

Doc. 4011 Evid.

(22)

Folder 3

INTERNATIONAL PROSECUTION SECTION

Doc. No. 4011

24 May 1946

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Copy, Notes of Discussion Mussolini-Ribbentrop

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PERSONS IMPLICATED:

CRIMES TO WHICH DOCUMENT APPLICABLE: Relations with US, GE, and USSR

SUMMARY OF RELEVANT POINTS (with page references):

1. On page 46349, the imminent signing of the tripartite pact between Germany and Italy is discussed.

2. If Russia's reaction to this pact should be unfavorable, she will be offered, in "a friendly way," a free hand in the fulfillment of all her wishes southwards toward the Persian Gulf or India. (Page 46350)

3. It is hoped to sign the secret military pact with Spain simultaneously with the pact with Japan. Germany, Italy and Spain should put pressure on President Zalazar of Portugal to turn away from England, towards Spain. (p. 46350) Turkey is discussed on page 46351.

4. In connection with the probable strong reaction to the Japanese pact in America, RIBBENTROP informed MUSSOLINI of some documents which could be used against the prestige of Roosevelt. MUSSOLINI agreed that Roosevelt was responsible for the war and agreed also to use the documents. However, he doubted that the publication could prevent the election of Roosevelt. It was then decided to break off the diplomatic relations with America, in a demonstrative manner after the publication of the documents. (Page 46351 and 46352)

5. The rest of the meeting was devoted to the strategic situation in North Africa.

/A.N.: Nurnberg Doc. #1865-PS./

Analyst: C.W.J.Phelps

Doc. No. 4011

500-11/1

Aufzeichnung

Über die Unterredung des Reichsaußenministers mit dem Duca  
in Anwesenheit des Grafen Ciano und des Botschafters von  
Hochhausen am 22. September 1940 im Palazzo Venezia.

Der RAM informierte zunächst den Duca über den Stand  
der japanischen Verhandlungen durch Vorlesung der wesentlichen  
Stellen des Telegramms Nr. 984 vom 21. September aus Tokio.  
Der Duca erklärte sich auch seinerseits einverstanden, daß  
die unter 1 a in den vorstehenden Telegramm erwähnte frühere  
Formulierung einer Erwähnung des erwähnten Angriffs-  
Sudostalpen würde, und war auch mit der in 1 b für die Riffel-  
IV vorgeschlagenen Fassung über die Bildung technischer  
Kommissionen zur Durchführung des Paktes einverstanden. Der  
RAM gab der Hoffnung Ausdruck, daß es noch möglich sei bis  
Ende der kommenden Woche möglich sein würde, den Italien-Pakt  
gemeinsam mit Graf Ciano in Berlin zu unterzeichnen. Der RAM  
gab seine Absicht bekannt, Giovanni Sumer zu der Unterzeichnung  
als Gast einzuladen, ebenso wie die Vertreter der mit der  
Achse befreundeten Länder Ungarn, Bulgarien und Rumänien.  
Graf Ciano hoffte zunächst Bedenken, ob bei Anwesenheit  
eines spanischen Vertreters wegen der bekannt antikommunistischen  
Einstellung der spanischen Regierung der Italien-  
Pakt mit Japan nicht eine zu stark antikommunistische Tendenz  
einhielte, ließ jedoch diese Bedenken wieder fallen, als der  
Duca seinerseits die Anwesenheit Servano Simeoni wegen der  
durch unterstrichenen Solidarität Spaniens mit dem Kreis

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Unterschriften für recht nützlich erachtete.

Auf eine Anregung des RAM hin wurde Beßiglich Sudost in  
Ansicht genommen, zunächst dessen Beziehung auf den Japangangt  
abzuwerten und im gegebenen Augenblick Sudost in freundschaft-  
licher Form freie Hand in Bezug auf die Erfüllung etwaiger  
Wünsche in der südlichen Richtung des Persischen Golfs oder  
Indiens anzubieten.

Weiterhin informierte der RAM den Duca über den Stand der  
spanischen Verhandlungen. Er erzählte, daß ein besonderer  
Abgesandter zu Servano Sumer, der sich augenblicklich in Brüssel  
aufhielt, entsandt worden sei, um ihn gleich der am Freitag  
zwischen dem RAM und dem Duca getroffenen Vereinbarung mitzu-  
teilen, daß Deutschland und Italien mit Spanien einen Italien-  
pakt abschließen wollten. Servano Sumer würde diesen Punkt  
schriftlich dem Generalissimus Franco durch einen Sonderkuriер  
mitteilen, und es sei zu hoffen, daß gegen Mitte der Woche eine  
Antwort Franco eintreffe, möglicherweise zum gleichen  
Zeitpunkt wie den Japangangt auch geheim das Militärbündnis  
mit Spanien würde unterzeichnet werden können.

In Zusammenhang mit der spanischen Frage kam das Gespräch  
auch auf Portugal und einen von Deutschland, Italien und Spanien  
gemeinsam auszuführenden Punkt auf den Präsidenten Salazar in  
Sinne einer Abkehr von England und einer Annäherung an Spanien.  
Ohne sich eindeutig dazu zu äußern, sah der Duca durch diesen  
Gedanken reagieren. Er betonte dabei die Freiheit Portugals,  
von Spanien aufgezogen zu werden.

Auf Grund des Telegramms gr. 1280 aus Tokio vom 21. 9.  
1940 über die Vorschläge der Türkei wegen eines russisch-

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türkischen Putschverschwörungen mit Garantie der türkischen Grenzen durch die Sowjetunion gegen Freie Durchfahrt der Meerenge entwickelte sich ein Heimungsvertrag über die Stellung der Achse zu den Türken. Der RAM wiederholte seine bereits in früheren Unterhaltungen gehärtete Ansicht, daß ein so enges Einvernehmen zwischen der Türkei und Russland nicht im Interesse der Achsenmächte gelagen sei, betonte allerdings, daß die Gefahr eines solchen engen Zusammenschlusses nicht sehr groß sei. Er warf die Frage auf, ob man nicht versuchen sollte, auch die Türkei aus dem englischen System loszulösen. Auf die Frage nach dem Verhältnis zwischen der Türkei und Italien und gewissen türkischen Befreiungsbemühungen erklärte der Duce, daß die Türkei von Italien nichts zu fürchten hätte. Es gäbe keine Territorialforderungen Italiens an türkisem Land, sondern es handele sich lediglich um wirtschaftliche Interessen Italiens, von denen die Türkei genügend Nutzen hätte. In dem Maße, wie sich die Position Englands weiter verschärfte, würde die Türkei ganz sicher von selbst auf die italienische und deutsche Seite herübergesogen werden. Erwähnt das etwas eigenwillige Staatspräsidenten, der in völiger Verkanzung der jetzigen Lage bisher bestrebt gewesen sei, die pacifistische Politik Italiens fortzuführen.

In Zusammenhang mit dem japanischen Angriff und seiner vorerstichtlichen starken Wirkung in Amerika informierte der RAM den Duce vermutlich über einen weiteren Schlag, der möglicherweise auf Grund einiger schwer belastbarer Dokumente gegen Roosevelt geführt werden könnte. Der Duce schätzte die Ansicht zu, daß Roosevelt der eigentlich Verantwortliche für den jetzigen Krieg sei, dessen Entstehung er zur Verhinderung

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einer konsolidierten Einheitsfront, bestanden auf dem vorliegenden Dokumenten, das den Kontakt mit allen Russen gehebelt habe. Der Duce schätzte diesen Plan einer Revolte gegen Roosevelt sehr ab. Dieser stand jedoch befürchtlich den Wahlausrichtungen Bevölkerung gegenüber, an der Jugendlichkeit junger Wähler begangen habe, die gleiche Programms wie Roosevelt in der Wahlkampagne verworfen haben. Das amerikanische Volk stelle, so wie die Nazis in Angestaltungen, seiner Ansicht nach ziemlich entzückend hinter Roosevelt, wenn auch bei der hysterischen Verurteilung der amerikanischen Währung eine Unsicherheit in letzten Minuten durch ein unverhülltes Erwähnen durchaus in Bereich der Möglichkeit liege. Auf die Frage des RAM, ob es nicht wichtiger angesehen wäre, nach Veröffentlichung der Dokumente demonstrativ die diplomatischen Beziehungen zu den Vereinigten Staaten mit der Erhöhung abzuweichen, dass man zwar gegen das amerikanische Volk keine Feindschaft habe, aber die Beziehungen zu der Regierung Roosevelt nach der nunmehr bekannten geworfenen ungeheuren Schänd, die diese durch Begünstigung des Briten auf sich geladen habe, nicht länger aufrecht erhalten wolle. Der Duce schätzte dieses Gedanken auch doch sehr bescheiden ab, weil nach italienischer Erwürfung die amerikanischen Ressorts nicht nur völlig falsche Berichte über die Lage Italiens nach den Vereinigten Staaten verbreiteten, sondern sich auch in zahlreichen Sätzen als (später) Englands betätigten.

Auf eine Frage des RAM über den Stand der Operationen in Ägypten äußerte sich der Duce, dass der Fortgang der militärischen Ereignisse darüber aufrichtet. "Und sei. Die erste

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Stappe der Offensive, die bis 100 Km in das feindliche Gebiet hineingeführt habe, sei nun mehr abgeschlossen. Für die zweite Stappe, die über weitere 180 Km bis nach Marsak Matrah führen sollte, seien einen Bericht Spagnolos zufolge alle Vorbereitungen getroffen. Gradioli seiher würde in den nächsten Tagen nach Rom kommen, um mit dem Duce die Einzelheiten derselbenen Phase der italienischen Offensive gegen Ägypten durchzusprechen. Die dritte Phase würde mit der Eroberung Alessandriens abschließen und die Durchdringung von weiteren 300 Km notwendig machen. Auf die Frage des RHM, wann unter diesen Umständen mit dem Abschluss der gesuchten Unterwerfung gegen Ägypten gerechnet werden könnte, antwortete der Duce etwas lägernd, dass er hoffe, den ägyptischen Feldzug ein Ende früher abgeschlossen zu können. Nach der Eroberung von Marsak Matrah würde sich infolge der italienischen Entfernungsmöglichkeiten die britische Flotte aus Alessandria zurückziehen müssen und, falls bis dahin noch weiterer Krieg eine solche in den Händen der Spanier sein sollte, möglicherweise einen Durchbruch durch Gibraltar in den Atlantik ausführen. Der Rückzug durch den Suezkanal sei zu gefährlich, da der Stoss selbst nur in einem Tempo von 6 St/Km durchfahren werden könnte. Auf jeden Fall sei mit einem starken Widerstand der Engländer in Ägypten zu rechnen, denn der Verlust dieses Landes würde möglicherweise den Zerfall des gesuchten Imperiums nach sich ziehen.

Nachdem der Duce noch eine schriftliche Antwort auf den Rheimstrief ankündigte, fand die Unterredung mit einer herzlichen Vorabschließung ihren Abschluss.

Rom, den 22. September 1940.

S e k r i d t .

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ROM 33/40

Record

of the conversation of the Minister of Foreign Affairs with the DUKE in the presence of Count CIANO and Ambassador von MACKENSEN on 22 September 1940 at the Palazzo Venezia.

The Foreign Minister first informed the DUKE about the State of the Japanese negotiations by reading important items of telegram No. 984 of 21 September from TOKIO. The DUKE also declared himself in agreement, that the earlier formulation mentioned under 1 A in the above telegram should be retained without mentioning the "veiled attack" and also agreed with the formulation suggested in 18 for No. 4 concerning the formation of technical boards for execution of the pact. The Foreign Minister expressed the hope that it would be possible by the end of the next week at the latest to sign the Three Power Pact with Count CIANO in Berlin. The Foreign Minister made known his intention to invite SERRANO SUNER to be a guest at the ratification, and likewise to invite representatives of the countries on friendly terms with the Axis, such as Hungary, Bulgaria and Rumania. Count CIANO at first expressed doubts as to whether by the presence of a Spanish delegate, because of the known anti-Bolshevik attitude of the Spanish Government, the Three Power Pact with Japan would not show a too strongly anti-Russian tendency, but dropped these doubts again, as the DUKE declared the presence of SERRANO SUNER rather useful on account of the thereby emphasized solidarity of Spain with the three signatories. On a suggestion by the Reich Foreign Minister the view was taken concerning Russia to wait first for her reaction to the Japan Pact and at the appropriate moment to offer Russia in friendly terms a free hand regarding the fulfilment of any of her desires in the southern zone of the Persian Gulf, or on India. Furthermore, the Foreign Minister informed the DUKE about the state of the negotiations with Spain. He mentioned that a special delegate had been sent to SERRANO SUNER, at present staying in Brussels, to notify him, in accordance with the agreement concluded Friday between the Reich Foreign Minister and the

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DUCE, that Germany and Italy wanted to conclude a three power pact with Spain. SERRANO SUMER would inform Generalissimo FRANCO by special courier of this wish, and it was hoped that a reply would be received from FRANCO by the middle of the week, so that about the time as the pact with Japan the military alliance could also be secretly signed.

In connection with the Spanish question the conversation also turned upon Portugal and pressure to be jointly exerted by Germany, Italy and Spain upon "resident ZALAZAR" in the direction of a withdrawal from England and a rapprochement to Spain. Without expressing himself unequivocally on this point, the DUKE still seemed to agree with this idea. He stressed in this connection the Portugal's fear of being absorbed by Spain. On the bases of telegram No. 1320 of 29.9.1940 from TARABYA on the proposals of Turkey concerning a Russian-Turkish friendship pact with guarantees of the Turkish borders by the SOVIET UNION in return for the free passage of the straits an exchange of views developed on the attitude of the AXIS to the Turks. The Reich Foreign Minister repeated the opinion already expressed by him in earlier conversations that a too close understanding between Turkey and Russia would not be in the interest of the AXIS-Powers, but emphasized that the danger of such a close cooperation would not be very great. He raised the question whether the attempt should not be made, to pull Turkey out of the English system. To the question about relations between Turkey and Italy and about certain Turkish apprehensions, the DUKE declared that Turkey had nothing to fear from Italy. There were no territorial claims by Italy on w that country; but rather was only a question of economic interests of Italy of which the Turks had an exact knowledge. To the extent that England's position became still worse, Turkey would be drawn to the Italians and German side quite of her own accord. In spite of the rather obstinate President of the State, who completely misunderstanding the present situation has been hitherto striving to continue the pro allied policy of KEMAL.

In connection with the Japanese Pact and its presumably strong effect on America.

the Foreign Minister informed the Duce secretly about a further blow which possibly could be directed against ROOSEVELT on the strength of some heavily incriminating documents. The Duce agreed that ROOSEVELT was the really responsible person for this war, the beginning of which he encouraged in every way in order to hush up his domestic political difficulties, and especially during the recent failure of the NEW DEAL. The Duce very much approved of the plan of a step against ROOSEVELT, but was positive regarding ROOSEVELT's chances in the elections, because the rival-candidate WILLKIE had committed the mistake of proposing the same program as ROOSEVELT in the election campaign. The American public, as things were at the moment, would, in his opinion, stand more or less solidly behind ROOSEVELT, even if considering the hysterical disposition of the American voting masses a swing in the other direction at the last minute caused by some unforeseen event was completely within the range of possibility. To the question of the Reich Foreign Minister as to whether it would not be much more expedient to break off diplomatic relations with the United States dramatically after publication of the documents with the declaration that there was no animosity felt against the American people, but that relations could no longer be maintained with the ROOSEVELT Government after its enormous guilt in having encouraged this war had recently become known. The Duce was in particular agreement with this idea because from Italian experience the American consuls had not only sent out completely false reports to the United States on Italy's situation, but also have in many cases acted as spies for England.

To the questions of the Reich Foreign Minister as to the state of operations in Egypt the Duce expressed himself very satisfied with the progress of military events. The first stage of the offensive which had penetrated up to 100 kms. into enemy territory, was now concluded. For the second stage, which was to extend for 120 more kilometers to MIRGA MATHEH, all preparations had been made, according to a report by GRAZIANI. GRAZIANI himself would come to Rome in the next few days to discuss with the Duce the details of this second phase of the Italian

offensive against Egypt. The third stage would end with the conquest of ALEXANDRIA and would necessitate the completion of another 300 Kilometers. To the question of the Reich Foreign Minister as to when, under these circumstances, the conclusion of the entire enterprise against Egypt could be expected, the Duce replied rather hesitatingly that he hoped to be able to conclude the Egyptian campaign by the end of October. After the conquest of MERSAH MATRUM the British Fleet would have to withdraw from ALEXANDRIA in consequence of the possibilities for the effective use of the Italian Air Force and in case GIBRALTAR should by then already be in the hands of the Spaniards, would, if possible, have to effect a breakthrough past GIBRALTAR into the Atlantic. A retreat through the SUMZ CANAL would be too dangerous because the canal itself could be passed at a speed of only 6 kilometers an hour. In any case a strong resistance by the English in Egypt had to be reckoned with, because the loss of this country would possibly involve the collapse of the entire Empire. After the Duce had announced another written reply to the Fuehrer's letter, the discussion was concluded with a cordial leavetaking.

Rome, 22 September 1940

SCHMIDT

3 May 46

I, JOHN B. ROBINSON, Civ., X-046350, hereby certify that I am thoroughly conversant with the English and German languages; and that the above is a true and correct translation of Document 1865-PS.

JOHN B. ROBINSON  
Civ., X-046350

淺井喜代一反訴入。

1865 PS

供述書

予、ヤニラード・スモアフアーハ先づ

三月二日誓上供述ヲナス

ハヨハ独逸國、ナーレバーデニ在ル米國

主席檢官室、記書編纂

事務處事公署、文書課、主任

アツ其職務トシテ押收シテ敵

記書編纂

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三、前記押收敵原文書、押收後、独送

チーンバーグ、前記米國主化領事公

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送外務省文書及記録保管省

ガラ押收シタニ、アマハコト

三、前記押収敵原文書に押收後、独送

ナシバク、前記米國主使署奉公

署、文書編纂課依シテ独送國文

書、押收、整理及保存ニ用スル供

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上二

第五、御書ハ、記述シ及参考シタリ、彼

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五、前ニ参考セラレバ、押收セラシル敵

尔文書ハ國際軍事裁判所於テ

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リズーティング・コロナ・システム

タービン・ブレード・マニホールド

アイビーテック

淺井大佐及一級書記官

供述書

Page. 1 予、アイ、ホール、オード、スチーヴトハ

先づ二式ニ宣誓。以上供述ヲナス。

六月ハ一九二三年ヨリ一九四五年、独逸

共和国、自解スルニ至ルマテ独逸

外務省、主席通譯官ニアリ。

其故ニ自身、独逸政府、要人

ト他國政府、代表者ト、終ニ

重要ナル外務省及其他、會議

通譯官トシテ及秘書官兼不或

ハ秘書官トシテ出席レ且従事シタ。

二、前述ノ外務省主席席通譯官ニ

アツタ上ニテハ又一九三九年後ハ

独逸外務省主席席科書官ニ

アツタコト而シテ又最後ノ官

位ノ独逸外務省ニニスラニ相當

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三、斯ノ如ク通譯官トシテ及科

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リマシタ。

四、予ガ作製シタ記録ハ斯カル曾

合テ熟セタコト有ワタコト、独

逸段文、公記録ニナワタコト

ニ、記録、寫一部、前記

独逸外務省、社書官的役

月ヲ勧メタ外務省、ニニスター

一部向ニ保管セラレタコト

夫々

八外務大臣、私設秘書官ニ保  
管スカル會合、記録、寫、他一部

サレタコト

斯カル會合、夫々、記録、寫、

第三ハア、許存通セラレタコト

五前述シテ孫ニキカ作制シテ記

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提出シタゞ一、孫十方氏ニ依リ

一九四〇年九月二十二日ベネゼラ

宮殿：名テチャノ伯及マフケンジ

ン大使、西前テ開催カラレタ独

逸外務大臣ト首相、會談：

開シ予カ親ラ作製シタ記録

忠實且正確ナル

原本、直接複写寫真之一部

マークコト

七、ヲカ通譯官トシテ又社書官ト

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確ナル記事テアリヲ親ラ作製

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八、此供述書又之ニ附屬、書類ハ

日本、最争犯罪人追訴ニ使

用セラレルグロウト往々ラマラレコノ  
事ヲ承知シテキル。而シテ予ハ甲

併述書リ拘束ヲ受クニコトナリ

快うニ、併述書ヲ作別れシタコト

ドクター・ホール・オーランチニコト

一九四九年五月三日独逸國ムバーナ

於テ本官、画前ニテ宣誓、上本供

述書ニ署名セリ。

スミス、ダグラス、エロフ、ハート、ジムニア、  
リュードナント、ヨロネー、イースペック、  
ジエヌラル、デルコット、

Doc 4011 Evid

Folder 4

(19)

*Germany-foreign Relations*

Excerpt from the Record of the conversation of the German Minister of Foreign Affairs with the DUCE in the presence of Count CIANO and Ambassador von MACKENSEN on 22 September 1940 at the Palazzo Venezia.

. . . The German Foreign Minister made known his intention to invite SERRANO SUNER to be a guest at the ratification, and likewise to invite representatives of the countries on friendly terms with the Axis, such as Hungary, Bulgaria and Rumania. Count CIANO at first expressed doubts as to whether by the presence of a Spanish delegate, because of the known anti-Bolshevik attitude of the Spanish Government, the Three Power Pact with Japan would not show a too strongly anti-Russian tendency, but dropped these doubts again, as the DUCE declared the presence of SERRANO SUNER rather useful on account of the thereby emphasized solidarity of Spain with the three signatories. . .

Rome, 22 September 1940

SCFMIDT

I. (Crig. in Doc. Rm.)

## AFFIDAVIT

I, GERARD SCHAEFER, being first duly sworn on oath, depose and say:

1. That I am Chief of the Documentation Division of the Office of the United States Chief of Counsel, Nurnberg, Germany, and as such have possession, custody and control of the original captured enemy document numbered 1865-FB by said Documentation Division.

2. That said above referred to original document was captured and obtained by military forces under the command of the Supreme Commander, Allied Expeditionary Forces, from German Foreign Office files and archives.

3. That said original captured enemy document after its seizure and capture was delivered to, filed with, numbered and processed by the Documentation Division of said Office of the United States Chief of Counsel at Nurnberg, Germany in the manner set forth and described in detail by me in a certain affidavit made by me and dated the 15th of April 1946 and captioned, "Affidavit Concerning the Capture, Processing and Preservation of German Documents", and which said affidavit I hereby verify, ratify and affirm and make a part of the herein affidavit by reference.

4. That the document to which this affidavit is attached is a true and correct photostatic copy of said original captured enemy document above described and referred to.

5. That said above referred to original captured enemy document is being held by me for possible use in connection with the trial of alleged war criminals before the International Military Tribunal, and that a photostatic copy of said original is hereby furnished and certified to because of the unavailability of said original document for the reasons above set forth.

/s/ Gerard Schaefer  
Gerard Schaefer

SUBSCRIBED AND SWEORN to before me this 3rd day of May 1946.

/s/ Smith W. Brookhart, Jr., Lt. Col.  
SMITH W. BROOKHART, JR., IGD  
LT COLONEL, IGD  
O-508524

INTERNATIONAL PROSECUTION SECTION

Doc. No. 4011

24 May 1946

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Copy, Notes of Discussion Mussolini-Ribbentrop

Date: 22 Sep 40 Original ( ) Copy (x) Language: German

Has it been translated? Yes (x) No ( )

Has it been photostated? Yes (x) No ( )

LOCATION OF ORIGINAL: Marburg Doc. Center

SOURCE OF ORIGINAL: OCC, Nurnberg

PERSONS IMPLICATED:

CRIMES TO WHICH DOCUMENT APPLICABLE: Relations with US, GB, and USSR

SUMMARY OF RELEVANT POINTS (with page references):

1. On page 46349, the imminent signing of the tripartite pact between Germany and Italy is discussed.

2. If Russia's reaction to this pact should be unfavorable, she will be offered, in "a friendly way," a free hand in the fulfillment of all her wishes southwards toward the Persian Gulf or India. (Page 46350)

3. It is hoped to sign the secret military pact with Spain simultaneously with the pact with Japan. Germany, Italy and Spain should put pressure on President Zalazar of Portugal to turn away from England, towards Spain. (p. 46350) Turkey is discussed on page 46351.

4. In connection with the probable strong reaction to the Japanese pact in America, RIBBENTROP informed MUSSOLINI of some documents which could be used against the prestige of Roosevelt. MUSSOLINI agreed that Roosevelt was responsible for the war and agreed also to use the documents. However, he doubted that the publication could prevent the election of Roosevelt. It was then decided to break off the diplomatic relations with America, in a demonstrative manner after the publication of the documents. (Page 46351 and 46352)

5. The rest of the meeting was devoted to the strategic situation in North Africa.

/A.N.: Nurnberg Doc. #1865-PS./

Analyst: C.W.J.Phelps

Doc. No. 4011

Excerpt from the Record of the conversation of the German Minister of Foreign Affairs with the DUCE in the presence of Count CIANO and Ambassador von MACKENSEN on 22 September 1940 at the Palazzo Venezia.

The German Foreign Minister made known his intention to invite SERRANO SUNER to be a guest at the ratification, and likewise to invite representatives of the countries on friendly terms with the Axis, such as Hungary, Bulgaria and Rumania. Count CIANO at first expressed doubts as to whether by the presence of a Spanish delegate, because of the known anti-Bolshevik attitude of the Spanish Government, the Three Power Pact with Japan would not show a too strongly anti-Russian tendency, but dropped these doubts again, as the DUCE declared the presence of SERRANO SUNER rather useful on account of the thereby emphasized solidarity of Spain with the three signatories. . .

Rome, 22 September 1940

SCFMIDT

## AFFIDAVIT

I, GERARD SCHAEFER, being first duly sworn on oath, do hereby depose and say:

1. That I am Chief of the Documentation Division of the Office of the United States Chief of Counsel, Nurnberg, Germany, and as such have possession, custody and control of the original captured enemy document numbered 1865-FG by said Documentation Division.

2. That said above referred to original document was captured and obtained by military forces under the command of the Supreme Commander, Allied Expeditionary Forces, from German Foreign Office Files and Archives.

3. That said original captured enemy document after its seizure and capture was delivered to, filed with, numbered and processed by the Documentation Division of said Office of the United States Chief of Counsel at Nurnberg, Germany in the manner set forth and described in detail by me in a certain affidavit made by me and dated the 15th of April 1946 and captioned, "Affidavit Concerning the Capture, Processing and Preservation of German Documents", and which said affidavit I hereby verify, ratify and affirm and make a part of the herein affidavit by reference.

4. That the document to which this affidavit is attached is a true and correct photostatic copy of said original captured enemy document above described and referred to.

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/s/ Gerard Schaefer  
Gerard Schaefer

SUBSCRIBED AND SWORN to before me this 3rd day of May 1946.

/s/ Smith W. Brookhart, Jr., Lt. Col.  
SMITH W. BROOKFART, JR., IGD  
LT COLONEL, IGD  
O-508524

CHARGE OUT SLIP

OCT 11 1946

DATE \_\_\_\_\_

EVIDENTIARY DOC. NO. 4011

TRIAL BRIEF \_\_\_\_\_

EXHIBIT NO. \_\_\_\_\_

BACKGROUND DOC. NO. \_\_\_\_\_

SIGNATURE R. C. O'Connor

ROOM NO. 354

CHARGE OUT SLIP

AUG 26 1946

DATE \_\_\_\_\_

EVIDENTIARY DOC. NO.

9011

TRIAL BRIEF \_\_\_\_\_

EXHIBIT NO. \_\_\_\_\_

BACKGROUND DOC. NO. \_\_\_\_\_

SIGNATURE \_\_\_\_\_

ROOM NO. \_\_\_\_\_

bmo

4011-1

獨逸外務大臣

3%  
40

一九四〇年九月二十二日 バラヂオヴニホチアニ於テ  
チアノ伯及フボンマツケンゼン大使立會ニテナサレ  
タ獨逸外務大臣トドウツチエトノ對談ノ記録

× × × × × ×

(抜萃)

獨逸外務大臣ハ批准ノ際ニハセラノ・スニエ氏ヲ來  
賓トシテ招待スルコト、而シテ又ハンガリイ、ブル  
ガリア、ルーマニアノ様ナ権輪友交國ノ代表者達ヲ  
モ招待スル意向ヲ告ゲタ。チアノ伯ハ最初、スペイ  
ン政府ノ反ボルシエベイキ懸度ガ明カデアルタメ、  
スペイン代表ノ出席ニ依ツテ日本トノ三國條約ハ反  
露的傾向ハアマリニ強クハナイカトノ疑惑ヲ表明シ  
タ。然シ、ドウツチエ自身ガ、セラノ・スニエノ列  
席ハ、ソレニヨツテ強調サレルスペインノ三締約國  
トノ緊密關係ノ故ニ可成リ有利デアルト聲明シタ時  
ニ再ビ之等ノ疑惑ハ晴ラサレタ。

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一九四〇年九月二十二日 鹿馬ニテ

供述書

4011-2

余、ジエラード・シェーファー、GERARD SCHAEF

ER、ハ先ツ正式宣誓ノ上、以下ノ通り證言ス。

一、余ハ在獨逸國、ユーレンベルグ、合衆國、首席檢察官事務局証據書類部長ニシテ、其故ニ該証據書類部ニ依リロ。ト番號ヲ標記セラレタル押収敵械文書原本ノ所有、保管及管理ニ當リ居ルモノナル事。

二、前記文書原本ハ聯合遠征軍最高指揮官達下ノ軍隊ニ依リテ、獨逸外務省文書集総中ヨリ、押収セラレタルモノナル事。

三、前記押収敵械文書原本ハ右押收後、獨逸國又ールンベルグニ於ケル前記米國首席檢察官事務局証據書類部ニ引渡サレタル上、同部ニ依リ收綴サレ、番號標記及焉眞所理等ノ過程ヲ經タルモノニシテ、其ノ方法ハ、余ガ、一九四六年四月十五日附ヲ以テ作製セル「獨逸文書」ト題スル供述書中ニ掲ゲ且記述シタルモノニシテ、余ハ爰ニ該供述書ノ眞正ナルコトヲ證明シ、之レヲ承認シ肯定スルモノニシテ、之レヲ参照スル事ニ依リ本供述書ノ一部トナ

4011-3

ナスモノナル事

四、本供述書ヲ添附シアル右文書ハ、上掲ノ且照  
合ノ押収敵側文書原本ノ真正、精確ナル爲眞  
複本／PHOTOSTATIC COPY／ナル事

五、上記照合ノ押収敵側文書原本ハ國際軍事裁判  
所ニ於ケル戰爭犯罪被告人公判ニ關シ、所要  
ニ應ヤンガ爲、余ノ手許ニ保藏シアルモノニ  
シテ、前述ノ理由ニヨリ、該原本ノ充用不能  
ナルニヨリ、爰ニ該原本ノ爲眞複本ヲ提供シ、  
且ツ之ガ證明ヲ爲スモノナル事、

ジュラード・シエーファー(署名)  
GERARD SCHAEFER /

一九四六年五月二日

余ノ面前ニ於テ宣誓且署名セリ

總監部付〇一五〇八五二四

中佐 スミス・ダブリュ・ブルックハート・ジュニア(署名)

/ SMITH W. BROCKHART, JR.,

LIEUTENANT, I.G.D. 〇-508524 /

(了)

*Boys  
Jawohl  
will  
affair  
4/22/40  
w6*

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TRANSLATION OF DOCUMENT  
1865-PS, OFFICE OF US CHIEF  
OF COUNSEL

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*He supposed  
he would get  
up from  
the sofa*

Record of the conversation of the Minister of Foreign Affairs with the DUCE in the presence of Count CIANO and Ambassador von MACKENSEN on 22 September 1940 at the Palazzo Venezia.

The Foreign Minister first informed the DUCE about the State of the Japanese negotiations by reading important items of telegram No. 984 of 21 September from TOKIO. The DUCE also declared himself in agreement, that the earlier formulation mentioned under 1 A in the above telegram should be retained without mentioning the "veiled attack" and also agreed with the formulation suggested in 18 for No. 4 concerning the formation of technical boards for execution of the pact. The Foreign Minister expressed the hope that it would be possible by the end of the next week at the latest to sign the Three Power Pact with Count CIANO in Berlin. The Foreign Minister made known his intention to invite SERRANO SUNER to be a guest at the ratification, and likewise to invite representatives of the countries on friendly terms with the Axis, such as Hungary, Bulgaria and Rumania. Count CIANO at first expressed doubts as to whether by the presence of a Spanish delegate, because of the known anti-Bolshevik attitude of the Spanish Government, the Three Power Pact with Japan would not show a too strongly anti-Russian tendency, but dropped these doubts again, as the DUCE declared the presence of SERRANO SUNER rather useful on account of the thereby emphasized solidarity of Spain with the three signatories. On a suggestion by the Reich Foreign Minister the view was taken concerning Russia to wait first for her reaction to the Japan Pact and at the appropriate moment to offer Russia in friendly terms a free hand regarding the fulfilment of any of her desires in the southern zone of the Persian Gulf, or in India. Furthermore, the Foreign Minister informed the DUCE about the state of the negotiations with Spain. He mentioned that a special delegate had been sent to SERRANO SUNER, at present staying in Brussels, to notify him, in accordance with the agreement concluded Friday between the Reich Foreign Minister and the DUCE, that Germany and Italy wanted to conclude a three power pact with Spain. SERRANO SUNER would inform Generalissimo FRANCO by special courier of this wish, and it was hoped that a reply would be received from FRANCO by the middle of the week, so that about the time as the pact with Japan the military alliance could also be secretly signed.

In connection with the Spanish question the conversation also turned upon Portugal and pressure to be jointly exerted by Germany, Italy and Spain upon President ZALAZAR in the direction of a withdrawal from England and a rapprochement to Spain. Without expressing himself unequivocally on this point, the DUCE still seemed to agree with this idea. He stressed in this connection the Portugal's fear of being absorbed by Spain. On the basis of telegram No. 1320 of 29.9.1940 from TARABYA on the proposals of Turkey concerning a Russian-Turkish friendship pact with guarantee of the Turkish borders by the SOVIET UNION in return for the free passage of the straits an exchange of views developed on the attitude of the AXIS to the Turks. The Reich Foreign Minister repeated the opinion already expressed by him in earlier conversations that a too close understanding between Turkey and Russia would not be in the interest of the

AXIS-Powers, but emphasized that the danger of such a close cooperation would not be very great. He raised the question whether the attempt should not be made to pull Turkey out of the English system. To the question about relations between Turkey and Italy and about certain Turkish apprehensions, the DUCE declared that Turkey had nothing to fear from Italy. There were no territorial claims by Italy on that country; but rather was only a question of economic interests of Italy of which the Turks had an exact knowledge. To the extent that England's position became still worse, Turkey would be drawn to the Italian and German side quite of her own accord. In spite of the rather obstinate President of the State, who completely misunderstanding the present situation has been hitherto striving to continue the pro-allied policy of KEMAL.

In connection with the Japanese Pact and its presumably strong effect on America, the Foreign Minister informed the Duce secretly about a further blow which possibly could be directed against ROOSEVELT on the strength of some heavily incriminating documents. The Duce agreed that ROOSEVELT was the really responsible person for this war, the beginning of which he encouraged in every way in order to hush up his domestic political difficulties, and especially during the recent failure of the NEW DEAL. The Duce very much approved of the plan of a step against ROOSEVELT, but was positive regarding ROOSEVELT's chances in the elections, because the rival-candidate WILLKIE had committed the mistake of proposing the same program as ROOSEVELT in the election campaign. The American public, as things were at the moment, would, in his opinion, stand more or less solidly behind ROOSEVELT, even if considering the hysterical disposition of the American voting masses a swing in the other direction at the last minute caused by some unforeseen event was completely within the range of possibility. To the question of the Reich Foreign Minister as to whether it would not be much more expedient to break off diplomatic relations with the United States dramatically after publication of the documents with the declaration that there was no animosity felt against the American people, but that relations could no longer be maintained with the ROOSEVELT Government after its enormous guilt in having encouraged this war had recently become known, The Duce was in particular agreement with this idea because from Italian experience the American consuls had not only sent out completely false reports to the United States on Italy's situation, but also have in many cases acted as spies for England.

To the questions of the Reich Foreign Minister as to the state of operations in Egypt the Duce expressed himself very satisfied with the progress of military events. The first stage of the offensive which had penetrated up to 100 kms. into enemy territory, was now concluded. For the second stage, which was to extend for 120 more kilometers to MERSA MATRUH, all preparations had been made, according to a report by GRAZIANI. GRAZIANI himself would come to Rome in the next few days to discuss with the Duce the details of this second phase of the Italian offensive against Egypt. The third stage would end with the conquest of ALEXANDRIA and would necessitate the completion of another 300 kilometers. To the question of the Reich Foreign Minister as to when, under these circumstances, the conclusion of the entire enterprise against Egypt could be expected, the Duce replied rather hesitatingly that he hoped to be able to conclude the Egyptian campaign by the end of October. After the conquest of MERSA MATRUH the British Fleet

would have to withdraw from ALEXANDRIA in consequence of the possibilities for the effective use of the Italian Air Force and in case GIBRALTAR should by then already be in the hands of the Spaniards, would, if possible, have to effect a breakthrough past GIBRALTAR into the Atlantic. A retreat through the SUEZ CANAL would be too dangerous because the canal itself could be passed at a speed of only 6 kilometers an hour. In any case a strong resistance by the English in Egypt had to be reckoned with, because the loss of this country would possibly involve the collapse of the entire Empire. After the Duce had announced another written reply to the Fuehrer's letter, the discussion was concluded with a cordial leavetaking.

Rome, 22 September 1940

SCHMIDT

3 May 46

I, JOHN B. ROBINSON, Civ., X-046350, hereby certify that I am thoroughly conversant with the English and German languages; and that the above is a true and correct translation of Document 1865-PS.

/s/ John B. Robinson  
JOHN B. ROBINSON  
Civ., X-046350

*Bureau*  
4011-1

一九四〇年九月二十二日 ヴニネジニア官殿ニ於テチ  
アノ伯及マツケンゼン大使立會ニテナサレタ外務大臣ト首相トノ對談ノ

記 錄

外務大臣ハ最初、九月二十一日東京發第九八四號電報ノ重要課題ヲ讀ンデ日本トノ交渉狀況ヲ報告シタ。首相ハ又、上記電報中ノ一Aノ條ニ記載セラレタ先ノ條文ヲ「覆面ノ攻撃」ト記サズ存置スベキコトニ同意ダト言明シ尙一八ニ第四號ニ對シ提議セラレタル條約運用ノタメノ専門委員會設置ニ關スル條文ニモ同意シタ。外務大臣ハ遲クモ次週ノ終マデニハチアノ伯ト柏林デ三國條約ヲ締結スルコトガ出来ルデアロウト希望ヲ述ベタ。外務大臣ハ批准ノ際ニハセラノ・スナー氏ヲ來賓トシテ招待スルコト、而シテ又ハンガリイ、ブルガリア、ルーマニアノ様ナ権輿友交國ノ代表者達ヲモ招待スル意向ヲ告ゲタ。チアノ伯ハ最初、スペイン政府ノ反ボルシェベイキ態度ガ明カデアルタメ、スペイン代表ノ居合ハスコトヲ何ウカト躊躇シタ。日本トノ三國條約ハ反撃的傾向ヲアマリ強クハ表ハスマイカ。而シテ又、首相カ、セラノ・スナーガ居合ハスコト自体ガスペインガ三締約國ト緊密關係ニ在ルコトヲ強調スルカラ寧口有利デアルト言明シタ時ニ再び躊躇スル態度ヲ止

メタ。

獨逸外務大臣ノ提言ガアツテ露西亞ニ聞シテハ、先ヅ露西亞ノ日本トノ條約ニ對スル反響ヲ待チ、適當ノ時機ニ友好的辭禮ヲ以テ露西亞ニ對シベルシャ、灣ノ南部地帶或ハ印度ニ於ケル彼ノ國ノ慾望ヲ達成スル自由ヲ提供スル意見ガ選バレタ。尙又、外務大臣ハスペイントノ交渉狀況ニ就テ首相ニ報告シタ。彼ハ、現在ブラツセルニ逗留シテキルセラノ・スナーニ特使ヲ送ッテ、金曜日ニ獨逸外務大臣ト首相ノ間デ締約シタ條約ニ依リ、獨逸國ト伊太利國ハスペイン國ト三國協定ヲ結ビタク思ツテ居ルト告ゲタ、ト話シタ。セラノ・スナーハ特ニ使ヲ立て、此ノ希望ヲフランコ將軍ニ言ヒ送ルデアロウシ、今週ノ半バ迄ニハフランコカラノ返事モ届クデアロウカラ日本トノ締約頃迄ニハ軍事協定ガ秘密裡ニ署名セラレ得ルデアロウト話シタ。

スペイン問題ニ關連シテ話ハボルトガル國及之ニ獨逸、伊太利及スペインノ三國共同デ加フベキ壓迫ニ就ジタ。ザラザール大統領ハ英國カラ引揚ゲル途中デアリスベイント國交ヲ回復シツ、アル。此ノ點ヲ明白ニハ言ハナカツタガ首相ハ此ノ考へニ同意スルヤウニ見エタ。彼ハ之ニ關連シテボルトガルハスペインニ併呑セラレル事ヲ恐レテ居ルコトヲ力説シ

4011-3

ダ。海峡自由航行ノ代償トシテソビエット聯邦ニ依ル土耳其國境ノ安全保障ヲ含ム露土友交條約ニ就キ。土耳其ノ提議シタコトニ聞スル一九四〇年九月二十九日タラビヤカラノ電報第一三二〇號ニ就テハ権輔國ノ土耳其ニ對スル態度ニ意見交換ハ發展シタ。獨逸外務大臣ハ、土耳其ト露西亞トノ度ノ過ギタ緊密ナル理解ハ極難國家ノ利益ニハナラナイデアロウト云フ從來ノ會話テ既ニ表明シタ彼ノ意見ヲ還返シタガ斯ル緊密ナル協調ノ危險モ大シタコトデハナカラウト強調シタ。彼ハ土耳其ヲ英國系カラ引離ス手段ヲ執ルベキデナイカ何ウカ訊ネタ。此ノ質問ニ對シ土耳其ト伊太利トノ國交關係及或ル土耳其ノ不安ニ就テハ首相ハ土耳其ハ何モ伊太利ヲ恐レルコトハナイト言明シタ。伊太利ニ依ル彼ノ國ノ領土上ノ要求ハ何物モ存在シナカツタ。然シ唯、伊太利ノ經濟的利益ノ問題ガアツタ。是ハ土耳其ノ知悉シテキルコトデアツタ。英國ノ地位ガ尙一層惡化スルニ從ツテ、土耳其ハ自ヅト伊太利及獨逸側ニ接近スルダロウ。時代ノ現情勢ヲ全ク誤解シテキテ寧ロ頑迷ナル大統領ガ居ルニモ拘ラズ從來ハケマルノ聯合親善政策遂行ヲ續ケテ居タノデアル。日本トノ條約及多分米國ニ及ブ強イ其ノ影響ニ關連シテハ外務大臣ハ、或ル深ク責ヲ負フベキ書類ニ基イテル！ズベルトニ更ニ喰ハスコトが出來ルダロウト思フ痛棒ニ就テ首

相ニ耳打チヲシタ。首相ハ、ルーズベルトガコノ戰  
 爭ニ對シテ責ヲ負フベキ人物デアルト云フ事ニ同意  
 シタ。彼ハ、國內ノ政治的難問題ヲ鎮靜サスタメニ、  
 特ニニューデイルノ近頃ノ失敗ノ期間ニ在ツテハ、  
 開戦ヲ極力囁ツタ。首相ハ、反ルーズベルト處置ニ  
 就テハ大賛成ダツタ。然シ選舉ニルーズベルトガ見  
 込ミガアルコトハ疑ツテ居ナカツタ。ト云フ譯ハ競  
 爭者タル候補者ノウイルキーガ選舉職ニ於テ、ルー  
 ズベルト同様ノ政策ヲ掲ゲルト云フ誤リヲ犯シタカ  
 ラデアル。ヒステリカルナル性質ノ米國選舉大衆ヲ考  
 慮ニ入ル、時、假令或ル豫測シ得ナイ事柄ニ因ツテ  
 直前ノ瞬間他ノ方向ニ走ルヤウナコトガ全ク可能ノ  
 範圍ニ屬スルトシテモ、其頃ノ情勢ニ在テハ米國國  
 民ハ多少確實ニル、ズベルトノ味方ニ立ツデアロウ  
 ト云フノガ彼ノ意見デアツタ。米國國民ニ對シテハ  
 悪意ヲ感ジテ斗ナイガ、然シ此ノ戰爭ヲ煽動シタ政  
 府ノ大キナ罪惡ガ近頃世ニ知ラレテハル、ルーズベルト  
 政府ト外交關係ヲ維持シテ行クコトガ不可能ダト云  
 フ言明ヲ包含シタ書類ヲ公表シタ後米國ト華々シク  
 國交ヲ斷絶シタ方ガ尙一層適當デナイカ何ウカト云  
 フ獨逸外務大臣ノ質問ニ對シテハ、首相ハ、伊太利  
 ノ經驗デハ、米國ノ領事達ハ伊太利ノ事情ニ就テハ  
 虛報フ米國へ送ツテナルノミカ多クノ場合英國ノス  
 バイトシテ勵イテキルカラ取別ケ此ノ考ヘニハ同意

44011-5

4011-6

危険、デアル。何レノ場合ニ於テモエジプトニ在ル英軍ニ依ツテ强硬ナ抵抗ガナサレルコトヲ豫想セラレナケレバナラナイ。ト云フ譯ハ此ノ國ヲ失フコトハ多分全帝國ノ破滅ヲ意味スルコトニナルデアロウカラ。總統ノ手紙ニ對スル文書ニ依ル他ノ返事ヲ首相ガ披露シタ後、懇口ナル別レノ言葉ヲ述べテ此ノ會談ハ閉デラレタ。

一九四〇年九月二十二日羅馬ニテ

ス テ ミ ツ ト

一九四六年五月三日

予、アイ・ジョン・ビー・ロビンソン（シイビイリアン・エクス）一四六三五〇）、予ハ英獨兩國語ニ通曉シ且前記錄ハ一八六五一年エスノ書類ノ忠實且正確ナル翻譯デアルコトヲ茲ニ證明ス

ジョン・ビー・ロビンソン

シイビイリアン・エクス

四六三五〇