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INTERNATIONAL PROSECUTION SECTION

EVIDENTIARY DOCUMENT NUMBER 3165

TITLE: Loose, typewritten copy of Draft entitled "Outline
of the Negotiation Toward Germany, Italy and USSR", Decided
at the Liaison Conference, 3 Feb 1941

SOURCE: Japanese Foreign Ministry

MICROFILMING

Document 3165 Source: Japanese Foreign Ministry
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F. MATTISON
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INTERNATIONAL PROSECUTION SECTION

Doc. No. 3165

12 Dec 1947

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Loose, typewritten copy of Draft entitled "Outline of the Negotiation Toward Germany, Italy and USSR", Decided at the Liaison Conference, 3 Feb 1941

Date: 3 Feb 1941 Original Copy Language: Japanese

Has it been translated? Yes No

LOCATION OF ORIGINAL

IPS Document Division

SOURCE OF ORIGINAL: Japanese Foreign Ministry

PERSONS IMPLICATED: HIRANUMA, Kiichiro; MATSUOKA, Yosuke; OSHIMA, Hiroshi; TOJO, Hideki

CRIMES TO WHICH DOCUMENT APPLICABLE: Relations with USSR; Conspiracy for World Domination

SUMMARY OF RELEVANT POINTS

This document contains further information on Item 51 in IPS Doc. No. 3103-(3), the analysis of which please see for a complete summary.

Analyst: ET GARDEN

Doc. No. 3165

- 三、帝國大東亞共榮圈地帶ニ對シ政治的指導者地位ヲ占ム
秩序維持ノ責任ヲ負フ右地帶居住民族ハ獨立ヲ維持セシメ又
ハ獨立セシムルヲ原則トスルモ現英佛蘭葡等ノ屬領地地方
ニシテ獨立ノ能力ナキ民族ニ付テハ各其能力ニ依ジ出來得ル限リ、
自治ヲ許與シ我ニ於テ其統治指導ノ責任ニ任ス、經濟的ニ帝國
國ハ右地帶内ニ於テ其國防資源ニ付優先的地位ヲ留保ス
ルモ其他一般の通商企業ニ付テハ他ノ經濟圈ト相互的ニ
内戸開放機會均等主義ヲ適用ス
- 四、世界ヲ大東亞圈、歐洲圈（アフリカヲ含ム）、東州圈、ソ聯
圈（印度、イランヲ含ム）、四大圈トシ（英國ニハ濠洲及、ア
ジラントヲ殘シ概テ和蘭待遇トス）
帝國ハ戰後講和會議ニ於テ之ガ實現ヲ主張ス
- 五、日本ハ極力米ノ參戰ヲ不可能ナラシム趣旨ヲ以テ其行動施策
ニ付從逸當局トシ諒解ヲ遂ケオコトトス
- （註）帝國ノ歐洲戰爭參加ニ關スル企圖行動並ニ武力行使
ニ付帝國ノ自主性ヲ拘束スル如キ約束ハ行ハレモトス
- 六、日本ガ歐洲戰爭ニ參加スル場合ニハ獨伊等味方諸國同單
獨ノ講和協定ヲ締結ス
- 七、獨伊等ハソノヲ牽制シテ（ソノガ日滿兩國ヲ攻撃スル如
キ場合ニハ獨伊ハソノヲ攻撃ス）
- 八、獨ハ極力日軍備充實ニ付援助シ曰獨ニ對シ原料及食料供給
ニ努ム尚獨ハ大東亞共榮圈地帶ノ開發及日本ノ平和的產業振充
ノ爲必要ナル發明機械技術及技術者ヲ迅速且豊富ニ供給ス
九、支トノ全面的和平促進ニ付更ニ獨ト懇談ヲ遂ゲ
- 十、歐國外相ハ渡歐ノ上獨伊ソノ各國政府ト交渉シ前記要領ノ貫徹
ニ努力シ要スル條約ヲ締結ス

三國條約トソレ聯邦トノ關係ヲ律ス日独伊
對ソレ取極ニ閑スソレソレハントロソレ外相對ソレ提
案内容

一ソレハ戰爭ヲ防止平和迅速回復ノ意味ニ於テ三國條
約ノ趣旨ニ同調スルノ意ヲ表明シ

二ソレハ改選ノ新秩序ニ付天々獨伊日ノ指導的地位ヲ承
認シ三國側ハソレノ領土尊重ヲ約シ

三三國及ソレハ各々地方ノ敵トシ國家ヲ援助シ又ハ斯ノ如キ
國家ニ對シテ加ハラザルヲ約ス

右ノ外將東ノ勢力ノ範圍トシテ

日本ハ南洋ソレハ伊蘭印度獨ハ中央アフリカ伊ハ
北アフリカヲ管認スル旨ノ秘密了解ヲ遂ゲ

C E R T I F I C A T E

W.D.C. No. _____

I.F.S. No. 3165

Statement of Source and Authenticity

I, HAYASHI, Kaoru, hereby certify that I am officially connected with the Japanese Government in the following capacity: Chief of Archives Section in the Foreign Ministry

and that as such official I have custody of the document hereto attached consisting of 86 pages, dated February 3 1941, and described as follows: draft Outline of the Negotiation toward Germany, Italy and U.S.S.R., decision at the Liaison Conference, February 3rd, 1941.

I further certify that the attached record and document is ^{a copy} an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Foreign Ministry

Signed at TOKYO on this 8th day of December 1947.

K. Hayashi
Signature of Official
Chief of Archives Section.
SEAL

Witness: W. Szyz

Official Capacity

Statement of Official Procurement

I, JOHNSON F. MURROE, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above described document was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Sokyo on this 8th day of December, 1947

Johnson F. Murroe
NAME
Investigator, IPS
Official Capacity

Witness: Helena Ward

The Draft Outline of the Negotiations towards Germany, Italy, and the USSRDecided on Feb. 3rd, 1941 by the Liaison Conference

* * * * *

The Draft Outline of the Negotiations towards Germany, Italy, and USSR.

- I. To make the Soviet Union accept the plan of Foreign Minister RIBBENTROP, and in this way make it join in with the Japan-German-Italian policies for overthrowing Britain, and, at the same time, adjust the Japanese-Soviet diplomatic relations.
- II. The conditions for adjusting the Japanese-Soviet diplomatic relations shall be made according to the following principles in general:
 - (1) To make her sell North SAGHALIEN to us through the mediation of Germany. In the event that the Soviet Union disagrees with this proposal, we shall propose along the undermentioned lines.
 - (a) To make her afford us facilities to take out one and one-half million tons of oil in a five year period. After mining the above amount, the rights to petroleum and coal fields and the prospecting rights in North Sakhalin shall be retroceded to the Soviet Union with compensation for resources acquired.
 - (b) Following the above, to make her guarantee to sell us one and one-half million tons of oil during the succeeding five years' period.
 - (c) To conclude a new agreement including the above mentioned items, and at the same time, the former agreement regarding rights and interests shall be abolished.
 - (2) The Empire shall acknowledge the position of the Soviet Union in HSIN-KIANG and OUTER MONGOLIA, and the Soviet Union shall recognize the Empire's position in NORTH CHINA and MENG-KIANG / Inner Mongolia/. The relation of HSIN-KIANG with the Soviet Union should be settled between the Soviet Union and China.
 - (3) To make the Soviet Union renounce her CHIANG aiding activities.
 - (4) To establish immediately, among Manchuria, the Soviet Union and Outer Mongolia, a committee for the settlement of the boundary, and the disposal of disputes.

(5) To lead the fishery negotiations to a compromise along the line of the TATEKAWA Proposition (the Plan of the Committee).

(6) To make the Soviet Union promise to allocate, for Japanese-German troops, necessary freight cars for the transportation of a considerable quantity of goods at a discounted freight rate.

III. The Empire shall hold the political leadership regarding the Greater East Asia Co-Prosperity Sphere, and take charge of maintaining order in it.

As to the people in the said sphere, it is a fundamental principle to make them maintain or acquire their independence, but the people, who belong to the territories of Britain, France, Holland, and Portugal, etc., and therefore are unable to become independent, shall be allowed as free a self-government as possible depending upon their abilities, while the Empire assumes the leadership of their government.

In economic affairs, though we reserve the priority regarding national resources necessary for our national defence in the above sphere, our Empire shall reciprocally adopt the principle of open-door and equal opportunity in general trade and enterprises with other economic spheres.

IV. The world shall be divided into four large spheres, that is, the Greater East Asia Sphere, the European Sphere (including Africa), the American Sphere, and the Soviet Union Sphere (including India and Iran), (Australia and New Zealand shall be left for Britain, which shall be treated like the Netherlands). Japan will assert the realization of this idea at the peace conference after the war.

V. Japan shall obtain the understanding of Germany regarding actions and measures which she will take in order to make, with all her power, the USA participation in the war impossible.

(Note) Concerning Japan's attempts, activities and military actions in connection with her participation in the war in Europe, such agreements as to restrict her independent ~~and free~~ position shall not be made.

VI. In case Japan participates in the European war, a non-separate-peace agreement shall be concluded with Germany, Italy and other countries on our side.

VII. Germany and Italy, especially Germany, shall restrain Soviet Russia. In case, by chance, Soviet attacks Japan and Manchukuo, Germany and Italy shall attack Soviet Russia.

VIII. Germany shall greatly help Japan to replete our armaments and Japan shall make efforts to supply Germany with raw materials and provisions. Germany shall, moreover, supply Japan swiftly

and amply with inventions, machines, techniques and technicians which are necessary for Japan to develop the Greater East Asia Co-Prosperity Sphere and to expand Japan's peacetime industries.

- IX. A further consultation shall be held with Germany in order to hasten general peace with China.
- X. Foreign Minister MATSUOKA shall go to Europe and negotiate with the German, Italian and Soviet Governments in order to realize the foregoing purposes, and, if necessary, conclude a treaty.

/separate sheet/

Contents of

The Proposal of Foreign Minister Ribbentrop towards U.S.S.R.
Re the Agreement Between Japan, Germany, and Italy Towards
which Prescribes the Relation Between the Tripartite Treaty
and the Soviet Union.

1. Soviet Russia shall express her agreement to the purpose of the Tripartite Treaty from the standpoint of prevention of war and quick restoration of peace.
2. Soviet Russia shall recognize the leading position of Germany, Italy and Japan respectively regarding a new order in Europe and Asia. The three countries shall assure that they will respect the territorial rights of Soviet Russia.
3. The three countries and Soviet Russia shall promise that they will never help such nations that are hostile to any one or more of them, or join a group of such nations.

Besides the foregoing,

A secret understanding shall be obtained that Japan shall be allowed to have the South Sea Islands, Soviet Russia Iran and India; Germany, Central Africa; and Italy, North Africa, as their sphere of influence in the future.

C E R T I F I C A T E

W.D.C. No. _____

I.P.S. No. 3165

Statement of Source and Authenticity

I, HAYASHI, Kaoru, hereby certify that I am officially connected with the Japanese Government in the following capacity: Chief of Archives Section in the Foreign Ministry and that as such official I have custody of the document hereto attached consisting of 6 pages, dated February 3, 1941, and described as follows: "Draft Outline of the Negotiation toward Germany, Italy and U.S.S.R.", decision at the Liaison Conference, February 3rd, 1941.

I further certify that the attached record and document is a copy an official document of the Japanese Government, and that it is part of the official archives and files of the following named ministry or department (specifying also the file number or citation, if any, or any other official designation of the regular location of the document in the archives or files): Foreign Ministry

Signed at Tokyo on this
8th day of December 1947

K. HAYASHI
Signature of Official

Chief of Archives Section. SEAL
Official Capacity

Witness: (illegible signature)

Statement of Official Procurement

I, Johnson F. Munroe, hereby certify that I am associated with the General Headquarters of the Supreme Commander for the Allied Powers, and that the above described document was obtained by me from the above signed official of the Japanese Government in the conduct of my official business.

Signed at Tokyo on this
8th day of December, 1947

Johnson F. Munroe
NAME

Witness: Thelma Walker

Investigator, IPS
Official Capacity

Feb. 3, 1941
Liaison cont.

~~It~~ Found by Kase
in Archives of Foreign
Office

The Outline of
The Draft for
The Negotiations
with GERMANY,
ITALY and U.S.S.R.

no date.