Satori, Ex-director of the Nippon Electric Co., Ltd.

Annex No. 7 Certificate by Mr. Eigoro Tsumori, Ex-president of the Toyo Communications Machine Co., Ltd.

Annex No. 8 Certificate by Mr. Toshihide Watanabe, President of the Nippon Electric Co., Ltd.

Annex No. 9 Certificate by Mr. Chuzo Osawa, President of the Toyo Communications Machine Co., Ltd.

Annex No.10 Certificate by Mr. Tokunori Nakamura, Chairman, Executive Committee, Nippon Electric Industry Company Branch, All Japan Electric Industry Workers Union.

Annex No.11 Certificate by Mr. Kiichi Nakajima.

Annex No.12 The Actual Status of the Nippon Electric Co., Ltd. as the Sumitomo Zaibatsu and its transition at the time of assumption of office as official in the Toyo Communications Machine Co., Ltd.

Annex No. 5

Certificate

Patient: KIGUCHI, Kaku

Address: No. 4, Shiba-Nishikubo, Shiroyama-cho, Minato-ku, Tokyo.

Date of Birth: 25 December 1892

1. Name of Disease: Violent habitual headache and weak constitution.

The subject person is delicate from birth and suffers from weak heart. From childhood to young girlhood, she was attacked with various diseases, such as serious pneumonia twice and dysentery once, underwent the operation of piles three times, and was in hospital for three years on account of violent intercostal neulagria. Furthermore, when she had a tooth extracted, she had profuse bleeding owing to the doctor's unskilful treatment, which seemed to have impaired her trigmental, and she was unconscious for several months. Though she recovered consciousness through medical treatments and careful nursing, she was said to have been unable to do even a simple adding calculation for several years after that.

The recovery of consciousness, however, was followed by frequent violent headache which has become habitual with her and has annoyed her for twenty years, coming upon her almost once a week.

I, as her attending doctor, have been treating her since 1934. When headache attacked her, it was so violent and unbearable that she burst into tears. There is no other means to relieve her from pain than injecting her two ampoule of "Pantpon". However, the injection has weakend her heart and endangered her life several times in the past. Furthermore, she used to suffer from vomit for a whole day after the indection, and could not eat anything during the period. As her paroxysm was a dangerous one, I could not administer injection without the presence of her husband. When he was at office, I made it a rule to telephone to him and ask him to come home.

Her paroxysm of headache was most frequent and serous during the period from the time little before my becaming her attending doctor to 1943. During that period, her already fragile consitution grew weaker and weaker owing to the frequent attack of headache and was confined to bed almost all the time. Under these circumstances, her husband could not even make a long journey as he liked, and it was absolutely impossible for him to change his place of employment to anywhere outside of Tokyo.

Since about 1943, the pain has been a little alleviated, but she still suffers from paroxysm about once a week.

Physician: Tetsuo Fukushima

Address: No. 5, Shiba Nishikubo-Shiroyama-cho, Minato-ku, Tokyo

3 February, 1948.

I, as her attending doctor, have been treating her since 1934. When headache attacked her, it was so violent and unbearable that she burst into tears. There is no other means to relieve her from pain than injecting her two ampoule of "Pantpon". However, the injection has weakend her heart and endangered her life several times in the past. Furthermore, she used to suffer from vomit for a whole day after the indection, and could not eat anything during the period. As her paroxysm was a dangerous one, I could not administer injection without the presence of her husband. When he was at office, I made it a rule to telephone to him and ask him to come home.

Her paroxysm of headache was most frequent and serous during the period from the time little before my becaming her attending doctor to 1943. During that period, her already fragile consitution grew weaker and weaker owing to the frequent attack of headache and was confined to bed almost all the time. Under these circumstances, her husband could not even make a long journey as he liked, and it was absolutely impossible for him to change his place of employment to anywhere outside of Tokyo.

Since about 1943, the pain has been a little alleviated, but she still suffers from paroxysm about once a week.

Physician: Tetsuo Fukushima

Address: No. 5, Shiba Nishikubo-Shiroyama-cho, Minato-ku, Tokyo

3 February, 1948.

Annex No. 6.

## Cerificate

In February or March of 1937 I, the undersigned, who was Director and Chief of the Business Department of the Nippon Electric Co., Ltd., became acquainted through the introduction of Mr. Shizuka Shizume, my relative, with Mr. Eigoro Tsumori, Managing Director of the Meisho Electric Machine Co., Ltd. and the Toyo Wireless Telegraph & Telephone Co., Ltd., by whom I was requested to extend financial assistance to his company. On investigations I found the fact that with all its excellent technique the company was being prevented from expansion by the want of capital. I promised to comply with the request and took over some of the shares of his two companies. However, as it was a short time since my assumption of position in the Nippon Electric Co., I was too busy to manage other companies; and my company lacked in organization and had no time and personnel to spare to do so. Besides, Mr. Tsumori as well wished my company to have no hand in the management of his. So, we made an agreement that the assistance in question should be only financial one, and that the management should be wholly entrusted to Mr. Tsumori. And yet Mr. Tsumori made a request that I recommend a person of business ability, which I declined, considering that it would violate the agreement. But Mr. Tsumori earnestly repeated his request, explaining that he wanted the person not as a representative of my company but as his assistant. Thinking that to send a man in his personal capacity was not the violation, I acceded, and recommended Mr. Tokijiro Kiguchi.

The Nippon Electric Co. at that time was under management of a small group consisting of late Managing Director Shida, myself and several others, and only a short time had passed since we started the management. Sumitomo was only entrusted with the management by the Western Electric Co. of the U.S.A. the then largest share-holder. The Nippon Electric Co. was not even a connected company of the Sumitomo Honsha at the time. Therefore, as far as the Nippon Electric Co. was concerned, it was so situated that the management could be exercised at almost free will of the staff including myself. Thus, our concert with Mr. Tsumori and the recommendation of Mr. Kiguchi were carried out easily. Our agreement with Mr. Tsumori was not originary started on our own initiative, but by our compliance with his request for financial aid at our first interview through introduction.

The same may be said of the circumstance which led to the recommendation of Mr. Kiguchi: I was not able to refuse Mr. Tsumori's request. At first I felt a great difficulty in the selection of the right person for the post. Mr. Kiguchi, then Sub-Manager of the Tokyo Store of the Sumitomo Central Company, was well acquainted with Mr. Shizume, because Mr. Kiguchi had a close relation with the parents' family of Mrs. Shizume since its past generation. Becoming aware of this fact, and believing him to be the fittest to the requirement of Mr. Tsumori in respect of his personal experience and character, I consulted him and obtained his approval. It was quite clear from the understanding we had made that he

intended

proceeded to his new post in his personal capacity. As a matter of fact Mr. Kiguchi acted as a complete assistant to Mr. Tsumori after his assumption of the post in every respect; we often thought that his attitude was excessively definite.

I believe that in the above statement I have removed all doubts concerning the circumstances under which /he assumed his post. As we have confirmed that he also performed his part faithfully to our request while he was with Mr. Tsumori, I, as one who was concerned in the initial negotiation with him, hereby definitely testify that Mr. Kiguchi was in his office in his purely private capacity but by no means as a representative of the Nippon Electric Co., Ltd.

February 4, 1948

Niza Satori
(Signed and Sealed)
Date of Birth: July 26, 1893.
Address: 376, Tamagawa-Nogemachi,
Setagaya-ku, Tokyo-to.

Annex No. 7

## Certificate

The Meisho Electric Machine Co. Ltd. and the Toyo Wireless Telegraph & Telephone Co. Ltd., both of which I presided as managing director from the time of their foundation, were capitalized at only ¥500,000 each, getting orders form the Navy and the Communications Ministry. Though I came to feel keenly the necessity of enlarging the plants of these companies in compliance with the rapid increase of demand after the breakout of Manchurian Incident, it was very difficult for me to raise fund.

However, I could get out of this difficulty when I succeeded in obtaining cooperation from the Japan Electricity Co.,Ltd (NIPPON DENKI K.K.) through the efforts of an intimate friend of mine, Mr. Shizuka Shizume who was an advisor of our company and was a relation of Mr. Niza Satori, director of the NIPPON DENKI K.K. and the chief of the Business Department thereof. Thus, these companies increased capital to ¥2,000,000 each and constructed new factories in Kawasaki city.

When our companies established a relationship with the NIPPON DENKI K.K. , I earnestly requested the latter to recommend a person experienced in the business affairs and fitted to the post of my assistant. The NIPPON DENKI, however, rejected this solicitation for the reason that they would not recommend any person against the agreement made at the start of our relation of cooperation. By this agreement, the NIPPON DENKI refrained from participating in the personnel affairs and the business mangement of our company. Despite

this rejection, I did not change my mind. Generally speaking, such small companies as ours lack in men of ability in the staff. I had no confidence in my managing the expanded business with such poor staff. So, I repeatedly requested Mr. Satori until he gave his consent to recommend Mr. Tokijiro Kiguchi for the post of my assistant with the understandings that Mr. Kiguchi entered my company not in the capacity of representing rhe NIPPON DENKI, but in quite a private capacity. One of the motives of this recommendation was that it so happened that Mr. Kiguchi was an acquaintance of Mr. Shizume through Mrs. Shizume's folks. It was late in May, 1937.

At that time, the Meisho Electric Machine Company had been one of the superintended factories under the Navy, and the participation of the other company in its management and personnel affiars was subject to the authorization of the Navy in view of the necessity of keeping secrets. It was, therefore, favourable for our company to have made the understandings with the NIPPON DENKI K.K. to the effect that the latter would not perticipate in neither management nor personnel affairs of our company. About the appointment of Mr. Kiguchi, I obtained the understanding with the Navy clarifying the fact that he entered our company merely as my assistant. It is true that he was merely an assistant to me, and I expected him at that time to display his talent as chief of business department.

Thus, he assumed the office of Standing Director in our company. I appointed him to this post of my own accord so as to make him show well his ability.

It was also at my suggestion that he was made managing director of the newly-established TOKO TSUSHINKI K.K. (the Tokyo Communication Machine Co., Ltd.) which came into existance in November, 1938 as the result of the amalgamation of the Meisho Electric Machine Company with the Toyo Wireless Telegraph & Telephone Company. I cannot help expressing my gratitude to him for his great service which he rendered to me as my assistant for ten long years until I retired at my own will from the post of president.

I know best about Mr. Kiguchi since his taking up the post in our company.

I hereby certify, swearing by the name of God, the fact that Mr. Kiguchi was neither an "Appointee" nor a representative of the interest of the NIPPON DENKI K.K.

Eigoro Tsumori

Address: No. 69, 2-chome,
Shiba Shirogane-
dai-machi, Minato-
ku, Tokyo.

Date of Birth: 8 May 1891.

Annex No. 8

## Certificate

I hereby confirm that the reasons why Mr. KIGUCHI, Tokijiro assumed the office of Standing Director and Managing Director of Toyo Communications Machine Co. (TOYO TSUSHIN-KI K.K.) are as certified by Mr. TSUMORI, Eigoro, Managing Director of the above company of the day (later became President) and Mr. SATORI, Niza, Director and Chief of Business Department of my Company.

I further confirm that the reasons why the said person assumed the office of Director and elected President of Nippon Electric Industry Company (NIPPON DENKI SEIKI K.K.) and why he assumed the Chairman in the Board of Directors of Toyo Communications Machine Company, were based entirely upon the internal affairs of respective company and that the assumption of offices mentioned above was not caused by the control of personnel affairs by this company.

February 4, 1948.

WATANABE, Toshihide (Seal)

President,
Nippon Electric Co., Ltd.

Annex No. 9

## Certificate

In May 1937, Mr. Kiguchi Tokijiro entered Meisho Electric Machine Co., Ltd., and Toyo Wireless Telegraph and Telephone Co., Ltd., the precursors of this company as standing director. This was based upon a gentleman's agreement exchanged between Mr. Tsumori Eigoro, Managing director of this company at the time (became President in May 1945) and Mr. Satori Niza, Director and Business Manager of ~~Japan~~ Nippon Electric~~ity~~ Co., Ltd., when the business cooperation was agreed upon between them obtaining financial assistance from the latter. Mr. Kiguchi was engaged in a private capacity as an assistant to Mr. Tsumori, neither as a representative nor as an appointee of the ~~Japan~~ Nippon Electric~~ity~~ Co.

I understand that the contents of the gentleman's agreement mentioned above were that the ~~Japan~~ Nippon Electric~~ity~~ Co., might acquire the transfer of stock of the above two companies, but would not participate in the management and personnel affairs, trusting whole matter to Mr. Tsumori. Judging from the facts from that time on, it is true that the ~~Japan~~ Nippon Electric~~ity~~ Co., did not exercise its control over our company.

Then in March 1946, Mr. Kiguchi assumed the post of chairman of Board of Directors, the circumstances of which were that when Mr. Kiguchi resigned as managing director in March of the same year, Mr. Osawa, Managing director succeeding him, had not yet been well acquainted with the conditions within and without the company, and for this reason Mr. Osawa ~~were~~ earnestly desirous of obtaining the assistance of Mr. Kiguchi as an advisor. Thereupon Mr. Kiguchi was requested to assume the chairmanship

and as the result of this request he became chairman.

However, because of the fact that Mr. Kiguchi had the main business elsewhere, officially he was only requested to act as ~~leader~~ chairman at the meeting of Board of Directors, while the full responsibility for business management including calling of Board of Directors meetings, was agreed to be placed upon Mr. Osawa and this arrangement was carried out.

Later, when Mr. Kiguchi became busier owing to the pressure of business at the Nippon Electric Industry Co., while managing director Mr. Osawa became thoroughly acquainted with the conditions within and without the company and there was no longer any difficulty in carrying out the business management, Mr. Kiguchi resigned as chairman in December, 1946.

4 February, 1948.

Tokyo-to Minato-ku Shiba Saioji-machi No.53

Toyo Communications Machine Co., Ltd.

OSAWA, Chuzo

President.

Annex No. 10

## Supplementary Statement

Since the establishment in 1914, the customers of this company had always been Ministry of Navy, and other Government offices as the Ministries of Communications and Railway, and especially during the war the greater part of business was occupied by the order of the military authorities, while there was almost no sales in the general market.

Upon termination of war the armed forces, the biggest customer of this company was dissolved and there remained as customers Ministries of Communications and Railway only, and in this situation the prospect of this company was hopeless. Besides, there were scarcely any person in the company who were well acquainted with business affairs in the future course of this company, the fact of which had caused the existing uneasiness of the company workers more and more acute. The workers were eagerly desirous of devoting themselves unitedly to the rehabilitation of the company under the leadership of democratic officials.

At this time, there happened to arise the question of filling a vacancy in the company officials, and the officials meeting decided to recommend Mr. Kiguchi Tokijiro who was then Managing Director of Toyo Communications Machine Co., Ltd., and an acquaintance of Mr. Nakajima Kiichi, Chief of Production Department who was an employee since the formation of this company and had been enjoying the utmost confidence of the whole workers.

The company workers union was asked to give its assent to this decision, and thereupon the union from its own standpoint

made a detailed investigation in cooperation with the workers union of Toyo Communications Machine Co., upon investigation, the union confirmed that he was a fully experienced democratic business leader whom the employees of this company were most zealously looking for, and therefore the assent was given to his assumption of office as official in this company.

Since then one year and ten months have passed, and Mr. Kiguchi has lived up to the expectation of company workers devoting himself to the recovery of production notwithstanding all the difficulties in the way.

The company workers desire that Mr. Kiguchi will continue to serve this company continuously hereafter.

2 February, 1948

All Japan Electric Industry Workers Union,
Nippon Electric Industry Company Branch,
NAKAMURA, Tokunori Seal
Chairman, Executive Committee.

Annex No. 11

## Certificate

I hereby certify that Mr. KIGUCHI, Tokijiro is my respectable friend who has sound personality and deep professional knowledge, and had ever given us many valuable suggestions about electric generators for wireless, the main products of our company. After the termination of the war, when confronted with grave problems such as the establishment of management principle, administration in the future, etc., my company was planning to tide over the situation and was eager to have a man of ability in business and of public confidence; under such circumstances, I happened to take notice of Mr. KIGUCHI and recommended him to Mr. SHIMADA, Tsutomu, Managing Director of the day and my class mate, thereby making a motive to have Mr. KIGUCHI in my Company.

4 February 1948.

NAKAJIMA, Kiichi (Seal)
(born on 6 Oct. 1892)

No. 1696, Shimoochiai, Yono-machi,
Kitaadachi-gun, Saitama Prefecture.

Annex No.12

## The Actual Status of the Nippon Electric Co., Ltd. as the Sumitomo Zaibatsu and its transition at the time of assumption of office as official in the Toyo Communications Machine Co., Ltd.

In order to understand the circumstances under which Kiguchi entered the Toyo Communications Machine Co., it deems necessary to make it clear about the status and character of the Nippon Electric Co. in the Sumitomo Zaibatsu. Although the details of this connection are given in the annexed reference data of the Nippon Electric Co., supplementary explanations are given here.

At the beginning the I.T.T. Co. of U.S.A. which had managed the Nippon Electric Co. entered the Sumitomo Honsha with the management of the Nippon Electric Co. through the medium of the Sumitomo Electric Wire Manufacturing Co., (afterward, Sumitomo Electric Industry Co.,Ltd.) then several persons were sent forth to manage the Nippon Electric Co. among these was Mr. Niza Satori. At that time the stock-holding percentage of the Sumitomo was only 14 %, comparing with the I.T.T. Company which held several times more of the stock, there was quite difference in the managing power between both companies. Thus, the Nippon Electric Co. was one of the connected companies of the Sumitomo Electric Wire Mfg. Co., it was proper to recognize the Nippon Electric Co. as the connected company of the I.T.T. Co. in reality.

It is most important and never overlooked fact that it was just at this time, May. 1937, when Kiguchi entered Mr. Tsumori's Company recommended by Mr. Satori and when the Nippon Electric Co. did not exercise the control over personnel affairs of its associate companies (see the Reference Data forattached sheets Nos. 1 and 2). This fact is manifest that Kiguchi was not the representative of the Nippon Electric Co., and furthermore taking consideration of the existance of gentlemen's agreement between Messrs. Tsumori and Satori, it comes out more evident.

Afterward the capital of the Nippon Electric Co. was increased in Feb. 1938, and percentage of stock-holding was also increased thus becoming an associate company of the Sumitomo and in Jan. 1943, a direct-affiliate company. But these transitions of the status had no changing effect upon the relation between the Nippon Electric Co. and the Toyo Communications Machine Co.

REFERENCE DATA FOR ANNEXES NOS. 1 AND 2.

In order to grasp the clear view of the personnel affairs relations between Sumitomo Honsha and our company, it deems, first of all, necessary to give full detail of the administration of our company.

The company was established on 17 July 1899 (capital at the time: ¥200,000). At the beginning, the company which conducted chiefly the business of importation and assembling of telephone apparatus and switchboard, was administered and supported with funds, materials and other aids in general management by Western Electric Company, New York, U.S.A.

Thenceforth, the company made a favourable progress in business and its capital reached ¥20,000,000 (of which ¥17,5[illegible] ¥17,500,000 was paid up) in November 1928, and its products became to cover the general electric machines, such as wire and wireless electric communications machinery.

After the first World War, however, confronted with the depression of business in general, I.T.T. Company (this company was separated from the above mentioned Western Electric Company, and became independent) entrusted Sumitomo Honsha with the management (i.e. routine work) through the medium of Sumitomo Electric Wire Mfg. Co. (i.e. the antecedent of the existing Sumitomo Company, same as our Company) which had theretofore had close relations in administration with I.T.T. Company. (refer: Annex No. 1. "Stockholding percentage of the leading stockholders at that time").

After that, the business went favourably again, and the capital was increased to ¥200,000,000 in Feb. 1938. On this occasion, a part of stock held by I.T.T. Co. was transferred to Sumitomo Honsha and the latter became to administer our company as its connected Company. The percentages of stock-holding at that time were as follows: I.T.T. Co.: 34%, Sumitomo concerns: 31%, and other Japanese firms: 35%; (refer: Data No.2. "Stockholding percentage of the leading stockholders at that time"). After the outbreak of the Pacific War, under the various circumstances surrounding, our company became a direct-affiliate company of the Sumitomo on 1 January 1943, and the name of the company was changed from "Japan Electricity Company" to "Sumitomo Communications Industry Company". Thus placed was our company under the control of Sumitomo both in name and reality. After the termination of the war, however, Sumitomo-Zaibatsu was dissolved, and the name of our company was changed again to the former name, "Japan Electricity Company" on November 1945.

With regard to the control of personnel affairs by Sumitomo Honsha, as the outline thereof is made clear by "About Regulations of the control of personnel affairs in Sumitomo and its actual application" (refer: Data No. 3), the actual application of the above regulations to our company contrasted considerably with the cases of the other direct-affiliate companies, for the former was a direct-affiliate company during the short term of one year since January 1943. In other words, although our company was, in fact, a connected company of Sumitomo Electric Wire Manufacturing Company since 1932 till 1938, the stock held

by the Sumitomo was no more than 14% of all, by the reason of which Sumitomo could scarecely exercise the control over personnel affairs of our Company. Since January 1938, our company was a connected company of Sumitomo Honsha. There were, however, prominent stockholders other than the Sumitomo, such as I.T.T. Company etc., by which Sumitomo's control over personnel affairs of our company was considerably limited. That is, during the period up to the time when our company became a direct-affiliate company of Sumitomo, the decision, in reality, of the appointment or dismissal of important officers of our comapny could not be made without listening to the opinion of other prominent stockholders, although, in formality, Sumitomo Honsha was consulted by our company through the President.

In January 1943, our company was designated as direct-affiliate company of Sumitomo. The personnel of the direct-affiliate companies of Sumitomo was primarily Sumitomo's personnel and was characteristic on the point that their personal rights had been supervised by the Honsha.

In this connection, the whole personnel of our company was nominally became Sumitomo's personnel. Surrounded by intense circumstances of wartime, our company was confronted with various difficulties in routine matters in the course of the change of personnel, and therefore was not able to carry outthe readjustment of personnel in the former post, new appointment, dissmissal of important officers, or other changes. In this situation,

different from the case of the other direct-affiliate companies, the Honsha was unable to carry out a complete control over the personnel affairs of this company.

It was in such a period as considered substantially the preparatory stage for direct-affiliate company that our company including all factories was designated as a munitions company as provided for in Munitions Company Law which came into effect as from 31 October 1943 (refer: Data No. 4). Thecontrol of military authorities became strict over the administration of our company, for 95% of the products was electric communications machines and other military requisits most necessary for military operations. Munitions officers, controlers and supervisers were despatched to each factory on one hand, and on the other, a considerable number of military persons were appointed directors, advisors, or SHOKUTAKU of our company. Under such circumstances, Sumitomo Honsha realized the real difficulties in exercising its strict control over personnel affairs of our company, and various procedures provided for in the Regulations became a useless formality, as they had been converted into mere reports in reality.

It was a reality that the appointment of officers of the company was decided by the will of "responsible person for production" (i.e. President) and that Sumitomo participated actively in no case of personnel affairs.

In September 1944, Sumitomo Honsha made a declaration that they would suspend the control over any business or enterprise under them. The control of personnel affairs was thus suspended

(refer: Data No. 3, Item 8 and the following Items). Since October of the same year, when the Sumitomo Wartime Total Power Conference was established till the time when the stock of our company was entrusted with the stockholding companies readjustment committee, Sumitomo Honsha could hardly control the personnel affairs of the direct affiliate companies. As the above fact is described in the data submitted by the said company, I will not repeat to mention it.

I hereby declare that the above statement is true in every detail.

5 February 1948.

WATANABE, Ayahira (Seal)

President,
Japan Electricity Co., Ltd.

The Actual State of Control by the ~~Japan~~ Nippon Electric~~ity~~ Co., Ltd. (NIPPON DENKI K.K.) over the Personnel Administration of its Subsidiary Companies.

(1) Whether or not the agreement or by-law existed concerning the personnel control over the subsidiary companies, and its contents and the actual state of control.

~~As stated in the preceding Item~~, the transition of the management of our company, being different from other affiliate companies of SUMITOMO, has followed the particular course. With regard to the control over the personnel affairs of the subsidiary companies, there has also been observed great differences from other affiliate companies of SUMITOMO.

From February, 1938 to the time of the designation as an direct affiliate company of SUMITOMO in January, 1943, our company was a connected company of SUMITOMO. During that period, neither agreement nor by-law existed between our company and its subsidiary companies concerning the personnel control of the former over the latter. Instead of taking the standardized policy, we administrated the personnel control in conformity with the actual state of each associate companies referring to the policy adopted by other direct affiliate companies of SUMITOMO. After our company became direct affiliate company of the SUMITOMO HONSHA in January, 1943, we followed the policy of the latter for the personnel administration. However, the situation at that time, as it was after the break out of the Pacific War,

did not allow us to take drastic measures regarding the change in personnel of associate companies, because such measures might have hampered the smooth execution of business. So, we referred to the substance of the SUMITOMI's policy and intended to apply it gradually to each associate company. We, therefore, did not make any agreement or by-law concerning the control over the personnel administration of the associate companies, but we had the associate companies consult us in some form or other concerning the appointment of their respective highest responsible person in advance, while regarding all executive officers above standing director, the similar procedure was actually taken. As for those, however, who were promoted to the post above standing director from among employees of special ability in technique or business management, our company received only perfunctory report from the associate company concerned. Whereas our company had not enough time to penetrate its influence of personnel control into the subsidiary companies, the Munitions Company Law came into force on ~~December~~ October 31 of 1943, and almost all important associate companies and subsidiary companies of this company were designated as the munitions company. From that time on, the military authorities directly participated influentially in the personnel administration concerning "responsible official for production," "official in charge" and other prominent officers of those designated companies, while the personnel control of our company over the personnel affairs of these companies became to be almost powerless and legally nullified. So, the aforementioned personnel administration policy of our company became to be useless and we dare say that what we could do in personnel matter was in reality to receive reports on the personnel changes in the subsidiary companies. Since then, appointment of officers of our subsidiary companies was decided by each president who was "responsible official for

production," and we had almost no influence over it. The similar condition prevailed in other subsidiary companies of SUMITOMO. Under these circumstances, the SUMITOMO HONSHA declared in September 15th of 1944 that all its control over all the enterprises under them was entirely eliminated (Refer: Data submitted by SUMITOMO). Acting under this declaration, our company also abolished its control over the personnel affairs of the subsidiary companies concerned, and since then, business of the subsidiary companies was executed by their respective highest responsible person.

As the SUMITOMO HONSHA subsequently set up SUMITOMO Wartime Total Power Conference in conformity with the transition of war situation, our company also followed it and set up the SUMITOMO Communications Industry Wartime Total Power Conference, the object of which was to confer with each other on the matters pertaining to the reconstruction of air-raided plants, arrangement for supplying these plants with necessary materials and construction of or aid to the evacuated plants. At this meeting little was talked about the important personnel affairs such as appointment of officers.

In the meantime, the war terminated, and this meeting was abolished. Late in September, 1945, the Allied Powers' policy of eliminating Zaibatsu was made known, and the SUMITOMO HONSHA also decided to take the course of dissolving the SUMITOMO Zaibatsu. Under these circumstances, our company did not express any intention to revive the old control ~~of~~ over the personnel affairs of the associate or subsidiary companies, and accordingly the personnel administration of these companies continued to ~~was~~ remain in the hands of their respective highest responsible person. In ~~December~~ October 24th of 1945, the SUMITOMO HANSHA notified its affiliate and associate companies that it decided to make each of these companies completly an independent one.

Then, each subsidiary company which had been under our company also cut off the relations with us and became an independent company. (Refer: Data submitted by the SUMITOMO HONSHA; Subject "Regulations concerning the control by the SUMITOMO over the personnel affairs, and its application.")

It may be added that our company was designated as a holding company in December 28th of 1946, and was ordered to deliver to the Holding Company Liquidation Committee all the securities of its associated and subsidiary companies held by our company. Up to January 25th of 1947, our company entrusted the exercise of voting right on almost all its share holdings with the Committee, and subsequently completed the delivery of whole shares to the Committee on March 12 of the same year.

I affirm that the above statement is true and correct.

Toshihide ~~Ayahira~~ Watanabe (Seal)
the President of the NIPPON
DENKI K.K.; No.2, Shiba,
Mita Shikoku-cho,
Minato-ku, Tokyo.

APPROVED May. / 1948
承認 昭和23年5月/

古河

NAME OGUCHI, Ryo

Misao Ueda

23.2.5. 付

No. 251

Name of Company Asahi Denka Kogyo Kabushiki Kaisha

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應員の日本文に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

七、この調査表の重要な事項について虚僞の記載をし又は事實をなくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

旭電化工業株式會社 第 號

氏名 小口 亮

第一頁

# 個人調査書

一、姓名（振假名をつけること）　小口亮（おぐちりょう）

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）　該当事項なし

三、生年月日（年齢數え年）　明治二十二年七月二十日（六十才）

四、出生地（都道府縣）　長野縣

五、現住所（略記しないこと）及び電話番號　東京都豊島區雜司ヶ谷一ノ三四

六、本籍地（略記しないこと）　長野縣諏訪郡下諏訪町三二三六

Questionnaire

1. Name (in full). OGUCHI, Ryo

2. Other names which you have used or by which you have been known. (alias or penname).

Not applicable

3. Date of birtn (age).

July 25, 1889 (Age: 60)

4. Place of birth (prefecture).

Nagano Prefecture

5. Present address (in full) and telephone number.

34 1-Chome, Zoshigaya-cho, Toshima-ku, Tokyo

6. Permanent address (in full).

3236 Shimo-Suwa-cho, Suwa-gun, Nagano Prefecture

第二頁

七、現に保有し又は就こうとする財閥、制限、従属又は關係會社における役員としての地位

（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）

旭電化工業株式會社

古河系

（二）財閥會社、制限會社、従屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）

財閥会社　準直系

（三）地位

監査役

（四）就任の豫定日

昭和十九年十二月九日

八、前項の他本人の保有するすべての身分及び職業

該当事項なし

九、財閥としての指定者との親族關係

（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）

該当事項なし

（二）指定者が指定された日において指定者と同一戸籍内にあつたことの有無

該当事項なし

7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

Asahi Denka Kogyo Kabushiki Kaisha

Affiliate of Furukawa

b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

Zaibatsu company-Indirect affiliate

c. Position

Auditor

d. Scheduled date of assumption of the position.

December 9, 1944

8. All other positions snd professions which you hold now.

Not applicable

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (includiug relationship with the Zaibatsu family members.)

Not applicable

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

Not applicable

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務内容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務内容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

(1)本人の役員としての就任及び退任の時における當該會社の株式の一割以上の所有者の氏名及び持株率

(2)本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

| 就職及び退職日附 | 會社團體等の名稱 | 地位（職員たる場合を含む） | 職務内容（詳細に） | 會社團體等の事業内容及び所在地 |
|---|---|---|---|---|
| 大正五年七月 | 丸見屋商店 | 試驗部油脂課 | 油脂に關する研究をなす。 | 旭電化工業株式會社<br>事業内容<br>苛性ソーダ、液体塩素、合成樹脂、硬化油、脂肪酸、グリセリン、石鹸、人造バター<br>所在地<br>東京都荒川區尾久町九ノ二八五。 |
| 八年八月 | 右退職 | 大井試驗所勤務 | | |
| 八年十月 | 古河合名會社 | 研究課 | | |
| 九年十月 | 古河電気工業株式會社横濱電線所へ轉勤 | | | |
| 十年二月 | 右辭職 | 技師 | | |
| 十二年十二月 | 大崎バター株式會社 | 油脂係長代理 | | |
| 十三年五月 | 旭電化工業株式會社 | | | |
| 昭和二年六月 | | | | |

補助紙

附記（十の註三、該當事項）

(1) 昭和十九年十二月九日、旭電化工業株式會社監査役就任時一割以上持株者氏名

古河鑛業株式會社　持株　四〇・九二五％

(2) 本人が勤務した期間における旭電化工業株式會社の他役員

| 氏名 | 地位 | 就任期間 | 退任期間 |
|---|---|---|---|
| 藤堂良譲 | 取締役社長 | 昭和十八年九月二十五日 | 昭和二十一年五月二日取締役へ |
| 〃 | 取締役 | 昭和二十一年二月二日 | 昭和二十一年十一月十三日 |
| 小池一郎 | 專務取締役 | 昭和十九年十二月 | 昭和二十一年十一月十三日 |
| 〃 | 社長 | 昭和二十一年十一月十三日 | 昭和二十一年十二月十日（社長へ） |
| 久慈眞三 | 常務取締役 | 昭和十九年四月十六日 | 昭和二十一年十二月十日 |
| 能谷貢記 | 〃 | 昭和十九年十二月九日 | 昭和二十一年十二月十日 |
| 古河從純 | 取締役 | 昭和十五年十二月十八日 | 昭和二十年十二月五日 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 浦山助太郎 | 取締役 | 大正六年一月二十七日 | 昭和二十一年十二月十日 | |
| 山口喜三郎 | 〃 | 大正六年一月二十七日 | 昭和二十一年十一月十三日 | |
| 棚橋寅五郎 | 〃 | 大正八年十二月 | 昭和二十一年十一月十三日 | |
| 磯部愉一郎 | 〃 | 昭和十八年九月二十五日 | 昭和二十一年十一月十三日 | |
| 浦野三郎 | 〃 | 昭和十八年九月二十五日 | 昭和二十一年十二月十日 | |
| 近藤眞一 | 〃 | 昭和六年六月二十六日 | 昭和二十一年十一月十三日 | |
| 岡田完二郎 | 監査役 | 昭和二十年一月九日 | 昭和二十一年八月十四日 | |
| 雨宮四郎 | 〃 | 昭和八年十二月二十六日 | 昭和二十一年十二月十日 | |

10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

| Dates of employment and retirement. | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and powers (in detail). | Substance of business of organization or company where you served and its location. |
|---|---|---|---|---|
| July, 1916 | Marumiya Shoten | Oil & fat section, experiment dept. | | Asahi Denka Kogyo K.K. Main business: Production of: Caustic soda Liquid chlorine Synthetic hydrochloric acid Hardened oil Fatty acid Glycerine Soap Margarine |
| August, 1919 | Resigned from above position | | | |
| October, 1919 | Furukawa Gomei Kaisha | Served at Ohi Experiment Station | Research work on oils & fats. | |
| October, 1920 | Transferred to Yokohama Denson Sho, Furukawa Denki Kogyo K.K. | Laboratory section | | |

| | | | |
|---|---|---|---|
| 昭和六年 一月 | | | |
| 六年 十一月 | | | |
| 七年 九月 | | | |
| 八年 三月 | | | |
| 十五年 二月 | | | |
| 十六年 十二月 | | | |
| 十八年 十二月 | | | |
| 十九年 七月 | | | |
| 十九年 八月 | 旭電化工業株式会社 | 停年退職 | |
| 十九年 十二月 | | | |
| 十六年 十二月 | 小口工業株式会社 | 解散 | |
| （補助紙に続く） | | | |

| | | | |
|---|---|---|---|
| | 曙証 | 研究所長心得 | |
| | 管理部長 | 兼油脂係長事務 | |
| | 監査役 | 油脂係長 取扱 | |
| | 取締役 | 油脂課長 | |
| | | 油脂部長 | |
| | | 尾久工場副工場長 | |
| | | 工務部副部長 | |
| | 尾久工場 | 工場長補佐 | |
| | の保修 | 工務部長 補佐 | |
| | 担当者 | | |
| | 尾久工場 | 製造電気機械設備 | |
| | の保修 | 製品の分析 | 検査 |
| | 担当者 | | |
| | | 新旧製品の技術的改良の研究 | |
| | | 油脂製品生産 担当者 | |

| | | |
|---|---|---|
| 小口工業株式会社 | | |
| 事業内容 | | |
| 中学理科器械製作販売 | | |
| 所在地 | | |
| 東京都神田区三崎町 | | |
| 二ノ二二 | | |

SHEET NO. I

| Dates of employment and retirement | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and powers | Substance of business of organization or company where you served and its location. |
|---|---|---|---|---|
| December, 1943 | | Sub head of plant affairs dept. | Assistant to head of plant affairs dept. | |
| July, 1944 | Retirement at age limit | | | |
| August, 1944 | Asahi Denka Kogyo K.K. | Head of maintenance dept. as non-official personnel. | In charge of maintenance of machines and installations of power and substations and of analysis and inspection of products of Ogu Plant. | |
| December, 1944 | | Auditor | | |
| December, 1941 (Dissolved) | Oguchi Kogyo K.K. | Director | | Oguchi Kogyo K.K. Main business: Manufacturing and selling of scientific apparatus for middle school use. |

(Continued on supplementary sheet No. II)

## SUPPLEMENTARY SHEET NO. II

(Additional entries for Note 3 of 10.)

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of assumption of office and retirement.

| Name | Stock-holding Percentage |
|---|---|
| Furukawa Kogyo K.K. | 40.925% |

b. Name, position and dates of appointment and retirement of other officials of the company during the period when served.

| Name | Position | Date of Assumption | Date of Retirement |
|---|---|---|---|
| TODO, Ryojo | President | Sept. 25, 1943 | Feb. 2, 1946 (Became a director) |
| | Director | Feb. 2, 1946 | Nov. 13, 1946 |
| KOIKE, Ichiro | Senior managing director | Dec., 1944 | Nov. 13, 1946 (Became president) |
| | President | Nov. 13, 1946 | Dec. 10, 1946 |
| KUJI, Shinzo | Managing director | Apr. 16, 1944 | Dec. 10, 1946 |
| KUMAGAI, Naoki | " | Dec. 9, 1944 | Dec. 10, 1946 |
| FURUKAWA, Jujun | Director | Dec. 18, 1940 | Dec. 5, 1945 |
| URAYAMA, Suketaro | " | Jan. 27, 1917 | Dec. 10, 1946 |
| YAMAGUCHI, Kisaburo | " | Jan. 27, 1917 | Nov. 13, 1946 |
| TANAHASHI, Toragoro | " | Dec., 1919 | Nov. 13, 1946 |
| ISOBE, Yuichiro | " | Sept. 25, 1943 | Nov. 13, 1946 |
| URANO, Saburo | " | Sept. 25, 1943 | Dec. 10, 1946 |
| KONDO, Shinichi | " | June 26, 1931 | Nov. 13, 1946 |
| OKADA, Kanjiro | Auditor | Jan. 9, 1945 | Aug. 14, 1946 |
| AMEMIYA, Shiro | " | Dec. 26, 1933 | Dec. 10, 1946 |

| | | | | |
|---|---|---|---|---|
| February, 1921 | Resigned from above position | | | Location: 2850 9-Chome, Ogu-machi, Arakawa-ku, Tokyo |
| December, 1923 | Osaki Butter K.K. | | | |
| May, 1927 | Asahi Denka Kogyo K.K. | Engineer | | |
| June, 1928 | | Acting head of oil & fat branch | | |
| January, 1931 | | Acting head of laboratory | Research work on technical improvement of new and old products | |
| November, 1931 | | Concurrently acting head of oil & fat branch | In charge of production of oil & fat products | |
| May, 1932 | | Head of oil & fat branch | | |
| March, 1933 | | Head of oil & fat section | | |
| February, 1940 | | Head of oil & fat dept. | | |
| December, 1941 | | Assistant manager of Ogu Plant | Assistant to plant manager | |

(Continued on supplementary sheet No. I)

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

（一）明文の取極の有無

談當事項なし

（二）本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

昭和十九年十二月監査役就任の事情

當社定款に依る役員は取締役十一名、監査役三名であるが、これら役員の大部分は古河派遣役員が、長期に一旦て占められ、昭和十九年十二月當時に於て、從業員出身の役員は僅かに四名に過ぎず、當社發展に直接功勞ある社員の役員就任の道は實にかてみた。一方財閥の進展にともなひ、關東電化工業株式會社、朝日軽金屬株式會社がおいついで設立され、當社幹部の高級職員は次々轉出し得たが、私も油脂部門を理當てみた爲、當社に留まらざるを得ず、同輩との均衡と、年功により、監査役就任を希望する意味以外たにく、創立當時の關係者たる監査役選任された、當古河派遣役員としては、岡田宗三郎氏が昭和十九年十二月、同時に監査役に就任して居り、從て其の理由も全く當社内部の事情にもとづくものであり、この間、古河財閥古河鉱業株式會社の指示は何等なかつた。

11. At the time of your assnmption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangemeut existed in writing.

**Not applicable**

b. Details of circumstances under whicn you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

**Circumstances leading to assumption of office as auditor in December, 1944**

**The number of officials stipulated in the Articles of Incorporation of the company is directors eleven and auditors three. However, most of these officials were either those sent over from Furukawa or participators in the establishment of the company---all assuming office for considerable length of time. In December, 1944, there were only four officials from among the company personnel because the way for personnel of meritorious service directly responsible for the development of the company to become officials was blocked. On the other hand with the progress of events, the Kanto Denka Kogyo Kabushiki Kaisha and Asahi Keikinzoku Kabushiki Kaisha were established one after the other and high ranking personnel of the company's soda plant were respectively transferred. But I was forced to remain at the company since I was in charge of the oil and fat department. Therefore in order to strike a balance with my transferred colleagues and for my long service I was chosen as auditor when Mr. Nambu who was connected with the establishment of the company resigned as auditor. Furthermore in December, 1944, Mr. Kanjiro Okada sent over from Furukawa also assumed the office of auditor at the same time. The reason for my assuming the position of auditor was due purely to circumstances within the company and in this respect there was no instruction received whatsoever from Furukawa Kogyo Kabushiki Kaisha, Furukawa Zaibatsu.**

第六頁

十二、本調査書作成年月日

昭和二十三年二月四日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚僞又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名　小倉亮　印

12. Date

Feb. 4, 1948.

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and cemplete disclosure on relevant or material matters in this questiornaire will subject me to penaly in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signa ture

Ryo Oguchi

25/

古河

受 22 付

APPROVED may. / 1948
承 認 昭和23年 5月 / 日

1 February 1948

Misao Ueda

The Hon. Tetsu Katayama,
Prime Minister.

Sir,

Re: Grounds for Application

Having fallen under the category of an official connected with the Zaibatsu under the Law concerning termination of Zaibatsu Family control and acknowledging this as clearly unjustifiable, I herewith forward this application for recognition of non-application as such in accordance with Clause 2, Para. 1 of Article 6 ~~and respective clauses of Article 7~~ of the said Law.

Ryo Oguchi

Ryo Oguchi
Auditor of the Asahi Denka
Kogyo Kabushiki Kaisha

## 1. The characteristics of the company

The company was established in January, 1917, by joint investment of Katsuragawa Denryoku Kabushiki Kaisha (Katsuragawa Electric Power Co., Ltd.), Furukawa Gomei Kaisha and the Tokyo Denki Kabushiki Kaisha (Tokyo Electric Co., Ltd.). And the positions of president and managing director were assumed by Mr. Wataru AMEMIYA representing the Katsuragawa Denryoku and Mr. Ichinosuke SUZUKI representing the Furukawa Gomei Kaisha respectively. With the decease of Mr. AMEMIYA in September, 1920, Mr. SUZUKI succeeded him as president. Since then the highest positions had been assumed by representatives of Furukawa---Mr. Jujun FURUKAWA, Mr. Yuichiro ISOBE. However, from September, 1943, up till today, the personnel of the company from the time of establishment---Mr. Ryojo TODO, Mr. Ichiro KOIKE---successively became president.

As a result the company has existed from the time of incorporation as an affiliate of Furukawa in respect to capitalization. But, on the other hand, simultaneously with the growth of the company, its personnel directly responsible for expansion of business gradually began to assume important positions and to set an independent footing of their own.

| January, 1937 | January, 1939 | January, 1943 |
|---|---|---|
| President-SUZUKI, Ichinosuke (Furukawa) | President-FURUKAWA, Jujun (Furukawa) | President-ISOBE, Yuichiro (Furukawa) |
| Senior managing director-ISOBE, Yuichiro (Furukawa) | Senior managing director-ISOBE, Yuichiro (Furukawa) | Senior managing director-TODO, Ryojo (Former employee) |
| Director-TODO, Ryojo (Former employee) | Managing director-TODO, Ryojo (Former employee) | Managing director-KOIKE, Ichiro (Former employee) |
| Director-KOIKE, Ichiro (Former employee) | Director-KOIKE, Ichiro (Former employee) | Managing director-URANO, Saburo (Former employee) |
| Director-URANO, Saburo (Former employee) | Director-URANO, Saburo (Former employee) | Managing director-KUJI, Shinzo (Furukawa) |
| Director-URAYAMA, Suketaro | Director-URAYAMA, Suketaro | Director-FURUKAWA, Jujun (Furukawa) |
| Director-YAMAGUCHI, Kisaburo | Director-YAMAGUCHI, Kisaburo | Director-KUMAGAI, Naoki (Former employee) |
| Director-TANAHASHI, Toragoro | Director-TANAHASHI, Toragoro | Director-URAYAMA, Suketaro |
| Director-KONDO, Shinichi | Director-KONDO, Shinichi | Director-YAMAGUCHI, Kisaburo |
| | | Director-TANAHASHI, Toragoro |
| | | Director-KONDO, Shinichi |

| January, 1944 | January, 1945 | November, 1946 |
|---|---|---|
| President-TODO, Ryojo (Former employee) | President-TODO, Ryojo (Former employee) | President-KOIKE, Ichiro (Former employee) |
| Managing director-KOIKE, Ichiro (Former employee) | Senior managing director-KOIKE, Ichiro (Former employee) | Managing director-KUJI, Shinzo (Furukawa) |
| Managing director-KUJI, Shinzo (Furukawa) | Managing director-KUJI, Shinzo (Furukawa) | Managing director-KUMAGAI, Naoki (Former employee) |
| Director-FURUKAWA, Jujun (Furukawa) | Managing director-KUMAGAI, Naoki (Former employee) | Managing director-SHOJI, Takeo (Former employee) |
| Director-KUMAGAI, Naoki (Former employee) | Director-FURUKAWA, Jujun (Furukawa) | Director-HIRABAYASHI, Kenichi (Former employee) |
| Director-URAYAMA, Suketaro | Director-URAYAMA, Suketaro | Director-ASAI, Nobukichi (Former employee) |
| Director-YAMAGUCHI, Kisaburo | Director-YAMAGUCHI, Kisaburo | Director-YUKITOMO, Takehiko (Former employee) |
| Director-TANAHASHI, Toragoro | Director-TANAHASHI, Toragoro | Director-UNNO, Kagemasa (Former employee) |
| Director-KONDO, Shinichi | Director-KONDO, Shinichi | Director-URAYAMA, Suketaro |
| Director-ISOBE, Yuichiro (Furukawa) | Director-ISOBE, Yuichiro (Furukawa) | Director-ISOBE, Yuichiro (Furukawa) |
| Director-URANO, Saburo (Former employee) | Director-URANO, Saburo (Former employee) | Director-URANO, Saburo (Former employee) |

2. A brief sketch of service at the company

Since entering the company in May, 1927, after graduation from the Applied Chemistry Department of the Tokyo Imperial University, was in charge of the production affairs of the oil and fat branch and held the following positions:

| | |
|---|---|
| March, 1933 | Head of Oil & Fat Section |
| February, 1940 | Head of Oil & Fat Department |
| December, 1941 | Assistant Plant Manager of Ogu Plant |
| December, 1943 | Assistant Head of Plant Affairs Department |

Until retirement at age limit in July, 1944, served consistently as a chemical engineer.

3. State of affairs within the company in November, 1944

| Position | Name | Date of Assumption of Office | Affiliation | Remark |
|---|---|---|---|---|
| President | TODO, Ryojo | December, 1928 | Former employee; entered company in February, 1917. | Standing official |
| Senior Managing Director | KOIKE, Ichiro | December, 1935 | Former employee; entered company in August, 1917 | " |
| Managing Director | KUJI, Shinzo | April, 1942 | Sent by Furukawa | " |
| " | KUMAGAI, Naoki | December, 1941 | Former employee; entered company in January, 1918 | " |

| Position | Name | Date of Assumption of Office | Affiliation | Remark |
|---|---|---|---|---|
| Director | FURUKAWA, Jujun | June, 1938 | Furukawa | Non-standing official |
| " | URAYAMA, Suketaro | January, 1917 | Participator at time of establishment | " |
| " | YAMAGUCHI, Kisaburo | January, 1917 | " | " |
| " | TANAHASHI, Toragoro | December, 1919 | Shareholder | " |
| " | ISOBE, Yuichiro | December, 1928 | Sent by Furukawa | " |
| " | URANO, Saburo | December, 1932 | Former employee; entered company in February, 1917 | " |
| " | KONDO, Shinichi | June, 1931 | Shareholder | " |
| Auditor | NAMBU, Sukenojo | January, 1917 | Participator at time of establishment | " |
| " | AMENIYA, Shiro | December, 1933 | " | " |

A. As already mentioned, president, Ryojo TODO; senior managing director, Ichiro KOIKE; managing director, Naoki KUMAGAI, and director, Saburo URANO, were all former employees of meritorious services from the time of incorporation and had become to assume important positions with the expansion of the company. Whereas, aside from these four above the other directors and auditors were practically all officials affiliated with Furukawa or else

participators at the time of incorporation with their terms of office extending over considerable length of period.

B. Excluding officials sent over from Furukawa the other non-standing officials did not contribute whatever to the development of the company except at the initial stage of incorporation.

C. Accordingly the way for personnel directly responsible for the expansion of the company had been closed for over a period of thirty years since establishment in January, 1917; as a result their servides had seldom been rewarded.

D. On the other hand, since the age limit of employees, as set by the company's regulations, was fifty-five, practically all of the high ranking personnel since establishment had reached the retirement level.

The above has been the existing state of affairs within the company at that time.

4. <u>Circumstances leading to assumption of office as auditor in December, 1944</u>

On the other hand, with the development of the country's situation, the Kwanto Denka Kogyo Kabushiki Kaisha (Kwanto Electro-Chemical Co., Ltd.) and the Asahi Keikinzoku Kabushiki Kaisha (Asahi Light Metal Co., Ltd.) were established in September, 1938, and November, 1943, respectively on the con-

dition of placing at their disposal the company's technical knowledge; hence the high ranking technical personnel of the company's soda branch were able to be transferred accordingly. Since the development of the oil and fat industry was not permitted under war time economy and since I had been engaged in that field of production work ever since entering the company, I was placed in a position but to remain although all my colleagues had been transferred.

A part of the company's organization as of December, 1937

Plant Manager
KUMAGAI, Naoki
(Dec., 1941 - Director)
(Dec., 1944 - Managing Director)

Engineering Section Head
EMORI, Hayahiko
(Regular salary: ¥320.00)
Feb., 1944-Managing Director of Hoten Soda K.K.
(Mukden Soda Co., Ltd.)

Soda Section Head
MINE, Chiyoto
(Regular salary: ¥280.00)
May, 1939-Plant Manager of Kwanto Denka Kogyo K.K.
Nov., 1941-Director of said company

Oil & Fat Section Head
OGUCHI, Ryo
(Regular salary: ¥270.00)

Therefore the reasons for assumption of office were none other than the idea of compensation for long service and of seeking a balance with those of similar position and status in the company's organization. When re-election for auditor under the Articles of Incorporation was held in December, 1944, I assumed the said office after having retired in July of the same year at age limit.

5. Relationship with the Furukawa Kogyo Kabushiki Kaisha

Although three auditors were stipulated in the company's Articles of Incorporation, there had been one vacancy ever since the resignation in December, 1943, of Mr. Rikichi KIMURA (Period served: 15 years) sent over from Furukawa. However, in December, 1944, Mr. Kanjiro OKADA, an official connected with Furukawa, succeeded Mr. KIMURA. In my case I was appointed to the said office to fill the vacancy caused by the resignation of Mr. Sukerojo NAMBU, a participator at the time of establishment. Due to the fact that I had served consistently as a chemical engineer ever since entering the company, officially as well as privately I had no connection in any ways with the Furukawa Kogyo Kabushiki Kaisha.

6. Actual situation concerning execution of duties

Because of the fact that I am a non-standing official with hardly any authority except for the execution of auditing at the end of each fiscal term, I do not participate in any ways in the management of important affairs of the company.

古河

25/

1 February 1948

The Hon. Tetsū Katayama,
Prime Minister.

Sir:

Re: Forwarding of Annexed Papers

As per above subject I hereby respectfully submit the said papers as attached.

Ryo Oguchi

Ryo Oguchi
Auditor of the Asahi De-ka
Kogyo Kabushiki Kaisha

# CERTIFICATION

## CERTIFICATION IN REGARDS TO CIRCUMSTANCES LEADING TO ASSUMPTION OF OFFICE AS AUDITOR BY MR. RYO OGUCHI

I hereby certify to the facts as follows in connection with application for recognition that Mr. Ryo OGUCHI is not a Zaibatsu connected official for I was in the position as president of the Furukawa Gomei Kaisha and the Furukawa Kogyo Kabushiki Kaisha from March 30, 1940 to October 12, 1945.

Mr. Ryo OGUCHI is a chemical engineer having graduated from the College of Applied Chemistry of the Tokyo Imperial University. Since entering Asahi Denka Kogyo Kabushiki Kaisha on May 1, 1927 until his retirement at age limit in July, 1944, he had consistently served as a personnel directly in charge of production work. His assumption of office as auditor in December, 1944, was due entirely to circumstances within the said company and not based on instructions from Furukawa Kogyo Kabushiki Kaisha.

Signed on this First Day of February, 1948.

Jujun Furukawa
30 Nakaniya-cho, Shinjuku-ku,
Tokyo

DISAPPROVED May. 1 1948
不承認 昭和23年5月1日

三井

NAME KIYOSHI MAYEKAWA

Misao Ueda

No. 5 受 22.2.3. 付

Name of Company THE K. K. NIHON SEIKOSHO
( THE JAPAN STEEL WORKS, LTD. )

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本文に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

七、この調査表の重要な事項について虚僞の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

株式會社日本製鋼所 會社 第 號

| 氏名 | 前　川　清 |
|---|---|

# 個人調査書

一、姓名（振假名をつけること）

前川清

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）

該當事項ナシ

三、生年月日（年齡數え年）

明治二十二年三月三日生（年令五九歳）

四、出生地（都道府縣）

福井縣勝山町上元錄

五、現住所（略記しないこと）及び電話番號

北海道夕張市常盤四番地

夕張 二五〇番

六、本籍地（略記しないこと）

東京都世田谷區下馬町二丁目二十一番地

Questionnaire

1. Name (In full).

KIYOSHI MAYEKAWA

2. Other names which you have used or by which you have been known. (alias or penname).

None.

3. Date of birtn (age).

3 March 1889. (age: 59)

4. Place of birth (prefecture).

Kamigenroku, Katsuyama-machi, Fukui-ken.

5. Present address (in full) and telephone number.

4-banchi, Tokiwa, Yubari-shi, Hokkaido.

Telephone : Yubari 250

6. Permanent address (in full).

21-banchi, 2-chome, Shimouma-machi, Setagaya-ku, Tokyo.

第二頁

七、現に保有し又は就こうとする財閥、制限、従属又は關係會社における役員としての地位

（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）

株式會社 久原鑛業所
三井系統

（二）財閥會社、制限會社、従屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）

制限會社 三井財閥傍系會社

（三）地位

取締役社長

（四）就任の豫定日

該當事項ナシ

八、前項の他本人の保有するすべての身分及び職業

該當事項ナシ

九、財閥としての指定者との親族關係

（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）

關係ナシ

（二）指定者が指定された日において指定者と同一戸籍内にあつたことの有無

指定者ト同一戸籍内ニアリシコトナシ

7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

Name of Co. : Kabushiki Kwaisha Yubari Seisakusho
(Yubari Machine Works, Ltd.)

Lineage of Mitsui

Classification of Mitsui restricted, subsidiary or connected company
(in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

Restricted Company [redacted]

c. Position:

President

d. Scheduled date of assumption of the position.

Not applicable

8. All other positions snd professions which you hold now.

None.

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (includiug relationship with the Zaibatsu family members.)

None.

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

I have never been on the same family census register with the designated persons.

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務内容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務内容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

(1)本人の役員としての就任及び退任の時における當該會社の株式の一割以上の所有者の氏名及び持株率

(2)本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

| 就職及び退職日附 | 會社團體等の名稱 | 地位（職員たる場合を含む） | 職務内容（詳細に） | 會社團體等の事業内容及び所在地 |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

十、職業ノ履歴

| 就職及ビ退職日附 | 會社團体等ノ名稱 | 地位（職員タル場合ヲ含ム） | 職務内容 | 會社團体等ノ事業内容及ビ所在地 |
|---|---|---|---|---|
| 自大正四年六月<br>至同七年九月 | 東洋製糖株式會社 | 烏樹林製糖所機械技師 | 新設工場ノ設計及ビ建設 | 砂糖製造業<br>台灣嘉義烏樹林 |
| 大正七年一二月二八日 | 株式會社日本製鋼所入社 | 東京本店職員 | 技術部勤務、 | 機械器具製造販賣業<br>東京都中央區日本橋通二 |
| 自大正八年五月一日<br>至同九年八月二九日 | 同 | 同 | 英國留學、米國出張 | 同<br>同 |
| 大正九年一二月二五日 | 同 | 廣島工場職員 | 工務及ビ現業係勤務 | 同<br>廣島縣海田市町 |
| 同三年一月一日 | 同 | 廣島工場製造部工務係製圖主任 | 設計、製圖 | 同<br>同 |
| 昭和三年七月一日 | 向 | 廣島工場作業部現業係主任 | 鑄造、製罐、機械各工場監督 | 同<br>同 |
| 同四年八月一日 | 同 | 廣島工場技術部工務係主任 | 工務、檢査及ビ研究事項監理 | 同<br>同 |
| 同六年一〇月五日 | 同 | 廣島工場長代理兼作業係主任 | 現業、工務事項監理 | 同<br>同 |

| | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 |
| 同一二年五月一四日 | 同一二年八月一日 | 同一五年三月一五日 | 同一六年五月一九日 | 同一六年一二月一日 | 自昭和二〇年一二月一日<br>至同二一年一月一日 | 昭和二一年五月一日 | 同二〇年一二月一四日 | 自昭和一五年五月一九日<br>至同一七年四月一日 | 自昭和二〇年五月一日<br>至同二一年一二月二五日 | 同 | 同 | 同 |
| 廣島工場長 | 廣島製作所長 | 室蘭製作所長 | 取締役就任<br>室蘭製作所長 | 取締役、室蘭製作所長兼室蘭技術研究所長 | 取締役<br>東京本店參與 | 取締役辭任 | 取締役社長就任 | 監査役 | 取締役社長 | 株式會社日本製鋼所退社 | 株式會社夕張製作所 | 北海電極株式會社 |
| 工場一般監理 | （職制改正ニヨリ改稱） | 工場一般監理 | 同 | 工場一般監理及ビ技術ノ研究調査 | 株式會社夕張製作所社長就任ノタメ一切ノ業務ニ從事セズ | 同 | 株式會社夕張製作所監理 | 非常勤 | 名義ノミニシテ西村正ヲシテ代行セシム | 同 | 同 | 同 |
| 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 | 同 |
| 同 | 同 | 北海道室蘭市茶津町 | 同 | 同 | 東京都中央區日本橋通二 | 同 | 北海道夕張市日吉七番地 | 電氣事業<br>北海道室蘭市 | 電極製造販賣業<br>北海道岩見澤市 | 同 | 同 | 同 |

| 就職及ビ退職日附 | 會社團体等ノ名稱 | 地位（職員タル場合ヲ含ム） | 職務内容 | 會社團体等ノ事業内容及ビ所在地 |
| --- | --- | --- | --- | --- |
| 自昭和二一年三月<br>至同二一年三月 | 學士會 | 會員 | 該當事項ナシ | 帝國大學卒業者ノ親睦ヲ計ル<br>東京都千代田區神田錦町三ノ二八 |
| 自同二一年三月<br>至同二一年五月 | 日本機械學會 | 評議員 | 同 | 機械學ニ關スル技術ノ研究<br>東京都千代田區丸ノ内二ノ二 |
| 自同二一年六月<br>至同二一年一〇月 | 日本鐵鋼協會 | 理事<br>評議員 | 同 | 鐵鋼ニ關スル技術ノ研究<br>東京都中央區日本橋室町一ノ七 |
| 自同<br>至同 | 北海道産業報國會 | 副會長 | 事業目的遂行ノタメ事業場トノ連絡 | 産業ノ興隆<br>北海道札幌市 |

附記

一、株式會社日本製鋼所（財閥準直系會社）取締役就任及ビ退任ノ時ニ於ケル當該會社ノ株式ノ一割以上ノ所有者ノ氏名及ビ持株率左ノ通リ

| | 取締役就任ノ時 | | 取締役退任ノ時 | |
|---|---|---|---|---|
| 北海道炭礦汽船株式會社 | 三三、三% | | 二九、一% | |
| 三井物産株式會社 | 一一、〇% | | 二一、一% | |

二、株式會社日本製鋼所取締役動務期間ニ於ケル他ノ役員ノ氏名、地位及ビ就任、退任年月日左ノ通リ

| 地位 | 氏名 | 就任年月日 | 退任年月日 |
|---|---|---|---|
| 取締役會長 | 島田勝之助 | 昭和六、二九 | 昭和二〇、三、五 |
| 取締役社長 | 杉政人 | 一二、五、五 | 二〇、一一、一 |
| 〃 | 石塚衆藏 | 二〇、一二、一 | 二三、一、二三 |

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 常務取締役 | 石塚粂藏 | 六、一〇、 | 一 | 二〇、一一、三〇 | | | | | | | | | |
| 〃 | 松田義一 | 一〇、五、二八 | | 二二、一、二三 | | | | | | | | | |
| 取締役 | ジエー・エー・エフ・バレンタイン | 一三、五、二五 | | 一七、三、一四 | | | | | | | | | |
| 〃 | 長谷川清次 | 一二、五、二五 | | 二〇、一一、一 | | | | | | | | | |
| 〃 | 中島三郎 | 一三、五、二五 | | 二〇、一一、一 | | | | | | | | | |
| 〃 | 坂野常善 | 一三、五、二五 | | 二〇、一一、一 | | | | | | | | | |
| 〃 | 打越光保 | 一三、一一、二五 | | 二〇、一一、一 | | | | | | | | | |
| 〃 | 向井忠晴 | 一六、五、二五 | | 二一、三、三一 | | | | | | | | | |
| 〃 | 松田武四郎 | 一七、五、二九 | | 二二、五、三〇 | | | | | | | | | |
| 〃 | 川上久辰 | 一九、五、二〇 | | 二一、一〇、一四 | | | | | | | | | |
| 〃 | 志母谷武 | 一九、五、二〇 | | 二〇、一一、一 | | | | | | | | | |
| 監査役 | 三國庄二郎 | 一〇、五、二八 | | 一七、五、二九 | | | | | | | | | |
| 〃 | 川部榮四郎 | 一一、五、二七 | | 一七、五、二九 | | | | | | | | | |
| 〃 | 飯田尚武 | 一二、五、二五 | | 一九、五、二〇 | | | | | | | | | |
| 〃 | 山田泰作 | 一三、一一、二五 | | 一七、五、二 | | | | | | | | | |

| 地位 | 氏名 | 就任年月日 | 退任年月日 |
|---|---|---|---|
| 監査役 | 中根正良 | 昭和二年九月三日 | 昭和二年一二月一日 |
| 〃 | 松岡孟 | 〃 | 一九五二〇 |
| 〃 | 吉田央 | 一九五二〇 | 在任中 |
| 相談役 | 髙山輔 | 一六五二九 | 二〇一二三〇 |
| 〃 | 南條金雄 | 一六五二九 | 二〇一二三〇 |
| 顧問 | 水谷藏參 | 六一〇一 | 二〇一二三〇 |
| 〃 | 吉田豐參 | 一〇四二〇 | 二〇一二三〇 |
| 〃 | 渡邊一 | 一六一〇一 | 一六一二一日 |

10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

| Dates of employment and retirement. | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and powers (in detail). | Substance of business of organization or company where you served and its location. |
|---|---|---|---|---|
| | | | | |

10. Chronological record of pufession and employment :-

| Dates ofemploy-ment and retire-ment | Name of company where I served | Status of the position |
|---|---|---|
| From June 1915 To Sept. 1918 | Toyo Seito Kabushiki Kaisha | Mechanical Engineer of Ujurin Sugar Manufactory |
| 28 Dec. 1918 | Kabushiki Kwaisha Nihon Seikosho | Staff-member at Head Office in Tokyo |
| 1 May 1919 to 29 Aug. 1920 | " | " |
| 25 Dec. 1920 | " | Staff-member at Hiroshima plant |
| 1 Jan. 1922 | " | Chief officer at Planning Sec., Production Dept. for in charge of drafting. |
| 1 July 1928 | " | Chief of production Sec., Technical Dept. |
| 1 Aug. 1929 | " | Chief of Planning Sec., TechnicalDept. |
| 5 Oct. 1931 | " | Deputy chief of the plant & chief of Production Sec. |
| 24 May 1937 | " | Chief of the Hiroshima plant |
| 1 Aug. 1937 | " | " (Name was altered as SEISAKUSHO-CHO) |
| 15 March 1940 | " | Chief of the Muroran plant |
| 29 May 1941 | " | Named for Director and Chief of the Muroran plant |
| 1 Dec. 1941 | " | " " and Chief of Muroran Research Laberator |

employment :-

| | Status of the position | Duties and Powers | Substance of business of company and its location |
|---|---|---|---|
| | Mechanical Engineer of Ujurin Sugar Manufactory | Designing & construction of new plant | Nature of business; Manufacture of sugar. Location; Ujurin, Kagi, Formosa. |
| | Staff-member at Head Office in Tokyo | On duty at Tech. Dept. | Manufacture and sale of machinery. 2-chome, Tori, Nihonbashi Chuo-ku, Tokyo. |
| | " | Stayed in England and travelled U.S.A. for study | " |
| | Staff-member at Hiroshima plant | On duty at Planning & Production Sec. | " Funakoshi-machi, Aki-gun, Hiroshima-ken. |
| | Chief officer at Planning Sec., Production Dept. for in charge of drafting. | Designing and drafting | " |
| | Chief of production Sec., Technical Dept. | Supervision of foundry, plate-working, and machine shops. | " |
| | Chief of Planning Sec., TechnicalDept. | Supervision of Planning, Inspection and Technial study | " |
| | Deputy chief of the plant & chief of Production Sec. | Supervision of production andplanning | " |
| | Chief of the Hireshima plant | Supervision of all the plant | " |
| | " (Name was altered as SEISAKUSHO-CHO) | " | " |
| | Chief of the Muroran plant | Supervision of all the plant | " Chatsu-machi, Muroran, Hokkaido. |
| | Named for Director and Chief of the Muroran plant | " | " |
| | " " and Chief of Muroran Research Laboratory | Supervision of plant & Technical research & study | " |

| Dates of employment & retirement | Name of company where I served | Status of the position | Duties & Powers | Substance of business of company and its location |
|---|---|---|---|---|
| From 15 Dec. 1945 To 1 Feb. 1946 | Kabushiki Kaisha Nihon Seikosho | Director and SANYO (counciller) at Head Office in Tokyo | I have not been engaged in any business as assumed the office of the President of Yubari Seisakusho. | 2-chome, Tori, Nihonbashi, Chuo-ku, Tokyo. |
| 1 May 1946 | Resigned the Kabushiki Kwaisha Nihon Seikosho | Resigned the director | | " |
| 24 Dec. 1945 | Kabushiki Kwaisha Yubari Seisakusho (Yubari Machine Works, Ltd.) | Assumed the office of the president | Supervision of Kabushiki Kwaisha Yubari Seisakusho | Manufacture and sale of machinery. 7-banchi, Hiyoshi, Yubari-shi, Hokkaido. |
| From 29 May 1940 To 1 April 1942 | Mureran Dento Kabushiki Kwaisha (Mureran Electric Light Co.) | Auditor | Not on regular service | Supply of electricity; Mureran, Hokkaido. |
| From 1 May 1945 To 25 Feb. 1946 | Hokkai Denkyoku Kabushiki Kwaisha (Hokkai Electrode Co. Ltd.) | President | I held the presidency ostensibly and real activities had been conducted by Tadashi Nishimura on my behalf. | Manufacture and sale of Electrode. Iwamizawa-shi, Hokkaido. |
| From 1928 To 1946 | Gakushi-kai (University Graduates' Association) | Ordinary member | None. | Promotion of friadly relations between the graduates of Imperial University. 28, 3, Nishiki-cho, Kanda, Chiyoda-ku, Tokyo |
| From 1928 To 1946 | Nihon Kikai Gakkai (Japan Machinery Institute) | HYOGIIN (councillor) | None | Technical study on mechanical engineering. 2, 2 Marunouchi, Chuoku, Tokyo. |
| From 1941 To 1946 | Nihon Tekko Kyokai (Japan Iron & Steel association) | Director and HYOGIIN (councillor) | None | Technical study on Iron & Steel; 4, 1 Muromachi, Nihonbashi, Chuo-ku, Tokyo. |
| From 1940 To 1945 | Hokkaido Sangyo Hokoku Kai (Hokkaido Association for Patriotic Contribution through Industry) | Vice-President | Liaison with plants for the execution of business | Development of industry. Sapporo-shi, Hokkaido. |

ADDITIONAL INFORMATION :

1. Name, and stock holding percentage of stock holders owning 10 % or more of the total stock of the company at the time of my assumption of directorship and retirement in Kabushiki Kwaisha Nihon Seikosho (Japan Steel Works, Ltd.) (Quasi-direct Zaibatsu Affiliate) are as follows :-

   At the time of my assumption of office;

   | | |
   |---|---|
   | Hokkaido Tanko Kisen Kabushiki Kwaisha (Hokkaido Colliery & Steamship Co.) | 33.3 % |
   | Mitsui Bussan Kabushiki Kwaisha | 11.0 % |

   At the time of retirement;

   | | |
   |---|---|
   | Hokkaido Tanko Kisen Kabushiki Kwaisha | 29.1 % |
   | Kabushiki Kwaisha Mitsui Honsha | 21.1 % |

2. Name, position and dates of appointment and retirement of other officials of the company during the period when I served.

| Position | Names | Date appointed | Date retired |
|---|---|---|---|
| Chairman | Katsunosuke Shimada | 29 May 1941 | 5 March 1945 |
| President | Masato Sugi | 25 May 1937 | 1 Nov. 1945 |
| " | Kumezo Ishidzuka | 1 Dec. 1945 | 23 Jan. 1947 |
| Managing Director | " " | 1 Oct. 1931 | 30 Nov. 1945 |
| " | Yoshikazu Matsuda | 28 May 1935 | 23 Jan. 1947 |
| Director | J. A. F. Valentine | 25 May 1938 | 14 Feb. 1942 |
| " | Seiji Hasegawa | 25 May 1937 | 1 Nov. 1945 |
| " | Saburo Nakashima | 25 May 1938 | 1 Nov. 1945 |
| " | Tsuneyoshi Sakano | 25 May 1938 | 1 Nov. 1945 |
| " | Mitsuyasu Uchikoshi | 25 Nov. 1938 | 1 Nov. 1945 |
| " | Tadaharu Mukai | 25 May 1941 | 31 March 1946 |
| " | Takeshiro Matsuda | 29 May 1942 | 30 May 1947 |
| " | Hisatoki Kawakami | 20 May 1944 | 14 Oct. 1946 |
| " | Takeshi Shimoya | 20 May 1944 | 1 Nov. 1945 |

ADDITIONAL INFORMATION :

1. Name, and stock holding percentage of stock holders owning 10 % or more of the total stock of the company at the time of my assumption of directorship and retirement in Kabushiki Kwaisha Nihon Seikosho (Japan Steel Works, Ltd.) (Quasi-direct Zaibatsu Affiliate) are as follows :-

   At the time of my assumption of office;

   | | |
   |---|---|
   | Hokkaido Tanko Kisen Kabushiki Kwaisha (Hokkaido Colliery & Steamship Co.) | 33.3 % |
   | Mitsui Bussan Kabushiki Kwaisha | 11.0 % |

   At the time of retirement;

   | | |
   |---|---|
   | Hokkaido Tanko Kisen Kabushiki Kwaisha | 29.1 % |
   | Kabushiki Kwaisha Mitsui Honsha | 21.1 % |

2. Name, position and dates of appointment and retirement of other officials of the company during the period when I served.

| Position | Names | Date appointed | Date retired |
|---|---|---|---|
| Chairman | Katsunosuke Shimada | 29 May 1941 | 5 March 1945 |
| President | Masato Sugi | 25 May 1937 | 1 Nov. 1945 |
| " | Kumezo Ishidzuka | 1 Dec. 1945 | 23 Jan. 1947 |
| Managing Director | " " | 1 Oct. 1938 | 30 Nov. 1945 |
| " | Yoshikazu Matsuda | 28 May 1935 | 23 Jan. 1947 |
| Director | J. A. F. Valentine | 25 May 1938 | 14 Feb. 1942 |
| " | Seiji Hasegawa | 25 May 1937 | 1 Nov. 1945 |
| " | Saburo Nakashima | 25 May 1938 | 1 Nov. 1945 |
| " | Tsuneyoshi Sakano | 25 May 1938 | 1 Nov. 1945 |
| " | Mitsuyasu Uchikoshi | 25 Nov. 1938 | 1 Nov. 1945 |
| " | Tadaharu Mukai | 25 May 1941 | 31 March 1946 |
| " | Takeshiro Matsuda | 29 May 1942 | 30 May 1947 |
| " | Hisatoki Kawakami | 20 May 1944 | 14 Oct. 1946 |
| " | Takeshi Shimoya | 20 May 1944 | 1 Nov. 1945 |

| Position | Names | Date appointed | Date retired |
|---|---|---|---|
| Auditor | Shojiro Mikuni | 28 May 1935 | 29 May 1942 |
| " | Magoshiro Kawabe | 27 May 1936 | 29 May 1942 |
| " | Naotake Iida | 25 May 1937 | 20 May 1944 |
| " | Taisaku Yamada | 25 Nov. 1938 | 2 May 1942 |
| " | Masayoshi Nakane | 29 May 1942 | 1 Nov. 1946 |
| " | Takeshi Matsuoka | " | 20 May 1944 |
| " | Hisashi Yoshida | 20 May 1944 | Now in office |
| SODANYAKU | Aisuke Kabayama | 29 May 1941 | 20 Nov. 1945 |
| " | Kaneo Nanjo | 29 May 1941 | 20 Nov. 1945 |
| KOMON (Adviser) | Toshihiko Mizutani | 1 Oct. 1931 | 20 Nov. 1945 |
| " | Toyohiko Yoshida | 20 July 1935 | 20 Nov. 1945 |
| " | Kuniichi Tahara | 1 March 1941 | 15 ~~Dec~~ Nov. 1941 |

------ ooo ------

第五頁

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

無

（一）明文の取極の有無

明文ノ取極メナシ

（二）本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

一、役員ノ就任ニツキ三井財閥又ハ其ノ財閥直系會社ガ關與シタ事實ハアリマセン

二、申請書第二項ニ詳述シテアル樣ニ學校卒業間モナク株式會社日本製鋼所ニ入社以來機械技術者トシテ二十二年五ケ月ノ永イ間ニ一度モ東京本店ノ幅要ノ地位ニ就イタコトナク終始地方ノ一製作工場ニ勤キ專ラ生產ト技術研究トニ沒頭シタル爲メ永年ノ勤續ト精勤トソレニヨツテ得タル技術トヲ認メラレテ社員トシテノ昇進ノ一段階トシテ取締役ニ昇格シタニ過ギズ財閥關係ハアリマセン

三、取締役ニ就任後モ五ツノ製作所ノ一ツノ所長トシテ東京本店常務取締役ノ指揮監督ノ下ニ勤イタニ過ギズ各製作所ノ業務ヲ統轄シテイタ東京本店ニハ一度モ勤務シタコトガナク會社全般ノ重要事項ニハ一度モ參與シタコトガナカツタコトハ就任ノ際ニ何等財閥的背景モ推薦モ關與モナカツタ證據デアリマス

四、取締役在任中東京本店ニテ開カレタ重役會ニハ私ハ强イテ出席ノ要ナク六十四回ノ內僅カニ四回東京出張中ニ偶々出席シタコトガアツタニ過ギマセン

五、其他ノ詳細ハ申請書第二項ニ詳述シテアリマス

11. At the time of your assnmption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangemeut existed in writing.

None.

b. Details of circumstances under whicn you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

1. Neither the Mitsui Zaibatsu nor its direct affiliates were concerned with my assumption of office.

2. As stated in detail in the para. 2 of the application, not a once, I have assumed the key positions at the Head office in Tokyo during the long services of 22 years and 5 months since employed in the Japan Steel Works, Ltd. as a mechanical engineer soon after the graduation from the school, and as throughout the whole period I have been on duty at the provincial manufacturing plant and devoted myself in production and technical study, I was promoted to a director in consideration of my long and diligent services as well as the ability attained during the period as one of the means for promotion of staff-members, and thus there has been no relation with the Zaibatsu in connection to my assumption of directorship.

3. Even after the assumption of directorship, I have been on duty as the chief of one of the five plants under the direction and supervision of the managing director at the Head office in Tokyo and not a once I have been on duty at the Head Office, where the business of all the plants has been controlled, and also I have not a once concerned with the important affairs on whole the company. The above fact is the evidence that there was neither background nor recommendation of the Zaibatsu with regard to my assuming the office.

4. It has not been required for me to attend the meetings of the board of directors held at the Head Office in Tokyo and in 64 such meetings held during the period of my office, I have attended only 4 times while occaisionally staying in Tokyo on business.

5. Other details are shown in para. 2 of the application.

第六頁

十二、本調査書作成年月日

昭和二十三年一月三十一日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚僞又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名　前川清

12. Date

31 January 1948.

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and cemplete disclosure on relevant or material matters in this questiornaire will subject me to penaly in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signa ture

Kiyoshi Mayekawa.

5

受 73.2.3. 付

三井

DISAPPROVED May. 1 1948
不承認 昭和23年5月1日

Misao Ueda

31 January 1948

APPLICATION FOR YOUR APPROVAL OF

MY NOT BEING THE APPOINTEE.

NAME OF APPLICANT : KIYOSHI MAEKAWA

PRESENT ADDRESS : 4-banchi, Tokiwa, Yubari-shi, Hokkaido

PRESENT POSITION : President of the Kabushiki Kwaisha Yubari Seisakusho (the Yubari Machine Works, Ltd.)

31 Jan. 1948

TO : Mr. Tetsu Katayama, Prime Minister

APPLICATION FOR APPROVAL
of my not being the
appointee.

Present Address : 4-banchi, Tokiwa, Yubari-shi, Hokkaido.
Present Position: President of the Kabushiki Kwaisha Yubari Seisakusho (the Yubari Machine Works, Ltd.)
Applicant : KIYOSHI MAYEKAWA

1. Object of the Application :

I assumed the position of the director on 29 May 1941 in the Kabushiki Kwaisha Nihon Seikosho (the Japan Steel Works, Ltd.), which is the quasi-direct affiliate of the Mitsui Zaibatsu designated by the Law for Termination of the Zaibatsu Family Influence, but by reasons set forth in the following paragraphs I can satisfy all the conditions prescribed in each item of the para. 1, Art. 7 of the Law. I, therefore, hereby apply for your approval of my not being the appointee, accompanying the personal Questionaire separately.

2. Reasons for Application :

(1) Item 1, para. 1, Art. 7 of the Law;

I am not a spouse, father, son or brother including a spouse of such person, of persons belonging to the Mitsui Zaibatsu or their family members.

(2) Item 2, para. 1, Art. 7 of the Law;

In order to confirm that with reference to my assumption of directorship in the Kabushiki Kwaisha Nihon Seikosho, the quasi-direct affiliate of the Mitsui Zaibatsu, there has never existed an arrangement with the Mitsui Zaibatsu or its direct affiliates that their previous approval should be obtained and I did not, in fact, obtain their previous approval, the letters of certifications of Mr. Katsunosuke Shimada, who was the chairman of the board of directors of the Kabushiki Kwaisha Nihon Seikosho at the time of my assumption of office, the Mitsui Honsha and of the Hokkaido

Tanko Kisen Kabushiki Kwaisha (Hokkaido Colliery & Steamship Co. Ltd.) (annex No.1, No.2 & No.3) are attached herewith.

Further it is clear that there has not been any relation with the Zaibatsu in view of the circumstances of my assumption of office stated in the following paragraphs :-

a. Soon after graduated the Mechanical Engineering Sec., Technical Dept. of the Tokyo Imperial University, I was employed in Dec. 1918 as a mechanical enginner in the Kabushiki Kwaisha Nihon Seikosho by the introduction of my senior graduate, without any background or introduction of the Zaibatsus.

At that time, the company had the Head Office, in Tokyo, Plants in Muroran and Hiroshima, and the business office in Osaka. Among these, Hiroshima plant was specialized in designing and manufacture of various sorts of machines, but as there was no university graduated engineer who was well versed with machining work, I was sent, for the future benefit, to U.S.A. and after that to England for study. In England, by the introduction of the Vickers-Armstrongs Ltd., which was one of the largest stock-holders of the company at the time, I was employed to a machine plant as a mechanical apprentice where I studied the machining work. Immediately after returning home from abroad in 1920, I was put on duty at Hiroshima Plant where after series of services as an ordinary staff-member in Planning Sec., Production Sec., and Designing and drafting Sec., etc. I gradually assumed the responsible positions. And in ~~July~~ May 1937, I was named for the chief of the plant (KOJO-CHO), (which was later called "SEISAKUSHO-CHO" by the alteration of the service regulation), after about 19 years service since employed to the company, during the period holding every possible position to be served by an engineer. And I worked there for about 20 years until appointed to the chief of the Muroran Plant in March 1940. In Muroran, I concurrently assumed the office of the chief of the Muroran Research Laboratory and while in the office there, I was promoted to the director on 29 May 1941 stayed there until 15 Dec. 1945 after the termination of War.

However, I am confident, that the steps of my promotion were simply the natural results, when my past efforts and hardships would be taken into consideration.

b. While in the office of the director, I have never been on duty at the Head Office in Tokyo and as stated in the column b, Item 3, para 1, of Art. 7, I devoted myself in production of the plant and technical study within the limit of my authority permitted to me under the direction and supervision of the managing directors in Tokyo.

At this period, there were Hiroshima, Musashi, Yokohama, and Utsunomiya plants and the business office in Osaka other than Muroran plant, and all of them were controlled by the Head office, but I only exercised authority in one of them. Thus, as I have served for a long period of 22 years and 5 months since employed in the company and exerted efforts as an engineer solely in production and technical study, my assumption of directoship was in appreciation of the long service and diligence as well as the ability attained during the service and consequently one of the means of promotion of staff-members.

c. Further, my assumption of directorship was not the promotion by discriminative selection even if the instances of my colleagues were taken into consideration, and I, having no background support of the Zaibatsu, had been promoted only by the services rendered. Mr. Mitsuyasu Uchikoshi, who was my predecessor of the chief of the Muroran Plant, was also of man of long years service and named for the chief in the capacity of staff-member, and during in the post, promoted to the director. I, in like manner, only made the step for promotion, which was open for every excellent staff-member brought up from graduation from school, through the long years of efforts

d. As stated in detail in b, Item 3, para. 1, Art. 7 , The scope of my power authorized as the chief of the plant has, in fact, never been enlarged because of my being the chief with directorship. Power authorized to me by the managing directors was as same as that of the chiefs of Hiroshima, Musashi, Yokohama and Utsunomiya plants, who were ordinary staff-members. In this point, therefore, my assumption of office means simple promotion and it is evident that there was no relation with the Zaibatsu with my appointment.

e. Throughout the whole period of my office of director, I attended a few meetings of board of directors held occaisionally when I, at the capacity of the chief of Muroran Plant and Muroran Research Laboratory, had been in Tokyo to make business arrangements for the plant.

As it was not necessarily required for me to attend such meetings, among the 64 meetings held during the period , I attended only 4 timies on 29 May 1942, 28 Nov. 1942, 2 May 1944 and on 27 Nov. 1945.

And moreover, while attending the meetings, I never stated my opinion on any matter except the matters regarding to the plant in my charge and only reported the circumstances of the plant.

With regard to my attendance to the meetings of the board of directors, the annexed document No.6 is attached to testify the above fact.

f. In the company, the matters essential to the management such as personnel affairs, finance, receiving orders, purchasing, labor and facilities, etc. were originally decided at the Head Office in Tokyo and negotiations with outsiders were also dealt with at the Head Office, but as stated before, I have never been in Tokyo throughout the period of my service for 27 years and 5 months since employed as an engineer until resignation , and have been always in charge of the business of a plant and never concerned with the important business on whole the company. consequently I have, matter of course, never taken any role in forming the supreme policies of the company.

Throughout the whole period when I was the director, not to speak of the period on duty as a staff-member, I have never taken any part in the matters concerned with the management of the whole company and had no authority to negotiate with the outsiders. Thus I have had no opportunities to come into direct contact with the persons connected to the Zaibatsu and further any activity or authorization of power, by which I would have been taken for an appointee of the Zaibatsu, have not been permitted to me.

(3) Item 3, para. 1, Art. 7 of the law;

The fact that I have, in fact, never exercised the authority or influence commensurate with or surpassing that of the managing directors while in the office of the director from 29 May 1941 to 1 May 1946, is clearly testified by the following statements as well as those mentioned in b, d, e and f, of Item 2, para. 1, Art. 7, above.

a. Prior to my assumption of directorship, I was named for the chief of the Muroran Plant on 15 March 1940 and then, while in that post, I assumed the position of director on 29 May 1941. Even after that I have been there as the chief of the plant until 15 Dec. 1945 and throughout the period I have never been on duty at the Head Office in Tokyo and devoted myself in production and technical study at the plant under the direction of managing directors in Tokyo.

After the termination of War, I was appointed to SANYO (Councillor) at Head Office but have never attended the office till the resignation on 1 May 1946 and never concerned with the business of the company.

b. Although I have carried on the business as the chief of the Muroran Plant throughout the whole period in the position of director before 2 Sept. 1945, I have been strictly ordered to conform to the company's regulation "Matters to be submitted for approval of managing directors by the chief of each plant", annex No. 4, and within the limit prescribed in this regulation and with the same power as was authorized to the chiefs of other plants appointed in the capacity of staff-members, for instance, chiefs of Hiroshima, Musashi, Yokohama and Utsunomiya plants, I have conducted the business of the plant under the direction of the managing directors in Tokyo and all the matters required for their approval have been carried out after their decision.

In short, I have, in fact, never been authorized with the special power by reason of my being the chief with the directorship, and my authority has been as same as that of other chiefs without directorship.

The above fact is shown in the annex No. 4.

c. As all the policies concerning to the management of whole the company such as matters regarding to sales, finance, personnel, labor, plans for expansion of facilities, etc., have been drafted or decided by the managing directors or men of higher command, and important negotiations with the outsiders have also been conducted by the Head Office, I have never been in charge of such matters and only carried out the duty at the plant within the limit of authorized power, after the matters to be submitted for approval of managing directors being decided by them.

d. As I assumed the directorship in 1941 after the service for 22 years and 5 months since employed in 1918 and even after that I have remained as the chief of the Muroran Plant, and never been on duty at the Head Office in Tokyo, I have not been able to exercise the authority or influence commensurate with or surpassing that of the managing directors.

In order to get the confirmation on the matters stated in each of the above columns, the letters of certification of Masato Sugi, who was the president dring the period when I have been the director, and of the Kabushiki Kwaisha Nihon Seikosho (annex No.5 & No,6) are attached herewith.

(4) Item 4, para. 1, Art. 7 of the Law;

It is clear in the Chronological record of profession, para. 10 of the attached personal Questionaire that I have never held concurrently the positions of officials in Zaibatsu companies exceeding three positions in one time, and I have never held concurrently any position of Zaibatsu companies other than that of the kabushiki Kwaisha

Nihon Seikosho.

With regard to the above fact, the letter of certification of the Kabushiki Kwaisha Nihon Seikosho (annex No. 7) is attached herewith.

3. Annexed Documents :

Annexed documents are attached herewith as follows:-

No. 1 Certification of Katsunosuke Shimada on Item 2, para. 1, of Art. 7.

No. 2 Certification of the Mitsui Honsha on the same item.

No. 3 Certification of the Hokkaido Tanko-Kisen Kabushiki Kwaisha on the same item.

No. 4 Regulation "Matters to be submitted for approval of the managing directors by the chief of each plant of the Kabushiki Kwaisha Nihon Seikosho," on Item 3, para. 1, Art. 7.

No. 5 Certification of Masato Sugi on the same item.

No. 6. Certification of the Kabushiki Kwaisha Nihon Seikosho on the same item.

No. 7 Certification of the Kabushiki Kwaisha Nihon Seikosho on Item 4, para, 1, Art. 7.

I hereby apply for your approval.

Kiyoshi Mayekawa

KIYOSHI MAYEKAWA

ANNEX No. 1

CERTIFICATION

31 Jan. 1948

Name : Kiyoshi Mayekawa

I, the undersigned, who was the chairman of the board of directors at the time concerned, hereby certify that with reference to the appointment to the director which the above person occupied, there had never existed an arrangment with the Mitsui Zaibatsu or its direct affiliated companies that their previous approval should be obtained and that the above person did not in fact obtain their previous approval.

K. Shimada

KATSUNOSUKE SHIMADA
Ex-chairman of the board of directors
of the Kabushiki Kwaisha Nihon Seikosho

ANNEX NO. 2

CERTIFICATION

23 Jan. 1948

Name : Maekawa Kiyoshi

Position : Director

Company : K. K. Nihon Seikosho ( The Japan Steel Works, Ltd. )
Indirect affiliate company of the Mitsui

This is to certification that neither the attempt has ever been made requiring the consent of this company for his appointment as an official nor has the company been concerned with his election.

Kabushiki Kwaisha Mitsui Honsha
Kabushiki Kwaisha Mitsui Honsha

Taguchi Jun
Taguchi Jun, Liquidator

ANNEX NO. 3 HOKKAIDO COLLIERY & STEAMSHIP COMPANY, LTD.

(Hokkaido Tanko Kisen Kaisha, Ltd.)

2-1 Marunouchi, 1 chome, Chiyoda-ku, Tokyo-to

CERTIFICATE

Name of company: Japan Steel Works Company, Ltd.

Title: Director

Name: Kiyoshi Maekawa

At the time of assumption of office of the person above mentioned, no written agreement that necessitated the previous approval of this company had been in existence, nor had the company any concern, either formally or substantially, concerning the election of this person as company's official.

We hereby certify the above statement to be true and correct.

January 31 , 1948

HOKKAIDO COLLIERY & STEAMSHIP CO., LTD.

y. yoshida

Chairman of Board of Directors

ANNEX No. 4

MATTERS TO BE SUBMITTED FOR APPROVAL OF THE MANAGING DIRECTORS BY THE CHIEF OF EACH PLANT OF THE KABUSHIKI KWAISHA NIHON SEIKOSHO.

1. Matters to be conducted during the period from 1 Jan. 1937 to 31 Dec. 1943.

The chief of each plant shall conduct the following matters upon permission of the managing directors.

(A) Employment, dismissal, repulsion, pending appointment (to place on the waiting list), transference and change of salary, of the staff-member (inclusive of the members on probation);

(B) Term-end allowances for employees (exclusive of these for employees who retired midway);

(C) Promotion of the Ko-in (regular employee), Yo-in (temporary employee) and worker to the staff-member (not offical), and total increased amounts at the time of regular advancement in salary and wage;

(D) Transportation of chief of group and its senior members;

(E) Leave of absence and travelling of the chief of plant (incase of over 3 days);

(F) Retirement allowance for the staff-member, Ko-in, and Yo-in;

(G) Reward and punishment for the staff-member and Ko-in;

(H) Choice of student studying abroad and loan student;

(I) Travelling abroad of employees;

(J) Construction, reconstruction, repair, moving and change of equipments to be categorically contained among the initial expenses;

(K) Special repairing of equipments which requires a large amount of expenses;

(L) Disbursement of a large amount of extra expenses;

(M) Improvement and research which require a large amount of expenses;

(N) Large amount of provincial donations;

(O) Long-term water-utilization contract;

(P) Lease relative to land, building and equipment;

(Q) Alteration of medicine charges at hospital and other charge;

(R) Revision of rules of Health Insurance Union and insurance premium and acceptance of Union bond;

(S) Change of various allowances.

(Remarks)

It is added here that the above indicates the important matters, not all of the affairs which require the preceding approval.

The Employee mentioned above is the general name of the staff-member, Ko-in, Yo-in and worker.

2. Matters to be conducted during the period from 1 Jan. 1944 to 15 Dec. 1945.

(A) Employment, dismissal, repulsion, pending appointment and transference of the staff-member (inclusive of the member on probation);

(B) Total increased amount at the time of the regular advancement in salary and wage of the employees and rise in salary of Division-Chief, Chief of plant and their senior members;

(C) Promotion of Ko-in, Yo-in and worker to the rank of the staff-member;

(D) Term-end allowance for employees;

(E) Transportation of Section-Chief and Assistant Section-Chief and change in function or section of Division-Chief, Chief of plant and their senior members;

(F) Leave of absence and travelling of chief of plant (in case of over 7 days. In case of less than 6 days, the report thereof shall be submitted)

(G) Pension for the staff-member, Ko-in, and Yo-in excluding those as otherwise stipulated by law;

(H) Reward and punishment for the staff-member;

(I) Choice of student studying abroad and loan student;

(J) Travelling abroad of employee;

(K) Construction, increase, improvement, transfer and disposition of equipments to be included among the initial expenses;

(L) Special big scale repair which requires a large amount of expenses;

(M) Large amount of donation (in Muroan & Hiroshima --- over ¥5,000 in other plants --- over ¥3,000);

(N) Long term investment;

(O) Long term borrowing and lending which affect the financial program of the Head Office;

(Remarks)

(1) Except as otherwise provided for, the chief of plant may perform, without the said approval, his duties excluding those just above mentioned.

(2) The provisions may apply to the case of the Chief of Osaka Business Office with the necessary modifications. However, in case thereof, a large amount of donation is defined to be over ¥1,000.

ANNEX No. 5

CERTIFICATION

31 Jan. 1948

Name : KIYOSHI MAYEKAWA

I, the undersigned, who was the president at the time concerned, hereby certify that the above person has never exercised the authority or influence commensurate with or surpassing that of the managing directors, while in his office of director of the Kabushiki Kwaisha Nihon Seikosho and the chief of the Muroran Plant of the company, during the period from 29 May 1941 to 2 Sept. 1945.

M. Sugi

MASATO SUGI
Ex-president of the
Kabushiki Kwaisha Nihon Seikosho

ANNEX No. 6

# CERTIFICATION

31 Jan. 1948

Name : KIYOSHI MAYEKAWA

I, the undersigned, hereby certify the following items:-

1. The above person, who was appointed to the chief of the Muroran Plant of the Kabushiki Kwaisha Nihon Seikosho in the capacity of the staff-member on 15 March 1940 and while in the post named for the director of the company on 29 May 1941, still holding the position of the chief until 15 Dec. 1945, has carried out his duty within the power authorized to him except "the Matters to be submitted for approval of the managing directors by the chief of each plant," prescribed by the company, throughout the whole period of his holding the office of the director, and the power authorized to him was as same as that of the chiefs of other plants who assumed the position in the capacity of the staff-members.

2. The above person, throughout the whole period of his holding the office of the director, has carried out his duty solely as the chief of the plant and he has attended the meetings of the board of directors to be held every month in Tokyo only four (4) times, on 29 May 1942, 28 Nov. 1942, 2 May 1944 and 27 Nov. 1945, among the sixty-four (64) such meetings held during the period.

3. The above person has, in fact, never exercised the authorit or influence commensurate with or surpassing that of the managing directors.

For the Kabushiki Kwaisha Nihon Seikosho
(the Japan Steel Works, Ltd.)

Tetsuji Atarashiya

TETSUJI ATARASHIYA
President

ANNEX No. ~~8~~ 7

# CERTIFICATION

31 Jan. 1948

Name : KIYOSHI MAYEKAWA

This is to certify that the above person, while holding his office of the director of our company, has never held concurrently the positions of official of the Zaibatsu companies affiliated with the Mitsui Zaibatsu.

FOR THE KABUSHIKI KWAISHA NIHON SEIKOSHO

Tetsuji Atarashiya

TETSUJI ATARASHIYA
President

APPROVED May. / 1948
承　認　昭和23年5月1日

NAME TAKASHI ONO　Misao Ueda

No. 72　Name of Company Amritsu Electric Co., Ltd.

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本文に照應するものとする。兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

七、この調査表の重要な事項について虚僞の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

株式會社 安立電気 第　號

氏名　小野　孝

# 個人調査書

一、姓名（振假名をつけること）　小野　タカシ　オノ

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）　無シ

三、生年月日（年齡數え年）　明治三十一年三月十七日（五十一才）

四、出生地（都道府縣）　福島縣

五、現住所（略記しないこと）及び電話番號　東京都大田區田園調布二丁目九百五十一番地（電話）田園調布　四六六八番

六、本籍地（略記しないこと）　福島縣平市田町四十六番地

Questionnaire

1. Name (In full).

Takashi Ono

2. Other names which you have used or by which you have been known. (alias or penname).

None

3. Date of birtn (age).

March 17, 1898 (Fourty-nine Years and Ten Months of Age)

4. Place of birth (prefecture).

Fukushima Prefecture

5. Present address (in full) and telephone number.

951, 2-chōme, Den'en-chōfu, Ōta Ward, Tokyo

Tel. Den'en-chōfu 4668

6. Permanent address (in full).

46, Tamachi, Taira City, Fukushima Prefecture.

七、現に保有し又は就こうとする財閥、制限、従属又は關係會社における役員としての地位

（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）

安立電氣株式會社（住友系）

（二）財閥會社、制限會社、従屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）

財閥會社（傍系）従屬會社

（三）地位

專務取締役

（四）就任の豫定日

現ニ在職中

八、前項の他本人の保有するすべての身分及び職業

無シ

九、財閥としての指定者との親族關係

（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）

無シ

（二）指定者か指定された日において指定者と同一戶籍内にあつたことの有無

無シ

7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

Anritsu Electric Co., Ltd. (Anritsu Denki K.K.)

Sumitomo Zaibatsu

b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

Zaibatsu Company (Associate Company)

Subsidiary Company

c. Position

Special Managing Director

(Senmu Torishimari-yaku)

d. Scheduled date of assumption of the position.

Now in office

8. All other positions snd professions which you hold now.

None

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (includiug relationship with the Zaibatsu family members.)

None

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

'None

第三頁

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務內容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務內容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

(1)本人の役員としての就任及び退任の時における當該會社の株式の一割以上の所有者の氏名及び持株率

(2)本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

| 就職及び退職日附 | 會社團體等の名稱 | 地位（職員たる場合を含む） | 職務內容（詳細に） | 會社團體等の事業內容及び所在地 |
|---|---|---|---|---|
| 別紙（註三、附記） | 別紙 | 別紙 | 別紙 | |