Reasons for petition

I hereby submit~~ting~~ the petition to get your acknowledgment that I am not a person assuming a post of officers of the company which has connection with Zaibatsu for the reasons of mentioned as follows; although I have been the officer of the company connected with Zaibatsu since prior to September 2nd. 1945.

1. Whether or not one may belong to the NOMURA ZAIBATSU or Married with any one of the same families, or else may have relationship with their parent or child, brothers, sisters as well as related by marriage.

I have no such a relation at all.

2. Were there not such an arrangements to have approval of either the NOMURA ZAIBATSU or its direct affiliated concern the Nomura Company in case of one who assumes the post of an Officer of the Nomura Bank Ltd. or have never had its approval in case of not having such an arrangement.

There was not at all such an arrangement to have approval of the Nomura Zaibatsu or The Nomura Company in connection with assuming the post of officer of the bank.

Before the end of the war, only to the matter in connection with assuming the supreme position of officer of the bank had to get its approval by The Nomura Company. (although after the end of war even at the occasion of assuming the supreme position of officer of the bank The Nomura Company did not participate to this matter at all.) but in all cases except aforesaid an

appointment of the officers of this bank being done by means of that one who has chosen from among the stuff of this bank by the board of directors is then elected by general meeting of stock-holders, so that there is no such a fact that neither the Nomura Zaibatsu nor the Nomura Company had participate in regard to appointment of officers. Consequently, there is no such a fact to have received any formal orders, instructions or directions from The Nomura Zaibatsu or The Nomura Company.

3. One did not have more powers or control of executing business than standing director and above who are entitled the same.

I took an office as a director of the Nomura Bank elected by the general meeting of stockholders which was held on May 15th. 1945, and was appointed as a manager of the Nagoya Branch on 21st. of the same month. Although I became a director I had been executing my duties as a manager under the direction of head office where the president, two managing directors, and two standing directors were administrating. Therefore I did have neither any power or control of executing business entitled by standing directors, nor more than that of the same positions.

4. One does not hold together more than four post of officers of companies connected with The Nomura Zaibatsu.

I have never assumed any post of officers of the companies except the Nomura Bank, Ltd.

ISSUANCE (RS 244. 1103 SEC. 3(E) AND 3(D) OR (E) INDG # 778 072

This is to certify that in the nomination and election of the highest responsible officers of the related companies, the Nomura & Co., have made suggestions and recommendations up to the time of the surrender.

However, the policy of the Nomura & Co., after the surrender was changed to comply with the objective of removing all zaibatsu control in which it was decided that there shall be no involvement in the affairs of the related companies.

As evidence of this act, the Articles of Incorporation was amended on December 5, 1945 which abolished the clause dealing with the Regulation for Direction and Control of the Related Companies.

Since then, the Nomura & Co., has not had any connections with the election of officers of the related companies.

The above is a true and correct statement of facts.

January __ 1948.

For the NOMURA & CO.

K Kanbara

To whom it may concern:

Jan. 4, 1948.

Kiyoshi Handa
Director of the Nomura Bank, Ltd.

I hereby certify that the following clauses concerning the above mentioned man are true and accurate.

1. He is not a consort of any person belongs to the Nomura Zaibatsu nor a consort, parent and child, brother, sister of any person in the Same census register, nor a consort of these persons.

2. Any agreement was not concluded that he is required to have a approval in advance of the Nomura Zaibatsu or its direct affiliated Nomura & Co. to take the post of director of the Nomura bank, Ltd., nor had their approval.

3. He has merely the post of director of the Nomura bank, Ltd., as an officer of Zaibatsu company in The Nomura Zaibatsu and had not hold any post as an additional office.

Narajiro Kamada
manager of the Nomura & Co.

To whom it may concern:

Jan.th 1948.

Kiyoshi Handa
Director of the Nomura bank,Ltd.

The reason why Mr. Handa was elected to the director of the Nomura bank, Ltd. from the post of chief of the banking Department of Tokyo branch office at the shareholders' meeting on May 15, 1945 is due to the dicision of the board of directors according to the tradition of selection among employees of superior ability in the bank for the fulfilment of vacancy of directors after the resignation of Mr. Ishizo Kumamoto, director and vice-president and Mr. Katsuhisa Ichimura, director in April the same year.

I as the ex-chairman of board of director at that time hereby certify that the dicision was not influenced by the Nomura Zaibatsu or its direct affiliated Nomura & Co.

M. Nomura

Motogoro Nomura

DECLASSIFIED: NLS E.O. 12065 SEC. 3(E) AND 3(B) OR (C) AND ... 795-972

To whom it may concern:

Jan. 31. 1948.

Kiyoshi Handa
Director of the Nomura Bank,Ltd.

I, ex-president of the Nomura Bank, Ltd. at the time concerned, hereby certify that Mr. Kiyoshi Handa was elected to the director from the chief of the Banking Dept. of the Tokyo Branch at the shareholders meeting on May 15, 1945 and on May 21, same year, assumed the post of the manager of the Nagoya Branch and that he was making his best on his duties and had neither any influential power or control entitled by standing directors, nor more than the other positions of same kind during the time from above mentioned date to Sept. 2, 1945.

K. Idara.

Kunimichi Hara

DECLASSIFIED E.O. 11652 SEC. 3(E) AND 5(D) OR (E) NNDG # 795 012

住友

APPROVED mar. 15, 1948
承認 昭和23年3月15日

受 23.2.5. 付

NAME Hisakazu Hirota

No. 718 Name of Company

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本文に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のままにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

七、この調査表の重要な事項について虚僞の記載をし又は事實をかくした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

Report #5

英

秩父鉱業株式會社 第 號

氏名 廣田壽一

第一頁

# 個人調査書

一、姓名（振假名をつけること）　廣田壽一（ひろたかずいち）

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）　該當事項なし

三、生年月日（年齡數え年）　明治廿二年五月七日生（五十才）

四、出生地（都道府縣）　京都市

五、現住所（略記しないこと）及び電話番號　兵庫縣尼崎市塚口西ノ口九六九ノ三（電話番号伊丹一六三九）

六、本籍地（略記しないこと）　京都市右京區西京極郡衣手町四四

Questionnaire

1. Name (In full).

**Hisakazu Hirota**

2. Other names which you have used or by which you have been known. (alias or penname).

**None**

3. Date of birtn (age).

**May 7, 1899 (Age 50)**

4. Place of birth (prefecture).

**Kyoto Prefecture**

5. Present address (in full) and telephone number.

**969-39, Tsukaguchi Nishinokuchi, Amagasaki City, Hyogo Prefecture**

6. Permanent address (in full).

**44, Koromode-cho, Nishi Kyogoku-gori, Ukyo-ku, Kyoto**

第二頁

七、現に保有し又は就こうとする財閥、制限、従屬又は關係會社における役員としての地位

（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）

扶桑金屬工業株式會社（住友系統）

舊商號 住友金屬工業株式會社（商号変更昭和廿年十一月廿日）

（二）財閥會社、制限會社、従屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）

財閥直系會社

（三）地位

專務取締役

（四）就任の豫定日

該當事項なし

八、前項の他本人の保有するすべての身分及び職業

該當事項なし

九、財閥としての指定者との親族關係

（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）

該當事項なし

（二）指定者か指定された日において指定者と同一戶籍内にあつたことの有無

該當事項なし

7. Position of official in company which you hold or for which you are under consideration.
   a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

      **Fuso Metal Industries, Ltd. (Sumitomo lineage)**

      **Former name: Sumitomo Metal Industries, Ltd.**
      **(Firm name changed on Nov. 30, 1945)**

   b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

      **Direct affiliate**

   c. Position.

      **Chief Director**

   d. Scheduled date of assumption of the position.

      **Not applicable**

8. All other positions snd professions which you hold now.

   **None**

9. Family relationship with the persons designated as Zaibatsu.
   a. Existence or not of the relationship and its details, if any. (includiug relationship with the Zaibatsu family members.)

      **None**

   b. Whether or not you were on the same family register with the designated persons on the day of their designation.

      **"No"**

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務内容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務内容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

(1)本人の役員としての就任及び退任の時における當該會社の株式の一割以上の所有者の氏名及び持株率

(2)本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

| 就職及び退職日附 | 會社團體等の名稱 | 地位（職員たる場合を含む） | 職務内容（詳細に） | 會社團體等の事業内容及び所在地 |
|---|---|---|---|---|
| 自大正十三年四月十日<br>至昭和十年九月十六日 | 株式會社住友製鋼所 | 職員 | 製鋼所<br>機械場長 | 株式會社住友製鋼所は昭和九年七住友伸銅鋼管株式會社（同時社名を住友金屬工業株式會社と改称）と合併す。 |
| 自昭和十年九月十七日<br>至昭和十七年五月十日 | 住友金屬業株式會社 | 出張所長<br>兼製造部副長 | 製品製造の主管代理者 | 住友金屬業株式會社（昭和二、三、商号を株式會社住友金屬工業株式會社と変更す） |
| 自昭和十七年五月十一日<br>至昭和十八年六月十六日 | 〃 | 出張所長<br>兼製造部長 | 製品製造を掌る。 | 〃 |
| 自昭和十八年七月十七日<br>至昭和十九年十月廿日 | 〃 | 出張所長 | 所長を輔佐し所内一切の事務を掌る。 | 〃 |
| 自昭和廿年一月一日 | 株式會社住友製鋼所 | 職員 | 會社團體等の事業内容及び所在地 | 株式會社住友製鋼所鉄鋼製品製造販賣 |
| | 住友金屬工業株式會社 | 出張所長 | 鉄鋼非鉄金屬素材金屬プロペラ製造販賣 | |

七、補助紙

就職及退職日附

會社團體等の名称

職務内容（詳細に）

會社團體等の事業内容及び所在地

補助紙に記載す

廣田

七 補助紙

| 就職又は退職の別 | 會社團体等の名称 | 地位（職員長又は會社團体等の事業内容） | 職務（詳細に） | 會社團体等の事業内容及び所在地 |
|---|---|---|---|---|
| 自大正十三年四月十日<br>至昭和十年九月十六日 | 株式會社住友製鋼所 | 製鋼所<br>機械場長 | 製鋼所職員 | 株式會社住友製鋼所は昭和九年九月十六日住友伸銅鋼管株式會社（同日社名を住友金属工業株式會社と改称）と合併す。 |
| 自昭和十年九月十七日<br>至昭和十年十二月十日 | 〃 | 〃<br>第一製造部副長 | 製品製造の主席代理者 | |
| 自昭和十年十二月十一日<br>至昭和十八年七月十七日 | 〃 | 〃<br>第一製造部長 | 製品製造を掌る。 | |
| 自昭和十八年七月十八日<br>至昭和十九年十月一日 | 〃 | 副所長 | 所長を輔佐し所長事故ある時之が代理をなす。 | |
| 自昭和十九年十月二日<br>至昭和廿年一月廿一日 | 〃 | 所長 | 製鋼所全般の業務を管理す。 | |
| 自昭和廿年一月廿二日<br>至昭和廿年三月廿六日 | 住友金属工業株式會社<br>（昭和二、三〇商号変更す扶桑金属工業株式會社と変更す） | 〃 | 製鋼所業務の管理に専従し役員としての會社業務執行に干渉せず | |
| 自昭和廿年三月廿七日<br>至昭和廿年五月廿六日 | 〃 | 取締役兼製鋼所長 | 製鋼所を日常業務を統轄して業務を執行す。 | |
| 自昭和廿年五月廿七日<br>至昭和廿年六月廿六日 | 〃 | 常務取締役兼製鋼所長 | 會社最高役員として會社業務を統轄す。 | |
| 自昭和廿年六月廿七日<br>至昭和廿年七月廿日 | 〃 | 専務取締役 | | |
| 自昭和廿年七月廿一日<br>至現在 | 日本赤十字社 | 特別會員 | | |
| 自昭和廿年七月廿一日<br>至現在 | 大阪府傷夷軍人職業指導所 | 指導員 | 傷夷軍人の職業指導 | 傷夷軍人の厚生補導 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 自昭和廿年廿月廿日 至昭和廿年廿月廿日 | 〃 | 日本赤十字社 | 特別會員 | | | | |
| 自昭和廿年廿月廿日 至現 | 〃 | 〃 | 常務取締役 兼製鋼所長 | | | | |
| 自昭和廿年廿月廿日 至現 | 〃 | 〃 | 專務取締役 | | | | |
| 自昭和廿年三月廿日 至現 | 在 | 大阪府傷痍軍人職業指導所 | 指導員 | 傷痍軍人の職業指導奨励 | 傷痍軍人の厚生補導 | | |
| 自昭和廿年十月廿日 至現 | 在 | 社團法人日本電氣協會 | 鉄道車輛生産復興会議委員 | 復興会議開催の際委員として出席す。 | 鉄道車輛整備 | | |
| 自昭和廿年七月廿日 至現 | 在 | 社團法人日本機械學会関西支部 | 評議員 | 会社代表者として就任す。 | 技術研究團体 | | |
| 自昭和廿年廿月廿日 至現 | 在 | 關西經濟連合会 | 理事 | 会社代表者として就任し理事会に出席す。 | | | |
| 自昭和廿年廿月廿日 至現 | 在 | 日本産業協議會 | 理事 | 会社代表者として就任し同会に出席 | | | |

| | | | | |
|---|---|---|---|---|
| 自昭和十二年十月至現在 | 日本鉄鋼會 | 理事 | 今 | |
| 自昭和十二年七月至現在 | 日本交通協會 | 評議員 | 今 | |
| 自昭和十二年七月至現在 | 財團法人産業設備協會 | 評議員 | 今 | |
| 自昭和十二年十月至現在 | 全國鉄鋼復興會議 | 實行委員 | 今 | |
| 自昭和十二年五月至現在 | 日本鉄鋼業経営者連盟 | 理事 | 今 | |

(1) 扶桑金属工業株式會社（昭和十二年五月廿九日）株式會社に改組の際その一割以上の所有者の氏名及びその所有株数

(2) 就任當時（昭和十二年五月廿九日）株式會社に改組の際その一割以上の所有者の氏名及びその所有株数

退任當時（現在）持株會社整理委員會 三、八%

現在に至る他の役員の氏名地位及就任、退任年月日

| 氏名 | 就任年月日 | 從前の役員名 | 異動 | 退任年月日 |
|---|---|---|---|---|
| 柳澤七郎 | 昭二、六、二一 | 社長 | | 昭二三、四、二一 |
| 中川路貞治 | 昭二一、一、二一 | 專務取締役 | | 昭二一、一二、二三 |
| 菊池一雄 | 昭二一、五、二九 | 常務取締役 | | 昭二一、一二、二三 |

就任當時（昭和十年五月一日）株式會社住友本社
退任當時（現在）持株會社整理委員會
（注）就任より現在に至る他の役員の氏名地位及就任退任年月日

| 氏名 | 就任 年月日 | 異動 | 任 | 異動 | 従員名 | 年月日 | 退任年月日 |
|---|---|---|---|---|---|---|---|
| 柳澤七郎 | 昭二、一、二一 | | 社長 | | | | 昭三、四、二一 |
| 中川路與治 | 昭二、一、二一 | | 專務取締役 | | | | 昭二、一二、二三 |
| 菊池一雄 | 昭二、五、二九 | | 常務取締役 | | | | 昭二、一二、二三 |
| 望月重信 | 昭二、四、四 | | 今 右 | | | | 昭二、一二、二三 |
| 川本良吉 | 昭二、五、九 | | 取締役 | | | | 昭二、一二、二三 |
| 佐伯正芳 | 昭一九、五、二五 | | 今 右 | | | | 昭二、一二、二三 |
| 河村龍夫 | 昭二、五、二八 | | 今 右 | | | | 昭二、一二、二三 |
| 白井勇 | 昭二、五、二九 | | 常任監査役 | | | | 昭三、七、二一 |
| 椋本稔郎 | 昭二、一、三〇 | | 取締役 | | | | 至現在 |
| 中村定吉 | 昭二、五、二九 | | 今 右 | | | | 至現在 |
| 平塚正俊 | 昭二、二、二八 | | 常務取締役 | | | | 至現在 |
| 竹迫常榮 | 昭二、二、二八 | | 今 右 | | | | 至現在 |
| 鈴木治光 | 昭二、二、二八 | | 取締役 | | | | 至現在 |
| 井上忠義 | 昭二、二、二八 | | 今 右 | | | | 至現在 |
| 金上俊二 | 昭二、二、二八 | | 今 右 | | | | 至現在 |
| 釜谷重平 | 昭二、二、二八 | | 今 右 | | | | 至現在 |

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | 大西耕三 | 杉原晋 | 菅谷重平 | 金上俊二 | 井上忠義 | 鈴木治光 | 竹迫常榮 | 平塚正俊 | 中村定吉 | 榛本和三郎 | 白井勇 | 河村龍夫 | 佐伯正芳 | |
| | 昭二〇、一一、三〇 | 昭二二、一二、一八 | 昭二二、二八、二八 | 昭二二、二、二八 | 昭二二、二、二八 | 昭二二、二、二八 | 昭二二、二、二八 | 昭二二、二、二八 | 昭二一、五、二九 | 昭二〇、一一、三〇 | 昭二一、五、二九 | 昭二〇、五、二八 | 昭一九、五、二五 | |
| | 監査役 | 監査役 | 右 | 右 | 右 | 取締役 | 右 | 常務取締役 | 会 | 取締役 | 常任監査役 | 右 | 会 | |
| | | 昭二三、一二、二六 | | | | 昭二二、四、二一 | | | 昭二三、二、二八 | 昭二三、二、二八 | | | | |
| | | 常任監査役 | | | | 常務取締役 | | | 会 | 常務取締役 | | | | |
| | 至現在 | 至現在 | 至現在 | 至現在 | 至現在 | 至現在 | 至現在 | 至現在 | 至現在 | 至現在 | 昭二三、七、二一 | 昭二二、二、二三 | 昭二二、二、二三 | |

10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

| Dates of employment and retirement. | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and powers (in detail). | Substance of business of organization or company where you served and its location. |
|---|---|---|---|---|
| | | | | |
| | | | | |

10. Chronological record of professional and [illegible]

| Date of employment and retirement | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and pow (in detail). |
|---|---|---|---|
| Apr.12,1923-Sept.16,1935 | Sumitomo Steel Works, Ltd. | Member of staff | |
| Sept.17,1935-May 10,1942 | Sumitomo Metal Industries, Ltd. (Name changed on Nov.30,1945, to Fuso Metal Industries) | Chief of No.3 Machine Shop, Steel Works | |
| May 11,1942-July 16,1943 | " | Asst. Chief of No.1 Production Dept., Steel Works. | Assist Chief in charge of production. |
| July 17,1943-Dec.31,1944 | " | Chief of No.1 Production Dept., Steel Works. | In charge of production. |
| Jan.1,1945-Nov.29,1945 | " | Asst. Chief of Steel Works. | Assist chief and act on his behalf in his absence. |
| Jan.21,1945-May 28,1945 | " | Chief of Steel Works | Take charge of all business of the Works. |
| May 29,1946-Feb.27,1947 | " | Director combining Chief of Steel Works. | Devoted to the business of Steel Works and do not participate in the business of company as a whole. |
| Feb.28,1947-Apr.20,1947 | " | Managing Director combining Chief of Steel Works. | Take charge of affairs of Steel Works and manage the business of the company. |
| Apr.21,1947-till now | " | Chief Director | Manage the affairs of the company as the highest officer |
| Mar.3,1939-till now | Nippon Red Cross Society | Special member | |

| | Status of the position (including position as ordinary personnel.) | Duties and powers (in detail). | Substance of business of organization or company where you served and its location. |
|---|---|---|---|
| Ltd. | Member of staff | | Sumitomo Steel Works was absorbed by Sumitomo Copper-Drawing & Steel Pipe Co. (Name later changed to Sumitomo Metal Industries) on Sept.17, 1935. |
| ...es. Metal | Chief of No.3 Machine Shop, Steel Works | | |
| | Asst. Chief of No.1 Production Dept., Steel Works. | Assist Chief in charge of production. | Sumitomo Steel Works, Ltd. Manufacture and sales of ferrous products. |
| | Chief of No.1 Production Dept., Steel Works. | In charge of production. | Sumitomo Metal Industries, Ltd. Manufacture and sales of ferrous and non-ferrous products, metal propellers. |
| | Asst. Chief of Steel Works. | Assist chief and act on his behalf in his absence. | Fuso Metal Industries, Ltd. Manufacture and sales of ferrous and non-ferrous products, porcelain. |
| | Chief of Steel Works | Take charge of all business of the Works. | Present Location: 55, Azuchimachi, 4-chome, Higashi-ku, Osaka. |
| | Director combining Chief of Steel Works. | Devoted to the business of Steel Works and do not participate in the business of company as a whole | |
| | Managing Director combining Chief of Steel Works. | Take charge of the affairs of Steel Works and manage the business of the company. | |
| | Chief Director | Manage the affairs of the company as the highest officer | |
| iety | Special member | | |

| | | | |
|---|---|---|---|
| Jan.6,1942-Date unknown | Osaka Pref. Employment Agency for the Disabled | Advisor | Instruction and support for ... ment of the disabled |
| Oct.25,1946-till now | Railway Rolling-stock Industrial Association | Committeeman on Railway Rolling-stock Production Rehabilitation Conference | Attend the conference as Committeeman |
| July 25,1947-till now | Nippon Electricity Society (Corporate Judical Person) | Councillor | Appointed as representativ... Fuso Metal Industries |
| June 15,1947-till now | Electro-Chemical Society | Councillor | " |
| Date unknown-till now | Nippon Engineering Institute, Kansai Branch | Branch Manager | " |
| May, 1947-till now | Federation of Economic Organizations | Director | Appointed as representative the company, attend meetin... of directors |
| May, 1947-till now | Kansai Economic Federation | Director | " |
| May, 1947-till now | Nippon Industrial Conference | Director | Assume the po... representing ... company and a... the meetings ... time to time |
| Dec.1,1947-till now | Nippon Iron & Steel Society | Director | " |
| July, 1947-till now | Nippon Traffic Society | Councillor | " |
| Jan.27,1947-till now | Industrial Equipment Utilization Society | Councillor | " |
| June, 1947-till now | National Rehabilitation Conference for Iron & Steel | Central Standing Committee | " |
| May, 1947-till now | Union of Nippon Iron and Steel Enterprisers | Diretor | " |

| | | | |
|---|---|---|---|
| | Adviser | Instruction and support for employment of the disabled | Support and welfare of disabled ex-servicemen |
| | Committeeman on Railway Rolling-stock Production Rehabilitation Conference | Attend the conference as Committeeman | Maintenance of railway rollingstock |
| | Councillor | Appointed as representative of Fuso Metal Industries | Organ for technical research |
| oty | Councillor | " | " |
| nch | Branch Manager | " | " |
| | Director | Appointed as representative of the company, and attend meetings of directors | |
| | Director | " | |
| | Director | Assume the post representing the company and attend the meetings from time to time | |
| | Director | " | |
| y | Councillor | " | |
| | Councillor | " | |
| | Central Standing Committee | " | |
| and | Director | " | |

Note 1. Name and stock-holding persentage of stock-hol
Fuso Metal Industries during the period I serv

At time of appointment (May 29, 1946)
Sumitomo Honsha, Ltd. ----------
At time of retirement (In service),
Holding Company Liquidation Commission --

Note 2. Name, position, dates of appointment of other o
Fuso Metal Industries from my appointment till

| Name | Position | Date of Appointment | Subsequent New Position |
|---|---|---|---|
| Shichiro Yanagisawa | President | Jan.21,1946 | |
| Teiji Nakagawaji | Chief Director | Jan.21,1946 | |
| Kazuo Kikuchi | Managing Director | May 29,1946 | |
| Shigenobu Mochizuki | Managing Director | Apr. 4,1945 | |
| Ryokichi Kawamoto | Director | May 9,1945 | |
| Masayoshi Saeki | Director | May 25,1944 | |
| Tatsuo Kawamura | Director | May 28,1945 | |
| Isamu Shirai | Director | May 29,1946 | |
| Wasaburo Mukumoto | Director | Nov.30,1945 | Managing Director |
| (Hisakazu Hirota) | Director | May 29,1946 | Chief Director |
| Sadakichi Nakamura | Director | May 29,1946 | Managing Director |
| Masatoshi Hiratsuka | Managing Director | Feb.28,1947 | |
| Tsuneshige Takagako | Managing Director | Feb.28,1947 | |
| Harumitsu Suzuki | Director | Feb.28,1947 | Managing Director |
| Tadayoshi Inoue | Director | Feb.28,1947 | |
| Shunji Kanagami | Director | Feb.28,1947 | |
| Juhei Sugaya | Director | Feb.28,1947 | |
| Susumu Sugihara | Auditor | Dec. 1,1947 | Standing Auditor |
| Kozo Onishi | Auditor | Nov.30,1945 | |

ame and stock-holding persentage of stock-holders of
so Metal Industries during the period I served.

At time of appointment (May 29, 1946)
Sumitomo Honsha, Ltd. ---------- 20.5%
At time of retirement (In service),
Holding Company Liquidation Commission ------ 24.8%

me, position, dates of appointment of other officers of
so Metal Industries from my appointment till now.

| | Date of Appointment | Subsequent change | | Date of Retirement |
|---|---|---|---|---|
| | | New Position | Date of Appointment | |
| | Jan.21,1946 | | | Apr.21,1947 |
| ctor | Jan.21,1946 | | | Dec.23,1946 |
| Director | May 29,1946 | | | Dec.23,1946 |
| Director | Apr. 4,1945 | | | Dec.23,1946 |
| | May 9,1945 | | | Dec.23,1946 |
| | May 15,1944 | | | Dec.23,1946 |
| | May 18,1945 | | | Dec.23,1946 |
| | May 29,1946 | | | July,21,1947 |
| | Nov.30,1945 | Managing Director | Feb.28,1947 | (In service) |
| | May 29,1946 | Chief Director | Feb.28,1947 | (In service) |
| | May 29,1946 | Managing Director | Feb.28,1947 | (In service) |
| Director | Feb.28,1947 | | | (In service) |
| Director | Feb.28,1947 | | | (In service) |
| | Feb.28,1947 | Managing Director | Apr.21,1947 | (In service) |
| | Feb.28,1947 | | | (In service) |
| | Feb.28,1947 | | | (In service) |
| | Feb.28,1947 | | | (In service) |
| | Dec. 1,1947 | Standing Auditor | Jan.26,1948 | (In service) |
| | Nov.30,1945 | | | " " |

第五頁

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

(一) 明文の取極の有無

無

(二) 本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

該當事項なし

昭和廿一年五月廿九日扶桑金屬工業株式會社取締役に就任したが、株式會社住友本社の人事統制は昭和廿年十月廿四日を以て完全に廢止されたる旨に依り取締役選任に關し住友財閥又は住友本社の關與を受けず

尚「住友に於ける人事統制の經過と之が實際の詳細は申請理由書に記載す の取扱ひに就て」參照)

11. At the time of your assnmption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangemeut existed in writing.

"No"

b. Details of circumstances under whicn you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

My appointment as Director in Fuso Metal Industries was on May 29, 1946, namely after complete removal by Sumitomo Honsha of its personnel control on October 24, 1945, so my appointment as director was not subjected to any control by Sumitomo Zaibatsu or Sumitomo Honsha. For particulars please refer to the accompanying "Reason for application." (Also please refer to the document entitled "Regulations regarding the personnel control in Sumitomo Zaibatsu and practices for their enforcement.")

第六頁

十二、本調査書作成年月日

昭和廿三年二月五日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚僞又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名

廣田壽一 ㊞

12. Date

February 5, 1948

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and cemplete disclosure on relevant or material matters in this questiornaire will subject me to penaly in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signa ture

/s./ Hirota

生友

APPROVED Mar. 15, 1948
承　認　昭和23年3月15日

受 23.2.6. 付

748

969-39, Tsukaguchi, Nishinokuchi,
Amagasaki, February 5, 1948.

To: Mr. Tetsu Katayama, Prime Minister,
Japanese Government.

Subject: Application for approval for eliminating me from the list of Zaibatsu appointees under provisons of Article 7, Paragraph 1 of the Law for Termination of Zaibatsu Family Control.

Sir,

In accordance with the provisions under Article 7, Paragraph 1 of the Law for Termination of Zaibatsu Family Control, I have the honor to submit this application for your approval to eliminate me from the list of Zaibatsu appointees for reasons set forth im the accompanying papers.

Yours respectfully,

/s/ Hirota

(Hisakazu Hirota)

Enclos:

(Hisakazu Hirota)

Reason for application

As mentioned in the accompanying Questionnaire under head of "Chronological record of profession and employment," I was appointed director of the Fuso Metal Industries, Ltd. (Fuso Kinzoku Kogyo K.K.) at its general meeting of shareholders held on May 29, 1946, and later elected Managing Director (Jomu Torishimariyaku) on February 28, 1947, and later on April 21, 1947, was promoted to Chief Managing Director (Senmu Torishimariyaku) which office I have been holding until now. The transfer of the shares of "Fuso Metal Industries" held by the Sumitomo Honsha to the Holding Company Liquidation Commission according to the provisions of H.C.L.C. Decree, Art.10. Para.1, took place on October 16, 1946, and I, therefore, held the office of director in the Fuso Metal Industries during the period specified in Art.3, Para.2, Item 2 of the Law for Termination of Zaibatsu Family Control. However, I do not consider myself as a Zaibatsu appointee for the reasons mentioned herebelow.

(I) I have not any family relation with the members of Zaibatsu family or their relatives.

(II) At the time of my appointment as director of the company, there has been no agreement calling for the previous approval of Sumitomo Family and/or Sumitomo Honsha for appointments of officers in the Fuso Metal Industries, nor did I obtain any specific approval from them for my assumption of the duties. From my appointment till now, I have never acted as a representative of the interest of either Sumitomo Family or Sumitomo Honsha.

The circumstances surrounding my assumption of director's office in the Fuso Metal Industries and the Manner of my performance of the duties are as described below.

(1) In 1923 I entered the Sumitomo Steel Works (K.K.Sumitomo Seikosho), predecessor of the Fuso Metal Industries where I was added on the technical staff and engaged in the production.

Later I was appointed Manager of Steel Works (Chief of Plant) on January 21, 1946. Hitherto it had been the practice of the Fuso (formerly Sumitomo) Metal Industries to appoint chiefs of its principal plants as directors of the company. It was according to this practice and in recognition of my seniority that I was elected director of the company holding the concurrent position as the chief of the Steel Works.

During the period of my assumption of the director's office from May 29, 1946, till October 16, 1946, the time of transfer of shares to the H.C.L.C., the management of the company was in the hands of chief directors who served on full-time basis. The functions and authority vested in me were strictly restricted to those of a chief of plant, namely a company employe of higher rank. My office of director was so to speak merely an honorary title.

(2) At the time of my election as director on May 29, 1946, the shares of our company owned by the Sumitomo Honsha had not yet been transferred to the H.C.L.C., but as explained in the document entitled "Regulations for personnel control in the Sumitomo Zaibatsu and the practices of their enforcement" which is being submitted from the Sumitomo Honsha to the Zaibatsu Appointee Screening Committee, a decision had been formally made to dissolve the Sumitomo Honsha and to completely abolish its control over its subsidiaries based on the clarification of the policy of the Allied Powers to dissolve all Zaibatsu. As a matter of fact, on October 19, 1945, the meeting of directors of the Sumitomo Honsha passed the resolution for dissolution of the Sumitomo Honsha and a complete abolition of its control over Sumitomo's enterprises, which was informally notified to chiefs of its subsidiaries at the meeting of subsidiary chiefs held at the Sumitomo Honsha on October 24, 1945. This decision was formally notified in writing to the subsidiaries on November 7 on receipt of formal SCAP directive for the dissolution of Zaibatsu ,

and on the following day, November 8, the statement of dissolution was issued to the public. Since that time, the then Sumitomo Metal Industries has become an independent and autonomous company, free from the control of the Sumitomo Honsha for management of its business. Accordingly, all officers who held their principal office in the Sumitomo Honsha or its direct affiliates and held concurrent office in the Sumitomo Metal Industries resigned the concurrent office in the latter company. At that time the board of officers of the Sumitomo Metal Industries was composed of Shunnosuke Furuta and 22 other officers as shown in the attached sheet, but 13 officers including S. Furuta, Chairman, resigned from the post by November 30, 1945, leaving 10 members on the board of directors. Those who remained in their office were all former employes of the Sumitomo Metal Industries. Herebelow I give you lists showing the names of directors remaining on the board and those who resigned as the result of the abolition of the control of Sumitomo Honsha.

(a) <u>List of Directors remaining on the board</u>

Hiromu Kasuga, President

Shichiro Yanagisawa, Managing Director

Teiji Nakagawaji, Managing Director

Kazuo Kikuchi, Managing Director

Tomojiro Tanabe, Managing Director

Shigenobu Mochizuki, Managing Director

Ryokichi Kawamoto, Director

Masayoshi Saeki, Director

Isamu Shirai, Director

Tatsuo Kawamura, Director

(b) List of Officers who resigned

| Name | Principal Office | Concurrent Office in Sumitomo Metal Industries | Date of Resignation |
|---|---|---|---|
| Shunnosuke Furuta | Director General, Sumitomo Honsha | Chairman of Board of Directors | Oct.31,1945 |
| Teikichi Yoshida | Director, Sumitomo Honsha and President, Sumitomo Chemical Industries | Director | Oct.16,1945 |
| Hayashi Okahashi | Direcotr, Sumitomo Honsha and President, Sumitomo Bank | Auditor | Nov.30,1945 |
| Kenzo Oshima | Auditor, Sumitomo Honsha | Auditor | Nov.30,1945 |
| 9 other officers | | | |

(The 9 other officers, whose names are given in a separate sheet, resigned from their post for reason of adjustment of the enterprise of the Sumitomo Metal Industries as a result of the termination of war.)

Thus, from November 30, 1945, onwards, the board of officers of the Sumitomo Metal Industries has been composed exclusively of those men promoted from their former position of employes in the company. Simultaneously the company has abolished its trade name of Sumitomo Metal Industries, Ltd., rich in Zaibatsu savor, and adopted the present name, Fuso Metal Industries, Ltd. Thus, the company has completely severed itself from the control of the Zaibatsu holding company, Sumitomo Honsha, in name and fact. The personnel control of Sumitomo Honsha over its subsidiaries has been put a complete end to since its informal notice to subsidiary chiefs of its intention to dissolve the holding company. It naturally follows that there was no influence of the Zaibatsu family or Sumitomo Honsha exercised over my appointment as director of the Fuso Metal Industries which took place 7 months after the

decision of dissolution of the Sumitomo Honsha. My appointment was provisionally decided at the board of directors of the Fuso Metal Industries autonomously, and submitted to the meeting of shareholders. And to that meeting of shareholders, the Sumitomo Honsha sent in a blank and unqualified proxy to complete the formalities as shareholders. There existed no arrangement between the former for election of directors of the Fuso Metal. Nor did the Fuso Metal obtain any specific approval from the Sumitomo Honsha for my appointment.

(3) I have never held nor do hold any post of officer in any Zaibatsu companies other than that in Fuso Metal Industries.

# ANNEX

(Translation)

# C E R T I F I C A T E

This is to certify that the Sumitomo Honsha, Ltd. abolished its functions of control over subsidiaries as from October 24, 1945, and took the following steps for its effectuation.

(I) Meeting of directors of the Sumitomo Honsha was held on October 19, 1945, and the following resolution was adopted as per copy of certificate (original submitted from the Sumitomo Honsha) issued by Mr. Shunnosuke Furuta, Director-General, representative of the directors present on that day. The names of directors present at the meeting were:

Shunnosuke Furuta
Keijiro Kitazawa
Yoshio Tanaka
Shozaburo Kawai
Hiromu Kasuga
Kiichi Mimura
Hayashi Okahashi
~~Tsuyoshi~~ Kajibbi.

Resolution:

To cope with the situation prevailing within and without this country since the termination of the war, the Sumitomo Honsha shall be dissolved and the control heretofore exercised over all Sumitomo subsidiaries shall be abolished. In order to meet the current situation the following steps shall be taken:

(1) As for the disposition of the proper enterprises of Sumitomo Honsha with the exception of investments by share, the mining property and agricultural and forestry property shall be transferred to the Sumitomo Mining Co.

(2) The shares of stocks in all Sumitomo subsidiaries held by the Sumitomo Honsha shall be transferred to an organ to be disignated by the government.

(3) With the dissolution of the Sumitomo Honsha, the president and all other officers of the company shall automatically resign from their post. The head of the Sumitomo Household shall resign from all his posts as director presently held in the Sumitomo subsidiaries. Director-General Furuta shall likewise resign from all his posts as Chairman of Board of Directors presently held in the subsidiaries.

(4) With this change, the Sumitomo subsidiaries shall become autonomous and independent companies and shall carry on their business accordingly.

(5) The use of the designation, "Sumitomo," now employed by many subsidiaries in their corporate names shall be avoided in future. Each company using this designation shall change its firm name as soon as convenient.

(6) The above information to be unofficially published at the meeting of subsidiary chiefs scheduled to be convened on October 24 to come.

(II) Subsequently on October 19, 1945, a meeting of chiefs of Sumitomo Direct Affiliates and "Special Subsidiaries (Tokutei Kankei Kaisha)" was held as per copy of notice of the meeting dated October 19, 1945, attached hereto. At this meeting Director-General Furuta unofficially announced the Resolution mentioned in paragraph (I) to subsidiary chiefs present.

(III) Later, on publication of the SCAP policy for dissolution of Zaibatsu on November 6, notices under heading "Dissolution of Sumitomo Honsha" were sent to Sumitomo Direct Affiliates and "Special Subsidiaries (Tokutei Kankei Kaisha)" as per copy of certificate made by Mr. Keijiro Kitazawa (original of the certificate submitted from the Sumitomo Honsha) attached hereto.

Announcement to the same effect was made public on the 8th by the press.

Osaka, February 1, 1948

Hidejiro Sugano (Sealed)
Representative Director
Sumitomo Honsha, Ltd.

(Translation)

# C E R T I F I C A T E

This is to certify that we held the meeting of directors on October 19, 1945, where the following resolution was passed and announced unofficially at the meeting of Sumitomo subsidiary chiefs held on October 24.

**Resolution**:

To cope with the situation prevailing within and without this country since the termination of the war, the Sumitomo Honsha shall be dissolved and the control heretofore exercised over all Sumitomo subsidiaries shall be abolished. In order to meet the current situation the following steps shall be taken:

(1) As for the disposition of the proper enterprises of Sumitomo Honsha with the exception of investments by share, the mining property and agricultural and forestry property shall be transferred to the Sumitomo Mining Co.

(2) The share of stocks in all Sumitomo subsidiaries held by the Sumitomo Honsha shall be transferred to an organ to be designated by the government.

(3) With the dissolution of the Sumitomo Honsha, the president and all other officers of the company shall automatically resign from their post. The head of the Sumitomo Household shall resign from all his posts as director presently held in the Sumitomo subsidiaries. Director-General Furuta shall likewise resign from all his posts as Chairman of Board of Directors presently held in the subsidiaries.

(4) With this change, the Sumitomo subsidiaries shall become autonomous and independent companies and shall carry on their business accordingly.

(5) The use of the designation, "Sumitomo," now employed by many subsidiaries in their corporate names shall be avoided in future. Each company using this designation shall change its firm name as soon as convenient.

(6) The above information to be unofficially published at the meeting of subsidiary chiefs scheduled to be convened on October 24 to come.

Made at Osaka on January 30, 1948

Names of Directors present

Shunnosuke Furuta

Keijiro Kitazawa

Yoshio Tanaka

Shozaburo Kawai

Hiromu Kazuga

Kiichi Mimura

Hayashi Okahashi

Tsuyoshi Kajii

Signature of representative Director

Shunnosuke Furuta

(Translation)

# C E R T I F I C A T E

This is to certify that a notice bearing date of November 7, 1945, concerning the dissolution of Sumitomo Honsha, as per copy attached hereto, was sent by me in my capacity as Secretary of Sumitomo Honsha, to the chiefs of the following companies:

Sumitomo Mining Co., Ltd. (Sumitomo Kogyo K.K.)

Sumitomo Metal Industries, Ltd. (Sumitomo Kinzoku Kogyo K.K.)

Sumitomo Electric Industries, Ltd. (Sumitomo Denki Kogyo K.K.)

Sumitomo Chemical Industries, Ltd. (Sumitomo Kagaku Kogyo K.K.)

Sumitomo Aluminum Reduction Co., Ltd. (Sumitomo Aluminum Seiren K.K.)

Sumitomo Machine Mfg. Co., Ltd. (Sumitomo Kikai Kogyo K.K.)

Sumitomo Communications Industries, Ltd. (Sumitomo Tsushin Kogyo K.K.)

Sumitomo Light Metal Co. of Korea, Ltd. (Chosen Sumitomo Keikinzoku K.K.)

Sumitomo Bank, Ltd. (K.K. Sumitomo Ginko)

Sumitomo Trust Co., Ltd. (Sumitomo Shintaku K.K.)

Sumitomo Warehouse Co., Ltd. (Sumitomo Soko K.K.)

Sumitomo Life Insurance Co., Ltd. (Sumitomo Seimei Hoken K.K.)

Sumitomo Land and Engineering Co., Ltd. (Sumitomo Tochi Komu K.K.)

Sumitomo Consolidated Electric Power Co., Ltd. (Sumitomo Kyodo Denryoku K.K.)

Sumitomo Synthetic Resin Co., Ltd. (Sumitomo Kakozai Kogyo K.K.)

Nippon Plate Glass Co., Ltd. (Nippon Itagarasu K.K.)

Osaka Sumitomo Marine and Fire Insurance Co., Ltd. (Osaka Sumitomo Kaijyo Kasai Hoken K.K.)

Made at Osaka on January 30, 1948

Signed: Keijiro Kitazawa

(Translation)

Osaka, October 19, 1945

Letter No. Bun-120

To Whom It May Concern:

Re: Meeting of Subsidiary Chiefs

You are requested to attend the meeting of chiefs of Sumitomo Subsidiaries, which will be held at the Sumitomo Honsha, as follows:

Time: From 10 a.m., Wednesday, October 24.

Place: Council Room, 2nd Floor, Sumitomo Building.

Yours truly,

SUMITOMO HONSHA, LTD.

(Signed: Keijiro Kitazawa)
Secretary

(Translation)

Notice sent to Subsidiary Chiefs

Osaka, November 7, 1945

To Whom It May Concern:

Re: Dissolution of Sumitomo Honsha

In view of the conditions prevailing within and without this country since the termination of the war, a decision has been made to dissolve the Sumitomo Honsha, Ltd., to abolish its functions of control over all Sumitomo Subsidiaries, and to put into force the following measures in order to meet the current situation. You are requested to communicate this to all your subordinates.

(1) As for the disposition of proper enterprises with the exception of investments by shares, it is our plan to transfer the mining property and agricultural and forestry property to the Sumitomo Mining Co.

(2) The shares of stocks in subsidiaries owned by the Sumitomo Honsha shall be transferred to an organ to be designated by the government, and shall later be offered to the public.

(3) With the dissolution of the Sumitomo Honsha, the president and all other officers of the company shall automatically resign from their post. The head of the Sumitomo Household shall resign from all his posts as director now ~~presently~~ held in Sumitomo subsidiaries. Director-General Furuta shall likewise resign from all his posts as Chairman of Board of Directors presently held in the subsidiaries.

(4) With this change, the subsidiaries of Sumitomo Honsha shall become autonomous and independent companies and shall carry on their business accordingly.

(5) The use of the designation, "Sumitomo," now employed by many subsidiaries in their corporate names shall be avoided in future. Each company using this designation shall change its firm name as soon as convenient.

Yours truly,

Sumitomo Honsha, Ltd.

(Signed: Kitazawa Kenjiro)
Secretary

Translation of article in the vernacular paper, "Osaka Mainichi"
November 8, 1946 issue.

SUMITOMO DISSOLUTION PROGRAM
Subsidiaries given independence

In line with the SCAP policy, the Sumitomo Zaibatsu has decided to voluntarily dissolve the Sumitomo Honsha. In this connection, the Director General Furuta of Sumitom Honsha published on the 7th the following statement. This puts an end, in name and reality, to the activities of the Sumitomo Zaibatsu having a tradition of 250 years.

(1) The Sumitomo Honsha, Ltd. is to be dissolved, and the system of control over all Sumitomo enterprises will be abolished.

(2) Of the actual enterprise divisions owned by the Sumitomo Honsha, the mining (gold) division and agricultural and forestry division are scheduled to be assigned to the Sumitomo Mining Co. on obtaining the government permission.

(3) The shares of stock in the Sumitomo subsidiaries owned by the Sumitomo Honsha are to be transferred to an organization to be designated by the government and later offered to the public.

(4) With the dissolution of the Sumitomo Honsha, Mr. Kichizaemon Sumitomo, representative director and president, Mr. Shunnosuke Furuta, representative director and director-general, and all other directors and auditors shall automatically resign from their posts. Messrs. K. Sumitomo and S. Furuta shall resign from all posts of director in the subsidiary companies. (In execution.)

(5) All officers of Sumitomo Honsha holding concurrent office of director or auditor in Sumitomo subsidiaries shall retire from the concurrent office. (In execution.)

(6) All Sumitomo subsidiaries shall carry on their enterprises on their own accord as autonomous and independent companies.

(7) The designation "Sumitomo" now used in many Sumitomo subsidiaries shall be avoided. Companies using this designation in their firm name shall effect the change in the name.

List showing changes of officers in Fuso (Sumitomo) Metal Industries since the termination of war until now

As on September 2, 1945

| | |
|---|---|
| Chairman of Board of Directors | Shunnosuke Furuta |
| President | Hiromu Kasuga |
| Vice President | Muneo Yoshinori |
| " " | Tsutomu Matsuda |
| Managing Director | Shichiro Yanagisawa |
| " " | Itsuo Maruyama |
| " " | Osamu Sugimoto |
| " " | Teiji Nakagawaji |
| " " | Kazuo Kikuchi |
| " " | Tomojiro Tanabe |
| Director | Shigenobu Mochizuki |
| " | Teikichi Yoshida |
| " | Ryokichi Kawamoto |
| " | Masayoshi Saeki |
| " | Yoshitaka Tachibana |
| " | Shunya Toji |
| " | Isamu Shirai / Ryokichi Kawamoto |
| " | Matajiro Takahashi |
| Standing Auditor | Hayashi Okahashi |
| Auditor | Kenzo Oshina |
| " | Eiichi Yamamoto |
| " | Kaizo Hisatake |

As on November 30, 1945

| | |
|---|---|
| President | Hiromu Kasuga |
| Chief Director | Shichiro Yanagisawa |
| Managing Director | Teiji Nakagawaji |
| " " | Tomojiro Tanabe |
| " " | Shigenobu Mochizuki |
| Director | Ryokichi Kawamoto |
| " | Masayoshi Saeki |
| " | Isamu Shirai |
| " | Tatsuo Kawamura |
| | Wasaburo Mukamoto (Appointed Nov.30, 1945) |
| | Kazuo Kikuchi |
| Standing Auditor | Koze Onishi (Appointed Nov.30, 1945) |
| Auditor | |

野村

APPROVED Mar. 3rd, 1948
承　認　昭和23年3月30日

受
23.2.5.
付

NAME MASAKI KAWAKITA

No. 736　Name of Company NOMURA CONSTRUCTION Co., LTD

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本文に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

七、この調査表の重要な事項について虚僞の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

英

野村建設工業株式會社　第　號

氏名　川北正喜

APPROVED
[illegible] RESOURCES [illegible]

第一頁

# 個人調査書

一、姓名（振假名をつけること）　カハキタ マサキ　川北正喜

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）　該當事項無し

三、生年月日（年齡數え年）　明治參拾六年壹月貳拾五日（四拾六歲）

四、出生地（都道府縣）　福井縣福井市

五、現住所（略記しないこと）及び電話番號　京都市左京區南禪寺下河原町三十七番地

六、本籍地（略記しないこと）　兵庫縣武庫郡住吉村字小原田百二九番地

Questionnaire

1. Name (In full).

MASAKI KAWAKITA

2. Other names which you have used or by which you have been known. (alias or penname).

NONE

3. Date of birtn (age).

JAN. 25TH. 1903 (46AGE)

4. Place of birth (prefecture).

FUKUI CITY, FUKUI PREFECTURE

5. Present address (in full) and telephone number.

NO. 37, NANZENJI SHIMOKAWARA CHO,
SAKYO WARD, KYOTO CITY.

6. Permanent address (in full).

NO. 129, OHARADA, SUMIYOSHI VILLAGE,
MUKO DISTRICT,
HYOGO PREFECTURE.

第二頁

七、現に保有し又は就こうとする財閥、制限、従属又は關係會社における役員としての地位
（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）
（二）財閥會社、制限會社、従屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）
（三）地位
（四）就任の豫定日

八、前項の他本人の保有するすべての身分及び職業

九、財閥としての指定者との親族關係
（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）
（二）指定者が指定された日において指定者と同一戸籍内にあつたことの有無

財閥會社（傍系會社）　野村建設工業株式會社　野村系
監査役
昭和弐拾壱年弐月弐拾壱日
該當事項無し
該當事項無し
無し
無し

（補助紙）

一、株式會社野村銀行取締役在任に關する分の事項

個人調査書の中の十、職業の履歴の註三、に關する附記

㈠本人が株式會社野村銀行取締役としての就任及び退任の時における野村銀行の株式の一割以上の所有者の氏名及び持株率

㈠株式會社野村銀行取締役就任當時（二十年五月十五日現在）

| | | |
|---|---|---|
| 野村德七 | 四二〇、〇二〇株 | 四二%〇八 |
| 野村恵二 | 二五一、四〇〇 | 二五%一二 |
| 野村生一合 | 一二〇、〇〇〇 | 一二%〇六 |

㈡株式會社野村銀行取締役退任當時（二十一年十二月二十一日現在）

| | | |
|---|---|---|
| 野村德七 | 四二〇、〇二〇株 | 四二%〇二 |
| 野村恵二 | 二五一、四〇〇 | 二五%一二 |
| 野村生一合 | 一二〇、〇〇〇 | 一二%〇六 |

㈡本人が株式會社野村銀行取締役として勤務せる期間（二十年五月十五日ヨリ二十一年十二月二十一日）における他の役員の氏名、地位及び就任ト退任年月日

| 氏名 | 地位 | 就任年月日 | 退任年月日 |
|---|---|---|---|
| 野村元五郎 | 取締役會長 | 十八年一月二十三日 | 二十年十二月二十九日 |

（川北王子支店）

| | | | | | |
|---|---|---|---|---|---|
| 柴村俊吉 | 取締役社長 | 十九年十一月 | 二十二年 | 二月 | 十七日 |
| 原邦道 | 取締役社長 | 十九年十一月 | 二十二年 | 二月 | 十七日 |
| 橋本哲 | 專務取締役 | 二十年四月 | 二十一年十二月 | 三十日 | |
| 梶谷五郎 | 專務取締役 | 二十年四月 | 二十一年十二月 | 三十日 | |
| 伊藤千三 | 常務取締役 | 二十年四月 | 二十一年十二月 | 三十日 | |
| 小林正人 | 常務取締役 | 二十年四月 | 二十一年十二月 | 三十日 | |
| 伴[illegible]哉 | 常務取締役 | 二十年四月 | 二十一年十二月 | 三十日 | |
| 同 | 取締役 | 二十年十月 | 二十一年十二月 | 三十日 | |
| 有松潤一郎 | 取締役 | 十九年十一月 | 二十二年 | 一月 | 六日 |
| 山内貢 | 取締役 | 十七年七月 | 二十年十一月 | 二十九日 | |
| 飯田清三 | 取締役 | 十九年八月 | 二十年十一月 | 二十九日 | |
| 永松實喜 | 取締役 | 十九年十一月 | 二十年十一月 | 二十九日 | |
| 吉村茂三郎 | 取締役 | 十九年十一月 | 六日 | 現在ニ至ル | |
| 牟田清 | 取締役 | 二十年五月 | 十五日 | 現在ニ至ル | |
| 村田逸雄 | 取締役 | 二十年十一月 | 二十一年十二月 | 二十日 | |
| 峯村英薫 | 取締役 | 二十年十一月 | 二十九日 | 現在ニ至ル | |

三、野村建設工業株式會社監査役在任に關する分の事項

(一)本人が野村建設工業株式會社監査役として

(イ)野村建設工業株式會社の株式の一割以上の所有者の氏名及持株率

（昭和二十一年十二月二十一日）

| | | | |
|---|---|---|---|
| 野村合名會社 | 代表社員 | 野村元五郎 | 一六〇、〇〇〇株 |
| 野村生命保險株式會社 | 取締役社長 | 富成宮吉 | 一八〇、〇〇〇株 |
| 株式會社野村銀行 | 取締役社長 | 原邦道 | 五〇、〇〇〇株 |

| | | | | |
|---|---|---|---|---|
| 森原元夫 | 取締役 | 二十年十一月廿九日 | 現在ニ至ル | |
| 淺野又衛 | 監査役 | 十九年四月廿八日 | 廿一年四月三十日 | 一、四〇〇株 |
| 牛尾健治 | 監査役 | 十九年八月廿八日 | 廿一年十一月九日 | 一、〇〇〇株 |
| 右近權左衛門 | 監査役 | 十九年八月廿八日 | 廿一年十二月三十日 | 四五〇〇株 |
| 廣岡松三郎 | 監査役 | 十九年八月廿八日 | 廿一年十二月三十日 | 一、二〇五株 |
| 野村惠二 | 監査役 | 二十年五月十五日 | 廿一年十二月三十日 | |
| 堀秀 | 監査役 | 二十年十一月廿九日 | 現在ニ至ル | |

(ロ)右同社の現在（昭和二十三年一月二十日）

| 氏名 | 地位 | 就任年月日 | 退任年月日 | 現在ニ至ル |
|---|---|---|---|---|
| 野村生命保険株式會社 | 取締役社長 | 廿一年五月廿一日 | | |
| 松濶一郎 | 専務取締役 | 廿一年十二月廿一日 | | |
| 富田實 | 常務取締役 | 廿一年六月廿四日 | | |
| 成宮吉一 | 監査役 | 廿二年五月一日 | 廿二年（同日監査役ニ就任） | |
| 佐藤滋恭 | 取締役 | 廿二年八月卅一日 | 廿二年十二月十五日 | |
| 谷田實 | 取締役 | 廿一年十二月廿一日 | 現在ニ至ル | |
| 諸島三郎 | 取締役 | 廿一年十二月廿一日 | 廿二年十二月十五日 | |
| 岩本義心 | 取締役 | 廿一年十二月廿一日 | 廿二年六月十六日 | |

(ハ)本人が野村建設工業株式會社監査役として勤務せる期間（二十一年十二月二十一日以降現在迄）に於ける他の役員の氏名、地位及就任、退任年月日

| 氏名 | 地位 | 就任年月日 | 退任年月日 | 現在ニ至ル |
|---|---|---|---|---|
| 厚東常照 | 取締役社長 | 廿一年五月廿一日 | 現在ニ至ル | |
| 野口富藏 | 専務取締役 | 廿一年十二月廿一日 | 廿二年十二月十五日 | |
| 岡田武雄 | 常務取締役 | 廿一年六月廿四日 | 廿二年（同日監査役ニ就任） | |
| 同 | 監査役 | 廿二年五月一日 | 廿二年十二月十五日 | |
| 佐藤滋恭 | 取締役 | 廿二年八月卅一日 | 現在ニ至ル | |
| 谷田實 | 取締役 | 廿一年十二月廿一日 | 廿二年十二月十五日 | |
| 諸島三郎 | 取締役 | 廿一年十二月廿一日 | 廿二年六月十六日 | |
| 岩本義心 | 取締役 | 廿一年十二月廿一日 | 現在ニ至ル | |

| | | | |
|---|---|---|---|
| 杉 | 平 | 吉 | 間 |
| 島 | 一 | 臣 | 取締役 | 廿二年五月一日 | 廿二年十二月十五日 |
| 岡 | 重 | 慶 | 取締役 | 廿二年九月十六日 | 廿二年十一月廿九日 |
| 井 | 治 | 一 | 監査役 | 廿二年十二月廿一日 | 廿二年五月一日 |
| 江 | 直 | 已 | 監査役 | 廿二年五月一日 | 廿二年十二月十五日 |

Attached Schedule of the Note 3, of Questionnair #IO

(I) Particulars in regard to holding an office of director of The Nomura Bank,Ltd.

(I) Name and stock-holding percentage of stock-holders owning IO% or more of the total stock of the bank at the time of my assumption of office and retirement of the director of the Nomura Bank,Ltd.

(a) At the time of assumption of director of the Nomura Bank,Ltd. (On May I5th. I945,)

| Name of Stock-holder | Number of shares | Percentage |
|---|---|---|
| Tokuhichi Nomura | 420,020. | 42.0% |
| Keiji Nomura | 25I,400 | 25.I% |
| Nomura Life Ins.Co.,Ltd. | I20,000 | I2.0% |

(b) At the time of retirement of director of the Nomura Bank,Ltd. (On Dec. 2Ist. I946,)

| Name of Stock-holder | Number of shares | Percentage |
|---|---|---|
| Tokuhichi Nomura | 420,020 | 42.0% |
| Keiji Nomura | 25I,400 | 25.I% |

(2) Name,position and dates of appointment and retirement of other officials of the bank during the period when I served as a director of The Nomura Bank,Ltd.(From May I5th.I945 to Jan.2Ist.I946.)

| Name | Position | Date of assumption | Date of retirement |
|---|---|---|---|
| Motogoro Nomura | Chairman of Board | Jan.23rd.I943 | Dec.29th.I945 |
| Kunimichi Hara | President | Nov. 6th.I944 | Jan.I7th.I947 |
| Tetsu Hashimoto | Managing director | April I2th.'45 | Dec.30th.I946 |
| Goro Kajitani | " " | " " | " " " |
| Senzo Ito | Standing director | " " | " " " |
| Masato Kobayashi | " " | " " | " " " |
| Masaya Ban | " " | " " | Oct.I6th.I945 |
| Masaya Ban | Director | Oct.I6th.I945 | Aug.I5th.I946 |
| Junichiro Arimatsu | " | Nov. 6th.I944 | Up to now |
| Mitsugu Yamanouchi | " | July 22nd.I942 | Nov.29th.I945 |
| Seizo Iida | " | Aug.28th.I944 | " " " |
| Jitsuki Nagamatsu | " | Nov. 6th.I944 | " " " |
| Mosaburo Yoshimura | " | " " " | Up to now |
| Kiyoshi Handa | " | May I5th.I945 | " |
| Itsuo Murata | " | Nov.29th.I945 | Dec.20th.I946 |
| Hideshige Minemura | " | " " " | Up to now |
| Motoo Morihara | " | " " " | " |
| Matae Asano | Auditor | April 28th.I944 | April 30th.'46 |
| Kenji Ushio | " | Aug.28th.I944 | Nov.9th.I946 |
| Gonzaemon Ukon | " | " " " | Dec.30th.I946 |
| Matsusaburo Hirooka | " | " " " | " " " |
| Keiji Nomura | " | May i5th. I945 | Dec.29th.I945 |
| Shu Hori | " | Nov.20th.I945 | Up to now |

(2) Particulars in regard to holding an office of director of The Nomura Constructive Industrial Company,Ltd.

(I) Name and stoch-holding percentage of stock-holders owning IO% or more of the total stock of the company at the time of my assumption of office and At present(being in service)of the Auditor of The Nomura Constructive Industrial Co.,Ltd.

(a) At the time of assumption of auditor of The Nomura Constructive Industrial Co.,Ltd.( On December 21st.1946.)

| Name of stock-holder | Representative of the Companies | Number of shares | Percentage |
|---|---|---|---|
| Nomura Company | Representative partnership Motogoro Nomura | 160,000. | 40.0 % |
| Nomura Life Insurance Co.,Ltd. | President Miyakichi Tominari | 180,000 | 45.0 % |
| Nomura Bank,Ltd. | President Kunimichi Hara | 50,000 | 12,5 % |

(b) AT present the same as mentioned above,

| Name of stock-holder | Representative of the companies | Number of shares | Percentage |
|---|---|---|---|
| Nomura Life Insurance Co.,Ltd. | President Miyakichi Tominari | 180,000. | 45.0 % |
| Nomura Bank,Ltd. | Representative director Junichiro Arimatsu | 50,000. | 12.5 % |
| Holding Companies Liquidation Committee | Chief of the Committee Tadao Sasayama | 168,000. | 42.0 % |

(2) Name,position and date of appointment and retirement of other officials of the company during the period when I served as an auditor of The Nomura Constructive Industrial Co.,Ltd.(From Dec.21st.1946 to the present)

| Name | Position | Date of assumption | Date of retirement, |
|---|---|---|---|
| Tsuneteru Koto | President | May 21st.1946 | up to now |
| Tomizo Noguchi | Managing director | Dec.21st.1946 | Dec.15th.1947 |
| Takeo Okada | Standing director | June 24th.1946 | May 1st. 1947 |
| " " | Auditor | May 1st. 1947 | Dec.15th.1947 |
| Jikyo Sato | Director | Aug.31st. 1945 | up to now |
| Minoru Tanida | " | Dec.21st. 1946 | Dec.15th. 1947 |
| Saburo Moroshima | " | " " " | June 16th.1947 |
| Gishin Iwamoto | " | " " " | up to now |
| Kazuomi Majima | " | May 1st. 1947 | Dec.15th.1947 |
| Shigeyoshi Yoshioka | " | Sep.16th.1947 | Nov.29th.1947 |
| Jiichi Hirai | Auditor | Dec.21st.1946 | May 1st. 1947 |
| Naomi Sugie | " | May 1st. 1947 | Dec.15th.1947 |

7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

NOMURA CONSTRUCTION Co., LTD.
(NOMURA ZAIBATSU LINEAGE)

b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

ZAIBATSU COMPANY (ASSOCIATE COMPANY)

c. Position:

AUDITOR

d. Scheduled date of assumption of the position.

NONE
~~DECEMBER 21TH, 1946.~~

8. All other positions and professions which you hold now.

NONE

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (includiug relationship with the Zaibatsu family members.)

NONE

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

NONE

第三頁

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務内容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務内容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

(1)本人の役員としての就任及び退任の時における當該會社の株式の一割以上の所有者の氏名及び持株率

(2)本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

| 就職及び退職日附 | 會社團體等の名稱 | 地位（職員たる場合を含む） | 職務内容（詳細に） | 會社團體等の及び所在地 | 事業内容 |
|---|---|---|---|---|---|
| 自大正一五、三月<br>至昭和一九、四月 | 株式會社野村銀行 | 行員 | 京都支店長 | 銀行業、<br>大阪市東區備後町<br>二丁目廿一番地 | |
| 自昭和一九、四月<br>至〃一九、十二月 | 〃 | 行々（支店長） | 本店銀行部長 | 〃 | |
| 自昭和一九、十二月<br>至〃二〇、五、一四 | 〃 | 〃（銀行部長） | 本店銀行部長兼務 | 〃 | |
| 自昭和二〇、五、一五<br>至〃二〇、五、二〇 | 〃 | 取締役 | 本店銀行部長兼務 | 〃 | |

| | | | |
|---|---|---|---|
| 自昭和二〇、五、二<br>至〃二二、二、二〇 | 株式会社 野村銀行 | 取締役 | 東京支店長兼<br>東京支店銀行部長 |
| 自昭和二〇、二、三<br>至〃二一、七、五 | 〃 | 〃 | 東京支店長 |
| 自昭和二一、七、六<br>至〃二二、二、三 | 〃 | 〃 | 経理部長 |
| 自昭和二一、二、二<br>至現在 | 野村建設工業<br>株式会社 | 監査役 | 経理課長 |
| | | 〃 | 土木建築請負<br>不動産管理業<br>大阪市東区高麗橋<br>三丁目九番地 |

註三の事項は補助紙参照

"10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

| Dates of employment and retirement. | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and powers (in detail). | Substance of business of organization or company where you served and its location. |
|---|---|---|---|---|
| MARCH. 1926<br>APRIL. 1944 | NOMURA BANK. LTD | CLERK | | BANKING<br>No 21. BINGOMACHI 2 CHOME<br>HIGASHI WARD<br>OSAKA CITY. |
| APRIL. 1944<br>DEC. 1944 | " | MANAGER | MANAGER OF KYOTO BRANCH | " |
| DEC. 1944<br>MAY. 14. 1945 | " | HEAD OF BANKING SECTION | HEAD OF BANKING SECTION OF HOME OFFICE | " |
| MAY. 15. 1945<br>" 20. 1945 | " | DIRECTOR | TAKE CHARGE OF HEAD OF BANKING SECTION OF HOME OFFICE | " |
| MAY 21. 1945<br>DEC. 20. 1945 | " | " | MANAGER OF TOKYO BRANCH AND HOLD ADDITION AT POST OF CHIEF OF BANKING DIVISION | " |

| | | | | | |
|---|---|---|---|---|---|
| DEC. 21. 1946<br>July. 5. 1946 | NOMURA BANK. LTD | DIRECTOR | TAKE CHARGE OF MANAGER OF TOKYO BRANCH | | |
| July. 6. 1946<br>DEC. 21. 1946 | 〃 | 〃 | TAKE CHARGE OF CHIEF OF ACCOUNTANT DIVISION | 〃 | |
| DEC. 21. 1946<br>AT PRESENT | NOMURA CONSTRUCTION CO., LTD | AUDITOR | TAKE CHARGE OF CHIEF OF ACCOUNTANT DIVISION | CONSTRACTOR FOR BUILDING WORKS AND CUSTODY OF IMMOVABLES AS WELL AS TRADE OF THE SAME<br>NO. 9. 2 CHOME IKORAIBASHI, HIGASHI-KU OSAKA. | |

SEE ATTACHED SCHEDULE OF THE NOTE 3

第五頁

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

(一) 明文の取極の有無

(二) 本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

(イ) 株式會社野村銀行、取締役就任に關する事項

大正拾五年株式會社野村銀行に普通行員として入行爾來二拾年間行務に努力せることを認められて取締役に就任せるものにて野村財閥及其の直系會社たる野村合名會社が就任に關與したる事實は無い。

(ロ)

野村興業株式會社、野村建設工業株式會社監査役就任に關する事項

野村建設工業株式會社社長原東氏と豫ねてより交友關係にあり當時たまたま同社に適當な經理担當者無かりしを以て迎へられて經理の爲めにして野村財閥及其の直系會社たるものにては無い。

(ハ)

野村合名會社、就任に關する事項

(詳細は申請書の二を參照されたい。)

11. At the time of your assnmption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangemeut existed in writing.

NONE

b. Details of circumstances under whicn you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

(A) PARTICULARS TO ASSUMPTION OF THE OFFICE TO DIRECTOR OF THE NOMURA BANK LTD. I ENTERED THE NOMURA BANK, LTD. 1926 AS A CLERK, AND EVER SINCE I HAD BEEN DEVOTING WITH MY BEST TO CARRY MY DUTIES FOR 20 YEARS, WHICH WAS RECOGNIZED BY THE BOARD, THEN I ELECTED AS A DIRECTOR OF THE BANK. SO THAT THERE IS NO SUCH AFFACT TO BE SEEN THAT NEITHER NOMURA ZAIBATSU NOR ITS DIRECT AFFILIATED CONCERN THE NOMURA COMPANY PARTICIPATED TO MY APPOINTMENT OF THE SAME.

(B) PARTICULARS TO ASSUMPTION OF THE OFFICE TO THE AUDITOR OF THE NOMURA CONSTRUCTION CO., LTD.

AS I HAVE BEEN A GOOD FRIEND OF MR. KOTO. THE PRESIDENT OF THE NOMURA CONSTRUCTION CO., LTD. I WAS CALLED TO THE COMPANY TO TAKE IN CHARGE OF ACCOUNTANT BY ASSUMING A POST OF AN AUDITOR. FOR AT THAT TIME THEY HAVE BEEN HAPPENED TO LACK OF PROPER PERSON TO ATTEND ACCOUNTING OF THE COMPANY.

(NOTE, AS TO THE DETAILE PLEASE REFER THE ARTICLE 2, OF MY PETITION )

第六頁

十二、本調査書作成年月日

昭和弐拾参年弐月四日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚偽又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名 川北元春 ㊞

BECAUSE THE DUTY PROVIDED SEC. 3(E) AND 3(D) OR (E) APPLY ? 795 072



12. Date

FEB. 4TH, 1948.

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and cemplete disclosure on relevant or material matters in this questiornaire will subject me to penaly in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signa ture

APPROVED Mar 30, 1948
承　認　昭和23年3月30日

(Copy)

January 28th. 1948

To Mr. Tetsu Katayama
The Prime Minister.

Subject; The petition to obtain approval of that I am not a person to be an officer who has connection with Zaibatsu.

Sir:

I hereby submit a petition to obtain your approval of that I am not an officer who has connection with Zaibatsu in accordance with Para. I, Article 7, of the Eliminations of Zaibatsu or The Family control law.

Very truly yours

Author of
THE NOMURA CONSTRUCTION CO, LTD.
No. 9, 2-chome, Koraibashi, Higashi-ku, Osaka.

DECLASSIFIED AUTH: E.O. 11652 SEC. 3(E) AND 5(D) OR (E) NNDC # 75-072

Reasons for Petition

I hereby submitting the petition to get your acknowledgment that I am not a person assuming a post of officer of the company which has connection with Zaibatsu,for the reasons of mentioned as follows,in accoruance with the Para.I,Artid e 7, Elimination of Zaibatsu or Family Control Law.

I. Wether or not one may belong to the named Zaibatsu or married with any one of the same families or else may have relationship with their parent or child,brothers,and sisters,as well as related by marriage.

I have no such a relation at all.

2. Were there not such an arrangements to have approval of either Nomura Zaibatsu or its direct affiliated company in cse of one who assumes a post of officer,or have never had its approval in case of not having such an arrangement.

Although I was appointed as a director of The Nomura Bank,Ltd. on May 2Ist. I945 and retired on December 2Ist. I946,and then I was appointed as an Auditor of The Nomura Construction Co.,Ltd. on Dec.2Ist. I946,and at present I am in the same position, but there were no such arrangements which need the approval of either Nomura Zaibatsu or The Nomura company between Nomura Zaibatsu and The Nomura Company,in regard to the assumption of officers of either The Nomura Bank,Ltd. or The Nomura Construction Co.,Ltd.

However,before the end of war there were rules provided by The Nomura Company that only to the matter of assuming the supreme position of officer of connecting company had to get approval previously by The Nomura Company as to the

DECLASSIFIED: E.O. 11652 SEC. 3(E) AND 3(D) OR (C) NNDG # 775 072

assumption of officers other than that of a supreme position, there were not such rules,so that neither The Nomura Bank,Ltd. nor The Nomura Construction Co.,Ltd. did not get any direction or approval by either Nomura Zaibatsu or The Nomura Company in regard to the assumption of officers except a supreme position of the same bank as well as the company.

After the end of war even at assuming a supreme position of officer of The Nomura Bank and The Nomura Con truction Co. they could decided by themselves,and did not get any directions or approvals from neither Nomura Zaibatsu nor The Nomura Company.

I,therefore, had not get any directions or approvals from neither NomuraZaibatsu nor The Nomura Company in both of cases when I was appointed to a director of The Nomura Bank or to an Auditor of The Nomura Construction Co.

Moreover,as to the circumstances of my appointment to the officer of two institutions are as follows;

(a) The circumstances to my assumption of The Nomura Bank,Ltd.

I entered The Nomura Bank as a clerk in 1926,since then for 20 years I was promoted guradually and appointed as a chief of banking section of head office on December 1944,and in May of the following year I was chosen from the staff of the bank being recognized my merit of devotion to work as a clerk for 20 years by the board of directors,and elected as a director with my colleague Mr.Kiyomi Handa,and there is no evidence that Nomura Zaibatsu or The Nomura Company had participated to my appointment.

(b) The circumstances to my assumption of The Nomura Construction Co.,Ltd.

Reason why I assumed an Auditor of The Nomura Construction

Co.,was that as I have been rrienaly terms with Mr.Tsuneteru Koto, the president of the Nomura Construction Co.,and by that time the company had happened to lack of suitable person to attend account-ant, so that I was called to the company by request of Mr.Koto to take in charge of accountant,and then was elected as an Auditor.

Therefore,there is no evidence of that Nomura Zaibatsu or The Nomura Company had participated to my appointment.

3. One did not prctically have more power or control than standing directors as to the officer of indirect affiliated Zaibatsu companies,and supreme position of officers as to the officer of Zaibatsu affiliated companies.

(a) My duties as a director of The Nomura Bank which is in-direct affiliated company of Zaibatsu was in charge of the Chief of banking section of head office,a manager of Tokyo branch with additional post of chief of the banking division of the same,and a chief of accountant division of head office,as mentioned in my business career of the questionaire,these are so called director's duties at The Nomura Bank,and at that time each one of standing director was staying at the head office as well as at Tokyo branch above myself.

Therefore,I was not a representative director,and did not have power or control more than standing director and other posi-tion of same kind,neither formally nor practically.

(b) At the Nomura Construction Co.,which is affiliated company of Zaibatsu,Iam only in charge of accountant as an auditor and have not power or control more than supreme representative officer and other position of same kind.

4. One does not hold together more than four post of officer of companies connected with Zaibatsu.

I have assumed any post of officer of the compnies connected with Zaibatsu except an auditor of The Nomura Construction Co.,Ltd.

DECLASSIFIED E.O. 12958 SEC. 3(E) AND 3(D) OR (E) MNDC # 795 072

No. 81, Nan_o-cho, Nishinomiya-shi.

Feb. 2nd. 1948.

The Certification

The circumstances with which Mr. Masaki Kawakita became a director of The Nomura Bank, Ltd. are as follows;-

Since he entered the bank as a clerk, for 20 years working diligently, consecutively served in various posts as branch managers and at last in May 1945 his merit was recognized and was chosen as a director at by the board of directors of that time.

There is no fact that Nomura Zaibatsu or The Nomura company had anything to do with him being elected as a director.

I hereby certify above mentioned fact as a president of the same bank at that time.

K. Hara

Kumaichi Hara.

DECLASSIFIED E.O. 11652 SEC. 3(E) AND 5(D) OR (E) NND # 798 072

736

APPROVED Mar. 30, 1948
承　認　昭和23年3月30日

受 23.3.30 付

**The Postscript of the Petition**

**Obtaining Approval of the Non-connectional Officer with Zaibatsu.**

29th March, 1948.

To:- Mr. Hitoshi Ashida, the Prime Minister.

Subject:- Additional Explanation to my petition dated 28th Jan. 1946
(The petition to obtain approval of that I am not a person to be an officer who has connection with Zaibatsu.)

Dear Sir.

Thinking that the explanation stated in the abovementioned petition are not suffisient to your full understanding, I beg to submit herewith the additional explanation on the following leaves.

Yours faithfully,

(Masaki Kawakita)

Auditor of the Nomura Construction Co., Ltd.
No. 9, Koraibashi 2-chome, Higashi-ku, Osaka.

## Additional Explanation

1. As mentioned in the para. (a) section 1 of the previous petition, I was promoted to the chief of banking division of the Head office, Nomura Bank, (from the Manager of the Kyoto Branch) in December 1944, and in May of the following year I was elected as one of Directors of the Bank, remaining in charge of the chief of the banking division.

It is very clear that this election was not based on the authority of the Zaibatsu, but on the usual practice of the Bank, and then I beg to ask your special attention to the fact that there were several senior officers in the Head office,

| | |
|---|---|
| President | 1 |
| Managing Director | 2 |
| Standing Director | 2. |

I (chief of the banking division) and the chief of the trusting division were assisting Mr. Senzo Ito, one of the Standing Directors, in the banking business of the Head office.

Namely I was nothing but a common Director.

Afterward I was transferred to the Manager of the Tokyo Branch, charging the additional post of the chief of banking division of the Branch.

So far in my experience in this Bank, it was a usual practice without exception that one of the Directors was appointed to the Manager of the Tokyo Branch, and my transferance was also based on this usual practice.

In that time, the higheet authority of the Tokyo Block of Nomura Bank was performed by Mr. Masato Kobayashi, one of the Standing Directors (afterward Mr. Goro Kajitani, one of the Managing Directors,

and Mr. Eikun Minemura, one of the Directors) and my duty was merely a Manager of the Tokyo Branch, which was one of the siveral branches in the Tokyo Block of Nomura Bank.

2. I was employed as one clark in 1926 by the Nomura Bank and since then I did my best to fullfil my duty for about 20 years, and I can say that the appointment to the chief of banking division, which means the highest position and highest salary of the employee in the Bank, was owing to my merits exclusively.

And then the actual process of my election to the Director was as follows.

By the observation of established custom in the Nomura Bank, the President, the Managing Directors and the Standing Directors had determined, by their deliberation on my individuality, discernment and career etc., to elect and appoint me as one of Directors, together with my colleague Mr. Kiyoshi Handa in May 1945, and after that appointment, they asked for an ex post facto consent of that appointment in the Board of Directors and the General Meeting of Shareholders.

DISCLOSURE NO LATER THAN SEC. 3(b) AND 3(d) OR (f) APPLY # 75-672

# Rank List of Clarks of the Nomura Bank, Ltd.

(as of 15th May, 1945)

| Full Name | Salary | Position |
|---|---|---|
| Kiyoshi Handa | ¥ 400 | Manager and Chief of banking division of Tokyo Branch in additional post of Manager of Kinshi-cho Branch. |
| Masaki Kawakita | ¥ 400 | Chief of banking division of the Head office. |
| Suu Hori | ¥ 365 | Researcher. |
| Takeo Okada | ¥ 350 | Researcher and Chief of the 2nd inspecting section. |
| Takeshi Sue | ¥ 350 | Manager of Kyoto Branch in additional post of Manager of Sujaku Branch. |
| Ryoichi Yoshioka | ¥ 345 | Manager of Dojima Branch. |
| Miki Hirasa | ¥ 345 | Chief of the office affairs section. |
| Osamu Yanagisawa | ¥ 340 | Researcher. |
| Toyoshi Omagari | ¥ 340 | Manager of Nagoya Branch. |
| Rikio Takahashi | ¥ 330 | Manager of Nihonbashi Branch in additional post of Manager of Horidome Branch. |

APPROVED Mar. 15, 1948
承　認　昭和23年3月15日

受 23.2.5. 付　日産

NAME KATSUJI KAWAMATA

No. 781　Name of Company NISSAN INDUSTRIAL CORPORATION

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本文に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

七、この調査表の重要な事項について虚僞の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

日產重工業株式會社　第　號

氏名　川　又　克　二

# 個人調査書

一、姓名（振假名をつけること）　川口　又次　カワグチ　マタジ

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）　該當事項なし

三、生年月日（年齡數え年）　明治三十八年三月一日（四十四才）

四、出生地（都道府縣）　茨城縣東茨城郡

五、現住所（略記しないこと）及び電話番號　東京都中野區江古田一丁目二千八十八番地（電中野四、五四〇）　エコタ

六、本籍地（略記しないこと）　茨城縣東茨城郡上大野村大字吉沼百三十六番地　ヨシヌマ

Questionnaire

1. Name (In full).

Katsuji Kawamata

2. Other names which you have used or by which you have been known. (alias or penname).

None

3. Date of birtn (age).

March 1, 1905 (44)

4. Place of birth (prefecture).

Higashi Ibaragi-gun, Ibaragi Prefecture

5. Present address (in full) and telephone number.

2088 Ekoda 1 chome, Nakano-ku, Tokyo
Tel. (Nakano 4,540)

6. Permanent address (in full).

136 Oaza Yoshinuma, Kami Ono-mura,
Higashi Ibaragi-gun, Ibaragi Prefecture.

第二頁

七、現に保有し又は就こうとする財閥、制限、從屬又は關係會社における役員としての地位

（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）

日産重工業株式會社　日産系

（二）財閥會社、制限會社、從屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）

財閥會社　準直系

（三）地位

常務取締役

（四）就任の豫定日

八、前項の他本人の保有するすべての身分及び職業

該當事項なし

九、財閥としての指定者との親族關係

（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）

なし

（二）指定者が指定された日において指定者と同一戶籍内にあつたことの有無

なし

（別添戶籍謄本參照）

7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

Nissan Industrial Corporation
(Nissan faction)

b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

Zaibatsu Company
(Quasi direct line)

c. Position

Permanent Director

d. Scheduled date of assumption of the position.

8. All other positions and professions which you hold now.

None

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (includiug relationship with the Zaibatsu family members.)

None

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

None

Vide; Copy of my census register in additional sheet.

七、職業の履歷

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務內容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務內容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

(1)本人の役員としての就任及び退任の時における當該會社の株式の一割以上の所有者の氏名及び持株率

(2)本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

| 就職及び退職日附 | 會社團體等の名稱 | 地位（職員たる場合を含む） | 職務內容（詳細に） | 會社團體等の事業內容及び所在地 |
|---|---|---|---|---|
| 昭和六年當時在任 | 日本興業銀行 | 中小工業課係員 | 貸付事務 | 金融業 東京市丸ノ內二ノ八ノ一 |
| 自昭和〃十九、十三、八 | 仝行 | 監理課係員 | 取立事務 | 仝右 |
| 自〃十三、八至〃十五、九 | 仝行 | 大阪支店長代理 | 預金、證券、爲替等の統轄事務 | 仝右 大阪市東區高麗橋大阪支店 |
| 自〃十五、九至〃十六、三 | 仝行 | 東北支店長代理 | 營業に關し支店長の職を執る | 仝右 福島市 福島支店 |

| | | | | |
|---|---|---|---|---|
| 自昭十六、二、二八 至〃十六、八、三一 | 日本曹達株式會社 | 監査役（銀行在職の儘） | 會計の監査 | |
| 自〃十六、八、三一 至〃二〇、九、三〇 | 應召 | | | |
| 自〃二〇、十一、一 至〃二三、三、一〇 | 日本興業銀行 | 融資事務部次長 | 貸付業務の整理 | |
| 自〃二三、三、一一 至〃三三、二 | 仝行 | 參事 廣島支店長 | 支店長業務 | |
| 自〃三三、二 至〃三三、六 | 復興金融金庫廣島支店 | 支店長 | 仝 | 右 |
| 自〃三三、七、一 至〃三三、七、三 | 日產重工業株式會社 | 取締役 | 經理部門擔當 | |
| 自〃三三、七、三 至現在 | 仝社 | 常務取締役 | 仝 | 右 |
| 自昭十六、二、二八 至〃十六、八、三一 | 化學製品鐵鋼機械東京市 | 金融業東京市丸ノ内一ノ八ノ一 | 仝 | 右 |
| 自〃十六、八、三一 至〃二〇、九、三〇 | 仝 | 仝 | 仝 | 右 |
| 自〃二〇、十一、一 至〃二三、三、一〇 | 仝 | 仝 | 仝 | 右 |
| 自〃二三、三、一一 至〃三三、二 | 仝 | 仝 | 仝 | 右 |
| 自〃三三、二 至〃三三、六 | 仝 | 仝 | 仝 | 右 |
| 自〃三三、七、一 至〃三三、七、三 | 仝 | 仝 | 仝 | 右 |
| 自〃三三、七、三 至現在 | 仝 | 仝 | 仝 | 右 |

10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

| Dates of employment and retirement. | Name of company or organization where you served. | Status of the position (including position as ordinary personnel.) | Duties and powers (in detail). | Substance of business of organization or company where you served and its location. |
|---|---|---|---|---|
| About 1931 on duty | Nippon Kogyo Bank | Staff of Large and Small Industry Sect. (Clerk) | Loaning business | Financial operations 811 Marunouchi 1 chome, Tokyo |
| From 1934 To Aug., 1938 | the same above | Staff of Administrative Sect. (Clerk) | Collective business | the same above |
| From Aug., 1938 To Sept. 1940 | the same above | Agent of Osaka Branch Chief (Clerk) | Governing business of Deposit, Securities, and money order etc. | the same above Osaka Branch Komabashi, Azuma-ku, Osaka |

DOCUMENT NO. [illegible] SEC. 3(E) AND 3(D) OR (E) NNDG # 795 072

| | | | | |
|---|---|---|---|---|
| From Sept.1940 To Feb. 1941 | the same above | Agent of Tohoku Branch Chief (councilor) | Regarding management. I take up the post of Branch Chief | the same above Fukuoka Branch Fukuoka City |
| From Feb. 1941 To 1941 Aug.29, | Nippon Soda K.K. | Auditor (Serving at bank) | Inspection for accounts | Chemical medicines, Steel machinery Tokyo |
| From 1941 Aug.30, To 1945 Sept.30, | Summoned to the colors | | | |
| From 1945 Nov.1, To 1946 Mar.10, | Nippon Kangyo Bank | Sub-Director of Circulating Capital Business Div. (councilor) | Adjustment for loaning business | Financial operations 1-8 Marunouchi 1-chome Tokyo |
| From 1946 Mar.11, To Feb.1947 | the same above | Chief of Hiroshima Branch | Business of Branch Chief | the same above Higashi Uoyacho, Hiroshima |
| From Feb.1946 To June,1946 | Fukko Kinyu Kinko, Hiroshima Branch | Chief of Branch (Part-time engagement) | the same above | Reconstructive Financial operations the same above |
| July 1, 1946 | Nissan Jukogyo K.K. (Nissan Industrial Corp.) | Director | Charging for accountants' Div. | Automobile Manufacture 2 Takaracho, Kanagawaku, Yokohama |
| From July 3,1946 To the present | the same above | Permanent Director | the same above | the same above |

DECLASSIFIED: E.O. 12958 SEC. 3.4(b) AND 3.3(b) OR (c) AND ( ) NND # 795 072

第五頁

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

（一）明文の取極の有無

私の就任當時は日產重工業株式會社の株式の譲渡は昭和二十一年二月一日閉鎖機關管理委員會に移つた以後であつて本項該當事項なし

（二）本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

日產重工業前社長山本惣治氏外役員三名昭和二十二年勅令第一号の規定により覺書該當者と決定辭任し利充役員詮衡に際し經濟部門擔當の適任者なき爲當會社の希望により前任會社より推薦を受け閉鎖機關整理委員會の承認を經て就任したものであつて就職につき財閥又は財閥直系會社が關與したる事實は全くなし

備考

昭和二十一年一月四日附連合國最高司令官覺書公職從事に適しない者の公職からの除去に關する件の第二二九八二號確認書寫別添參照

11. At the time of your assnmption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangemeut existed in writing.

The right of voting of Nissan Industrial Corporation when I took up my post had already been transferred to Committee Adjustment of Closed Corporations. Therefore I have nothing to correspond to this paragraph.

b. Details of circumstances under whicn you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

Three officials including Soji Yamamoto, ex-President of Nissan Industrial Corporation were decided as corresponding persons to the memorandum in conformity with the provisions under article of the Imperial Ordinance in 1947 and resigned their posts. In choosing officials to supplement, no suitable persons to take charge of Economical Dep. were found, and so. By earnest desire of the company and recommendation from the former one, I have taken up my present post, after receiving an approval from Committee Adjustment Closed Corporations. I firmly declare that Zaibatsu or its direct line company has never participated in my taking up my post.

Remarks: Vide certificate copy No.22982 in attached sheet, regarding exemption of any person unfit for engaging in official business from Public office in conformity with the provisions dated 4, 1946 of SCAP'S memorandum.

第六頁

十二、本調査書作成年月日

昭和二十三年二月四日

「この調査表の記載は眞實であり且つ完全であることを確言する。又私はこの調査表の重要な事項について虚僞又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名

12. Date

February 4, 1948.

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and cemplete disclosure on relevant or material matters in this questiornaire will subject me to penaly in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signa ture

K. Kawamata

APPROVED Mar. 15, 1948
承認 昭和23年3月15日

日産 川

受 23.2.5. 付

February 5, 1948

To : The Hon. Tetsu Katayama,
Prime Minister,
Japanese Government.

Sir :

Re : Application for certification of officials in question as not being connected with Zaibatsu.

In accordance with the provisions of (1) Para.1 of the Law for Termination of the Zaibatsu Family Control Art.6: (2) Para.1, Art.7, I hereby submit herewith application as per documents attached, also personal investigation report in attached sheets, to apply for certification of the officials in question as not being connected with Zaibatsu.

Yours cordially,

K. Kawamata

Katsuji Kawamata
Standing Director.

Nissan Industrial Corporation.
2, Takara-cho, Kanagawa-ku,
Yokohama.

Present address,
2088 Egota 1-chome,
Nakano-ku, Tokyo.

Cause for Application

I am able to meet, for the reasons mentioned hereunder, all the requirements provided for in (1), (2) and (4), Par.1, Art.7 of the Act, and therefore beg to file this application in conformity to Par.1, Art.7 of the said Act.

(1) I am no relation of Mr. Yoshisuke Aikawa who has been designated as the Zaibatsu of Nissan group. (Vide:Copy of my census register.)

(2) Whereas I became a director of Nissan Jukogyo K.K. (herein-after called Nissan Jukogyo) on 1947, the voting rights of shares of Nissan Jukogyo had already been transferred to the Closed Institute Liquidation Committee on February 1st, 1946.

By the provisions of the Imperial Ordinance No.1 of 1947, the then president Mr. Soji Yamamoto and three other officers were designated as those falling under the removal and exclusion categories under the SCAP Memorandum and resigned from the board on May 31st 1947. The vacancies on the board had to be filled up, and owing to the absence of a suitable candidate for a director in charge of financial division, Nissan Jukogyo requested the company where I had been working to recommend somebody for the position. On such recommendation and on the approval by the Closed Institute Liquidation Committee, I became an officer of the company. It is, therefore, an actual fact that no Zaibatsu or Zaibatsu holding company took any part whatsoever in my assumption of office. (Vide: Testimonial by Mr. Shoichiro Kobayashi, chairman of the Closed Institute Liquidation Committee.)

(3) I never held at a time four or more position of officer in Zaibatsu companies under Nissan group. (Vide:Personal investigation record No.10, my curriculum vitae attached hereto.)

For the reasons stated above, I beg respectfully to ask you by virtue of the provisions in Par.1, Art.7 of the Act to acknowledge that I am not an official of Zaibatsu connections.

I certify that this statment contained herein is true and accurate, as shown by documents and other reference data known to be avaibable.

January 29, 1948

Shoichiro Kobayashi,

Chief Commissioner,
Closed Organizations
Liquidating Commission.

APPLICATION FOR VERIFICATION

January , 1948

To : Mr. Shoichiro Kobayashi,
Chief Commissioner, Closed Organizations
Liquidating Commission.

Applicant : Taich Minoura, President,
Nissan Industrial Corporation.

We shall be obliged if you could verify for us the following paragraphs regarding the relation between Manshu Toshi Shoken K.K., under liquidation of your Commission, and our Corporation, as we shall attach this to the application under the provisions of Art. 7 of Zaibatsu Dozoku Shihairyoku Haijo-Ho (Exclusive Law for Termination of the Zaibatsu Family Control.)
Remarks:

1. After the establishment on June 1, 1941, Manshu Toshi Shoken K.K. transferred 2,220,000 shares of Nissan Industrial Corp. from Manshu Jukogyo K.K. and K.K. Nissan in several separate installments.

2. When directors of Nissan Industrial Corporation took our posts, we had no arrangements in writing to necessitate prior approval of Manshu Toshi Shoken K.K. and also they have never asked for prior approval.

3. In the sense of share-holders' representatives, we were in fact officials of Nissan Industrial Corp. as the below four persons were dispatched from Manshu Toshi Shoken K.K. However, Manshu Toshi Shoken K.K. has never controlled personal administration of Nissan Industrial Corp., by these persons dispatched to there.

Officials dispatched from Manshu Toshi Shoken K.K. were as follows:

| | | |
|---|---|---|
| Michihiro Umetani, Auditor, | From May | 20, 1941 |
| | To November | 20, 1942 |
| Genjiro Ogura, Auditor, | From November | 20, 1942 |
| | To January | 14, 1942 |
| Mikitaro Miho, Director, | From July | 21, 1943 |
| | To October | 1, 1945 |
| Kantaro Kishimoto, Director, | From September | 18, 1944 |
| | To October | 1, 1945 |

No. 22982

July 1, 1947

Name in full : Katsuji Kawamata

Born : March 1, 1905

Address : 4429 Aza Go, Ono-mura, Saegi-gun,
Hiroshima Prefecture.

As a result of investigation list submitted in conformity with the provisions under Para.1, Art. 7 of the Imperial Ordinance No.1 of 1947 (Imperial Ordinance for the exclusion, retirement and others from public office), we confirm that the above persons do not come under the paragraphs in SCAP's Memorandum dated January 4, 1946 and therefore should not be excluded from engaging in public businessee and public offices.

The Prime Minister.

APPROVED Mar. 15, 1948
承　認　昭和23年3月15日

住友

NAME KIYOTAKI Kojiro

No. 92　Name of Company THE SUMITOMO BANK, LTD.

# 個人調査書

（記載上の一般的注意）

一、本調査書は、三通提出しなければならない。

二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本文に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。

三、記載事項は、楷書（英文の場合は大文字活字體）で明瞭に記入しなければならない。

四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。

五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。

六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に續く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。

受 5 23

七、この調査表の重要な事項について虚僞の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

會社 第　號

氏名　清瀧幸次郎

# 個人調査書

一、姓名（振假名をつけること）　キヨタキ　コウジロウ　清瀧　幸次郎

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）　無　二　條（俳名）

三、生年月日（年齡數え年）　明治三十六年十二月三十一日（四十六才）

四、出生地（都道府縣）　大阪府

五、現住所（略記しないこと）及び電話番號　大阪府池田市大町三一五七番地　電話番號池田三二番

六、本籍地（略記しないこと）　大阪府池田市大町三一五七番地

Questionnaire

1. Name (In full).

KIYOTAKI KOJIRO

2. Other names which you have used or by which you have been known. (alias or penname).

KOJIRO (PEN NAME)

3. Date of birtn (age).

DECEMBER 31, 1903 (46)

4. Place of birth (prefecture).

OSAKA PREFECTURE.

5. Present address (in full) and telephone number.

3157, HOMMACHI, IKEDA CITY, OSAKA PREFECTURE.
TELEPHONE NUMBER - IKEDA 32.

6. Permanent address (in full).

3157, HOMMACHI, IKEDA CITY, OSAKA PREFECTURE.

第二頁

七、現に保有し又は就こうとする財閥、制限、従屬又は關係會社における役員としての地位
（一）會社の名稱及び財閥系統（昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む）
株式會社住友銀行、住友系

（二）財閥會社、制限會社、従屬會社又は關係會社の區分（財閥會社の場合には更に直系、準直系又は傍系の區分）
財閥直系會社制限會社

（三）地位
取締役

（四）就任の年月日

註音事項ナシ

八、前項の他本人の保有するすべての身分及び職業
大阪府池田市
池田信用組合組合長

九、財閥としての指定者との親族關係
（一）關係の有無及び有りとすればその詳細（財閥同籍者との親族關係を含む。）
無

（二）指定者か指定された日において指定者と同一戸籍内にあつたことの有無
無

| 就業及ビ退職日附 | 会社團体等ノ名稱 | 地位（職員タル場合ヲ含ム） | 職務内容（詳細） | 会社團体ノ事業内容及ビ所在地 |
|---|---|---|---|---|
| 自昭和五年七月<br>至〃二十年六月 | 株式會社池田實業銀行 | 取締役頭取 | 同行取締役會ヲ招集シ其議長トナリ其決議ニ從ヒテ同行業務運營ノ最高任ニ當ル | 金融業<br>大阪府池田市新町通二丁目二三番地 |
| 自〃八年六月<br>至〃十六年七月 | 北摂信託株式會社 | 取締役 | 同行取締役會ニ參畫 | 信託業務<br>大阪府池田市榮町一丁目二九四四番地ノ一<br>資本金一、〇〇〇、〇〇〇圓 |
| 自〃十年一月<br>至〃十六年六月 | 池田信用組合 | 理事 | 同組合理事會ニ參畫 | 金融業<br>大阪府池田市本町三、<br>資本金一、〇〇〇、〇〇〇圓 |
| 自〃十二年六月<br>至現在 | 同右 | 組合長 | 同組合理事會ヲ統御シ組合運營ノ任ニ當ル | 同組合理事會ニ參畫<br>一六六番地<br>出資金二一五、三〇〇圓 |
| 自昭和十六年三月<br>至〃二十二年四月 | 池田市市會 | 議員 | 同市ノ市會及市協議會ニ出席 | 大阪府池田市城南町 |
| 自〃十九年十二月<br>至〃二十一年十二月 | 三浦工業株式會社 | 取締役 | 同社取締役會ニ參畫 | 紡織機械部品製造業<br>豊中市廣田二十三番地<br>資本金一、二〇〇、〇〇〇圓 |
| 自〃二十年七月<br>至現在 | 株式會社住友銀行 | 取締役 | 同行取締役會ニ參畫 | 銀行業<br>大阪市東區北濱五丁目二十二番地<br>資本金七三、六七五、〇〇〇圓 |
| 〃二十年七月就任<br>現在退職 | 大阪府豊能稅務署 | 相續稅審査委員 | 相續稅審査委員會ニ參畫 | 大阪府池田市本町 |

昭和二十年七月三十一日ヨリ現在迄ニ於ケル役員ノ氏名、地位、就任退任年月日

| 地位 | 氏名 | 就任年月日 | 退任年月日 |
|---|---|---|---|
| 社長 | 岡橋林 | （昭一六、十一、十七） | 昭二〇、十一、一九 |
| | 野田哲造 | 昭二〇、十一、十九 | 昭二三、三、一二 |
| | 鈴木剛 | 昭二三、八、五 | |
| 取締役會長 | 大平賢作 | （昭和一六、三、五） | 昭二〇、一〇、三〇 |
| | 野田哲造 | 昭二〇、十一、一九 | 昭二三、三、一二 |
| 社長 | 野田哲造 | （昭二〇、四二八） | 昭二〇、十一、十八 |
| | 堀田庄三 | 昭二三、八、五 | |
| 專務取締役 | 原田精市 | 昭二〇、十一、一九 | 昭二一、六、三〇 |
| | 永田哲三 | 昭二〇、十一、一九 | 昭二三、三、十二 |
| | 丸山德三郎 | 昭二一、八、十九 | 昭二三、三、十二 |
| | 松本三郎 | 昭二三、八、五 | |