

2001 No. 3439

FINANCIAL SERVICES AND MARKETS

**The Financial Services and Markets Act 2000
(Official Listing of Securities) (Amendment)
Regulations 2001**

Made - - - - - *18th October 2001*

Laid before Parliament *19th October 2001*

Coming into force - - - *in accordance with regulation 1*

The Treasury, in exercise of the powers conferred on them by sections 75(3), 417(1)(a) and 428(3) of the Financial Services and Markets Act 2000(b) (“the Act”), hereby make the following Regulations:

Citation and commencement

1. These Regulations may be cited as the Financial Services and Markets Act 2000 (Official Listing of Securities) (Amendment) Regulations 2001, and come into force on the day on which section 74(1) of the Act comes into force.

Amendment of the Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001

2.—(1) The Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001(c) are amended as follows.

(2) At the beginning of paragraph (a) of regulation 3 (bodies whose securities may not be listed) insert “where the securities are securities within the meaning of the Regulated Activities Order,”.

18th October 2001

Tony McNulty
Anne McGuire
Two of the Lords Commissioners of
Her Majesty’s Treasury

(a) See the definition of “prescribed”.
(b) 2000 c. 8.
(c) S.I. 2001/2956.

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations amend regulation 3 of the Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001. That regulation prescribed, as bodies whose securities may not be admitted to the official list of securities maintained under Part VI of the Financial Services and Markets Act 2000, private companies and old public companies. The amendment made by these Regulations provides that the restriction relating to private companies applies only where the securities in question are securities within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001.

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