

10 June 1947

MEMORANDUM FOR: **Maj. General Vasiliev; Col. Woolworth; Mr. Sutton**
FROM : **EDWARD P. MONAGHAN, Chief,**
Investigative Division, IPS
SUBJECT : **Defense Witness**

1. Please find attached hereto list of material available on the following witness and/or witnesses.

DEFENDANT - UMEZU

The attached information has been taken from the compiled report prepared by MIS. The report was previously classified secret however this classification has been cancelled and at the present time does not carry any classification. It will be noted that much of this information has been furnished in curriculum vitae obtained from the Cabinet Secretariat's office.

WITNESS

YAMADA, Otozo

LIST OF MATERIAL AVAILABLE

Info from MID report

2. Please acknowledge receipt of this memorandum by initialling and returning attached carbon copy to this office, Room 300.

Incl
(Described above)

E P M
EDWARD P. MONAGHAN

General Otozo YAMADA: Former member Supreme Military Council; Commander in Chief Kwantung Army.

1881 Nov. Born Nagano Prefecture. Son of Kaku Ichikawa and adopted by Yamada Family. Married Sume, daughter of Itsuki Kuraoka.

1903 Graduated Military Academy.

1905 Participated Russo-Japanese War.

1912 Graduated Army Staff College; Captain, Cavalry.

1913 Staff Officer of Chosen Army.

1918 Major, Cavalry.

1922 Lt. Colonel.

1925 Colonel, Commander 26th Cavalry Regiment.

1927 Section Chief of Army General Staff, Staff member of Research Department of Army Communication School and concurrently military instructor at Army Staff College.

1930 Major General. Director of Training Department, Army Cavalry School.

1932 Commandant, Army Communication School and member of War Supplies Investigation Commission.

1933 Chief, Third Bureau, Army General Staff Headquarters.

1934 Lt. General, Chief, General Affairs Bureau, Army General Staff Headquarters.

1935 Commandant, Military Academy.

1937 Commander, 12th Division in Manchuria.

1939 Jan. Commander in Chief of Japanese Expeditionary Forces in Central China.

1939 Oct.-
1944 July Inspector General of Military Training and concurrently member of Supreme Military Council.

1940 Aug. General.

1944 July Commander in Chief of Kwantung Army; concurrently Ambassador to Manchukuo.

An excellent organizer, General Yamada is known as an expert in training and communications and for his reform of the education of cadets following the 15 May 1932 Incident. In 1939 he was appointed Commander in Chief Japanese Expeditionary Forces in Central China where a major problem was the control of younger officers. (72) As Commandant of the Military Academy and Inspector General of Military Training, Yamada bore the burden of training the army for the Greater East Asia War.

Yamada, although favoring the young officers' clique, is reported to lean towards the conservative. (10)

NAME OF WITNESS: YAMADA, Otoro

REQUESTED BY : UMEZU

DATE : 3 April 1947

Completed as to Items 1 - 5, 7 - 8.

YAMADA, Otozo,

Request by: UMEZU, Yoshijiro

Address: not stated

He was formerly a general in the Japanese Army

REPORT BY: H. SHIMOJIMA
5 Feb 47

MEMORANDUM FOR THE FILE

SUBJECT: YAMADA, Otozo

Address: Unknown

Formerly a General.

A check of IPS files reveals no mention of subject.

COPIES: 3 File
1 Mr. Newbill

SUZUKI, Kunji
 SUZUKI, Tadakatsu
 TAKAGI, Rikuro
 TAKAHASHI, Tan
 TAKAHASHI, Tatsuhiko
 TAKEUCHI, Kakuji
 TAKAKURA, Tadashi
 TAKEUCHI, Ryuji
 UNO, Masuko
 YAMADA, Tozo
 YOSHIDA, Zengo
 YOSHINAKA, Kazutaro
 YOSHITADA, Wagata
 NAKAJIMA, Hitakichi
 KUZUN, Yoshihisa

INTERNATIONAL PROSECUTION SECTION

Doc. No. 3038

11 June 1947

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Loose, Handwritten Sheets, Corrected Decoration Data on Certain Defense Witnesses, and Additional Decoration Data on KOSHI, Saburo

Date: 6 May 1947 Original () Copy (x) Language: Japanese, English

Has it been translated? Yes () No (x)

Has it been photostated? Yes () No (x)

LOCATION OF ORIGINAL)

SOURCE OF ORIGINAL:)

Board of Decorations, Japanese Govt

PERSONS IMPLICATED: See below

CRIMES OR PHASE TO WHICH DOCUMENT APPLICABLE:

SUMMARY OF RELEVANT POINTS

Data on following personalities:

KOGA, Kiyoshi
ARIYOSHI, Chuichi
GODO, Takuo
HANEDA, Toru
IKAWA, Tadao
ISHIMARU, Shitowa
MURAKAWA, Kengo
ONODERA, Naosuke
BABA, Tsunego
FURUMI, Tadayuki
IKEZAKI, Tadatake
HATTA, Shiroji
IWAI, Takeo
INAHARA, Hatsuji
IWATA, Ainosuke
KANAT, Shozi
KANEKO, Kiyoto
KAWABE, Masakaza

KOMURA, Sakahiko
KO TANI, Etsuo
KUSUMOTO, Masataka
MANAKI, Yoshinobu
MATSUDA, Reikichi
MISHIMA, Yasuo
MIZUNO, Shinko
MURAMATSU, Tsuneco
NAKAMURA, Benko
NAKAYAMA, Hajime
NAKAYAMA, Yasuro
MISHIMURA, Susumu
SAITO, Masatoshi
SHIMOMURA, Nobusada
SHIRAI, Seishin
SHIZEKI, Ihei
SHIRAI, Masatoki
SUETAKE, Kamezo

Doc. No. 3038

Page 1

General Otozo YAMADA: Former member Supreme Military Council; Commander in Chief Kwantung Army.

1881 Nov.	Born Nagano Prefecture. Son of Kaku Ichikawa and adopted by Yamada Family. Married Sume, daughter of Itsuki Kuraoka.
1903	Graduated Military Academy.
1905	Participated Russo-Japanese War.
1912	Graduated Army Staff College; Captain, Cavalry.
1913	Staff Officer of Chosen Army.
1918	Major, Cavalry.
1922	Lt. Colonel.
1925	Colonel, Commander 26th Cavalry Regiment.
1927	Section Chief of Army General Staff, Staff member of Research Department of Army Communication School and concurrently military instructor at Army Staff College.
1930	Major General. Director of Training Department, Army Cavalry School.
1932	Commandant, Army Communication School and member of War Supplies Investigation Commission.
1933	Chief, Third Bureau, Army General Staff Headquarters.
1934	Lt. General, Chief, General Affairs Bureau, Army General Staff Headquarters.
1935	Commandant, Military Academy.
1937	Commander, 12th Division in Manchuria.
1939 Jan.	Commander in Chief of Japanese Expeditionary Forces in Central China.
1939 Oct.- 1944 July	Inspector General of Military Training and concurrently member of Supreme Military Council.
1940 Aug. 1944 July	General. Commander in Chief of Kwantung Army; concurrently Ambassador to Manchukuo.

An excellent organizer, General Yamada is known as an expert in training and communications and for his reform of the education of cadets following the 15 May 1932 Incident. In 1939 he was appointed Commander in Chief Japanese Expeditionary Forces in Central China where a major problem was the control of younger officers. (72) As Commandant of the Military Academy and Inspector General of Military Training, Yamada bore the burden of training the army for the Greater East Asia War.

Yamada, although favoring the young officers' clique, is reported to lean towards the conservative. (10)

REPORT BY: H. SHIMOJIMA
5 Feb 47

MEMORANDUM FOR THE FILE

SUBJECT: YAMADA, Otozo

Address: Unknown

Formerly a General.

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COPIES: 3 File
1 Mr. Newbill

YAMADA, Otozo

Request by: UMEZU, Yoshijiro

Address: not stated

He was formerly a general in the Japanese Army

(1 of 1)

YAMADA, otozo

Request by: UMEZU, Yoshijiro

address: not stated

He was formerly a general in the Japanese Army

Name - Yamada, Otzgo, Samurai family.

place and
Date of birth

the 6th of November, 1881.

Tokyo prefecture

Date of appointment
and promotion.

Nov. 22, 1902

Graduated from The Military Academy.

Nov. 26, 1902

appointed to the rank of Probation officer.

June, 26, 1903

promoted to the rank of Sub-Lieutenant of

(by cabinet) by the Army Dept.
the Cavalry Regiment, and was attached

to the Third Cavalry Regiment.

Oct. 20, 1903

was given the title of the 8th rank of the

Feb. 1, 1905.

Senior Grade

Cavalry

promoted to the rank of Lieutenant.

Feb. 4, 1905

Dismissed from the present post and

and was appointed as the instructor of the
 horsemanship at the Military Academy ^(by war dept).

March 10, 1905 - Granted to the 7th rank of the senior grade.

Oct. 29, 1906 - Dismissed from the present post, and
 was attached to the Cadet Corps of the
 Military Academy together with the
 instructor of the horsemanship at the
 academy.

April 1, 1907 - was decorated with ^{the fifth} ~~the~~ Order of Golden
 Kite ~~with~~ together with a war medal
 for serving in the expeditionary force
 in 1904-1905 (Russian-Japanese war).

and also
 was decorated with the 6th rank of

the Single Ray of the Rising Sun.

April 9, 1908 Dismissed from the present post, and
 was appointed to the ^{full-time} Instructorship of

the horsemanship at the Military Academy.

Dec. 3, 1909 was ordered to enter the Military
 College.

Dec. 15, 1909 Dismissed from the present post

and was appointed to be an

attache to the Third Cavalry Regiment.

April 11, 1910 promoted to the 7th rank of

the Senior grade.

Sept. 30, 1912 appointed to the rank of Cavalry Captain
(Cabinet)

Dismissed from the present regular post.

appointed as the Company Commander
of the Third Cavalry Regiment.

May 31, 1913 was decorated with the 5th Order of the

Sacred Treasure.

Aug. 22, 1913 Dismissed from the present regular post;

and appointed to be a member of

the General Staff Office.

April 22, 1914 Ordered to be an attendance upon

the first extraordinary appointed

Inspector. (by War Department)

- July 14, 1914. was ordered to be a member of
the Investigation Committee of the
Military Automobiles. (by war dept.)
- Nov. 30, 1914 In addition to the above, ordered to be
instructor of the Cavalry practising
school.
- April 30, 1915 promoted to the 6th Class of the
Junior Court Rank.
- Nov. 7, 1915 was decorated with the fourth Order
of the Small Rays of the Rising Sun.
- Dec. 28, 1916 Was dismissed from the Combination
post. (by war department)
- Oct. 6, 1917 was ordered to be a member of
the Staff for the grand parade of
the festival on the Imperial birth day.
(by war dept.)

- Nov. 2, 1915 The Memorial Medal for the Coronation Ceremony was granted.
- Jan. 15, 1918 Appointed as the instructor of the Military Science of the Military College.
- June 1, 1918 Promoted to the rank of the Cavalry major. Dismissed from the Regular post and also from combination post, and was appointed as the instructor of the Cavalry School.
- Sept. 4, 1918 Dismissed from the membership of the Investigation Committee of the Military Automobiles. (by War Dept.)

7
June, 3, 1919. was ordered to be a member of
the Investigation Committee of the
Military Automobiles. (by War Dept.)

March 4, 1919 Ordered to be a member of the
Army Wireless Telegraph Investigation
Committee.

June 10, 1919. promoted to the 6th rank of the
Senior Grade.

Aug. 15, 1921 promoted to the rank of Cavalry
Major. Dismissed from the present post,

and was appointed to be a member of

the Administration Section of Cavalry.
(by War Dept.)

Sept. 23, 1921 Ordered to be a member of the

Army Technical Council. (by Cabinet)

Feb. 4, 1923

Dismissed from the membership of the

Army Wireless Telegraph Investigation
Committee. (by War Department).

Feb. 4, 1923

Dismissed from the membership of the

Army Technical Council. (by Cabinet)

Feb. 4, 1923.

Dismissed from the present post, and

was appointed to be the Regimental

Commander of the 26th Cavalry
Regiment.

Nov. 28, 1923.

was decorated with the Third

Class Order of the Sacred Treasure.

July 1, 1924

Has been given the Fifth Class

of the Junior Court Rank.

Aug. 7, 1924 Promoted to the Rank of Cavalry Colonel.
(by Cabinet.)

March 2, 1925 Dismissed from the present post, and
appointed to be a member of the
Staff of The Korean Garrison. (by War Dept.)

May 19, 1925 Ordered to be the Staff officer of the
Army and Navy personnel participating
in the funeral service of the late
Prince Lee holding the Grand
Order of Merit. (by War Department.)

July 26, 1926 Dismissed from the present post
and was appointed as head of
section of the General Staff.

Oct. 14, 1926 In addition to the above, was appointed to be a member of the research section of the Army Correspondence School.

Jan. 20, 1926 was promoted to the fifth rank of the Senior grade and was appointed to be the instructor of the Military Science of the Army College.

Aug. 1, 1928 Promoted to the rank of Major-General (by cabinet) and appointed to the Chief of the Educational Department of the Cavalry School. (by War Department)

Aug. 1, 1929 Appointed as the Commander of the 4th Cavalry Brigade. (by War Dept.)

- Aug 8, 1930. Appointed to the principal of the
Army Correspondence School ^(by war Dept.)
- Sept. 1, 1930. Appointed to the membership of the
Army War Material Investigation
Committee (by cabinet).
- Aug. 12, 1931. Appointed to be a member of the
civil
Engineering Work Meeting (by cabinet)
- Aug. 1, 1931. Appointed to the Chief of the Third
Section of the General Staff.
- Sept. 21, 1931. Dismissed from the membership
of the Army War Material
Investigation Committee.

Feb. 7, 1932. was decorated with the second class
order of the Sacred Treasure.

Aug. 1, 1932 Promoted to the rank of Lieutenant-
General. (by Cabinet).

and was appointed to the Chief of
the Executive Committee of the
General Staff. (by war dept).

Sept. 5, 1932 Appointed as the temporary member
of the House Administration Committee
(by Cabinet).

Sept. 1, 1932 promoted to the 4th Class of the
Junior Court Rank.

Dec. 12, 1932. Appointed to the temporary member
Civil
of the Engineering Works Meeting.

- Aug. 13, 1933. In addition to the above, was appointed to be the Chief of the Third Section of the General Staff. (by war department)
- Sept. 7, 1933 Dismissed from the combined post.
- Dec. 2, 1933 Appointed to the Principal of the Military Academy. (by war dept.)
- Dec. 12, 1933. At his own request, was relieved from the temporary membership of the Horse Administration ^{Investigation} Committee. (by war dept.)
- April 29, 1932. Was decorated with the double rays of the Rising Sun, according to the merit in the emergency of 1929-1932.

March 1, 1935 Dismissed from the present post. (war dept)

March 1, 1935 Appointed to the Commander of the
12th Army Division by (Cabinet)

April 1, 1935 promoted to the 4th rank of the
Senior grade.

Jan. 8, 1936 Dismissed from the present post (by war dept)

Jan. 8, 1936 Appointed to be the ^{in-chief} Commander of
the Third Army. (by cabinet).

Dec. 15, 1936 Appointed to the Commander-in-
-chief of the expeditionary force
in the Central China. (by cabinet)

Dec. 15, 1936 Dismissed from the attache to the
General Staff.

- Feb. 14, 1937. Was decorated with the First Class of the Sacred Treasure.
- Oct. 14, 1937 Appointed to the Inspector-General of Education and Military Councillor.
- April 15, 1938 Promoted to the 3rd Class of the Junior Court Ranks. (by Cabinet).
- Aug. 1, 1938 Appointed to the Generalship (by Cabinet).
- July 7, 1939. Appointed to the Commander-in-Chief of the Defense Army. (by Cabinet).
- May 1, 1941 promoted to the 3rd rank of the Senior grade.
- April 29, 1938 was decorated with the Grand Rays

of the Rising Sun according to
the Merit of the China emergency.

and also was decorated with the
second order of Golden Kite.

July 18, 1942 Appointed to the Commander-in-
chief of the Kwantung Army. (by Cabinet)

July 18, 1942 In addition to the above, was appointed
to the envoy extraordinary and
ambassador plenipotentiary. (by Cabinet)

July 18, 1942 Was ordered to be stationed
in Manchukuo.

~~Aug. 28, 1946~~

17.

Aug 28, 1946. Was designated as a person
to purport of
who comes under the Memorandum
according to Article 1 of the Imperial
Ordinance No. 109 of 1946.

NAME - YAMADA, Otozo Samurai family.

PLACE AND DATE OF BIRTH - 6th of November 1881, Tokyo Prefecture

DATE OF APPOINTMENT AND PROMOTION ---

- November 22, 1902 - Graduated from the Military Academy.
- November 26, 1902 - Appointed to the rank of probation officer.
- June 26, 1903 - Promoted to the rank of sub-lieutenant of the Cavalry Regiment (by Cabinet) and by the Army Dept., was attached to the Third Cavalry Regiment.
- October 20, 1903 - Was given the title of the 8th rank of the Senior Grade.
- February 1, 1905 - Promoted to the rank of Cavalry Lieutenant.
- February 4, 1905 - Dismissed from the present post and was appointed as the instructor of the horsemanship at the Military Academy (by War Dept.).
- March 10, 1905 - Granted to the 7th rank of the Senior Grade.
- October 27, 1906 - Dismissed from the present post and was attached to the Cadet Corps of the Military Academy together with the instructor of the horsemanship at the Academy.
- April 1, 1907 - Was decorated with the fifth Order of Golden Kite together with a war medal for serving in the expeditionary force in 1904-1905 (Russian-Japanese War), and also was decorated with the 6th rank of the Single Ray of the Rising Sun.
- April 9, 1908 - Dismissed from the present post, and was appointed to the full time instructorship of the horsemanship at the Military Academy.
- December 3, 1909 - Was ordered to/enter the Military College.
- December 15, 1909 - Dismissed from the present post and was appointed to be an attache to the Third Cavalry Regiment.

- April 11, 1910 - Promoted to the 7th rank of the Senior Grade.
- September 30, 1912 - Appointed to the rank of Cavalry Captain (Cabinet). Dismissed from the present regular post, appointed as the Company Commander of the Third Cavalry Regiment.
- May 31, 1913 - Was decorated with the 5th Order of the Sacred Treasure.
- August 22, 1913 - Dismissed from the present regular post, and appointed to be a member of the General Staff Office.
- April 22, 1914 - Ordered to be an attendance upon the first extraordinary appointed Inspector. (By War Department).
- July 14, 1914 - Was ordered to be a member of the Investigation Committee of the Military Automobiles. (By War Department).
- November 30, 1914 - In addition to the above, ordered to be instructor of the Cavalry Practising School.
- April 30, 1915 - Promoted to the 6th Class of the Junior Court Rank.
- November 7, 1915 - Was decorated with the fourth order of the Small Rays of the Rising Sun.
- December 28, 1916 - Was dismissed from the Combination Post (by War Department).
- October 6, 1917 - Was ordered to be a member of the Staff for the Grand Parade of the Festival on the Imperial birthday (by War Department).
- November 2, 1915 - The Memorial Medal for the Coronation Ceremony was granted.
- January 15, 1918 - Appointed as the instructor of the military science of the Military College.
- June 1, 1918 - Promoted to the rank of the cavalry major. Dismissed from the regular post and also from combination post, and was appointed as the instructor of the Cavalry School.
- September 4, 1918 - Dismissed from the membership of the Investigation Committee of the Military Automobiles (by War Department).

- June 3, 1919 - Was ordered to be a member of the Investigation Committee of the Military Automobiles (by War Dept.).
- March 4, 1919 - Ordered to be a member of the Army Wireless Telegraph Investigation Committee.
- June 10, 1919 - Promoted to the 6th rank of the Senior Grade.
- August 15, 1921 - Promoted to the rank of Cavalry Major. Dismissed from the present post and was appointed to be a member of the Administration Section of Cavalry (by War Dept.).
- September 23, 1921 - Ordered to be a member of the Army Technical Council (by Cabinet).
- February 4, 1923 - Dismissed from the membership of the Army Wireless Telegraph Investigation Committee (by War Department).
- February 4, 1923 - Dismissed from the membership of the Army Technical Council (by Cabinet).
- February 4, 1923 - Dismissed from the present post and was appointed to be the Regimental Commander of the 26th Cavalry Regiment.
- November 28, 1923 - Was decorated with the Third Class Order of the Sacred Treasure.
- July 1, 1924 - Has been given the Fifth Class of the Junior Court Rank.
- August 7, 1924 - Promoted to the rank of Cavalry Colonel (by Cabinet).
- March 2, 1925 - Dismissed from the present post and appointed to be a member of the Staff of the Korean Garrison (by War Dept.).
- May 19, 1925 - Ordered to be the Staff Officer of the Army and Navy personnel participating in the funeral service of the late Prince Lee holding the Grand Order of Merit (by War Department).
- July 26, 1926 - Dismissed from the present post and was appointed as head of section of the General Staff.

- October 14, 1926 - In addition to the above, was appointed to be a member of the research section of the Army Correspondence School.
- January 20, 1926 - Was promoted to the fifth rank of the Senior Grade and was appointed to be the instructor of the Military Science of the Army College.
- August 1, 1928 - Promoted to the rank of Major-General (by Cabinet) and appointed to the Chief of the Educational Department of the Cavalry School (by War Department).
- August 1, 1929 - Appointed as the Commander of the 4th Cavalry Brigade (by War Department).
- August 8, 1930 - Appointed to the principal of the Army Correspondence School (by War Dept.).
- September 1, 1930 - Appointed to the membership of the Army War Material Investigation Committee (by Cabinet).
- August 1, 1931 - Appointed to the Chief of the Third Section of the General Staff.
- August 12, 1931 - Appointed to be a member of the Civil Engineering Work Meeting (by Cabinet).
- September 21, 1931 - Dismissed from the membership of the Army War Material Investigation Committee.
- February 7, 1932 - Was decorated with the Second Class Order of the Sacred Treasure.
- August 1, 1932 - Promoted to the rank of Lieutenant General (by Cabinet), and was appointed to the Chief of the Executive Committee of the General Staff (by War Dept.).
- September 5, 1932 - Appointed as the temporary member of the Horse Administration Committee (by Cabinet).
- September 1, 1932 - Promoted to the Fourth Class of the Junior Court Rank.
- December 12, 1932 - Appointed to the temporary member of the Civil Engineering Work Meeting.
- August 13, 1933 - In addition to the above, was appointed to be the Chief of the Third Section of the General Staff (by War Department).

- September 7, 1933 - Dismissed from the combined post.
- December 2, 1933 - Appointed to the principal of the Military Academy (by War Dept.).
- December 12, 1933 - At his own request was relieved from the temporary membership of the Horse Administration Investigation Committee (by War Dept.).
- April 29, 1932 - Was decorated with the double rays of the Rising Sun, according to the merit in the emergency of 1929-1932.
- March 1, 1935 - Dismissed from the present post (War Dept.).
- March 1, 1935 - Appointed to the Commander of the 12th Army Division by Cabinet.
- April 1, 1935 - Promoted to the 4th rank of the Senior Grade.
- January 8, 1936 - Dismissed from the present post (by War Dept.).
- January 8, 1936 - Appointed to be the Commander in Chief of the Third Army (by Cabinet).
- December 15, 1936 - Appointed to the Commander-in-Chief of the Expeditionary Force in the Central China (by Cabinet).
- December 15, 1936 - Dismissed from the attache to the General Staff.
- February 14, 1937 - Was decorated with the First Class of the Sacred Treasure.
- October 14, 1937 - Appointed to the Inspector General of Education and Military Councillor.
- April 15, 1938 - Promoted to the Third Class of the Junior Court Rank (by Cabinet).
- August 1, 1938 - Appointed to the Generalship (by Cabinet).
- July 7, 1939 - Appointed to the Commander in Chief of the Defense Army (by Cabinet).

May 1, 1941

- Promoted to the 3rd rank of the Senior Grade.

April 29, 1938

- Was decorated with the Grand Rays of the Rising Sun according to the Merit of the China emergency and also was decorated with the Second Order of Golden Kite.

July 18, 1942

- Was ordered to be stationed in Manchukuo.

August 28, 1946

- Was designated as a person who comes under the purpost of the memorandum according to Article 1 of the Imperial Ordinance No. 109 of 1946.

REPORT BY: H. SHIMOFIMA
5 Feb 47

MEMORANDUM FOR THE FILE

SUBJECT: YAMADA, Otozo

Address: Unknown

Formerly a General.

A check of IPS files reveals no mention of subject.

COPIES: 3 File
1 Mr. Newbill ✓

YAMADA, Otozo, General

Request by UMEZU Yoshijiro

- (a) The nationality of the witness is Japanese.
- (b) The address of the witness is unknown; his last official position was Commander-in-Chief of the Kwantung Army, headquarters Hsingking, Manchuria, and he was last reported as having surrendered to the Russian forces in Manchuria on or about 20 August 1945.
- (c) The facts to be proved by these witnesses are the policies, utterances and actions of the defendant UMEZU during the period in which he is charged by the indictment herein;

The relevance of the witness' testimony is in its tendency to establish that the defendant UMEZU took no part in any of the conspiracies alleged in the indictment, and was not responsible for the planning, initiating or waging of wars of aggression;

The date upon which the attendance of the witnesses will be required for testifying cannot be stated accurately; but their attendance for consultation with counsel is required forthwith.

II. Documents

1. Principles of Plans of Operations of the Imperial Army (Teikoku Rikugun Sakusen Keikaku Yoko), prepared in the autumns of the years 1940, 1941, 1942 and 1943 respectively, by the Japanese General Staff and forwarded to the headquarters of the Kwantung Army;
2. Principles for Preparation of Operations of the Kwantung Army (Kantogun Sakusen Jumbi Yoko), February 1942, prepared by the Japanese General Staff and forwarded to the headquarters of the Kwantung Army;
3. Operations Plan of the Kwantung Army (Kantogun Sakusen Keikaku), prepared in the springs of the years 1940, 1941, 1942, 1943 and 1944 by the headquarters of the Kwantung Army;
4. Border Guard Regulations of the Kwantung Army (Kokkyo Keibi Yoko), prepared in September or October 1939 by the Headquarters of the Kwantung Army;
5. Kwantung Army Special Maneuvers (Kantogun Tokubetsu Enshu, commonly referred to as Kantokuen), prepared in the summer of 1941 by the Japanese General Staff and forwarded to the headquarters of the Kwantung Army.

All of these documents were kept in the secret files, especially the Secret Instructions File of the headquarters of the Kwantung Army, located in the operations room of the Kwantung Army headquarters building in Hsingking, Manchuria.

The relevance of these documents is that they will prove the character and scope of operations and other plans of the Japanese army, and notably of the Kwantung Army, vis-a-vis the USSR.