

**Register
Federal**

FRIDAY, MARCH 11, 1977

PART II



**DEPARTMENT OF
LABOR**

**Employment and Training
Administration**

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**FEDERAL ASSISTANCE
UNDER THE
COMPREHENSIVE
EMPLOYMENT AND
TRAINING ACT**

**Financial Assistance and Request For
Preapplication**

DEPARTMENT OF LABOR

Employment and Training Administration
FEDERAL ASSISTANCE UNDER THE COMPREHENSIVE EMPLOYMENT AND TRAINING ACT

Financial Assistance and Request for
 Preapplication

Title I. Pursuant to Title I of the Comprehensive Employment and Training Act of 1973 (hereafter referred to as the Act), the Secretary of Labor provides comprehensive manpower services throughout the United States with Fiscal Year 1978 funds. The program includes the development and creation of job opportunities and the training, education, and other services needed to enable individuals to secure and retain employment at their maximum capacity. This comprehensive manpower program will be carried out by qualified prime sponsors through financial assistance to be made available by the Secretary of Labor. Although many prime sponsors were designated in Fiscal Year 1977, each year eligible existing prime sponsors and those wishing to become prime sponsors must submit a preapplication for Federal assistance to be considered for the subsequent fiscal year funds. Eligibility criteria and procedures for preapplication are described below:

Prime Sponsors. In order to be eligible to receive financial assistance under Title I of the Act, a prime sponsor must be:

- (a) A State;
- (b) A unit of a general local government which has a population of 100,000 or more on the basis of the most satisfactory current data available to the Secretary;
- (c) Any combination of units of general local government which includes any units of general local government qualifying under paragraph (b) above;
- (d) Any unit of general local government or any combination of such units, without regard to population, which, in exceptional circumstances, is determined by the Secretary of Labor;
 - (1) (i) To serve a substantial portion of a functioning labor market area, or
 - (ii) to be a rural area having a high level of unemployment; and
 - (2) To have demonstrated (i) that it has the capability for adequately carrying out programs under this Act, and (ii) that there is a special need for services within the area to be served, and (iii) that it will carry out such programs and services in such areas as effectively as the State; or
- (e) A limited number of existing concentrated employment program grantees serving rural areas having a high level of unemployment which the Secretary determines have demonstrated special capabilities for carrying out programs in such areas and are designated by him for that purpose.

Procedures for applying for prime sponsorship. In accordance with section 102(c) (1) of the Act, and in order to be considered eligible for prime sponsorship under Title I, the Secretary of Labor

hereby informs all potentially eligible applicants that they must submit a preapplication for Federal assistance no later than April 1, 1977.

The preapplication must be submitted to the appropriate Regional Administrator, Employment and Training Administration (ETA), the Governor, and appropriate State and areawide clearinghouses (see OMB Circular A-95 as revised and published in the FEDERAL REGISTER on January 13, 1976).

The preapplication will consist of Standard Form SF 424, prescribed by Federal Management Circular No. 74-7, as revised and published in the FEDERAL REGISTER on November 21, 1975, with an attachment giving the following information:

- (a) Population of area(s) to be served;
- (b) Certification that prime sponsor applicant, except for CEP and consortia prime sponsor applicants, has the required general government authority, as defined in § 94.4 of the June 25, 1976, regulations;
- (c) Name of any ineligible unit of general local government, located within the prime sponsor applicant's jurisdiction, that has informed the prime sponsor applicant that it will not be participating in the prime sponsor applicant's plan;
- (d) Certification that the development of the applicant's plan will be in accordance with the requirements of the Act and regulations;
- (e) The signature of the chief elected official(s) or chief executive officer(s), as appropriate, of each applicant. For a newly formed consortium, and for a consortium in which one or more members have joined or withdrawn, the signature of the chief elected official or chief executive officer of each consortium member is required. In the case of an established consortium with no membership changes, the preapplication may, with the consent of all consortium members, be signed by the consortium's chief executive officer.

Special procedures for (a) Independently eligible applicants. Attachment 1 is a list of those jurisdictions which the Secretary, on the basis of the most satisfactory current data, has determined may be eligible to be prime sponsors under section 102(a) (1) and (2), and section 103(a) (2) (D) of the Act. The list includes:

- (1) All units of general local government which have a population of 100,000 or more according to a 1975 update of the 1970 official census as published by the U.S. Bureau of the Census; (2) those units of general local government which had a population of 100,000 or more according to the 1974 census update but which have fallen below 100,000 as of the latest census estimates; (3) all States; and, (4) Guam, the Virgin Islands, American Samoa and the Trust Territory of the Pacific Islands.

It is the intent of the Secretary that, effective with Fiscal Year 1978, a unit of general local government must fall below 100,000 in population for three years

consecutively, or below 90,000 in population for any one year, before being eliminated from the list of independently eligible applicants. This provision will be published as a proposed amendment to the CETA Title I regulations pursuant to appropriate rulemaking procedures including a period for public comment prior to the start of FY 1978.

(b) *Units of government which have less than 100,000 population desiring to be prime sponsors because of exceptional circumstances.* Any unit of general local government which does not have a population of 100,000, but wishes to be named a prime sponsor because of exceptional circumstances under the provisions of section 102(a) (4) should submit a preapplication according to procedures set forth above. In addition, the preapplication should include information relative to:

(1) The labor market area(s) in which the unit of general local government is located;

(2) The proportion of the labor market area population which resides within the jurisdiction of the unit of general local government;

(3) The Unit of general local government's administrative and organizational capability for adequately carrying out programs under the Act;

(4) The unit of general local government's ability to carry out the program as effectively as the State, e.g., past experience in operating multicomponent employment and training programs, effective linkages with community-based organizations and programs, administrative efficiency in terms of costs, and existence and effective operation of an Operational Planning Grant, a public service employment program or other employment and training related services; and

(5) The special need for services within the area to be served, e.g., a high proportion of groups within the population such as disadvantaged youth, offenders, high school dropouts, a high unemployment rate, substantial outmigration, or unique commuting problems.

(c) *Rural concentrated employment program grantees.* Any of the existing four Concentrated Employment Program (CEP) prime sponsors serving a rural area having a high level of unemployment and desiring to serve as a prime sponsor again should submit a preapplication according to the procedures set forth above. In addition, such a CEP must cite whatever special capabilities it has demonstrated in carrying out employment and training programs.

(d) *Consortia.* Combinations of units of general local government may form a consortium to plan and operate a comprehensive manpower program. The nature of consortia arrangements is set forth in detail in § 95.3(a) (3) of the regulations for Titles I and II of the Act, published in the FEDERAL REGISTER on June 25, 1976.

In order to encourage consortia which comprise substantial portions (e.g., 75 percent) of labor market areas, the Secretary may use up to 5 percent of

the funds available for Title I of the Act to provide additional funding for such consortia.

Consortia which do not serve such areas shall not be eligible for additional funds. Prior to making decisions concerning these funds, the Regional Administrator, ETA, shall consult with the Governors of the appropriate States and afford them an opportunity to make recommendations.

A consortium must submit a preapplication according to the procedures set forth above. In addition, each consortium shall submit a formal agreement including all items required by § 95.11(b) of the June 25, 1976, regulations to the Act to the appropriate Regional Administrator, ETA, by May 11, 1977. An established consortium which submitted a formal written agreement last year may attest in writing that the agreement is the same or specify amendments to the agreement. The formal agreement or attestation must be signed by the chief elected official or chief executive officer of each consortium member.

(e) *States applying for Special Grants to Governors.* In accordance with § 95.52 (b) (1) of the June 25, 1976, regulations to the Act, preapplication is required for Special Grants to Governors. Governors should submit a separate preapplication using the same procedures as for independently eligible applicants.

List of manpower regional offices. All preapplication information and consortia agreements (described above) must be submitted to the appropriate Regional Administrator, ETA. The names, addresses and areas of responsibility of the Regional Administrators are listed on Attachment No. 2.

Title II. Eligible Applicants. In order to be eligible to receive financial assistance under Title II of the Act, eligible applicants must be prime sponsors under Title I of the Act or Indian tribes on Federal and State reservations and must include areas of substantial unemployment, as defined in § 94.4(d) of the June 25, 1976, CETA regulations. All potentially eligible Title I prime sponsors which currently have Title II programs as well as those which believe they contain areas which should qualify them for Title II funds in Fiscal Year 1978 should submit a preapplication.

Procedures for submitting preapplications. In accordance with § 96.11 of the June 25, 1976, regulations, all potentially eligible applicants, including consortia formed under § 95.11(b) of the regulations, are hereby informed that they must submit a preapplication for Federal assistance no later than April 1, 1977. All eligible applicants, with the exception of Indian eligible applicants, shall follow all the procedures detailed herein for Title I prime sponsors.

Indians. Indian tribes on Federal and State reservations which contain areas of substantial unemployment, as specified in §§ 94.4(c) (2) and 94.4(c) and 96.41(c) of the June 25, 1976, regulations, are eligible for funding under Title II.

Eligible tribes which are currently Title II prime sponsors or feel they will be eligible for Title II funds in Fiscal Year 1978 should submit their preapplications to the Director, Division of Indian and Native American Programs, 601 D Street NW., Washington, D.C. 20213, in accordance with the procedures found in § 97.111 of the regulations published in the FEDERAL REGISTER on October 9, 1975, titled Special Federal Programs and Responsibilities under the Comprehensive Employment and Training Act (CETA) of 1973, as amended, Indian Manpower Programs.

Consortia. Consortia formed under Title I must also operate any Title II programs within the consortia's boundaries. Consortia may submit one agreement (see the consortia section under Title I procedures herein) covering programs funded under Titles I and II. As indicated in the Title I procedures for consortia, this agreement shall be submitted to the appropriate Regional Administrator by May 11, 1977.

IMPLEMENTATION SCHEDULE

Titles I and II grants for Fiscal Year 1978 will be executed by October 1, 1977. Revised Titles I and II regulations will be published initially about April 1, 1977, and in final form about June 1, 1977. Planning estimates will be released about June 1, 1977, for title I and about August 1, 1977, for title II. Prime sponsors will be expected to submit their final grant applications to the appropriate Regional Administrator by September 1, 1977. A more detailed CETA grant cycle schedule for Fiscal Year 1978 is found in the FEDERAL REGISTER of January 4, 1977.

LISTING OF JURISDICTIONS OF 100,000 OR MORE POPULATION FOR COMPREHENSIVE EMPLOYMENT AND TRAINING ACT OF 1973

ALABAMA	
Birmingham	Jefferson County
Huntsville	Mobile County
Mobile	Tuscaloosa County
Montgomery	Balance Alabama
Calhoun County	
ALASKA	
Municipality of Anchorage	Balance Alaska
ARIZONA	
Phoenix	Maricopa County
Tucson	Balance Arizona
ARKANSAS	
Little Rock	Balance Arkansas
Pulaski County	
CALIFORNIA	
Anaheim	San Francisco City/County
Berkeley	San Jose
Fremont	Santa Ana
Fresno	Stockton
Garden Grove	Sunnyvale
Glendale	Torrance
Huntington Beach	Alameda County
Long Beach	Butte County
Los Angeles	Contra Costa County
Oakland	Fresno County
Pasadena	Humboldt County
Riverside	Kern County
Sacramento	Los Angeles County
San Bernardino	Marin County
San Diego	

CALIFORNIA—CONTINUED

Merced County	Santa Barbara County
Monterey County	Santa Clara County
Orange County	Santa Cruz County
Riverside County	Solano County
Sacramento County	Sonoma County
San Bernardino County	Stanislaus County
San Diego County	Tulare County
San Joaquin County	Ventura County
San Luis Obispo County	Yolo County
San Mateo County	Balance California

COLORADO

Aurora	Boulder County
Colorado Springs	El Paso County
Denver City/County	Jefferson County
Lakewood	Larimer County
Pueblo	Weid County
Adams County	Balance Colorado
Arapahoe County	

CONNECTICUT

Bridgeport	Stamford City
Hartford City	Waterbury City
New Haven City	Balance Connecticut

DELAWARE

New Castle County	Balance Delaware
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DISTRICT OF COLUMBIA

FLORIDA

Ft. Lauderdale	Hillsborough County
Hialeah	Lee County
Hollywood	Leon County
Jacksonville City/Duval County	Manatee County
Miami	Okaloosa County
Orlando	Orange County
St. Petersburg	Palm Beach County
Tampa	Pasco County
Alachua County	Pinellas County
Brevard County	Polk County
Broward County	Sarasota County
Dade County	Seminole County
Escambia County	Volusia County
	Balance Florida

GEORGIA

Atlanta	Cobb County
Columbus City/Muscogee County	De Kalb County
Macon	Fulton County
Savannah	Gwinnett County
Clayton County	Richmond County
	Balance Georgia

HAWAII

Honolulu City/Honolulu County	Balance Hawaii
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IDAHO

Ada County	Balance Idaho
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ILLINOIS

Chicago	La Salle County
Peoria	Lake County
Rockford	Macon County
Champaign County	Madison County
Cook County	McHenry County
Du Page County	McLean County
Kane County	Rock Island County
Sangamon County	Will County
St. Clair County	Balance Illinois
Tazewell County	

INDIANA

Evansville	Indianapolis City/Marion County
Ft. Wayne	South Bend
Gary	St. Joseph County
Hammond	Madison County
Allen County	Tiptecan County
Delaware County	Vigo County
Elkhart County	Balance Indiana
LaPorte County	
Lake County	

NOTICES

IOWA

Cedar Rapids
Des Moines
Black Hawk County

Scott County
Woodbury County
Balance Iowa

KANSAS

Kansas City
Topeka
Wichita

Johnson County
Balance Kansas

KENTUCKY

Lexington City/
Fayette County
Louisville

Jefferson County
Kenton County
Balance Kentucky

LOUISIANA

Baton Rouge City/
E. Baton Rouge
Parish
New Orleans City/
Parish
Shreveport

Calcasieu Parish
Jefferson Parish
Lafayette Parish
Ouachita Parish
Rapides Parish
Balance Louisiana

MAINE

Cumberland County
Kennebec County
Penobscot County

York County
Balance Maine

MARYLAND

Baltimore
Anne Arundel
County
Baltimore County
Hartford County

Montgomery County
Prince Georges
County
Washington County
Balance Maryland

MASSACHUSETTS

Boston City
Cambridge
Fall River
New Bedford

Springfield
Worcester
Balance
Massachusetts

MICHIGAN

Ann Arbor
Detroit
Flint
Grand Rapids
Lansing
Livonia
Warren
Bay County
Berrien County
Oakland County
Ottawa County
Saginaw County
St. Clair County

Calhoun County
Genesee County
Ingham County
Jackson County
Kalamazoo County
Kent County
Macomb County
Monroe County
Muskegon County
Washtenaw County
Wayne County
Balance Michigan

MINNESOTA

Minneapolis
St. Paul
Anoka County
Dakota County
Hennepin County

Ramsey County
St. Louis County
Sterns County
Washington County
Balance Minnesota

MISSISSIPPI

Jackson
Harrison County

Jackson County
Balance Mississippi

MISSOURI

Independence City
Kansas City
Springfield City
St. Louis City
Jackson County

Jefferson County
St. Charles County
St. Louis County
Balance Missouri

MONTANA

Lincoln City
Omaha City

Balance Nebraska

NEBRASKA

Las Vegas City
Clark County

Washoe County
Balance Nevada

NEW HAMPSHIRE

Hillsborough County
Rockingham County

Balance New
Hampshire

NEW JERSEY

Elizabeth City
Jersey City
Newark City
Paterson City
Trenton City
Atlantic County
Bergen County
Burlington County
Camden County
Cumberland County
Essex County

Gloucester County
Hudson County
Mercer County
Middlesex County
Monmouth County
Morris County
Ocean County
Passaic County
Somerset County
Union County
Balance New Jersey

NEW MEXICO

Albuquerque City

Balance New Mexico

NEW YORK

Albany City
Buffalo City
New York City
Rochester City
Syracuse City
Town of Amherst
Town of Cheek-
towaga
Town of Tonawanda
Yonkers City
Town of Babylon
Town of Huntington
Town of Islip
Town of Smithtown
Town of Hempstead
North Hempstead
Township
Oyster Bay Township
Albany County
Broome County

Chautauqua County
Chemung County
Dutchess County
Erie County
Monroe County
Niagara County
Oneida County
Onondaga County
Orange County
Oswego County
Rensselaer County
Rockland County
Saratoga County
Schenectady County
St. Lawrence
County
Steuben County
Ulster County
Westchester County
Balance New York

NORTH CAROLINA

Charlotte City
Greensboro
Durham County
Durham City
Raleigh City
Winston-Salem City
Buncombe County

Cumberland County
Gaston County
Guilford County
Onslow County
Wake County
Balance North
Carolina

NORTH DAKOTA

Akron City
Canton City
Cincinnati City
Cleveland City
Columbus City
Dayton City
Parma City¹
Toledo City
Youngstown City
Allen County
Ashtabula County
Butler County
Clark County
Clermont County
Columbiana County
Cuyahoga County

OHIO

Franklin County
Greene County
Hamilton County
Lake County
Licking County
Lorain County
Lucas County
Mahoning County
Montgomery County
Portage County
Richland County
Stark County
Summitt County
Trumbull County
Wood County
Balance Ohio

OKLAHOMA

Oklahoma City
Tulsa City
Cleveland County¹

Comanche County
Oklahoma County
Balance Oklahoma

OREGON

Portland City
Clackamas County
Jackson County
Lane County

Marion County
Multnomah County
Washington County
Balance Oregon

PENNSYLVANIA

Allentown City
Erie City
Philadelphia City/
County

Pittsburgh City
Allegheny County
Beaver County
Berks County

PENNSYLVANIA—Continued

Blair County
Bucks County
Butler County
Cambria County
Centre County
Chester County
Cumberland County
Dauphin County
Delaware County
Erie County
Fayette County
Franklin County
Lackawanna County
Lancaster County
Lawrence County
Lebanon County

Lehigh County
Luzerne County
Lycoming County
Mercer County
Montgomery County
Northampton
County
Northumberland
County
Schuylkill County
Washington County
Westmoreland
County
York County
Balance
Pennsylvania

PUERTO RICO

Bayamon Mun.
Caguas Mun.
Carolina Mun.

Ponce Municipio
San Juan Mun.
Balance Puerto Rico

RHODE ISLAND

Providence City

Balance Rhode
Island

SOUTH CAROLINA

Columbia City
Anderson County
Charleston County
Greenville County
Lexington County

Richland County
Spartanburg
County
Balance South
Carolina

SOUTH DAKOTA

TENNESSEE

Chattanooga City
Knoxville City
Memphis City
Nashville City/
Davidson County

Hamilton County
Knox County
Sullivan County
Balance Tennessee

TEXAS

Amarillo City
Arlington
Austin City
Beaumont City
Corpus Christi City
Dallas City
El Paso City
Fort Worth City
Garland
Houston City
Irving
Lubbock City
Pasadena¹
San Antonio City
Bell County

Bexar County
Brazoria County
Cameron County
Dallas County
Galveston County
Harris County
Hidalgo County
Jefferson County
McLennan County
Smith County
Tarrant County
Taylor County
Wichita County
Balance Texas

UTAH

Salt Lake City
Davis County
Salt Lake County

Utah County
Weber County
Balance Utah

VERMONT

Chittenden County

Balance Vermont

VIRGINIA

Alexandria City
Chesapeake City
Hampton City
Newport News City
Norfolk City
Portsmouth City
Richmond City
Roanoke City

Virginia Beach City
Arlington County
Chesterfield County
Fairfax County
Henrico County
Prince William
County
Balance Virginia

WASHINGTON

Seattle City
Spokane City
Tacoma City
Olk County
King County
Kitsap County

Pierce County
Snohomish County
Spokane County
Yakima County
Balance Washington

¹ Population below 100,000 for the first time.

NOTICES

13097

WEST VIRGINIA		WYOMING
Cabell County	Balance West Virginia	VIRGIN ISLANDS
Kanawha County		AMERICAN SAMOA
WISCONSIN		GUAM
Madison City	Outagamie County	TRUST TERRITORIES
Milwaukee City	Racine County	NOTE.—Any jurisdiction whose name does not appear on this list which has documentation to support the fact that its population has increased to 100,000 should submit such documentation, along with a preapplication, according to the procedures contained herein.
Brown County	Rock County	
Dane County	Sheboygan County¹	
Kenosha County	Waukesha County	
Marathon County	Winnebago County	
Milwaukee County	Balance Wisconsin	

REGIONAL ADMINISTRATORS—EMPLOYMENT AND TRAINING ADMINISTRATION

REGION I. BOSTON

<i>Location</i>	<i>States in region</i>
Luis Sepulveda, Regional Administrator, ETA, U.S. Department of Labor, J. F. Kennedy Bldg., room 1703, Boston, Mass. 02203.	Connecticut, Maine, Massachusetts, Vermont, Rhode Island, New Hampshire.

REGION II. NEW YORK

Lawrence W. Rogers, Regional Administrator, ETA, U.S. Department of Labor, 1515 Broadway, room 3713, New York, N.Y. 10036.	New York, Puerto Rico, New Jersey, Virgin Islands, Canal Zone.
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REGION III. PHILADELPHIA

J. Terrell Whitsitt, Regional Administrator, ETA, U.S. Department of Labor, P.O. Box 8796, Philadelphia, Pa. 19101.	Delaware, Virginia, Maryland, Pennsylvania, West Virginia, District of Columbia.
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REGION IV. ATLANTA

Julian O. Colquitt, Regional Administrator, ETA, U.S. Department of Labor, 1371 Peachtree St. NE, room 405, Atlanta, Ga. 30309.	Alabama, Florida, Georgia, Mississippi, Kentucky, North Carolina, South Carolina, Tennessee.
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REGION V. CHICAGO

Richard C. Gilliland, Regional Administrator, ETA, U.S. Department of Labor, 230 South Dearborn St., 6th floor, Chicago, Ill. 60604.	Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin.
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REGION VI. DALLAS

William S. Harris, Regional Administrator, ETA, U.S. Department of Labor, 555 Griffin Square Bldg., room 316, Dallas, Tex. 75202.	Arkansas, Oklahoma, Texas, Louisiana, New Mexico.
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REGION VII. KANSAS CITY

Richard G. Miskimins, Regional Administrator, ETA, U.S. Department of Labor, 911 Walnut St., Federal Bldg., Kansas City, Mo. 64106.	Iowa, Missouri, Nebraska, Kansas.
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REGION VIII. DENVER

Robert J. Brown, Regional Administrator, ETA, U.S. Department of Labor, 16122 Federal Office Bldg., 1961 Stout St., Denver, Colo. 80202.	Colorado, Utah, South Dakota, North Dakota, Montana, Wyoming.
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REGION IX. SAN FRANCISCO

William J. Haltigan, Regional Administrator, ETA, U.S. Department of Labor, Box 36084, San Francisco, Calif. 94102.	Arizona, California, Hawaii, Nevada, Guam, American Samoa, Trust Territory of the Pacific Islands.
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REGION X. SEATTLE

Jess C. Ramaker, Regional Administrator, ETA, U.S. Department of Labor, Federal Office Bldg., room 1145, 909 1st Avenue, Seattle, Wash. 98174.	Alaska, Idaho, Oregon, Washington.
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Signed at Washington, D.C. this 3d day of March 1977.

PIERCE A. QUINLAN,
Administrator, Office of Comprehensive
Employment Development.

[FR Doc. 77-7315 Filed 3-10-77; 8:45 am]