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Congress of the United States

Report of Proceedings

Hearing held before

Joint Committee

on the

Investigation of the Pearl Harbor Attack
S. Con. Res. 27

February 2, 1946

Washington, D. C.

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S. Con. Res. 27

Saturday, February 2, 1946

Joint Committee on the Investigation

of Pearl Harbor Attack,

Washington, D. C.

The Joint Committee met, pursuant to adjournment, at 10:00 a.m., in the Caucus Room (room 318), Senate Office Building, Senator Alben W. Barkley (chairman) presiding.

Present: Senators Barkley (chairman), George, Lucas, Ferguson and Brewster.

Representatives Cooper (vice chairman), Clark, Murphy, Gearhart and Keefe.

Also present: Seth W. Richardson, General Counsel;
Samuel H. Kaufman, Associate General Counsel, and John E.
Masten, of counsel, for the Joint Committee.

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Witness Safford Questions by: Mr. Richardson

The Chairman: The committee will be in order.

Counsel, I believe, was still examining the witness.

TESTIMONY OF CAPTAIN LAURANCE FRY SAFFORD

(Resumed)

Mr. Richardson: Captain, have you a copy of Exhibit 142 before you?

Captain Safferd: I have.

Mr. Richardson: As I understand it, the first winds message that was intercepted was Circular No. 2353 shown in Exhibit 142; is that correct?

Captain Safford: Not necessarily.

Mr. Richardson: Well, was there one before that?

Captain Safford: Circulars 2353 and 2354 were intercepted on the same date, and I do not know which came

first. Circular 2354 was translated by us two days before
2353.

Mr. Richardson: Then the only two intercepts establishing the so-called winds codes are contained in circulars 2353 and 2354?

Captain Safford: The only ones that we had in the Navy Department.

Mr. Richardson: The only ones we knew anything about at the time of this episode?

Captain Safford: Yes.

Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: And after those messages came in every effort was made that could be made to see to it that stations were warned to monitor, for the executes under those messages?

Captain Safford: Nothing was done until we had received a message from the Commander in Chief, Asiatic Fleet, containing the translation of the same messages made by the British at Singapore.

Then we made every effort to monitor for those messages.

Mr. Richardson: How long after this message came in on November 19 then was the first monitoring direction given to intercepting stations?

Captain Safford: It was sent out about 6 p. m. Washington time on November 28, 1941.

Mr. Richardson: Was it sent generally to all stations that it was felt might be in a position to intercept the execute?

Captain Safford: It was sent to all stations which we considered had the personnel problem, the trained personnel, available personnel, and proper material, to intercept the message.

Mr. Richardson: How many stations do you know picked up the messages now identified as 2353 and 2354?

Captain Safford: I cannot tell you off-hand.

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Questions by: Mr. Richardson

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Mr. Richardson: Were there a great many of them? Captain Safford: There were at least two in the

have to search through the records.

United States Navy, because they had translated the message, the Dutch must have intercepted it because they translated it, and the Australians knew about it, and I don't

know how they got it.

Witness Safford

Mr. Richardson: Now, how did 2353 come in in the first instance to the intercepting station in the United States?

Captain Safford: It was in the intercept of a radio message from Tokyo to San Francisco, but addressed to Washington.

Mr. Richardson: Was it in code?

Captain Safford: It was in code, in the Japanese code which we call J-19.

Mr. Richardson: Was it in the form of message in which the Japanese were accustomed to send out weather broadcasts?

Captain Safford: I don't understand that question.

Mr. Richardson: Do you know of weather broadcasts the Japanese stations were sending out generally?

Captain Safford: The Japanese sent out weather forecasts on most of their broadcasts just the way the United

Witness Safford Questions by: Mr. Richardson States sent out weather forecasts on most of its official broadcasts.

Mr. Richardson: Would the form of broadcast as sent out by the Japanese be the form in which Circular 2353 came in?

Captain Safford: No, because a weather broadcast would consist of nothing but weather, and this prescribed that an apparent or false weather report be inserted in the middle of news. That was never done in the Japanese broadcast.

Mr. Richardson: Was 2353 sent out in Morse code? Captain Safford: I do not understand.

Mr. Richardson: You understand what the Japanese sending messages in the Morse code in Japanese means?

Captain Safford: Yes.

Mr.Richardson: Was this message 2353 sent out in that way?

Captain Safford: That was sent out in International Morse code, because it had to be received by American operators at San Francisco who did not know the Morse code.

Mr. Richardson: And that was true of 2353?

Captain Safford: It was true of 2353 and true of every translation given in this book.

Mr. Richardson: That would include 2353?

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Questions by: Mr. Richardson

Captain Safford: Yes, sir.

Witness Safford

Mr. Murphy: Mr. Chairman, the witness had some paper in his left hand when he said everything in there was by International Morse code. May we have what that paper is? He had it in his left hand. He said everything was International Morse code.

Mr. Kaufman: That is Exhibit 142.

Captain Safford: Every message quoted in Exhibit 142, also the message quoted in Exhibit 1, was sent out in International Morse code.

Mr. Murphy: Every message?

Mr. Richardson: That is right.

Now, in that code, the Japanese words which are shown in Circular 2353 as appear in Exhibit 142, appear as shown: HIGASHI NO KAZEAME.

The three Japanese words were in the message as sent out in International code?

Captain Safford: The words HIGASHI NO KAZEAME and the other two Japanese expressions were taken after decrypting the original Japanese message and converting the codes language into Japanese.

Mr. Richardson: And then the next step would be to translate the Japanese?

The next step would be to translate Captain Safford:

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Witness Safford Questions by: Mr. Richardson the Japanese into English, but leaving the code expressions alone because we didn't want to alter the exact wording used.

Mr. Richardson: Well, the meaning of the Japanese words that remain in Circular 2353 as it appears in Exhibit 142 is the meaning that appears in the lower left-hand corner:

EAST WIND RAIN, would be HIGASHI NO KAZEAME;

NORTH WIND CLOUDY -- you pronounce that -
Captain Safford: Kitanokaze Kumori.

Mr. Richardson: WEST WIND CLEAR.

Captain Safford: Nishi no kaze hare.

Mr. Richardson: All right.

Now, when you turn to Circular 2354, the only difference between the two messages would be that under 2354 only a single word indicating a compass point would be included in the general intelligence broadcast referred to in that dispatch?

Captain Safford: That is partially correct. There was also the further requirement that that single word be repeated five times at the beginning and at the end of the message. 2353 required that phrase be added in the middle of the daily Japanese language shortwave broadcast.

Mr. Richardson: As a matter of fact there were three

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Witness Safford Questions by: Mr. Richardson requirements to comply with 2353?

Captain Safford: That is correct.

Mr. Richardson: The signal had to be in the middle, it also had to be at the end, the broadcast had to be a weather forecast, and each sentence had to be repeated twice?

Captain Safford: And it had to be in the Japanese language.

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Mr. Richardson: Where do you find in circular 2353 that it had to be in the Japanese language?

Captain Safford: It says: "The following warning will be added in the middle of the daily Japanese language short wave news broadcast."

Mr. Richardson: You interpreted that to mean that in addition to being in the middle of the daily Japanese language short wave news broadcast the words themselves had to be in Japanese?

Captain Safford: That is true and the rest of the broadoast had to be in Japanese also.

Mr. Richardson: Well, it doesn't say so, does it? Captain Safford: It does say so.

Mr. Richardson: Where?

Captain Safford: It says: "The daily Japanese language short wave news broadcast, "

Mr. Richardson: Yes, it says that the warning will be added in the middle of the daily Japanese language short wave news broadcast.

That is correct. Captain Safford:

Mr. Richardson: But it does not say what is put in the middle had to be in Sanskrit or Latin or English or Japanese, does it?

Captain Safford: It merely gave the words which they

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Questions by: Mr. Richardson

Mr. Richardson: Right. Now, on 2354, Captain, the first requirement was that the dispatch -- the notice was to be a general intelligence broadcast?

Captain Safford: That is correct.

Mr. Richardson: Would that mean a radio broadcast?

Captain Safford: That meant a radio broadcast.

Mr. Richardson: And then those compass words that we have referred to that are shown in 2354 had to be at the beginning of that broadcast?

Captain Safford: And at the end.

Mr. Richardson: And at the end of the broadcast and had to be repeated five times?

Captain Safford: That is correct.

Mr. Richardson: And included at the beginning and end? Captain Safford: That is correct.

Mr. Richardson: All right. Now, unless the execute or an alleged execute that came to your attention complied with the directions contained in one or the other of those two code messages would you interpret it to be an execute of the original message?

Captain Safford: If it departed radically from those instructions we would regard it as having nothing to do with the expected execute of those messages.

Witness Safford

Questions by: Mr. Richardson

Suppose it did not appear in the middle, Mr. Richardson: would that eliminate it?

Captain Safford: Not necessarily but we would regard it with suspicion.

Mr. Richardson: Suppose it was not in a short wave news broadcast, would that eliminate it?

Captain Safford: The word on "short wave" was incorrectly translated by a green tanslator. The correct translation of that word was "overseas broadcast".

Mr. Richardson: Well, now, just wait a minute. You do not understand the Japanese language yourself, do you?

Captain Safford: That is correct.

Mr. Richardson: Well, then, are you in a position of your own knowledge to tell us what that correct translation would be?

Captain Safford: I suggest that the committee get a correct translation both in 2353 and 2354, a full translation with no words on it at the discretion of the translator.

Mr. Richardson: And the only message that you knew anything about when this episode on the winds execute came up was this message 2353 and 2354?

Captain Safford: Ch, no, we had the British translation lat the same time and we had probably verified our own translations immediately we found a conflicting translation coming

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in from the Navy, from the Commander-in-Chief Asiatic Fleet. That was custom.

Mr. Richardson: I don't want any probably bis iness in this. Was there another translation of the Japanese broadcast that was the basis for 2353 that was made by our authority here? If so, where is it?

Captain Safford: There is no other on record.

Mr. Richardson: Well, then the only one that you had available to you that was over our own stations was 2353 and 2354 on the morning of December 4th?

Captain Safford: That is correct if we are restricted to what was intercepted by our own stations.

Mr. Richardson: That is right. Now, the only other one available to you was the one sent in from the Commander of the Asiatic Fleet?

Captain Safford: That is correct, up until shortly after we had actually intercepted the winds execute message.

Mr. Richardson: The fact is, is it not, Captain, that in your earlier testimony before Admiral Hewitt and in your earlier testimony before Admiral Hart you testified, did you not, that the interpretation that was placed upon the message that you saw on the morning of December 4th was based upon the meaning given to you by the Foote and the Thorp broadcast that had come in from Canberra and Batavia? Didn't you so

Witness Safford

Questions by: Mr. Richardson

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Captain Safford: I will have to check that,

Mr. Richardson: All right.

Captain Safford: What page is that on?

Mr. Richardson: I am referring first to page 748 of the Navy Court of Inquiry. My point is, Captain, - I want you to get the point, - didn't you in your testimony there base your interpretation of the meaning of this execute on the Dutch translation and the Foote translation and that you did not say anything whatever about the Hart translation?

Mr. Murphy: Hart? The Hart translation?

Mr. Richardson: Admiral Hart, the Commander-in-Chief of the Asiatic Fleet.

Captain Safford: What page is that again, please? This is 748 of the Navy Inquiry. Mr. Richardson:

Captain Safford: I answered those questions as you stated.

Mr. Richardson: All right. And in your written statement that you have read to the committee in this proceeding you base your interpretation on the message that had come in on November 28th from the Commander-in-Chief of the Asiatic Fleet.

Captain Safford: That is correct.

Mr. Richardson: Now, isn't the reason that you changed because you discovered that the Foote message and the Thorp

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Witness Safford

Questions by: Mr. Richardson

message had come in after you made your interprettation of the message on the morning of December 4th and, therefore, you could not have relied on it and then didn't you turn to the message from the Commander-in-Chief of the Asiatic Fleet as the source of your interpretation? Isn't that a specific reason why you did it?

Captain Safford: Noo

Mr. Richardson: All right, that is all; that answers it. Now, will you turn to 1-C in exhibit 142? It is about the third or fourth page.

Captain Safford: I see it.

Mr. Richardson: Now, that is a copy of our message from the Commander-in-Chief of the Asiatic Fleet, isn't it? Captain Safford: That is correct, sir.

Mr. Richardson: And that is the one that in your statement to the committee you relied on for your interpretation of the message that you got on the morning of December 4th?

Captain Safford: At the time the winds message was intercepted and translated by Kramer and sent up to higher authority, that is correct.

Mr. Richardson: All right. Now, will you tell me what there is in that message that says that the language that was to be used meant war? Read it to me from the message.

"NISHI NISHI ENGLAND INCLUDING OCCUPA-Captain Safford:

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is an abbreviation for Netherlands East Indies. Mr. Richardson: Now, stop right there. We had been

TION OF THAI OR INVASION OF MALAYA AND NEI", which

getting messages, had we not, for tendays with reference to the movements of the Japanese toward the Thai Peninsula and the occupation of Malasia, hadn't we?

Captain Safford: We had numerous signs indicating that they were possibly contemplating an act of war, correct.

Mr. Richardson: Toward those places, toward the Thai Peninsula and Malasia?

Captain Safford: That is correct.

Mr. Richardson: So there wasn't anything in that language with reference to "NISHI NISHI" that was either new or particularly startling to us, was there, at that time?

Captain Safford: Nothing except the confirmation of our suspicions or deductions,

Mr. Richardson: And the only thing you could draw, the only deduction you could draw from it fairly, Captain, would be that if the execute message came in that said "NISHI NISHI" it would mean that the Japs were going after England by going upon that occupation, did it not, or invasion of Malaya?

Captain Safford: And the Netherlands East Indies, that is correct.

Mr. Richardson: Now, proceed and show me what there is Witness Sa fford

Questions by: Mr.Richardson

in that dispatch that shows war on the United States?

Captain Safford: There is nothing in the literal translation of that dispatch which says war on the United States.

Mr. Richardson: Now, when you turn back, Captain, to 1-A, which is 2353, you find the phrase "HIGASHI NO KAZEAME", with the definition, "Japan-U.S. relations in danger."

Do you find anything in the dispatch from the Commanderin-Chief of the "slatic Fleet that changes that interpretation of "HIGASHI NO KAZEAME", or whatever it is?

Captain Safford: There is nothing that changes the translation of that phrase.

Mr. Richardson: "Il right. This dispatch that you say was the execute, which you say was what you had been looking for, which was the great triumph of the Navy over the Army, you say came in on the morning of December 4th about eight o'clock?

Captain Safford: After 8:30; shortly before 9.

Mr. Richardson: Well, now, you testified at least twice before, didn't you, Ca ptain, that it came in on the evening of December 3rd?

Captain Safford: I was testifying from memory and doing the best I could without the aid of the written notes which I had unfortunately destroyed in December 1941.

Mr. Richardson: Well, they were still destroyed when you

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Questions by: Mr.Richardson

made your statement here to the ormmittee, weren't they? They still remained destroyed, didn't they?

Captain Safford: Those notes remained destroyed, yes.

Mr. Rjohardson: Well, what you mean is after you testified in these earlier hearings you sat down with yourself and your pencil and you made some new notes, is that true?

Captain Safford: I got new written evidence about two weeks ago which up till that time had not been in my possession. It helped me tremendously in reconstructing what had happened as well as refreshing my memory.

Mr. Richardson: Well, now, Captain, let us go into this question.

Mr. Murphy: Mr. Chairman, may I request that the written evidencebe now produced so that we may examine it?

I ask that his written evidence that was produced two weeks ago be submitted to the committee.

The Vice Chairman: He said he obtained written evidence about two weeks ago that refreshed his memory. Mr. Murphy asks that that written evidence be produced.

Mr. Murphy: And that it be spread an the record.

Mr. Richardson: What was that written evidence, Captain, what is the nature of it?

Captain Safford: Monthly reports from the interceptor gtations at Winter Harbor, Maine and at Cheltenham, Maryland,

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Witness Safford

Questions by: Mr. Richardson

which I had requested two years ago and had been informed could not be discovered. We made one more attempt about two weeks ago and those particular reports were located and my assistant read them and got pertinent parts for me and I have his penciled copies of that stuff. I have quoted those parts in my testimony, in these extracts from the logs -rather the monthly reports of Winter Harbor, Maine and Cheltenham, Maryland.

Mr. Richardson: But it is true; Captain, is it not, that at least twice before under oath you placed the date of the receipt of this execute message that you testified concerning on the evening of December 3rd?

Captain Safford: I believe I said December 3rd or 4th. I think I made it broader than that.

Mr. Richardson: I don't think you did. Let me call your attention to your testimony at page 361 of the Hart investigation. Didn't you testify there as follows?

"The winds message was actually broadcast during the evening of December 3, 1941 Washington time, which was December 4th by Greenwich time and Tokyo time." Captain Safford: That is correct.

Mr. Richardson: And then to make sure that that was not an error didn't you testify a little later in that same examination as follows:

Witness Sa fford

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ment during the evening of December 3rd while Lieutenant (J.G.) Francis M. Brotherhood, USNR, was on watch."

Captain Safford: That is correct.

Mr. Richardson: Well, now, Captain, you do not know your-self of your own knowledge when the message was received, do you?

Captain Safford: I do not know from first hand knowledge exactly what time it was received.

Mr. Richardson: All you know, Captain, is that Kramer came to you with a piece of paper in his hand that had a message on it?

Captain Safford: It was a piece of paper which I recognized as the yellow paper from a roll on a teletype machine.

Mr. Richardson: All right. Now, before we go into that let me inquire, Captain, along this line. Now, after all of this episode had transpired and you had dest royed your notes—by the way, do you now contend that you made notes of what occurred at the time this message came in?

Captain Safford: I made notes while events were fresh in my memory as to the things which were not matters of official record and were important to know, such as such things as times of deliveries of certain messages, and so forth.

The winds message was then in existence, I could have re-

Witness Sa fford

Questions by: Mr. Richardson

ferred to it for anything that I wented and there would be no occasion to try to check the exact bime at which it was intercepted.

Mr. Richardson: You testified before the Army Board,

Captain Safford: Correct.

Mr. Richardson: And I refer now to page 160 of the Army Board. Didn't you testify as follows there, Captain:

*Captain Safford: Kramder made his statements of
8th and 9th of December immediately after the event when
I discussed it fully with him. I called for statements.
I talked to everybody concerned to see if my people had
been negligent in any way, that this thing had been our
fault. I made a very careful investigation.

"General Russell: Did you make any records of that investigation?

"Captain Safford: No, sir."

Was that true?

Captain Safford: There was no written record made. All the notes I had in the rough form were destroyed when I got the orders.

Mr. Richardson: All right. New, Captain --

Mr. Murphy: Mr. Chairman, may I -- well, I don't want to interrupt. He testified yesterday the meeting was on the

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Witness Safford 5 hadn't you? 6 8 9 day and night. 10 WARD & long hours. 14 Mr. Richardson: 12 13 Captain Safford: That is correct. 14 15 1t? 18 Captain Safford: That is correct. 17 Shefners Shaok fls 19 20 21 33 23 24 25

Questions by: Mr. Richardson 15th and now he says there were notes made on the 8th and now he says that on the 14th or 15th they were destroyed. Mr. Richardson: Captain, after all of this episode and at the time of this episode you had been a very busy man, Captain Safford: That is correct, yes, sir. Mr. Richardson: You might almost say that you worked Captain Safford: Not quite that much but I was working And your staff was working hard? Mr. Richardson: And your office had never been as busy as it was during this week before the Pearl Harbor attack, had

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Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: And after this episode with reference to this so-called winds execute, you never turned your attention to that matter until prior to the summer of fall of 1943, did you, approximately two years?

Captain Safford: Approximately two years; a few months less.

Mr. Richardson: And the fact is, is it not, Captain, that in the fall of 1943, you concluded that you might be a witness, and then you undertook, by inquiry, by investigation, by conversation, by letters, to try and remember what occurred during that period before the attack in December, 1941?

Captain Safford: I was doing more than that at that time. I was engaged in writing up a history of radio intelligence from 1924 to 1941 by the direction and instruction of the Director of Naval Intelligence. That was carried for seven months in my monthly report of progress, in addition to doing that work.

Mr. Richardson: It was the official work you had to do. You were very deeply exercised in trying to make up your mind as to what you might testify to, if you were called as a witness?

Captain Safford: I was trying to do double duty with the same set of data.

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Witness Safford Questions by: Mr. Richardson

Mr. Richardson: And the other duty, I repeat again, was to try and get your mind made up as to what the facts were, so if you were called as a witness you could testify?

Captain Safford: So I could testify and not be confused on the witness stand by counsel.

Mr. Richardson: Now, Captain, I want you to know that I do not care a tinker's damn whether the winds execute message came in or whether it did not. I am only interested in whether there should be reviewed by the committee all of the reliable facts that can be adduced so they can reach a conclusion.

I do not want to mislead you or browbeat you, if I talk rather loudly. It is because I am a rather loud talking individual.

I just want to make it clear that when you started, in the fall of 1943 to prepare yourself as a witness, your whole recollection was exceedingly hazy as to what had happened two years before, wasn't it?

Captain Safford: There were a few outstanding facts and the details linking them together were very hazy.

Mr. Richardson: Now, let me read you what you testified to on that point in the Hewitt investigation, at page 112:

"Captain Safford: In the fall of 1943, it appeared

Witness Safford Questions by: Mr. Richardson there was going to be a trial, a court martial of Admiral Kimmel. It was hinted in the newspapers and various people in the Navy Department were getting testimony ready for it. I realized I would be one of the important witnesses, that my memory was very vague, and I began looking around to get everything that I could to prepare a written statement which I could follow as testimony.

"That was the time when I studied the Roberts report carefully for the first time, and noted to reference to the winds message, or to the message which McCollum had written, and which I had seen, and which I thought had been sent, and then I began talking to everybody who had been around at the time and who knew I had been mixed up in it, to see what they could remember to straighten me out on the thing, and give me leads to follow down to where I got my hands on official messages, and things so it would be a matter of fact and not a matter of memory.

"I also talked the thing over with whatever Army people were still around at the time, and had anything in this line, and bit by bit these facts appeared to come together.

"The investigation was conducted, if you call it that, for the purpose of preparing myself to take the stand as a witness in a prospective court martial of Admiral Kimmel."

Witness Cafford

Questions by: Mr. Richardson

Now, you regard that today, do you not, Captain, as a fair statement of how you brought your mind to a factual conclusion as to what happened during that period, that week prior to Pearl Harbor, in the fall of 1943?

Captain.Safford: That is correct.

Senator Lucas: 1941?

Mr. Richardson: 1943.

Now, Captain --

Captain Safford: May I add something to that statement?

Mr. Richardson: Yes.

Captain Safford: At the time I did this, I expected to be called as a witness for the prosecution, to represent the Navy Department, in the charges which I thought would be preferred against Admiral Kimmel.

Mr. Richardson: Well, that made it all the more important, did it not, Captain, that you should testify as to what you knew and not what you found out from what somebody told you, because you were then dealing with the guilt or innocence of a human being?

Captain Safford: That is correct.

Mr. Richardson: Now, Captain, you were exceedingly anxious to get hold of an execute message to the winds code, were you not?

Captain Safford: I first looked for the --

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Mr. Richardson (interposing): No, no. I am asking you as to your mental condition. You were very anxious, while you waited to see what the monitoring stations would send in to see when an execute code would come in?

Captain Safford: That is correct.

Mr. Richardson: All right. Now the first time you ever saw the message that you say in your statement was an execute message, was when Kramer brought it to you, sometime after 8 o'clock on the morning of December 4?

Captain Safford: That is correct.

Mr. Richardson: You were not a Japanese linguist? Captain Safford: No.

Mr. Richardson: You did not decode the message? Captain Safford: No.

Mr. Richardson: Do you know under whose watch station the message came in?

Captain Safford: Lieutenant Murray was on watch at the time.

Mr. Richardson: Did not you specifically testify in the former hearing that it came in to Lieutenant Brotherhood?

Captain Safford: I did on the first hearing, when I was under the belief that it had come in on Brotherhood's watch, because he told me it had.

Mn Richardson: Well, I will take up the Brotherhood

Questions by: Mr. Richardson Witness Safford matter with you a little later. h6 2 I want to pursue this matter just a moment. 3 Now, Kramer brought you this message, is that cor-4 rect? 5 Captain Safford: That is correct. Mr. Richardson: Now, there was some writing on the 6 7 message when he brought-it to you? Captain Safford: There was writing on the message. 8 Mr. Richardson: Now, outside of that writing, what 9 10 was on that message when he brought it to you? Captain Safford: He had underscored the code words 11 12 in the middle of the message, so they stood out very 13 plainly. 14 Mr. Richardson: Just tell me Captan, in what form 15 was this message? Was it in English? 16 Captain Safford: The message was in Japanese. 17 Mr. Richardson: All of it? 18 Captain Safford: All of it. 19 Mr. Richardson: And you could not read Japanese? 20 Captain Safford: I can read a few words in Japanese, 21 if they point it out by underscoring, and I compared them 22 with the original words of the two winds codes. 23 Mr. Richardson: Now, let us not go quite so fast on 24 that, Captain.

Witness Safford

Questions by: Mr. Richardson

When the message was brought to you by Kramer, was it typewritten?

Captain Safford: It was the teletype message as it came in the machine.

Mr. Richardson: In Japanese?

Captain Safford: In Japanese.

Mr. Richardson: And with the exception of these specific words, that you were watching for, you did not attempt to read it in Japanese?

Captain Safford: I did not attempt to read it.

Mr. Richardson: Now, there was some writing on that message, was there not?

Captain Safford: That is correct.

Mr. Richardson: In handwriting?

Captain Safford: In handwriting.

Mr. Richardson: In English?

Captain Safford: In English.

Mr. Richardson: What was written in longhand on that message?

Captain Sefford: "War with England including NEI," and so forth. "War with the U.S." or possibly United States, and "Peace with Russia."

That is to the best of my recollection after four years.

Mr. Richardson: Well, it is not quite four years,

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Witness Richardson Questions by: Mr. Richardson in view of the fact that this is the 5th time you are testifying on it, is it, Captain?

Captain Safford: That is correct.

Mr. Richardson: Was there anything else written in longhand on this message in Japanese, except those three phrases?

Captain Safford: There was nothing in Kramer's handwriting.

Mr. Richardson: Well, there was no other handwriting on it but Kramer's?

Captain Safford: No.

Mr. Richardson: The only other writing there was on the paper was the teletype message in Japanese?

Captain Safford: And the identifying data, such as the frequency, time of intercept, station which sent it, which I glanced at, but promptly forgot.

Mr. Richardson: Well, that is not unreasonable.

Now, then Captain, that message that you got, with respect to the Japanese words that were underlined which you say Kramer interpreted in longhand on the message, was a dead ringer execute for the original code message 2353 that had been sent out, was it not?

Captain Safford: That is correct, except that it reversed it in the case of Russia, because we thought no

Witness Safford Questions by: Mr. Richardson war would be no mention, but they gave a positive, specific mention as to Russia, but in a negative sense, which we concluded meant peace, or not war as yet.

Mr. Richardson: Well, then, Captain, we can dismiss from our attention in connection with any examination of you, or any contention of you as to the winds execute circular 2354, cannot we?

Captain Safford: Let me see that.

Mr. Richardson: Because this execute could not have been in completion of Circular 2354, could it?

Captain Safford: 2354 is out completely, except for the fact that is what we expected to find in a Morse code message, and it did not turn out that way.

Mr. Richardson: So that the only code message, winds code message, so far as your testimony is concernd, that the committee need pay any attention to is 2353?

Captain Safford: That is correct.

Mr. Richardson: All right.

Now, were the words HIGASHI NO KAZEAME in the middle of the broadcast?

Captain Safford: That is the place they were underscored.

Mr. Richardson: Were they also at the end? Captain Safford: I do not know now. They were not

Witness Safford Questions by: Mr. Richardson underscored at the end if they were there.

Mr. Richardson: That would be a very important item in order to ascertain whether this was intended to be an execute of 2353, would it not?

Captain Safford: Not necessarily. They would be repeated at the end only as a precaution so that if they missed the early part of the broadcast, they could pick it up at the last and not lose it.

Mr. Richardson: Just a minute, Captain. Don't you think you are extending your authority a little when you interpret what the Japanese meant in a code direction? Did not you tell me a few minutes ago that everyone of those directions that were contained in 2353 were important to be considered in determining whether or not a given message was an execute message?

Captain Safford: I said they were important, that is correct.

Mr. Richardson: Well, you did not even look to find out whether these three sets of words that had been translated were also at the end of the message, did you?

Captain Safford: I never made such a statement.

Mr. Richardson: Well, you did not?

. Captain Safford: I said I cannot remember whether they were repeated at the end or not. I was well satisfied that

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Witness Safford

Questions by: Mr. Richardson that message was authentic, an authentic signal of the

Mr. Richardson: Captain, I am not the least interested in whether you are satisfied or not. I am only interested in ascertaining whether, when you saw the message, you endeavored to ascertain, as a careful, trained Intelligence man, whether it was an execute of the winds code message 2353, and consequently I asked you first, was it in the middle and you said yes; and I then asked you was it at the end, and you said you did not look.

execute given by the Japanese Government.

Now third, was each sentence repeated twice? Captain Safford: I did not say I did not look. I said I could not tell you from present memory.

Mr. Richardson: Well, then, you cannot give us any help as to whether it was at the end, can you?

Captain Safford: I can give you no help at the present time.

Mr. Richardson: But the fact that it was in the message just impressed you, so that to this day you can remember just those words that were underlined, cannot you?

Captain Safford: I can remember them because we had the words preserved in the written record in Circular 2353. I cannot remember the words in my mind. I can only leave them to this which had been preserved in the written record,

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Questions by: Mr. Richardson Witness Safford and I knew it was this form, and not the other form.

Mr. Richardson: And when you looked at 2353, right in front of your nose was the phrase that all three of these phrases had also to appear at the end of the broadcast message, but that did not seem to impress you as being important.

Have you any reaction on that now? Does your mind give any reaction on that now?

Captain Safford: I have no doubt that I checked through the rest of the message, and found everything in due form and technically correct, according to 2353, but I cannot swear from memory to it at this late date.

Mr. Richardson: Well, at the present time, Captain, regardless of what you had no doubt of, you have no recollection, under oath, that you saw anything in that message except the three phrases underlined by Kramer in the message he handed you?

Captain Safford: That is correct. Those are the things that remain in my memory through all this period of time.

Mr. Richardson: Now do you have any recollection, Captain that these sentences, these groups of words were repeated twice in the message? That would be important, would it not?

Captain Sefford: It is my impression they were, but

Witness Safford Questions by: Mr. Richardson
I am not certain.

Mr. Richardson: Was this message, Captain, a short wave news broadcast?

Captain Safford: It was a shortwave news broadcast.

Mr. Richardson: How do you know?

Captain Safford: Because the frequency was recorded on the message, and we could not hear the longwave or low power stuff anyhow, the only thing we could hear in Washington from Tokyo was on shortwave.

Mr. Richardson: How did you know it was news if you could not read Japanese?

Captain Safford: I counted on Kramer to do that.

Mr. Richardson: Well, you could have counted on Kramer to do it, but now you have not testified that you asked him anything about it.

Captain Safford: Kramer told me when he gave me the paper, he said, "This is it." There is no question in my mind or the mind of anybody else what he meant by it.

Mr. Richardson: Now, let us just temporarily, because I am going to question you about it again, Captain, probe that question.

You know, do you not, Captain, now that Kramer has three times in his sworn testimony heretofore, denied that he saw anything in this message with reference to Japanese

Mr. Richardson Questions by: Witness Safford words relating to the United States, and says that the only thing there was in the message he saw had reference to Russia. You know that, don't you?

Captain Safford: I did not know that.

Mr. Richardson: He told you that, didn't he?

Captain Safford: Kramer never told me anything about Russia.

Mr. Richardson: Did not he tell you that he was completely uncertain as to what the Japanese words were in this message?

Captain Safford: I think that Kramer had been pretty well befuddled by the middle of 1945.

Mr. Richardson: Had been pretty well what? Captain Safford: Well, befuddled.

Mr. Richardson: Well, did the befuddling, Captain apply only to Kramer? Were you befuddled at all in 1945?

Captain Safford: In 1945 there was a determined effort made to have me reverse my testimony before previous investigations and to say I had never seen the winds message.

Mr. Richardson: All right.

Now, explain to the committee in detail just who started to exercise influence on you to make you change your testimony. Give names and dates, and the full conversations.

Mr. Murphy: May I request, Mr. Chairman, that we also

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Witness Safford Questions by: Mr. Richardson Senator Lucas have him produce the original memorandum he made two weeks ago?

Mr. Richardson: I did not hear that.

Mr. Murphy: I would like to request that we have presented the written memorandum of two weeks ago. He said he had a written memorandum of two weeks ago that he just got for the first time.

Mr. Keefe: He already identified it.

Mr. Murphy: I would like to have that produced.

Mr. Richardson: Go ahead and read it in detail. Give us now all of the evidence that you have to indicate that anybody tried to get you to change your testimony in just as much detail as you can, Captain.

Senator Lucas: Mr. Counsel, may I inquire when this statement was prepared?

Mr. Richardson: Which statement?

Senator Lucas: What he is about to read.

Captain Safford: This statement was prepared on the 14th of July, 1945.

Senator Lucas: How did you happen to prepare that statement at that time?

Captain Safford: There were certain things that occurred that struck me as quite unusual. I had never seen anything like it in all my experience as a commissioned officer

Witness Safford Questions by: Mr. Richardson of the Navy, and I made notes on the spot, and combined it all into one memorandum while the events were still fresh in my memory.

Senator Lucas: You did not have this previously?

Mr. Richardson: No, this is the first I have heard

of it.

The Vice Chairman: Do you set out in that statement what those certain events were that impressed you?

Captain Safford: I have it here. I merely had it with me to refresh my memory. I did not expect to produce it as evidence. I am now asked to produce it, and I have it here, if it is desired by the committee.

The Vice Chairman: Go ahead.

Captain Safford: I would just as soon not go into this here.

Mr. Richardson: I think it would be well, if he read his paper rather than testifying from it, simply in refreshing his recollection.

The Vice Chairman: Yes, just read your paper completely to the committee, please, sir.

Senator Ferguson: May I suggest, counsel, if there is anything that is not in this memorandum that he recalls, that he give that also?

Mr. Richardson: Yes. I thought it was all oral, when

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Witness Safford

Questions by: Mr. Richardson

I asked the question.

Senator Ferguson: Yes.

The Vice Chairman: Read your paper completely, and distinctly, so we may all hear it, and then when you have finished reading it, why you may supplement it by any other statement you desire to make on this subject, in response to the question counsel has asked you.

Senator Ferguson: Might I suggest that he read it not so fast.

Captain Safford: All right.

Senator Ferguson: I have difficulty at times hearing you.

Captain Safford: This paper is dated 14 July, 1945:

"MEMORANDUM OF CONVERSATIONS IN CONNECTION WITH ADMIRAL
HEWITT'S INVESTIGATION OF THE PEARL HARBOR DISASTER."

Mr. Richardson: This was after you had testified before Admiral Hewitt?

Captain Safford: This was after I had testified before Admiral Hewitt.

Mr. Richardson: All right, go ahead.

Captain Safford: I believe -- I am not certain on the dates.

Mr. Murphy: The Hewitt testimony was taken between May 14, 1945 and July 12, 1945, and this memorandum is

Witness Safford Questions by: Mr. Richardson July 14, two days after Admiral Hewitt concluded taking testimony.

Captain Safford (reading):

"1. This memorandum is prepared, while events are still fresh in my mind, for possible use in connection with future Investigations of the Pearl Harbor Disaster, or Court-martials in connection with Pearl Harbor. It includes certain acts which strike me as irregular, or unusual, and probably illegal.

"2. On or about Friday, 11 May, 1945, I was called to an unofficial conference (or meeting) conducted by Lieutenant Commander John Sonnett, U.S.N.R., in room 1083A, Navy Building."

The Vice Chairman: Spell that man's name.

Captain Safford: S-o-n-n-e-t-t.

Mr. Richardson: Now, Captain, he had been connected with the Hart hearing, had not he?

Captain Safford: He had not.

Mr. Richardson: What hearing was he connected with?

Captain Safford: He was connected with the Hewitt

hearing.

Mr. Richardson: I mean the Hewitt hearing. What was his function in the Hewitt hearing? Do you remember?

Captain Safford: He was a legal adviser to Admiral.

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Witness Safford Questions by: Mr. Richardson Hewitt, and a special representative of the Secretary of the Navy.

Mr. Richardson: And took part in that investigation?
Captain Safford: And took part in that investigation.
Mr. Richardson: All right. Now go ahead.
Captain Safford (continuing reading):

"He was in civilian clothes, as he has been on every occasion on which I have seen him. Sonnett told me that he had been assigned as a legal assistant to Admiral Hewitt in an investigation of the responsibility for the Pearl Harbor Disaster, that he was also a special representative for Secretary Forrestal in this investigation and that he was authorized to handle Top-Secret and Secret information and documents. He showed me papers signed by Secretary Forrestal and Fleet Admiral King verifying these statements.

"At my request he let me read the Precept which directed Admiral Hewitt to conduct the investigation. It was my understanding that Admiral Hewitt had not yet returned to Washington and that Sonnett was getting things lined up to expedite matters after the Admiral's arrival.

"3. I answered many questions pertaining to my testimony before previous investigations, and discussed discrepancies between my testimony and the testimony of other

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witnesses."

Mr. Richardson: Let me stop you right there, Captain.

Does not it commence to dawn on you that this statement of yours was made before you testified in the Hewitt examination?

Captain Safford: Some of the notes were made before, but it was written up and typed and dated afterwards.

Mr. Richardson: I see. But this conversation that you had with Sonnett took place before you testified in the Hewitt investigation?

Captain Safford: That is correct.

Mr. Richardson: All right.

Captain Safford (continuing reading):

"Sonnett requested that I give him, by the end of the next week, written memoranda to be used as a basis of study and examination (under oath) on the subjects listed below. This was done and the memoranda submitted as follows:

"Subject

Date Submitted

Remarks

Winds Messages (6-pages)

15 May 1945 Withdrawn on 18 May 1945 at the suggestion of Lt. Comdr. Sonnett.Original retained for possible future use."

Mr. Richardson: By the way, have you a copy of that

Captain Safford: I believe I have.

paper that you gave to Sonnett?

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Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: All right, go ahead.

Mr. Murphy: Will the Captain go a little more slowly? Captain Safford: Yes.

Mr. Murphy: There was something said before 15 May 1945. What was that?

Captain Safford: "Six pages."

Mr. Murphy: All right.

Captain Safford:

"Subject	Date Submitted	Remarks
Evaluation of messages of 26 Nov. 1941 (6-pages.)	17 May 1945	Also lists the 6 car- riers described by Com 16 as 'all known first and second fleet car- riers.'
Evaluation of 'UTU' broadcasts (8-pages)	19 May 1945	No action was taken be- cause Jap invasion fleet had been sighted by RAF planes off Kota Bharu.
Tatute Maru and the President Madison (1 page)	21 May 1945	Indicates that on 7 Dec. 1941 the CNO refused to believe that the U.S. would be involved in the war that was imminent in East Asia.

"On Sonnett's request, I prepared and furnished him copies of certain U. S. Naval messages, the Station "H" Chronology for 1-6 December, 1941, and Com 14 Daily CI Summaries for 1 Nov.-6 Dec. 1941.

"4. It was apparent to me on my very first meeting with Lieutenant Commander Sonnett that he was acting as

a 'counsel for the defense' for the late Secretary Knox, and Admiral Stark rather than as the legal assistant to the investigating officer. His purpose seemed to be to refute testimony (before earlier investigations) that was unfavorable to anyone in Washington, to beguile 'hostile' witnesses into changing their stories and to introduce an element of doubt where he could not effect a reversal of testimony. Above all, he attempted to make me reverse my testimony regarding the 'Winds Execute' Message and to make me believe I was suffering from hallucinations.

1945, and the third time a day or two later. On these latter occasions, like the first, Sonnett tried to persuade me that there had been no 'Winds Execute' Message, that my memory had been playing me tricks, that I had confused the 'False Winds Message' with what I had been expecting, and that I ought to change my testimony to permit reconciling all previous discrepancies and thereby wind up the affair. In some cases the idea was stated outright, in some cases it was implied, and in other cases it was unexpressed but obviously the end in view."

Senator Lucas: The what?

Captain Safford: "The end in view.

"6. I distinctly recall Lieutenant Commander John

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Witness Safford

Sonnett, U.S.N.R., making the following statements to me during the course of the above-mentioned conferences:

"'You are the only one who seems to have ever seen the 'Winds Execute' Message.

"How could the Winds Execute be heard on the east coast of the U. S. and not at any of the places nearer Japan?

"If is very doubtful that there ever was a Winds Execute Message.

"It is no reflection on your veracity to change your testimony.

"It is no reflection on your mentality to have your memory play you tricks - after such a long period.

" Numerous witnesses that you have named have denied all knowledge of a 'Winds Execute' Message."

" You do not have to carry the torch for Admiral Kimmel.

"7. I testified before Admiral Hewitt the first time on or about 24 May 1945, before he went to Pearl Harbor. I testific before Admiral Hewitt a second time on 22 June, 1945, after his return from examining witnesses at Pearl Harbor. Upon completion of my testimony (in which the Winds Execute Message had figured. I asked him. off-the-record

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if there was still any doubts in his mind as to the 'Winds Message' having been sent by Japan and disseminated in the War and Navy Departments. The Admiral looked startled, and before he could reply Sonnett said:

"'Of course, I am not conducting the case, and I do not know what Admiral Hewitt has decided, but to me it is very doubtful that the so-called 'Winds Execute' Message was ever sent.'

"Admiral Hewitt thought a minute or two more, and then said:

"You are not entitled to my opinion, but I will answer your question. There is no evidence of a Winds Execute! Message beyond your unsupported testimony. I do not doubt your sincerity, but I believe that you have confused one of the other messages containing the name of a wind with the message you were expecting to receive."

Maybe I ought to go on with paragraph 9.

"8. For my part, I do not doubt Admiral Hewitt's integrity" --

The Vice Chairman: Just a minute. You are reading everything that is on that paper?

Captain Safford: I am reading everything that is on this paper.

The Vice Chairman: All right. Go ahead.

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Captain Safford: "For my part, I do not doubt Admiral Hewitt's integrity, but I do believe that Sonnett has succeeded in pulling the wool over his eyes.

"9. I also believe that Sonnett employed similar tactics on other witnesses whose testimony had favored Admiral Kimmel, particularly Rochefort and Kramer.

"10. Copies of the memorandum described in paragraph

3 are appended hereto. Also appended is a memorandum to

Admiral Hewitt dated 22 June, 1945, clarifying my testimony

regarding the "Winds Execute" Message and indicating that Sonnett

had attempted to trick me into stating the opposite of

what I intended to say."

Signed, "L. F. Safford,

Captain, U. S. N."

Mr. Murphy: There are more pages?

The Vice Chairman: Does that complete your statement?

Captain Safford: That completes my statement. The other pages appended are copies of the memoranda which were referred to in paragraph 2.

The Vice Chairman: All right, proceed and read them, read every word of those papers attached to your statement.

Captain Safford:

"SECRET

"MEMORANDUM FOR LIEUT. COMMANDER JOHN F. SONNETT, U.S.N.R."

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Captain Safford: "For my part, I do not doubt Admiral Hewitt 's integrity, but I do believe that Sonnett has succeeded in pulling the wool over his eyes.

"9. I also believe that Sonnett employed similar tactics on other witnesses whose testimony had favored Admiral Kimmel, particularly Rochefort and Kramer.

"10. Copies of the memorandum described in paragraph 3 are appended hereto. Also appended is a memorandum to Admiral Hewitt dated 22 June, 1945, clarifying my testimony regarding the Winds Execute Message and indicating that Sonnett had attempted to trick me into stating the opposite of what I intended to say."

Signed, 'L. F. Safford,

Captain, U. S. N."

Mr. Murphy: There are more pages?

The Vice Chairman: Does that complete your statement? Captain Safford: That completes my statement. The other pages appended are copies of the memoranda which were referred to in paragraph 2.

The Vice Chairman: All right, proceed and read them, read every word of those papers attached to your statement.

Captain Safford:

"SECRET

"MEMORANDUM FOR LIEUT. COMMANDER JOHN F. SONNETT, U.S.N.R."

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Witness Safford

Questions by: The Vice Chairman

The Vice Chairman: Permit me to ask you, is that your memorandum?

Captain Safford: That is my memorandum.

The Vice Chairman: Prepared by you?

Captain Safford: Prepared by me.

The Vice Chairman: Go ahead and read it.

Captain Safford: "Subject: Winds Message.

"1. To the best of my knowledge and believe, the following officers knew, in December, 1941, that the Winds
'Execute' message had been broadcast from Tokyo on (or about)
4 December, 1941 (and prior to 7 December 1941) although
some of them did not learn about it until after the attack
on Pearl Harbor:"

Then I have listed the name, present rank, station and duty on 7 December, 1941, first for the Army, and second for the Navy.

The Vice Chairman: Are those names there?

Captain Safford: The names are there, which I will read, if you are interested.

The Vice Chairman: All right, go ahead. Captain Safford (reading):

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		Station and Duty on 7 December 1941
Name	Present Rank	
George C. Marshall	General of the Army	Chief of Staff, U.S.Army
Leonard T. Gerow	Lt.Gen. U.S.A.	Director, War Plans Div.
Dawson Olmstead	Maj.Gen.U.S.A. (Ret.)	Chief Signal Officer
Sherman Miles	Maj.Gen.U.S.A. Dira	ctor of Military Intel- ligence.
Clayton Bissell	Mej.Gen.U.S.A.	War Plans Division, (WDGS)
Otis K. Sadtler	Col. U.S.A.	Army Communications, Office of Chief Signal Officer.
Rufus S. Bratton	Brig.Gen.U.S.A.	In charge, Far Eastern Section, Military Intelligence."
I believe I v	vas mistaken. He was	only a Colonel at
the time.		
The Vice Cha	irman: That is the f:	irst time you heard
of him being a Br	igadier General?	
Captain Saff	ord: I heard he had	been promoted. That
was my mistake.	That is what it shoul	d be. (Resumes reading:)
"Rex W. Minckler	Col., U.S.A.	Chief of Signal Intel- ligence, Service, Office of Chief Signal Officer.
Harold Doud	Col., U.S.A.	In charge, Japanese Section, SIS, Office of Chief Signal Officer

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			Witness Safford	1	
h28		2 3	Frank B. Rowlett Lt.Col.(Signal Corps Reserve), U.S.Army		Principal Cryptanalyst Japanese Section, SIS Office of Chief Signal Officer.
		4	H.R.Stark	Admiral U.S. Navy	Chief of Naval Opera- tions.
		5	H.E.Ingersoll	Admiral, U.S. Navy	Asst., Chief of Naval Operations
		7	R.K.Turner	Vice Adm., U.S.N.	Director, War Plans Division
		8	T.S.Wilkinson	Vice Adm., U.S.N.	Director of Naval Intelligencw
	, W	10	Leigh Noyes	Rear Adm., U.S.N.	Director of Naval Communications
WARD & PAUL, WASHINGTON, D C	ARD & PAL	11	J.R.Beardall	Rear Adm., U.S.N.	Naval Aide to the President
	L. WASHIN	13	J.R.Redman	Rear Amd., U.S.N.	Asst.Director of Naval Communications
	GTON. D	14	F.E.Beatty	Rear Amd., U.S.N.	Aide to the Secretary of the Navy
	n	16	L.F.Safford	Capt., U.S.N.	Op-20-G. In charge, Security Section, Naval Communications
		18	A.H.McCollum	Capt., U.S.N.	Op-16-F2. In charge, Far Eastern Sect., Naval Intelligence
	20	G.W.Welker	Capt., U.S.N.	Op-20-GK . In charge Intercept and Direction	
	21	A.D.Kramer	Capt., U.S.N.	Finding Section Op-20-GZ. In charge, Translation and Dissemin-	
	23			ation Section. (Actually attached to Far Eastern Section of Naval Intelli-	
					gence.)

at its treat which were the

		Witness Sallord			
	h29 1	L.W.Parke	Comdr., U.S.N.	Op-20-GY. In charge, Cryptanalytical Section.	
	3	A.A.Murray	Lt.Comdr., U.S.N.R.	Watch Officer in Op- 20-GY.	
	4	H.L.Bryant	Chief Ship's Clerk, U.S.N.	Confidential Youman in Op-20-GZ.	
Larry	6				
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Witness Safford

Questions by: Senator Lucas Mr. Richardson

Senator Lucas: May I ask one question? Are those names you read those who are presumed to have seen the winds message?

Captain Safford: Seen or have been told about it; knew about it at the time. Whether they have forgotten it since I have no idea.

Senator Lucas: I see.

Mr. Richardson: You might indicate at this point which ones of these names according to your information actually saw this message that you say was the winds execute.

Senator Ferguson: Those that were in position to see it or that did see it.

Mr. Richardson: That he knows saw it.

Captain, I don't want the report, or anything, but I want your own knowledge as to which ones of these names saw it.

Captain Safford: In this memorandum which I gave Commander Sonnett I only told him which ones knew about the winds message either before December 7 or shortly after.

Mr. Richardson: Then you don't know of your own knowledge that any one of these persons so named ever actually saw the message?

Mr. Keefe: Except Kramer, who he has testified gave him the message.

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Questions by: Mr. Richardson

Mr. Richardson: Wait just a minute --

Captain Safford: So far as direct evidence is concerned

I have no knowledge that any of those people saw it. These

are turned in as a list of prospective witnesses on the

winds code.

Mr. Richardson: You do know that Kramer saw it? Captain Safford: Yes, sir.

Mr. Richardson: That is the only one.

Captain Safford: And I saw it.

Mr. Richardson: Yes.

Captain Safford: And I sent it to Admiral Noyes and the courier who took it up reported, "Message delivered".

Mr. Richardson: Now, have you anything further? Captain Safford: I have a great deal more.

The Vice Chairman: Go right ahead from where you left off.

Senator Ferguson: Will counsel inquire as to whether these exhibits he is now reading were turned over to Commander Sonnett?

Captain Safford: These were all turned over on the days indicated. He talked to me about these things and asked me to write a complete statement to help him and Admiral Hewitt in the subsequent investigation conducted. They were not evidence. These were leads. Off the record and

Questions by: Senator Lucas Mr. Richardson

private examinations.

Mr. Richardson: But all given to Sonnett?

Captain Safford: Yes, sir, to help him and help Admiral Hewitt.

Senator Ferguson: That is what I wanted.

Senator Lucas: Do I understand that just Kramer and yourself saw this message?

Mr. Richardson: That is of his own knowledge.

Captain Safford: That I know from my own knowledge.

Senator Lucas: McCollum didn't see the message?

Captain Safford: I have no direct knowledge that

McCollum ever saw it.

Mr. Murphy: Mr. Chairman, in the statement he gives us he said they all had them delivered to them. He is only reading now the memorandum.

Captain Safford: Yes; on this list were people who knew about it, not people who necessarily had copies.

The Vice Chairman: Go ahead, Captain, read from the point you left off.

caused by the Tokyo weather forecast or "false" winds message intercepted by the FCC at 2200 GCT, 4 December 1941, and phones to Lieutenant Commander Brotherhood during the evening of 4 December 1941. It is believed that certain

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Witness Safford

officers attached to Op-20-G --"

The Vice Chairman: Not quite so fast.

Captain Safford: (Continuing) -- "in December 1941 had in mind the "false" winds message when they informed me that they knew of the "winds message". Their names are as follows:

Lieutenant Commander G. W. Linn, U.S.N.R."

Senator Lucas: Pull the microphone in front of you, please.

Captain Safford:

"Lieutenant Commander F. M. Brotherhood, U.S.N.R.

Lieutenant Commander A. V. Pering, U.S.N.R.

Lieutenant F. L. Freeman, U.S.N.

Ensign Wilmer Fox, U.S.N.

"The FCC interception of another winds execute message between 0002 and 0035 (GCT), 8 December 1941, proves that the Japanese Government did use this system for broadcasting war warnings.

"3. There never has been any doubt in my mind that the winds execute message was broadcast from Tokyo two or three days prior to the attack on Pearl Harbor and forwarded to the Navy Department. The points in doubt, which I sought to clarify by sighting the incoming Japanese message (or its translation), were:

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"(a) Exact date /I.e. December 4 (Thursday) or December 5 (Friday) 19417.

- "(b) Exact wording of the original Japanese broadcast.
- "(c) Station call, time and frequency of the Japanese Radio Station which broadcast it. (This would reconcile 'skip' phenomena.)
 - "(d) Whether received in voice or Morse code.
 - "(e) Station which intercepted the message.

"4. After receiving the winds 'execute' message I discussed with Lieutenant Commander Welker (Op-20-GX) the advisability of discontinuing the special intercept watches being maintained to pick up the winds 'execute'. However, only two days previously we had translated Tokyo Circular #2409 (JD #6985) dated 27 November 1941 - setting up a system for sending out 'Hidden Word Messages' (INGO DENPO) in event of strained relations. Although we expected these would come over regular commercial circuits (as proved the case on the morning of 7 December 1941), we could not be sure, and it seemed advisable to continue the existing set-up which covered all possibilities (even though it meant the operators continuing their doubled-up watches), and required no further orders and no possibility of misunderstanding and confusion. It is my impression that Welker discussed the matter with Captain Schukraft, and

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Witness Safford Questions by: Mr. Murphy Mr. Richardson
the Army made a similar decision. I have not discussed this with Welker since September 1942 and I have no idea how well he remembers this incident.

"5. Somebody must have notified the War Department about the winds 'execute' message because Colonel Bratton telephoned to Admiral Noyes and requested a copy of the original Japanese broadcast so that he could verify the translation. (This was customary in highly important intercepts). Admiral Noyes got quite indignant and told Colonel Bratton that the Navy's translation was correct and that the War Department would not be furnished a copy of the original message."

Mr. Murphy: May I ask if you are now speaking of the actual intercept which you claim you saw right there?

Captain Safford: Speaking of what account I could get of the winds message from people in the War Department, and this was not testimony, this was furnished as a lead.

Mr. Richardson: But it referred to your winds execute message?

Captain Safford: Yes, sir.

Mr. Murphy: All right.

Captain Safford: "The foregoing incident, if verified by Colonel Bratton, will prove that the winds 'execute' got as far as Rear Admiral Noyes and G-2.

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Witness Safford

"6. There is one possible source of information on the winds message which has not been checked, namely - the Australian C.I. Organization. The Australians had a small C.I. Organization and in December 1941 they were intercepting Japanese diplomatic radio traffic and reading messages in the J-19 system. (The Dutch in Java were also reading J-19, as well as the British in Singapore and London and the U.S. Army and Navy in Corregidor and Washington.) The Australian C.I. Unit had liaison with the Singapore C.I. Unit, including exchange of translations and keys, except for the purple and red machines. The winds 'set-up' message (Tokyo Circulars #2353 (JD #6875) and #2354 (JD #6850), dated 19 November 1941) were in J-19. Singapore sent translations to Corregidor (CinCAF 281430 (COPEK) to OpNav) and undoubtedly sent these same translations to Australia. The Australians may have intercepted the winds 'execute' message on 4 December 1941. If so, this was the basis of Senator Ferguson's 'Australian War Warning' which received much publicity in December 1943."

Mr. Murphy: Read that again, please.

Captain Safford: "The Australians may have intercepted the winds 'execute' message on 4 December 1941."

Mr. Murphy: Yes.

"If so, this was the basis of Senator Captain Safford:

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Ferguson's 'Australian War Warning' which received much publicity in December 1943."

Maybe it was 1944.

Mr. Murphy: Senator Ferguson's Australian war warning? Captain Safford: Yes, sir.

Mr. Keefe: You heard it the first time.

Captain Safford: "This hypothesis could be easily prover or disproved."

And remember this is written in July 1945.

Senator Ferguson: Might I inquire whether or not that was to Australian Minister Dixon?

Captain Safford: That is what I was referring to, yes.
Mr. Richardson: Go ahead.

Captain Safford: I think I have a newspaper clipping of it. That is what I was referring to.

"This hypothesis could be easily proved or disproved.

The following secret message to the Fleet Radio Unit,

Melbourne, is suggested:"

And I have a proposed message from the Secretary of the Navy to that Unit in which they were being asked to contact the Australians and see if the Australians would tell them yes or no. I will quote the message if desired.

Mr. Richardson: Quote it.

Captain Safford: (Reading)

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"FROM: SECRETARY OF THE NAVY

FLEET RADIO UNIT MELBOURNE

"REFERRING CINCAF TWENTYEIGHT FOURTEEN THIRTY NOVEMBER NINETEEN FORTYONE AND TOKYO CIRCULARS TWENTYTHREE FIFTYTHREE AND TWENTYTHREE FIFTYFOUR DATED NINETEEN NOVEMBER SAME YEAR IN JIG NINETEEN DID AUSTRALIANS INTERCEPT OR KNOW OF SUCH A WARNING BROADCAST FROM TOKYO ON OR ABOUT FOUR DECEMBER NINETEEN FORTYONE X IF AFFIRMATIVE FORWARD BY AIRMAIL CERTIFIED TRANSCRIPT OF BROADCAST AS RECEIVED WITH NOTATION AS TO DATE X TIME X FREQUENCY X VOICE OR MORSE X CALL LETTERS OF TRANSMITTING STATION X LOCATION OF INTERCEPTING STATION AND OTHER RELEVANT DATA"

"7. Lieutenant Colonel Rowlett heard of the winds 'execute' by office gossip a day or two before the Japanese attack on Pearl Harbor. A few days after the attack Colonel Sadtler came to him and said, 'I would like to see the winds message, or words to that effect. Rowlett referred him to Major Doud, in charge of the section, who in turn referred him to Colonel Minckler, the Chief of SIS. The rest of the story belongs to the Army Investigation rather than the Navy Investigation except for the fact that it furnishes further proof of the authenticity of the winds 'execute' message and that some written record of it did exist in the War Department in December 1941.

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Witness Safford

"8. A complete exposition of radio wave propagation would be very lengthy and out of place. It is sufficient to say that the radio frequencies used between Japan and the United States were quite erratic in performance, and that long distance radio communications in an East-West direction are more difficult and less reliable than those in a North-South direction. A few pertinent examples can be given, namely:

"(a) The long fourteen (14) part Tokyo Serial #902
(JD-1 #7143) was intercepted solid at Bainbridge Island,
Washington. Part Two (of Tokyo Serial #902) and Tokyo
Berial #904 (JD-1 #7144) were also copied at Cheltenham,
Maryland, and forwarded to the Navy Department and used
for the actual decryption. (This is verified in the GY
Log for 6 December 1941.) The rest of Tokyo Serial #902
was 'uncopyable' at Cheltenham."

I would like to add after the memorandum, outside the memorandum, that that statement was possibly incorrect, but it was my recollection at the time.

"(b) Part Two of the very important three-part Tokyo to Berlin #985 (JD-1 #6943) was missed but the first and third parts were copied solid."

I would like to add, this is not in the memorandum, that both Corregidor and England missed, and we only have

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Witness Safford

the first and third parts here. We received a copy from London as well as a copy from Corregidor.

"(c) We finally had to call on Corregidor to cover the Berlin-Tokyo circuits as the combined efforts of intercept stations in the East Coast, West Coast, Hawaii and England could not provide better than about fifty (50) percent coverage. During the period 1 December - 7 December 1941, the Navy Department received seventy (70) Japanese diplomatic intercepts from Corregidor as compared with seventy-three (73) from Bainbridge Island, twenty (20) for all other U.S. Navy Stations, and ninety-three (93) for all U.S. Army stations. The Japanese were trying to reach Rio and Buenos Aires as well as San Francisco, Mexico City, and Washington. (See distribution of Tokyo Serial #2354). It is not at all surprising that the frequency used to reach Washington, Rio, and Buenos Aires skipped over the West Coast and Hawaii. There is a possibility that this frequency was heard in Australia even though it skipped over Manila, Singapore and Java."

I would like to add also, off the memorandum, that this is written many months ago, when I did not have information which I now have.

"9. There is one final place where written confirmation of the winds 'execute' message may exist - the Record of

Witness Safford

Questions by: Mr. Richardson

Proceedings of the Roberts Commission. I cannot believe that they could cover up so completely that some mention of the winds 'execute' did not slip into the record. First they said I didn't know what was going on around me; now they claim I am suffering from hallucinations. Under the circumstances it is only fair that I be permitted to search through the record for such evidence in order to prove my sanity, as well as my intelligence and my veracity.

"10. In conclusion the following quotation from my secret memorandum to Colonel West, dated 2 October 1944, is submitted for consideration: --"

Mr. Richardson: Just one moment, Captain. Was there a memorandum that you gave to Colonel West?

Captain Safford: There was a memorandum.

Mr. Richardson: Have you a copy of that memorandum? Captain Safford: I believe I can find a copy.

Mr. Richardson: Will you produce it so that we may see it, if you can? You don't need to do it now.

Captain Safford: I will later.

"The reason for my stressing the "winds message"
so much in my testimony (in all three cases) is because
we could afford to talk about it, even print it in the
newspaper, without detriment to the war effort. Even the
Dutch knew of the code and the FCC listened for the message.

Witness Safford

Questions by: Mr. Richardson

We had the same information - at the same time - from more secret but less dramatic sources. Also the "winds set-up" was the nearest thing to a warning CINCPAC ever got. If the "winds execute" had been heard at Pearl Harbor, the fleet would not have been surprised. And because CINCPAC was given no information that the "winds execute" had been sent, everybody at Pearl Harbor believed it had not been sent and that the Japs were still making up their minds as to the next step. "

Mr. Murphy: There is still more?

captain Safford: That is the end of that memorandum on the winds message, which is official and before the investigation given to Mr. Sonnett to help him and Admiral Hewitt get leads for their investigation.

The Vice Chairman: What else do you have?

Mr.Richardson: Have you finished with that statement?

Captain Safford: Yes.

Mr. Richardson: Have you some other memorandums?

Captain Safford: The other memorandums do not have a bearing on the winds message.

Mr. Richardson: Let me ask you this: You prefaced this reading with a statement that efforts had been made to silence you and influence you. Have you any other record of any kind, manner of description, that shows or tends to

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Witness Safford Questions by: Mr. Richardson show or relates to any pressure or influence exerted upon you or towards you to get you to change your testimony or give no testimony?

Captain Safford: This has been the only time and it was before the investigation. Not during the investigation.

I want to make that very distinct.

Mr. Richardson: Then you have no more to add to what you have read?

Captain Safford: That is correct.

Mr. Richardson: Now, after you wrote that and gave that to Sonnett you were examined before Admiral Hewitt?

Captain Safford: I was examined before Admiral Hewitt.

Mr. Richardson: And you were given an opportunity to testify fully and completely on every subject that you wanted to? Captain Safford: That is correct.

Mr. Richardson: And you were sworn on that testimony? Captain Safford: I was sworn on that testimony.

Mr.Richardson: And after the testimony was taken and transcribed you read it and signed it, did you not?

Captain Safford: I do not believe that I ever read it or was given the opportunity to verify it.

Mr. Richardson: Have you ever read it since? Captain Safford: I have read it since.

Mr. Richardson: It is correct, is it not, Captain?

Captain Safford: It is correct with a few minor errors

in typing where they left out some words.

Mr. Richardson: Ch, I see. But generally speaking -Captain Safford: General speaking it is correct.

Mr. Richardson: (Continuing) -- it was a correct report?

Captain Safford: That is right.

Mr. Richardson: Now, Captain --

Mr. Keefe: May we understand what these other items are that are attached to this so-called exhibit that he has there? He says it does not have reference to the winds code but it may have quite a bearing on this case. They are part of the same exhibit.

The Vice Chairman: Captain, tell us -- Captain Safford: Could I explain them?

The Vice Chairman: Tell us as plainly and as completely as you can what the other papers are that you have in your hand in addition to what you have read to the committee?

Captain Safford: I believe there were two conflicting Intelligence reports, one sent on the 26th of November 1941, one was sent from the 14th District at Pearl Harbor and the other from the 16th District at Manila, this concerning the question or the possibility of Japanese carriers in the Mandated Islands. They did not agree.

WARD & PAUL, WASHINGTON, D. C.

Questions xby: Mr. Richardson

This is a long dissertation on that giving my impression or evaluation of it for their benefit. I was also asked to explain what the Commandant 16th District meant when he said, " All known first and second fleet carriers. " That is the number and their identity.

The Vice Chairman: That is the contents of the second memorandum after the point where you stopped reading?

Captain Safford: That is correct.

The Vice Chairman: Then tell us what the next is. Each additional paper that you have in your hand, tell us what 1t 18.

Mr. Murphy: May we find out how many pages there are on the carriers?

Captain Safford: Six pages.

Mr. Murphy: You say there are only six between what you read and what you have there in your hand, you mean there are only six pages there?

Captain Safford: That is correct. It is that one (indicating.)

Mr. Murphy: Oh, I see.

Captain Safford: It was the one 1 just read.

Mr. Murphy: All right, Captain, excuse me.

Captain Safford: On the 5th of December 1941 -

The Vice Chairman: Well, now, what is this, - a memo-

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Questions by: Mr. Richardson

Witness Safford

randum?

Captain Safford: This is the evaluation of the "UTU" broadcasts.

The Vice Chairman: This is a memorandum from you?

Captain Safford: It is a memorandum of eight pages from me to Mr. Sonnett.

The Vice Chairman: From you to whom, please?
Captain Safford: To Lieutenant Commander Sonnett.

The Vice Chairman: All right. Give us an idea of what is in it.

Captain Safford: Dated the 19th of May 1941 and it is my evaluation, --

Mr. Murphy: May I just inquire, Mr. Chairman? The six pages on the carriers was also given to Sonnett?

Captain Safford: That was also given to him.

Mr. Murphy: All right.

Mr. Richardson: Go ahead.

The Vice Chairman: Tell us what these eight pages are that you have before you now?

Captain Safford: On the 5th of December 1941 the Commandant 14th Naval District reported to the Commandant 16th District and Chief of Naval Operations by a message identified as 052220;

"UTU's, are being sent by HA FU 6 (Tokyo Radio)

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Questions by: Mr. Richardson

on 32 kilocycles instead of 39 kos as before,"
and there had been a lot of discussion. I explained it all
to Mr. Schnett and then he asked me to give him a complete
writeup, as much as I could tell him about it, which I did,

The Vice Chairman: And what does this relate to now?

Captain Safford: Tokyo normally broadcast messages to

the fleet on 39 kilocycles. On the 5th of December 1941 they

ssuddenly discontinued their 39 kilocycles and opened up on 32.

The Vice Chairman: And that is what this eight page memorandum is?

Captain Safford: This is all about what significance that might have had as a war warning or as regards Japanese intentions of a naval war.

The Vice Chairman: All right.

Mr. Murphy: Now, Mr. Chairman, I think that it is important that we go into that for the reason that the Captain in his statement said that the codes were changed on December 4th.

Captain Safford: That is correct.

Mr. Murphy: He now says that there was a change on December 5th.

Captain Safford: In the broadcasting frequency.

Mr. Murphy: All right, and the Naval narrative says --

Mr. Keefe: Mr. Chasirman, that is not a correct state-

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Witness Safford Questions by: Mr.Richardson

ment of the witness, that he testified to a change in code.

Senator Lucas: Mr. Chairman, I move that we proceed in order.

Mr. Murphy: I am taking it from the statement of the witness. So far as I know the witness is now referring to December 5th.

Captain Safford: That is correct.

Mr. Murphy: In his statement he refers to December 4th. Captain Safford: That is correct.

Mr. Murphy: And the Naval narrative refers to December 1 on the change of signals. I think we ought to have it shown.

The Vice Chairman: Do you request that this eight page memorandum be read?

Mr. Murphy: I request that it be examined over the noon hour by counsel to see whether or not it is of help to the committee.

The Vice Chairman: Without objection it will be so ordered. Now, what is the next, Captain?

Captain Safford: The final memorandum was a one page memorandum from myself to Commander Sonnett dated 21 May 1945 concerning the TATUTA MARU and the American passenger ship President Madison.

The circumstances of that were there had been a lot of

Witness Safford

Questions by: Mr. Richardson

I believe newspaper talk, possibly something elsewhere, that the Japanese had sent the TATUTA MARU over as a kind of a spy, a decoy, and that we had been taken in with it and I just brought out that they had arranged it long in advance, they had clearance from the State Department, that everything was in proper order and that no significance could have attached to this whatever, to this trip of the TATUTA MARU, so far as I could see.

The Vice Chairman: Now, does that complete either a reading or a description of all the papers that you have there before you?

Captain Safford: Those were all that were referenced in this memorandum. I had some additional papers clipped together for convenience, so that they would not get displaced. I will hand them in if you care to have me to.

The Vice Chairman: What is that now?

Captain Safford: I had some additional papers olipped to this other bunch for convenience so they would not get displaced.

Mr. Richardson: Where are those papers that were clipped? What were they?

Captain Safford: I have a memorandum addressed to Admiral Hewitt dated 22 June 1945 correcting some of my previous testimony before him, where I had slipped and said

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Witness Safford

Questions by: Mr. Richardson

things which were not quite correct and I was able to verify it on the spot and turn it in so that my testimony would be corrected

The Vice Chairman: Well, I think you had better read that memorandum. It was addressed to Admiral Hewitt?

Captain Safford: It was addressed to Admiral Hewitt.

The Vice Chairman: About your testimony?

Captain Safford: About my testimony.

The Vice Chairman: All right, read it, please, sir.

Captain Safford: (Reading)

"SECRET

22 June 1945

"MEMORANDUM FOR ADMIRAL HEWITT

"Subj: Pearl Harbor Investigation.

(a) My testimony given this date. "Ref:

I new recall that Lieut. Commander Brotherhood told me that he did not receive a written copy of the 'False' Winds Message from the F. C. C., but merely received the information by telephone. The only written version of the 'False' Winds Message we ever had prior to 1944 was a memorandum of the phone call in Brotherhood's handwriting. Only one significant word (North) appeared and it was in English. It was this memorandum that Kramer threw in the 'burn bag' after

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telling Brotherhood that this was not what we were looking for.

The Winds 'Execute' Message which passed through my hands on the morning of 4 December 1941 was a teletype copy (typed on yellow teletype paper) of the entire Japanese broadcast about 200 or 300 words long. Three significant words (Kita, Higashi, and Nishi) appeared and they were in Japanese. Kramer's translation appeared in pencil, or colored orayon, at the bottom of the sheet. There was very little chance of confusion.

I would like tomake one correction in the testimony I gave today:

"'Mr. Phillip Cate, Japanese translator, employed by the Navy Department is still alive. It was his brother, employed as a Japanese translator by the War Department, who died a few weeks after the attack on Pearl Harbor,

Respectfully,

L. F. Safford, Captain, U.S. Navy."

The Vice Chairman: All right. What else is there? Mr. Richardson: What is the date of that memo'? Captain Safford: That is the 22nd of June 1945.

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Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: And that was to Sonnett?

Captain Safford: No, that was to Admiral Hewitt.

Mr. Richardson: Oh, yes, I see.

Captain Safford: That was referring to --

Mr. Richardson: 22nd of what?

Captain Safford: June. He will have that with his papers.

Mr. Richardson: All right.

The Vice Chairman: 22nd of June 1945?

Captain Safford: Yes. In going over it afterwards I realized that possibly my answers had not been clear or correct in one case, where I had him alive, Cate, and I was simply trying to make minor corrections in the testimony I had given.

The Vice Chairman: I think we understand your reasons for the memorandum. Now, what is the next?

Captain Safford: The other, the final one is a memorandum of a conversation with Mr. Walter Frote at the State Department on Wed needay, May 30, 1945 and I have a note, "Not given to Admiral Hewitt or Lieutenant Sonnett", but I did discuss it with them and I gave a very, very brief summary of this memorandum, which I believe is contained in the record of the Hewitt investigation.

Mr. Walter Foote was the American Consul General at

Witness Safford

Questions by: Mr. Richardson

Batavia who sent one of the winds code messages and he was in touch with the situation.

When he came back to the United States I talked with him to see if he could recall any further information on the subject. Mr. Foote said that he was positive that the Dutch in Java had not received the execute on the winds message.

The Vice Chairman: And that is what is covered in that statement?

Captain Safford: No, this is a part of it; that is the substance of it.

The Vice Chairman: All right.

Captain Safford: And it was in the Hewitt report.

The Vice Chairman: That was a memorandum from you?

Captain Safford: That was a memorandum of me to myself just so I would not forget what Mr. Foote had said on the subject.

The vice Chairman: It was not given to Sonnett Or Hew-

Captain Safford: It was taken in and they merely asked me to take it and condense it in one paragraph in my testimony, which I did.

The Vice Chairman: All right. What else can you think

Captain Safford: The other papers here are merely cop-

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Witness Sa fford Questions by: Mr. Richardson ies of the various circulars and forms of the winds code to refresh my memory.

The Vice Chairman: What do you mean by "circulars and copies"?

Captain Safford: Tokyo circular 2353, 2354. Mr. Richardson: Just copies of those exhibits? Captain Safford: Copies of those exhibits. The Vice Chairman: All right. Anything else? Captain Safford: That is all.

The Vice Chairman: That is all, all right. Please go on now. Please hand that to counsel so they may examine the part they were requested to examine.

Mr. Murphy: Mr. Chairman, I think this is important and I ask that all of the papers from which the witness read be made an exhibit and that we have an exact copy of those papers.

The Vice Chairman: Well, of course, all that he read has gone in the transcript of the record.

Mr. Murphy: All that he read and quoted from here is in the record.

The Vice Chairman: Now, counsel has been requested to examine the other parts that he did not read and when he reports to us on that we will be prepared to pass on that question, I imagine.

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Witness Safford

Questions by: Mr. dichardson

Mr. Murphy: I am just being thorough. I think counsel ought to examine what was read in connection with what we actually heard here and see if we have all of the papers in the record that are in the group.

Mr. Richardson: I do not care, Mr. Chairman, to raise any question that the Captain has read his records correctly. I am perfectly willing to examine them but I do not raise any question but what he read them correctly and the record has all of them in, unless there is a point to the Congressman's point that if these were in an exhibit they would be a little easier of examination by the committee possibly as a unit than to follow it through the transcript.

The Vice Chairman: Well, probably it might be helpful to have them as exhibits so that we might have them together. You can have it mimeographed for us and furnish it to the committee. Without objection then that will be done.

Now, I would think that the reporter might want to refer to the part that the Captain read because there were a great many names and some Japanese words that most any reporter might have difficulty in getting exactly right, so, Captain will you please hand them over to counsel?

Captain Safford: Yes, sir.

The Vice Chairman: All right. Counsel will proceed. Senator Lucas: Mr. Chairman, may I ask one question of

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Witness Safford Questions by: Mr. Richardson 1 the Captain? 2 The Vice Chairman: Senator Lucas. 3 Senator Lucas: Are these some of your personal papers, 4 part of your personal files that you are reading from now? 5 Captain Safford: This is a part of my personal records 6 7 which I have made up in connection with these investigations from the beginning. 8 Senator Lucas: But do you care to have them at some time 9 returned to you? Captain Safford: I do not care to have them returned. 11 13 This is final, I hope. The Vice Chairman: We hope so, too. All right, counsel 13 may proceed. 14 Mr. Richardson: Our hopes synchronize on that last, Cap-15 16 tain. Two things came to my attention as you read. You have no 17 doubt that the Dutch stations did not get any execute of the 18 winds message. 19 Captain Safford: I have it from two sources now; an 20 officer who was there serving in liaison with the Dutch and 24 88 Mr. Foote. Mr. Richardson: And they both said they did not get it? 23

Captain Safford: And they both said they did not get it.

Mr. Richardson: And that station did get the original

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winds message?

Captain Safford: They knew about the original message, either got it direct or possibly the British sent it to them or we presumed they got it themselves.

Mr. Richardson: Now, a second thing that caught my attention was that in one of these documents that you wrote for your own reference you said that the message that came in had the single words"HIGASHI NISHI" and something else. Now, there is no doubt in your mind, is there, that the execute message you saw had the three groups of Japanese words that are contained in 2353, with the exception of the negative being applied to Russia?

Captain Safford: That is correct.

Mr. Richardson: All right.

Captain Safford: I was trying to clarify the question of misinterpretation of one of those compass directions which were the governing things.

The Vice Chairman: If counsel permits an interruption, the Captain states that in his written statement on page 12.

Captain Safford: Yes, sir.

Mr. Richardson: Now, Captain, in circular 2353 the emergency which gave birth to the desire for the new code. winds code, is recited as "the danger of outting off of our diploma tic relations and the cutting off of intennational

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communications", is it not? 2

Captain Safford: That is correct.

Mr. Richardson: That would mean, would it not, that the Japanese felt that there might come a time when because of the status of their diplomatic relations and their international communications that they would have to have some new way of communicating and to furnish that new way they invented this so-called "winds" code?

Captain Safford: This so-called "winds" code which was to be used there by their broadcasts and not by the commers cial telegraph companies.

Mr. Richardson: Well, now, it is a fact, is it not, Captain, that on the 4th of December all of the methods of communication were open to the Japanese that had been open at any time mince the 1st of Jamary 1941, were they not?

Captain Safford: That is correct.

Mr. Richardson: So there wasn't any reason on the basis of a loss of other methods of communication on December 4th to use this winds code at all, was there?

Captain Safford: There was no reason that you could account for but we had been listening for it from the 28th of November and we had made every effort to get 1t.

Mr. Richardson: Now, keeping in mind your testimony that the message which Lieutenant Kramer brought to you was in

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Witness Safford

Questions by: Mr. Richardson

Japanese and in that message, in the middle of that message were the phrases in Japanese which are used as 1, 2 and 3 and mentioned in circular 2353, keeping that in mind can you point to any record then in existence of which either you or Kramer had any knowledge that interpreted or translated those words as meaning war?

Captain Safford: War was the meaning that we gave it and war was what appeared in the translation, whether justified or not.

Mr. Richardson: All right. Except for the written words that Lieutenant Kramer put on this dispatch there is no known writing emanating from Japan or any other source at that time that puts the interpretation on the language "HIGASHI NO KAZEAME" as meaning war with the United States, is there?

Captain Safford: If that word had appeared alone it might have merely meant the breaking off of diplomatic relations, they might have meant nothing else.

Mr. Richardson: Now, Captain, you pay attention to my question. I want to find out whether you can put your finger on any existing authority that up to the time you saw the message interpreted the phrase "HIGASHI NO KAZEAME" to mean war with the United States?

Now, let me carry it further. The message from the

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Witness Safford

Questions by: Mr. Richardson

Commander of the Asiatic; Fleet does not so say, does it?

Captain Safford: Not for "Higashi", and so forth.

Mr. Richardson: And the message 2353 dres not so say, does it?

Captain Safford: No.

Mr. Richardson: And you did not have the Foote or Thorp messages available at eight o'clock on the morning of December 4th, did you?

Captain Safford: That is correct.

Mr. Richardson: So that so far as you know the definition of those words that appeared on that message that morning was the invention of Lieutenant Kramer?

Captain Safford: I would not call it that,

Mr. Richardson: Well, it was the act of Lieutenant Kramer.

Captain Safford: I would say that all the higher author-

Mr. Richardson: Now, wait a minute, I am speaking about this specific message when it was brought to you by Lieutenant Kramer and I want to know what authority he had, if you know, for translating the phrase "HIGASHI NO KAZEAME" as meaning war with the United States?

Captain Safford: I do not know now what authority he

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Questions by: Mr. Richardson

had for using those words. 2

> Mr. Richardson: All right. And it was the first time in your whole life up to that point that you had ever seen the word "War" used as a part of the definition of the words "HIGASHI NO KAZEAME"?

Captain Safford: In written form, correct.

Mr. Richardson: That is right. But when this yellow sheet was brought to you with Lieutenant Kramer's notation on it he had written out, as I understand it, "War with the United States" in English?

Captain Safford: In English.

Mr. Richardson: Are you sure about that, Captain? Cap tain Safford: As sure as I can be about anything when I first recorded it in writing at the end of two years,

Mr. Richardson: Well, now, was that point, Captain, one of the things which you said was very hazy in your mind when you commenced to remembering in the fall of 1943 under your testimony that I have read to you? Was that one of the points that was very hazy in your mind, as to just what that interpretation of Lieutenant Kramer read?

Captain Safford: I wanted verification of my memory that he used the actual word "War." That was the only word that I could remember.

Mr. Richardson: But you remembered the word "war"?

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Captain Safford: Rather than merely the weeker form, "Relations in danger."

Mr. Richardson: Well, the form "relations in danger" would be a good deal weaker, wouldn't it, Captain?

Captain Safford: It would be very much weaker.

Mr. Richardson: It would be right along the line of the various messages that had been going back and forth for several days, wouldn't it?

Captain Safford: That is correct, as far as the wording is concerned.

Mr. Richardson: You knew that the Japanese-United States relations were in danger?

Captain Safford: We had known that for three months.

Mr. Richardson: Now, after you saw the message, the yellow message that had the written words on it from Lieuten-ant Kramer you sent that to Admiral Noyes?

Captain Safford: I sent it up to Admiral Noyes exactly as it was.

Mr. Richardson: And who took it up to Admiral Noyes?

Captain Safford: One of the officers serving under me
and I cannot be certain who it was. It was probably Lieutenant Howes.

Mr. Richardson; Lieutenant who? Captain Safford: Howes.

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Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: Spell it.

Captain Safford: H-o-w-e-s. The natural presumption would be that Kramer or Morey had taken it up there but both of them said they did not and I should think their memory on that is better than mine.

Mr. Richardson: Then your idea as to who took it up is just a guess on your part at this time?

Captain Safford: I stated in my written statement it was taken up by one of the officers serving under me, I did not take it up myself, and I received a report from him "Message delivered. "

Mr. Richardson: But you have no recollection what officer it was?

Captain Safford: I cannot be certain, which of about five officers who might have taken it up actually took it up.

Mr. Richardson: Now, give us the names of the five officers, one of whom must have taken it up.

Captain Safford: From memory it was Howes -- I can give you the initials later -- Peterson, Densford, Clark or White, P. R. White, Paul R. White.

Their names and present stations are:

Commander Robert L. Densford, U.S.N., Staff, Commanderin-Chief, Pacific Fleet, headquarters Pearl Harbor, Hawaiian Islands.

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Witness Safford

Questions by: Mr. Richardson

Commander William C. Howes, USNR, 142 Southwest 17th Crurt, Miami, Florida. I believe that he has been demobilized.

Lieutenant Commander Frederick A. Peterson, Jr., USNR, Southborough, Massachusetts. He has also been demobilized.

Commander C. F. Clark, USNR, Pearl Harbor, T.H.

And finally Lieutenant Commander Paul 7. White, USNR, who is on duty in Naval Communications in Washington.

Mr. Murphy: Mr. Chairman, as I understand it this was a list of the possible persons who might have been the one who took the paper to Admiral Noyes.

Captain Safford: That is correct.

The Vice Chairman: Well, he said, as I understood him, one of those named would have.

Captain Safford: One of those named.

The Vice Chairman: Would have had to have taken it to Admiral Noyes?

Captain Safford: Yes

The Vice Chairman: All right.

Mr. Richardson: One thing further I neglected to call to your attention in circular 2353 and that is the notation toward the end, "When this is heard, please destroy all code papers."

Captain Safford: That is correct.

Mr. Richardson: You are familiar with that?

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Mr. Richardson: Now, as a matter of fact, Captain, before this message was received there had been a series of messages sent out by the Japanese by other methods of communication all over the world directing the destruction of codes?
Captain Safford: That is correct.

Captain Safford: Very definitely; I was familiar with

Mr. Richardson: So there wasn't any reason to use this message on December 4th for that purpose either, was there?

Captain Safford: Not for just the destruction of codes.

Mr. Richardson: I see.

Mr. Gearhart: But there was a purpose indicated by you in your original statement, was there not?

Captain Safford: That is correct.

Mr. Gearhart: The British had destroyed their codes.

There were no Japanese codes in London. This is the only way
they had of informing the Japanese at London that something was
imminent?

Captain Safford: That is correct, and the same situation existed at Singapore and Hong Kong.

Mr. Richardson: I would like at this point, in view of the interrogation of the Congressman, to advise the committee that under date of January 31, 1946 we have a memorandum from the War Department reading as follows:

Witness Safford

Questions by: Mr. Richardson

"Pursuant to your request the War Department has made inquiry of the British concerning the number of coded messages sent by the Japanese representatives in London subsequent to December 2, 1941.

messages were sent by the Japanese representatives in

London on the 3rd of De cember 1941 and one coded message on the 5th of December 1941 and one coded message sent on the 6th of December 1941 and all four messages were sent on the code system known as BA-KV*

indicating that coded messages were proceeding to England both before and after December 4th.

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Witness Safford

Questions by: Mr. Richardson

Mr. Murphy: Mr. Chairman, may we request that we have produced the memorandum which the witness acquired two weeks ago? If there was information made available to him two weeks, I think it should be produced at this time.

Mr. Gearhart: May I inquire of counsel? I am interested in it very, very much. If that is true, as reported by the British, it merely means the British Ambassador had violated the instructions and had not destroyed his codes; isn't that right?

Mr. Richardson: I am not going into the implication. Captain Safford: May I explain that?

There were two systems that were exempt from destruction. One was PA-K2, and the other was LA, neither of which were considered by ourselves as secret, and we presumed the Japanese did not consider them secret.

Mr. Richardson: The only point, Captain, involved in it would be there was still a method open to the Japanese to communicate with the British outside of the winds code.

Captain Safford: Yes, but not to communicate secretly. I used that word "secretly" in my statement.

Mr. Gearhart: That is the point.

Senator Lucas: Does the counsel now know what messages went from Japan?

I read everything that the War Department Mr. Richardson:

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Witness Safford Que gave us.

Questions by: Mr. Richardson

What is the system known as PA-K2?

Captain Safford: That is a minor system which had bean in effect for a very long time, and was used for matters of negligible importance, but they presumably wanted to keep up with the newspapers, minor money matters, visas, things like that.

I believe there were only three or four PA-K2 message that had ever been submitted in evidence before this investigation and that were sent by Pearl Harbor after Pearl Harbor had destroyed its J-19 system, and I do not -- I won't go into that.

Mr. Richardson: Are you sure, Captain, that you are correct when you say thati important messages were not sent in this code PA-K2?

Captain Safford: Until after Pearl Harbor had destroyed its J-19 system, which really had some security.

Mr. Richardson: Let me read you from Exhibit 2, page 29, which is a message that went from Honolulu to Tokyo on December 6, 1941. It is No. 254.

"1. On the evening of the 5th, among the battleships which entered port were - - - and one submarine tender. The following ships were observed at anchor on the 6th:

"9 battle ships, 3 light cruisers, 3 submarine tenders,

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Witness Safford Questions by: Mr. Richardson 17 destroyers, and in addition there were 4 light cruisers, 2 destroyers lying at docks (the heavy cruisers and air plane carriers have all left).

"2. It appears that no air reconnaissance is being conducted by the Fleet air arm."

That would be a rather important message, would it not, Captain?

Captain Safford: That is correct, and that message was sent after the Japanese Consulste had destroyed its J-19 system.

Mr. Richardson: Well; there was in existence, between Honolulu and Tokyo, after the winds code had been promulgated and after the codes had been directed to be destroyed, a method of communicating under PA-K2 that took care of important messages, was there not?

Captain Safford: There was not.

Mr. Richardson: There was not?

Captain Safford: PA-K2 was specifically exempt from destruction by the orders telling them to destroy the other things.

Mr. Richardson: All right. It was in existence, wasn't it?

Captain Safford: There was a code of a very low security substantially no better than plain language, which

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Witness Safford Questions by: Mr. Richardson they had, and could use.

Mr. Richardson; Just forget the low security for a moment. The code was in existence, wasn't it?

Captain Safford: That is correct.

Mr. Richardson: And it was in use, was it not?

Captain Safford: It was in use by one man.

Mr. Richardson: Well, it was in use, was it not?

Captain Safford: It was in use at one station.

Mr. Richardson: Well, we only live once. It was in use by one station, then?

Captain Safford: Correct.

Mr. Richardson: And that man at that one station could send in that code to Tokyo?

Captain Safford: Correct.

Mr. Richardson: And he could send important messages to Tokyo?

Captain Safford: That is correct.

Mr. Richardson: Was there any reason that London could not send under that code to Tokyo?

Captain Safford: But Tokyo was not sending anything important to London in that code, or to Honolulu.

Mr. Richardson: Let us not go into that. Let us inquire whether it was possible for London to use that code for communicating with Tokyo.

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Witness Safford

Questions by: Mr. Richardson

Captain Safford: It was physically possible.

Mr. Richardson: All right.

Now, do you see anything particularly exciting in a code that only broadcasts the weather message? Is not that a very low degree of secret information?

Captain Safford: That was set up in what the Japanese considered one of the high security systems, their second level of security.

Mr. Richardson: Then it is your idea is it, that the Japanese, in promulgating a weather report, a daily weather report in the ordinary news broadcast, was putting it into their most difficult code in order to convince them that it was an ordinary weather broadcast? Is that your testimony?

Captain Safford: Not at all.

Mr. Richardson: Well, wherein do not we agree?

Captain Safford: I do not follow you, that is all,

sir.

Mr. Richardson: What I am interested in is this.

It was my idea of the winds code, Captain, that it
was to be used to deceive other nations, because the broadcasting of weather information in a news broadcast was relatively
unimportant, and by injecting certain key words in that message you could use the humble method of a news broadcast

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Witness Safford

Questions by: Mr. Richardson

to convey very important information.

Now, was that right?

Captain Safford: That is not correct.

Mr. Richardson: Well, all right. Give me your explanation.

Captain Safford: The Japanese Government had been sending these news broadcasts to its stations overseas, diplomatic posts, for several months. They were always copied; they knew they could be heard, and therefore if they wanted to send a message not through any commercial channels and be certain it would not be held up by censorship or delayed purposely, as sometimes happens, to be absolutely certain of it, they could include a war warning message or anything else of that nature in one of their own news broadcasts, which they controlled.

They could not afford to send it out in plain language, that "we are going to have war," thy had to give it a some-what disguised form which could be understood when received.

Mr. Richardson: Why would they put it in a weather message?

Captain Safford: Because that is merely the form that they happened to choose for it. If we had merely the word "higashi" and the rest of it, and had not had the translation of these messages, we would not have had the slightest idea

WARD & PAUL, WASHINGTON, D

Witness Safford Questions by: Mr. Richardson what they were talking about.

Mr. Murphy: Mr. Chairman, the witness has said this could be translated easily, as I understand it, the one sent from Honolulu to Tokyo. I think it is important, since it says "it appears that no air reconnaissance is being conducted by the Fleet air arm."

I do not see why it could not have been translated before December 8. It is of the utmost importance.

Mr. Richardson: I did not get your point.

Mr. Murphy: It was sent out on the 6th, and not translated until the 8th, and it says, "it appears that no air reconnaissance is being conducted by the Fleet air arm."

That was an important message from Honolulu to Tokyo, so why was not it translated before the 8th?

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Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: Well, my associate suggests to me that is an Army translation.

Mr. Murphy: He said it is easy. It is one of the most important messages.

Mr. Richardson: Well, you can take it up with him. Now, Captain, will you turn --

Senator Lucas: Mr. Chairman, I suggest that we let counsel proceed in order so as not to interrupt his train of thought.

The Vice Chairman: Yes, I think it is better if counsel proceeds.

Go ahead, counsel.

Mr. Richardson: Captain, let me call your attention to Decument No. 4 -- 3(d) of Exhibit 142.

Captain Safford: That is what?

Mr. Richardson: It is 3(d).

Captain Safford: That is correct.

Mr. Richardson: Entitled "Document No. 4."

Captain Safford: Yes, sir.

Mr. Richardson: Now, referring back to 2353, what did West Wind Clear mean when translated under the Japanese phrase, according to 2353?

Captain Safford: According to the full and correct translation of 2353, it meant Japan-British relations in-

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Witness Safford Questions by: Mr. Richardson cluding invasion of Thailand and occupation of Malay and the Netherlands East Indies.

Mr. Richardson: Now, turning to document 4, that you have there, have you not, a full admitted execute of the winds message 2353, don't you?

Captain Safford: That is correct.

Mr. Richardson: And the words "West Winds Clear" are repeated twice?

Captain Safford: That is correct.

Mr. Richardson: At the end of the document?

Captain Safford: It would appear they were repeated twice in the middle of the document rather than the end.

Mr. Richardson: Did that message "Document No. 4" did that look anything like the message that you saw on the morning of December 7?

Captan Safford: No, sir, because this was a transcript of a voice broadcast, and what I saw was the Morse broadcast.

Mr. Richardson: Then the only actual execute that we all agree was sent, didn't look anything like the message that Kramer handed to you on the morning of December 4, did it?

Captain Safford: That is correct.

Mr. Richardson: Now, you have been very much concerned about this wind execute, haven't you, Captain, for a number