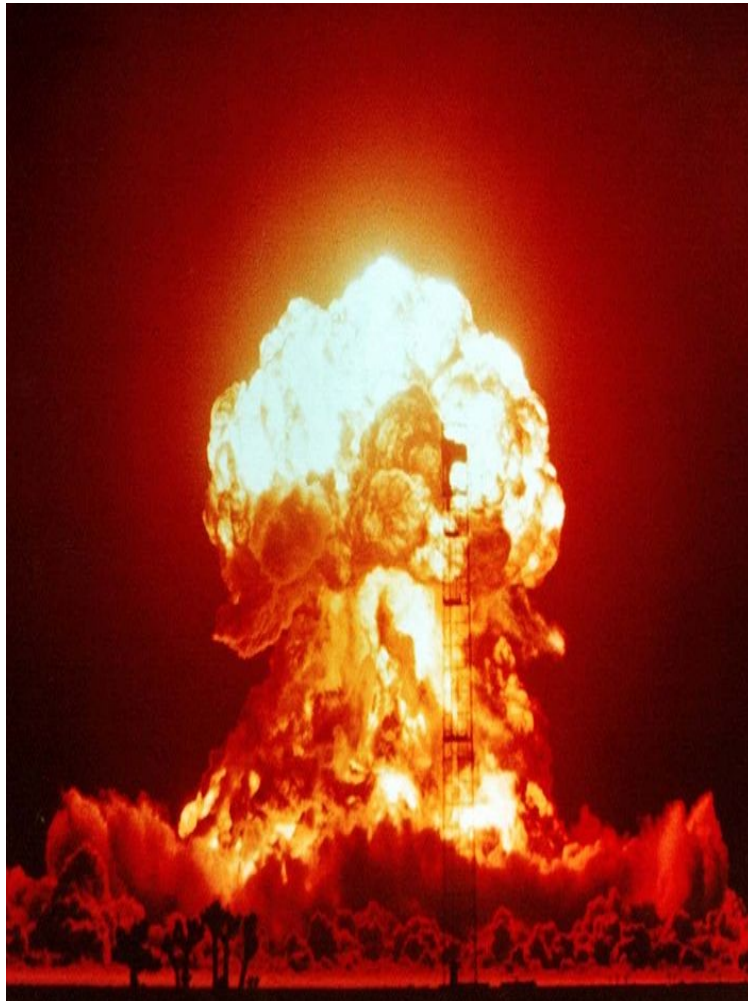


Special Relativity

A Wikibook

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Second edition (2.11)

Part 1: Introductory text

Cover photo: The XX-34 BADGER explosion on April 18, 1953, as part of Operation Upshot-Knothole, at the Nevada Test Site. The photo is from the Department of Energy, Nevada Site Office's Photo Library - Atmospheric, specifically XX34.JPG.
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Contributors

RobinH, Moriconne, Tikai, Mwhizz, EvanR, Mglg, Read-write-services and many others.

Introduction

The Special Theory of Relativity was the result of developments in physics at the end of the nineteenth century and the beginning of the twentieth century. It changed our understanding of older physical theories such as Newtonian Physics and led to early Quantum Theory and General Relativity.

Special Relativity does not just apply to fast moving objects, it affects the everyday world directly through "relativistic" effects such as magnetism and the relativistic inertia that underlies kinetic energy and hence the whole of dynamics.

Special Relativity is now one of the foundation blocks of physics. It is in no sense a provisional theory and is largely compatible with quantum theory; it not only led to the idea of matter waves but is the origin of quantum 'spin' and underlies the existence of the antiparticles. Special Relativity is a theory of exceptional elegance, Einstein crafted the theory from simple postulates about the constancy of physical laws and of the speed of light and his work has been refined further so that the laws of physics themselves and even the constancy of the speed of light are now understood in terms of the most basic symmetries in a four dimensional universe.

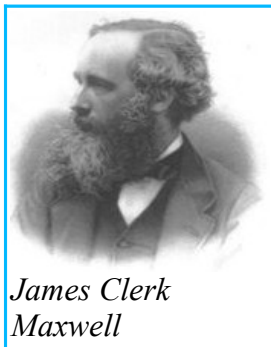
Further Reading

Feynman Lectures on Physics. Symmetry in Physical Laws. (World Student) Vol 1. Ch 52.

Gross, D.J. The role of symmetry in fundamental physics. PNAS December 10, 1996 vol. 93 no. 25 14256-14259 <http://www.pnas.org/content/93/25/14256.full>

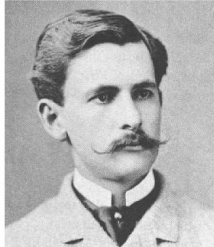
Historical Development

In the nineteenth century it was widely believed that light was propagated in a medium called the "aether". In 1865 **James Clerk Maxwell** produced a theory of electromagnetic waves that seemed to be based on this aether concept. According to his theory the velocity of electromagnetic waves such as light would depend on two constant factors, the permittivity and permeability constants, which were properties of the aether. Anyone who was stationary within the aether would measure the speed of light to be constant as a result of these constant properties of the aether. A light ray going from one stationary point to another in the aether would take the same amount of time to make the journey no matter who observed it and observers would measure the velocity of any light that reached them as the sum of their velocity relative to the aether and the velocity of light in the aether.



If space were indeed full of an aether then objects would move through this aether and this motion should be detectable. Maxwell proposed that the state of motion of

an observer relative to an aether might be tested experimentally by reflecting beams of light at right angles to each other in an interferometer. As the interferometer was moved through the aether the addition of the velocity of the equipment to the velocity of the light in the aether would cause a distinctive interference pattern. Maxwell's idea was submitted as a letter to Nature in 1879 (posthumously).



*Albert Abraham
Michelson*

Albert Michelson read Maxwell's paper and in 1887 Michelson and Morley performed an 'interferometer' experiment to test whether the observed velocity of light is indeed the sum of the speed of light in the aether and the velocity of the observer. To everyone's surprise the experiment showed that the speed of light was independent of the speed of the destination or source of the light in the proposed aether.

How might this "null result" of the interferometer experiment be explained? How could the speed of light in a vacuum be constant for all observers no matter how they are moving themselves? It was possible that Maxwell's theory was correct but the theory about the way that velocities add together (known as Galilean Relativity) was wrong. Alternatively it was possible that Maxwell's theory was wrong and Galilean Relativity was correct. However, the most popular interpretation at the time was that both Maxwell and Galileo were correct and something was happening to the measuring equipment. Perhaps the instrument was being squeezed in some way by the aether or some other material effect was occurring.

Various physicists attempted to explain the Michelson and Morley experiment. George Fitzgerald in 1889 and Hendrik Lorentz in 1895 suggested that objects tend to contract along the direction of motion relative to the aether and in 1897 Joseph Larmor and in 1899 Hendrik Lorentz proposed that moving objects are contracted and that moving clocks run slow as a result of motion in the aether. Fitzgerald, Larmor and Lorentz's contributions to the analysis of light propagation are of huge importance because they produced the "Lorentz Transformation" Equations. The Lorentz Transformation Equations were developed to describe how physical effects would need to change the length of the interferometer arms and the rate of clocks to account for the lack of change in interference fringes in the interferometer experiment. It took the rebellious streak in Einstein to realise that the equations could also be applied to changes in space and time itself.

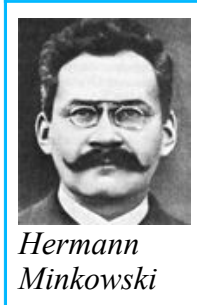


Einstein

By the late nineteenth century it was becoming clear that aether theories of light propagation were problematic. Any aether would have properties such as being massless, incompressible, entirely transparent, continuous, devoid of viscosity and nearly infinitely rigid. In 1905 Albert Einstein realised that Maxwell's equations did not require an aether. On the basis of Maxwell's equations he showed that the Lorentz Transformation was sufficient to explain that length contraction occurs and clocks appear to go slow

provided that the old Galilean concept of how velocities add together was abandoned. Einstein's remarkable achievement was to be the first physicist to propose that Galilean relativity might only be an approximation to reality. He came to this conclusion by being guided by the Lorentz Transformation Equations themselves and noticing that these equations only contain relationships between space and time without any references to the properties of an aether.

In 1905 Einstein was on the edge of the idea that made relativity special. It remained for the mathematician Hermann Minkowski to provide the full explanation of why an aether was entirely superfluous. He announced the modern form of Special Relativity theory in an address delivered at the 80th Assembly of German Natural Scientists and Physicians on September 21, 1908. The consequences of the new theory were radical, as Minkowski put it:



*Hermann
Minkowski*

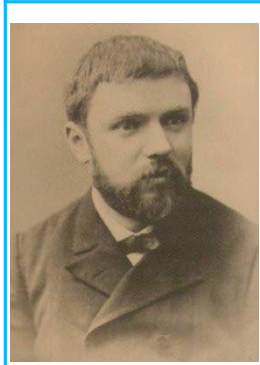
"The views of space and time which I wish to lay before you have sprung from the soil of experimental physics, and therein lies their strength. They are radical. Henceforth space by itself, and time by itself, are doomed to fade away into mere shadows, and only a kind of union of the two will preserve an independent reality."

What Minkowski had spotted was that Einstein's theory was actually related to the theories in differential geometry that had been developed by mathematicians during the nineteenth century. Initially Minkowski's discovery was unpopular with many physicists including Poincaré, Lorentz and even Einstein. Physicists had become used to a thoroughly materialist approach to nature in which lumps of matter were thought to bounce off each other and the only events of any importance were those occurring at some universal, instantaneous, present moment. The possibility that the geometry of the world might include time as well as space was an alien idea. The possibility that phenomena such as length contraction could be due to the physical effects of spacetime geometry rather than the increase or decrease of forces between objects was as unexpected for physicists in 1908 as it is for the modern high school student. Einstein rapidly assimilated these new ideas and went on to develop General Relativity as a theory based on differential geometry but many of the earlier generation of physicists were unable to accept the new way of looking at the world.

The adoption of differential geometry as one of the foundations of relativity theory has been traced by Walter (1999). Walter's study shows that by the 1920's modern differential geometry had become the principle theoretical approach to relativity, replacing Einstein's original electrodynamic approach.

It has become popular to credit Henri Poincaré with the discovery of the theory of Special Relativity, but Poincaré got many of the right answers for all the wrong reasons. He even came up with a version of $E = mc^2$. In 1904 Poincaré had gone as far as to enunciate the "principle of relativity" in which "The laws of physical phenomena must be the same, whether for a fixed observer, as also for one

dragged in a motion of uniform translation, so that we do not and cannot have any means to discern whether or not we are dragged in a such motion." Furthermore, in 1905 Poincaré coined the term "Lorentz Transformation" for the equation that explained the null result of the Michelson Morley experiment. Although Poincaré derived equations to explain the null result of the Michelson Morley experiment, his assumptions were still based upon an aether. It remained for Einstein to show that an aether was unnecessary.



Henri Poincaré

It is also popular to claim that Special Relativity and aether theories such as those due to Poincaré and Lorentz are equivalent and only separated by Occam's Razor. This is not strictly true. Occam's Razor is used to separate a complex theory from a simple theory, the two theories being different. In the case of Poincaré's and Lorentz's aether theories both contain the Lorentz Transformation **which is already sufficient to explain the Michelson and Morley Experiment, length contraction, time dilation etc. without an aether.** The aether theorists simply failed to notice that this is a possibility because they rejected spacetime as a concept for reasons of philosophy or prejudice. In Poincaré's case he rejected spacetime because of philosophical objections to the idea of spatial or temporal extension (see note 1).

It is curious that Einstein actually returned to thinking based on an aether for philosophical reasons similar to those that haunted Poincaré (See Granek 2001). The geometrical form of Special Relativity as formalised by Minkowski does not forbid action at a distance and this was considered to be dubious philosophically. This led Einstein, in 1920, to reintroduce some of Poincaré's ideas into the theory of General Relativity. Whether an aether of the type proposed by Einstein is truly required for physical theory is still an active question in physics. However, such an aether leaves the spacetime of Special Relativity almost intact and is a complex merger of the material and geometrical that would be unrecognised by 19th century theorists.

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- Granek, G (2001). Einstein's ether: why did Einstein come back to the ether? Apeiron, Vol 8, 3. <http://citeseer.ist.psu.edu/cache/papers/cs/32948/http:zSzzSzredshift.vif.comzSzJournalFileszSzV08NO3PDFzSzV08N3GRF.PDF/granek01einsteins.pdf>
- S. Walter. The non-Euclidean style of Minkowskian relativity. Published in J. Gray (ed.), The Symbolic Universe, Oxford University Press, 1999, 91-127. <http://www.univ-nancy2.fr/DepPhilo/walter/papers/nes.pdf>

Note 1: The modern philosophical objection to the spacetime of Special Relativity is that it acts on bodies without being acted upon, however, in General Relativity

spacetime is acted upon by its content.

Intended Audience

This book presents special relativity (SR) from first principles and logically arrives at the conclusions. There will be simple diagrams and some thought experiments. Although the final form of the theory came to use Minkowski spaces and metric tensors, it is possible to discuss SR using nothing more than high school algebra. That is the method used here in the first half of the book. That being said, the subject is open to a wide range of readers. All that is really required is a genuine interest.

For a more mathematically sophisticated treatment of the subject, please refer to the Advanced Text in Wikibooks.

The book is designed to confront the way students fail to understand the relativity of simultaneity. This problem is well documented and described in depth in: "Student understanding of time in special relativity: simultaneity and reference frames" by Scherr et al. <http://arxiv.org/ftp/physics/papers/0207/0207109.pdf>

What's so special?

The special theory was suggested in 1905 in Einstein's article "On the Electrodynamics of Moving Bodies", and is so called because it applies in the absence of non-uniform gravitational fields.

In search of a more complete theory, Einstein developed the general theory of relativity published in 1915. General relativity (GR), a more mathematically demanding subject, describes physics in the presence of gravitational fields.

The conceptual difference between the two is the model of spacetime used. Special relativity makes use of a Euclidean-like (flat) spacetime. GR lives in a spacetime that is generally not flat but curved, and it is this curvature which represents gravity. The domain of applicability for SR is not so limited, however. Spacetime can often be approximated as flat, and there are techniques to deal with accelerating special relativistic objects.

Common Pitfalls in Relativity

Here is a collection of common misunderstandings and misconceptions about SR. If you are unfamiliar with SR then you can safely skip this section and come back to it later. If you are an instructor, perhaps this can help you divert some problems before they start by bringing up these points during your presentation when appropriate.

Beginners often believe that special relativity is only about objects that are moving

at high velocities. This is a mistake. Special relativity applies at all velocities but at low velocity the predictions of special relativity are almost identical to those of the Newtonian empirical formulae. As an object increases its velocity the predictions of relativity gradually diverge from Newtonian Mechanics.

There is sometimes a problem differentiating between the two different concepts "relativity of simultaneity" and "signal latency/delay." This book text differs from some other presentations because it deals with the geometry of spacetime directly and avoids the treatment of delays due to light propagation. This approach is taken because students would not be taught Euclid's geometry using continuous references to the equipment and methods used to measure lengths and angles. Continuous reference to the measurement process obscures the underlying geometrical theory whether the geometry is three dimensional or four dimensional.

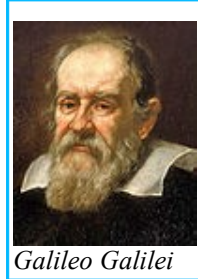
If students do not grasp that, from the outset, modern Special Relativity proposes that the universe is four dimensional, then, like Poincaré, they will consider that the constancy of the speed of light is just an event awaiting a mechanical explanation and waste their time by pondering the sorts of mechanical or electrical effects that could adjust the velocity of light to be compatible with observation.

A Word about Wiki

This is a Wikibook. That means it has great potential for improvement and enhancement. The improvement can be in the form of refined language, clear mathematics, simple diagrams, and better practice problems and answers. The enhancement can be in the form of artwork, historical context of SR, anything. Feel free to improve and enhance Special Relativity and other Wikibooks as you see necessary.

The principle of relativity

Principles of relativity address the relationship between observations made at different places. This problem has been a difficult theoretical challenge since the earliest times and involves physical questions such as how the velocities of objects can be combined and how influences are transmitted between moving objects.



Galileo Galilei

One of the most fruitful approaches to this problem was the investigation of how observations are affected by the velocity of the observer. This problem had been tackled by classical philosophers but it was the work of Galileo that produced a real breakthrough. Galileo, in his "Dialogue Concerning the Two Chief World Systems", considered observations of motion made by people inside a ship who could not see the outside:

"have the ship proceed with any speed you like, so long as the motion is uniform and not fluctuating this way and that. You will discover not the least change in all the effects named, nor could you tell from any of them whether the ship was moving or standing still. "

According to Galileo, if the ship moved smoothly then someone inside it would be unable to determine whether they were moving. If people in Galileo's moving ship were eating dinner they would see their peas fall from their fork straight down to their plate in the same way as they might if they were at home on dry land. The peas move along with the people and do not appear to fall diagonally to the diners. This means that the peas continue in a state of uniform motion unless someone intercepts them or otherwise acts on them. It also means that simple experiments that the people on the ship might perform would give the same results on the ship or at home. This concept led to "Galilean Relativity" in which it was held that things continue in a state of motion unless acted upon and that the laws of physics are independent of the velocity of the laboratory.

This simple idea challenged the previous ideas of Aristotle. Aristotle had argued in his "Physics" that objects must either be moved or be at rest. According to Aristotle, on the basis of complex and interesting arguments about the possibility of a 'void', objects cannot remain in a state of motion without something moving them. As a result Aristotle proposed that objects would stop entirely in empty space. If Aristotle were right the peas that you dropped whilst dining aboard a moving ship would fall in your lap rather than falling straight down on to your plate. Aristotle's idea had been believed by everyone so Galileo's new proposal was extraordinary and, because it was nearly right, became the foundation of physics.

Galilean Relativity contains two important principles: firstly it is impossible to determine who is actually at rest and secondly things continue in uniform motion

unless acted upon. The second principle is known as Galileo's Law of Inertia or Newton's First Law of Motion.

References:

- Galileo Galilei (1632). Dialogues Concerning the Two Chief World Systems.
- Aristotle (350BC). Physics. <http://classics.mit.edu/Aristotle/physics.html>

Special relativity

Until the nineteenth century it appeared that Galilean relativity treated all observers as equivalent no matter how fast they were moving. If you throw a ball straight up in the air at the North Pole it falls straight back down again and this also happens at the equator even though the equator is moving at almost a thousand miles an hour faster than the pole. Galilean velocities are additive so that the ball continues moving at a thousand miles an hour when it is thrown upwards at the equator and continues with this motion until it is acted on by an external agency.

This simple scheme became questioned in 1865 when James Clerk Maxwell discovered the equations that describe the propagation of electromagnetic waves such as light. His equations showed that the speed of light depended upon constants that were thought to be simple properties of a physical medium or "aether" that pervaded all space. If this were the case then, according to Galilean relativity, it should be possible to add your own velocity to the velocity of incoming light so that if you were travelling at a half the speed of light then any light approaching you would be observed to be travelling at 1.5 times the speed of light in the aether. Similarly, any light approaching you from behind would strike you at 0.5 times the speed of light in the aether. Light itself would always go at the same speed in the aether so if you shone a light from a torch whilst travelling at high speed the light would plop into the aether and slow right down to its normal speed. This would spoil Galileo's Relativity because all you would need to do to discover whether you were in a moving ship or on dry land would be to measure the speed of light in different directions. The light would go slower in your direction of travel through the aether and faster in the opposite direction.

If the Maxwell equations are valid and the simple classical addition of velocities applies then there should be a **preferred reference frame**, the frame of the stationary aether. The preferred reference frame would be considered the true zero point to which all velocity measurements could be referred.

Special relativity restored a principle of relativity in physics by maintaining that Maxwell's equations are correct but that classical velocity addition is wrong: there is no preferred reference frame. Special relativity brought back the interpretation that in all inertial reference frames the same physics is going on and there is no phenomenon that would allow an observer to pinpoint a zero point of velocity. Einstein preserved the principle of relativity by proposing that the laws of physics are the same regardless of the velocity of the observer. According to Einstein, whether you are in the hold of Galileo's ship or in the cargo bay of a space ship

going at a large fraction of the speed of light the laws of physics will be the same.

Einstein's idea shared the same philosophy as Galileo's idea, both men believed that the laws of physics would be unaffected by motion at a constant velocity. In the years between Galileo and Einstein it was believed that it was the way velocities simply add to each other that preserved the laws of physics but Einstein adapted this simple concept to allow for Maxwell's equations.

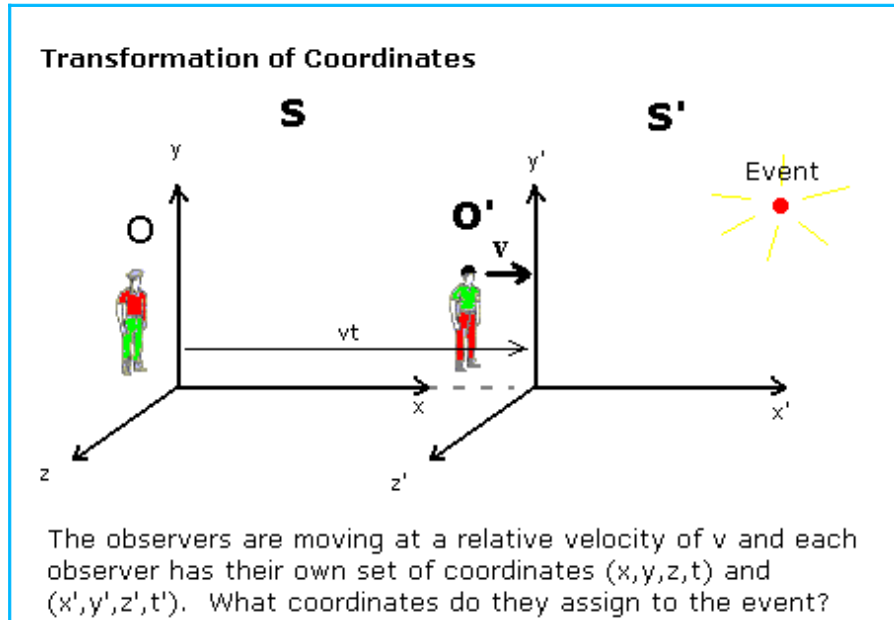
Frames of reference, events and transformations

Before proceeding further with the analysis of relative motion the concepts of reference frames, events and transformations need to be defined more closely.

Physical observers are considered to be surrounded by a **reference frame** which is a set of coordinate axes in terms of which position or movement may be specified or with reference to which physical laws may be mathematically stated.

An **event** is something that happens independently of the reference frame that might be used to describe it. Turning on a light or the collision of two objects would constitute an event.

Suppose there is a small event, such as a light being turned on, that is at coordinates x,y,z,t in one reference frame. What coordinates would another observer, in another reference frame moving relative to the first at velocity v along the x axis assign to the event? This problem is illustrated below:



What we are seeking is the relationship between the second observer's coordinates x',y',z',t' and the first observer's coordinates x,y,z,t .

According to Galilean Relativity:

$$\begin{aligned}x' &= x - vt \\y' &= y \\z' &= z \\t' &= t\end{aligned}$$

This set of equations is known as a **Galilean coordinate transformation** or **Galilean transformation**.

These equations show how the position of an event in one reference frame is related to the position of an event in another reference frame. But what happens if the event is something that is moving? How do velocities transform from one frame to another?

The calculation of velocities depends on Newton's formula: $v = dx / dt$. The use of Newtonian physics to calculate velocities and other physical variables has led to Galilean Relativity being called **Newtonian Relativity** in the case where conclusions are drawn beyond simple changes in coordinates. The velocity transformations for the velocities in the three directions in space are, according to Galilean relativity:

$$\begin{aligned}u'_x &= u_x - v \\u'_y &= u_y \\u'_z &= u_z\end{aligned}$$

This result is known as the **classical velocity addition theorem** and summarises the transformation of velocities between two Galilean frames of reference. It means that the velocities of projectiles must be determined relative to the velocity of the source and destination of the projectile. For example, if a sailor throws a stone at 10 km/hr from Galileo's ship which is moving towards shore at 5 km/hr then the stone will be moving at 15 km/hr when it hits the shore.

In Newtonian Relativity the geometry of space is assumed to be Euclidean and the measurement of time is assumed to be the same for all observers.

The derivation of the classical velocity addition theorem is as follows. If the Galilean transformations are differentiated with respect to time:

$$x' = x - vt$$

So:

$$dx' / dt = dx / dt - v$$

But in Galilean relativity $t' = t$ and so $dx' / dt' = dx / dt$ therefore:

$$dx' / dt' = dx / dt - v$$

$$dy' / dt' = dy / dt$$

$$dz' / dt' = dz / dt$$

If we write $u'_x = dx'/dt'$ etc. then:

$$u'_x = u_x - v$$

$$u'_y = u_y$$

$$u'_z = u_z$$

Which is the Classical Velocity Addition formula.

The postulates of special relativity

In the previous section transformations from one frame of reference to another were described using the simple addition of velocities that were introduced in Galileo's time and these transformations are consistent with Galileo's main postulate which was that the laws of physics would be the same for all inertial observers so that no-one could tell who was at rest. Aether theories had threatened Galileo's postulate because the aether would be at rest and observers could determine that they were at rest simply by measuring the speed of light in the direction of motion. Einstein preserved Galileo's fundamental postulate that the laws of physics are the same in all inertial frames of reference but to do so he had to introduce a new postulate that the speed of light would be the same for all observers. These postulates are listed below:

1. First postulate: the principle of relativity

Formally: **the laws of physics are the same regardless of inertial frame of reference.**

Informally: every physical theory should look the same mathematically to every inertial observer. Experiments in a physics laboratory in a spaceship or planet orbiting the sun and galaxy will give the same results no matter how fast the laboratory is moving.

2. Second postulate: invariance of the speed of light

Formally: **the speed of light in free space is a constant in all inertial frames of reference.**

Informally: the speed of light in a vacuum, commonly denoted c , is the same for all inertial observers, is the same in all directions, and does not depend on the velocity of the object emitting the light.

Using these postulates Einstein was able to calculate how the observation of events depends upon the relative velocity of observers. He was then able to construct a theory of physics that led to predictions such as the equivalence of mass and energy and early quantum theory.

Einstein's formulation of the axioms of relativity is known as the "electrodynamic approach" to relativity. It has been superseded in most advanced textbooks by the "space-time approach" in which the laws of physics themselves are due to symmetries in space-time and the constancy of the speed of light is a natural consequence of the existence of space-time. However, Einstein's approach is equally valid and represents a *tour de force* of deductive reasoning which provided the insights required for the modern treatment of the subject.

Einstein's Relativity - the electrodynamic approach

Einstein asked how the lengths and times that are measured by the observers might need to vary if both observers found that the speed of light was constant. He looked at the formulae for the velocity of light that would be used by the two observers, ($x = ct$) and ($x' = ct'$), and asked what constants would need to be introduced to keep the measurement of the speed of light at the same value even though the relative motion of the observers meant that the x' axis was continually expanding. His working is shown in detail in the appendix.

The result of this calculation is the **Lorentz Transformation Equations**:

$$x' = \gamma(x - vt)$$

$$y' = y$$

$$z' = z$$

$$t' = \gamma\left(t - \frac{vx}{c^2}\right)$$

Where the constant "gamma" is given by:

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

These equations apply to any two observers in relative motion but note that the sign within the brackets changes according to the direction of the velocity - see the appendix.

The Lorentz Transformation is the equivalent of the Galilean Transformation with the added assumption that everyone measures the same velocity for the speed of light no matter how fast they are travelling. The speed of light is a ratio of distance to time (ie: metres per second) so for everyone to measure the same value for the

speed of light the length of measuring rods, the length of space between light sources and receivers and the number of ticks of clocks must dynamically differ between the observers. So long as lengths and time intervals vary with the relative velocity of two observers (v) as described by the Lorentz Transformation the observers can both calculate the speed of light as the ratio of the distance travelled by a light ray divided by the time taken to travel this distance and get the same value.

Einstein's approach is "electrodynamic" because it assumes, on the basis of Maxwell's equations, that light travels at a constant velocity. As mentioned above, the idea of a universal constant velocity is strange because velocity is a ratio of distance to time. Do the Lorentz Transformation Equations hide a deeper truth about space and time? Einstein himself (Einstein 1920) gives one of the clearest descriptions of how the Lorentz Transformation equations are actually describing properties of space and time itself.

His general reasoning is given below. If the equations are combined they satisfy the relation:

$$(1) \quad x'^2 - c^2 t'^2 = x^2 - c^2 t^2$$

The geometrical nature of this relationship can be appreciated if the propagation of a light ray into spherically symmetrical space is considered. The radius of a sphere is given by:

$$r = \sqrt{x^2 + y^2 + z^2}$$

If the radius is marked out by the path of a light ray so that $r = ct$ then in general the postulate of the constancy of the speed of light leads to the postulate that the radius of a sphere is:

$$ct = \sqrt{x^2 + y^2 + z^2}$$

And:

$$x^2 + y^2 + z^2 - c^2 t^2 = 0$$

This also applies to light propagation in the primed coordinates, see equation (1), so:

$$x'^2 + y'^2 + z'^2 - c^2 t'^2 = 0$$

And so:

$$(2) \quad x'^2 + y'^2 + z'^2 - c^2 t'^2 = x^2 + y^2 + z^2 - c^2 t^2$$

Equation (2) is a **geometrical postulate** about the relationship between lengths and times in the universe. It suggests that there is a constant s such that:

$$s^2 = x'^2 + y'^2 + z'^2 - c^2 t'^2$$

or equally:

$$s^2 = x^2 + y^2 + z^2 - c^2 t^2$$

This equation was recognised by Minkowski as an extension of Pythagoras' Theorem (ie: $h^2 = x^2 + y^2$), such extensions being well known in early twentieth century mathematics. What the Lorentz Transformation is telling us is that the universe is a four dimensional spacetime and as a result there is no need for any "aether". (See Einstein 1920, appendices, for Einstein's discussion of how the Lorentz Transformation suggests a four dimensional universe but be cautioned that "imaginary time" has now been replaced by the use of "metric tensors").

Einstein's analysis shows that the x-axis and time axis of two observers in relative motion do not overlie each other, The equation relating one observer's time to the other observer's time shows that this relationship changes with distance along the x-axis ie:

$$t' = \gamma \left(t - \frac{vx}{c^2} \right)$$

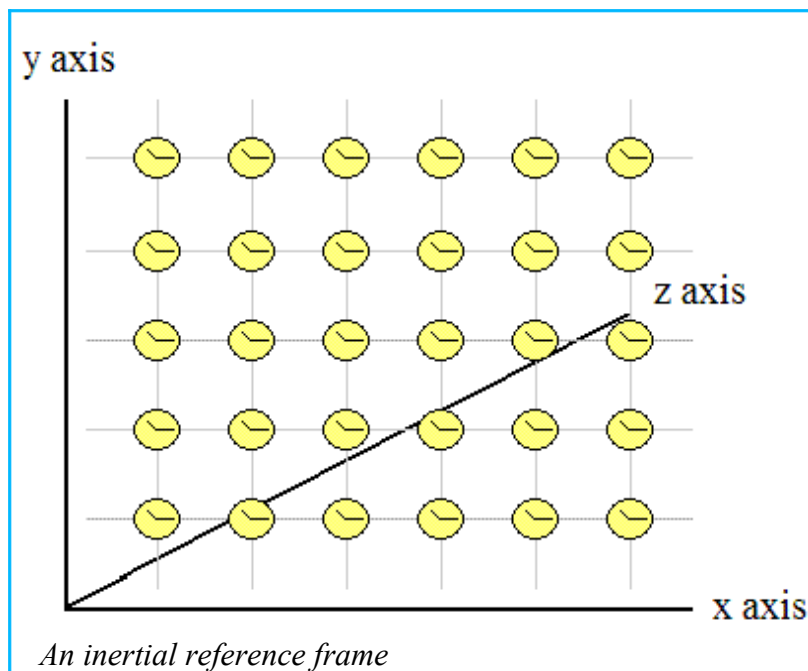
This means that the whole idea of "frames of reference" needs to be re-visited to allow for the way that axes no longer overlie each other.

Einstein, A. (1920). Relativity. The Special and General Theory. Methuen & Co Ltd 1920. Written December, 1916. Robert W. Lawson (Authorised translation). <http://www.bartleby.com/173/>

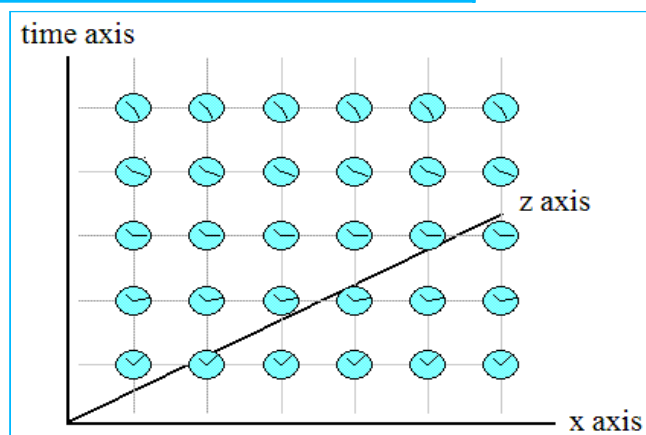
Inertial reference frames

The Lorentz Transformation for time involves a component (v/c^2) which results in time measurements being different along the x-axis of relatively moving observers. This means that the old idea of a frame of reference that simply involves three space dimensions with a time that is in common between all of the observers no longer applies. To compare measurements between observers the concept of a "reference frame" must be extended to include the observer's clocks.

An **inertial reference frame** is a conceptual, three-dimensional latticework of measuring rods set at right angles to each other with clocks at every point that are synchronised with each other (see below for a full definition). An object that is part of, or attached to, an inertial frame of reference is defined as an object which does not disturb the synchronisation of the clocks and remains at a constant spatial position within the reference frame. The inertial frame of reference that has a moving, non-rotating body attached to it is known as the **inertial rest frame** for that body. An inertial reference frame that is a rest frame for a particular body moves with the body when observed by observers in relative motion.



This type of reference frame became known as an "inertial" frame of reference because, as will be seen later in this book, each system of objects that are co-moving according to Newton's law of inertia (without rotation, gravitational fields or forces acting) have a common rest frame, with clocks that differ in synchronisation and rods that differ in length, from



those in other, relatively moving, rest frames.

Inertial reference frames can also be represented using coordinate systems that plot time against space.

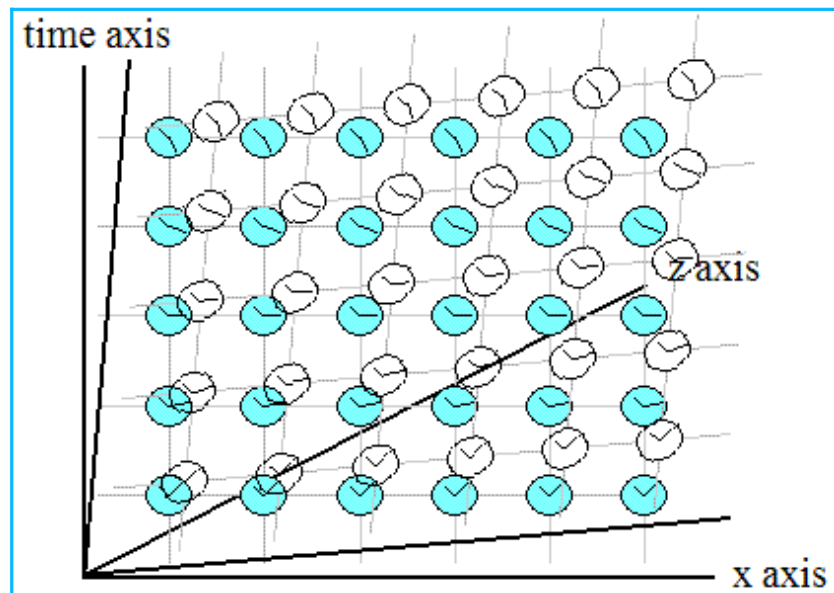
There are many other definitions of an "inertial reference frame" but most of these, such as "an inertial reference frame is a reference frame in which Newton's First Law is valid" do not provide essential details about how the coordinates are arranged and/or represent deductions from more fundamental definitions.

The following definition by Blandford and Thorne(2004) is a fairly complete summary of what working physicists mean by an inertial frame of reference:

"An inertial reference frame is a (conceptual) three-dimensional latticework of measuring rods and clocks with the following properties: (i) The latticework moves freely through spacetime (i.e., no forces act on it), and is attached to gyroscopes so it does not rotate with respect to distant, celestial objects. (ii) The measuring rods form an orthogonal lattice and the length intervals marked on them are uniform when compared to, e.g., the wavelength of light emitted by some standard type of atom or molecule; and therefore the rods form an orthonormal, Cartesian coordinate system with the coordinate x measured along one axis, y along another, and z along the third. (iii) The clocks are densely packed throughout the latticework so that, ideally, there is a separate clock at every lattice point. (iv) The clocks tick uniformly when compared, e.g., to the period of the light emitted by some standard type of atom or molecule; i.e., they are ideal clocks. (v) The clocks are synchronized by the Einstein synchronization process: If a pulse of light, emitted by one of the clocks, bounces off a mirror attached to another and then returns, the time of bounce t_b as measured by the clock that does the bouncing is the average of the times of emission and reception as measured by the emitting and receiving clock: $t_b = 1 / 2(t_e + t_r)$.¹

¹For a deeper discussion of the nature of ideal clocks and ideal measuring rods see, e.g., pp. 23-29 and 395-399 of Misner, Thorne, and Wheeler (1973)."

Special Relativity demonstrates that the inertial rest frames of objects that are moving relative to each other do not overlay one another.

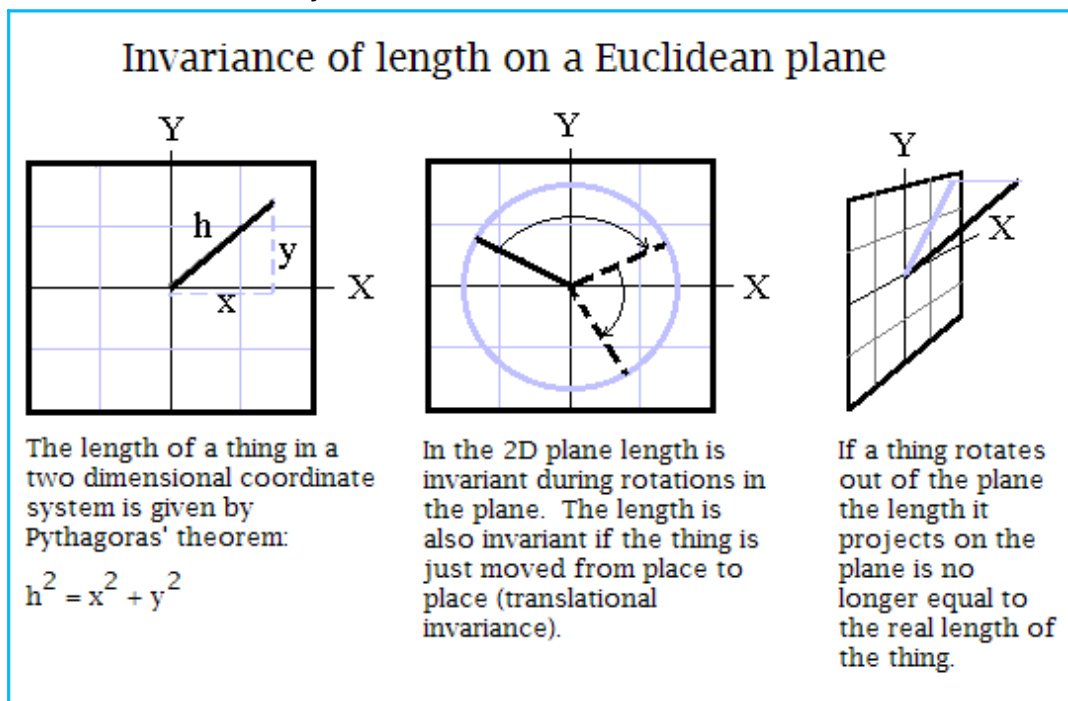


Each observer sees the other, moving observer's, inertial frame of reference as distorted. This discovery is the essence of Special Relativity and means that the transformation of coordinates and other measurements between moving observers is complicated. It will be discussed in depth below.

The modern approach to special relativity

Although the special theory of relativity was first proposed by Einstein in 1905, the modern approach to the theory depends upon the concept of a four-dimensional universe that was first proposed by Hermann Minkowski in 1908. This approach uses the concept of invariance to explore the types of coordinate systems that are required to provide a full physical description of the location and extent of things.

The modern theory of special relativity begins with the concept of "length". In everyday experience, it seems that the length of objects remains the same no matter how they are rotated or moved from place to place. We think that the simple length of a thing is "invariant". However, as is shown in the illustrations below, what we are actually suggesting is that length seems to be invariant in a three-dimensional coordinate system.



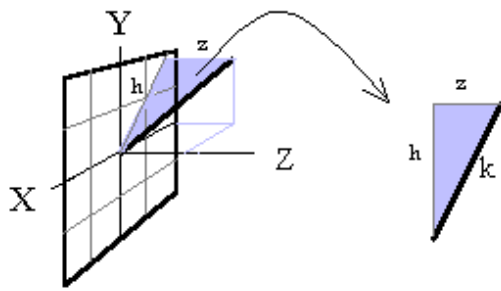
The length of a thing in a two-dimensional coordinate system is given by Pythagoras' theorem:

$$h^2 = x^2 + y^2$$

This two-dimensional length is not invariant if the thing is tilted out of the two-dimensional plane. In everyday life, a three-dimensional coordinate system seems to describe the length fully. The length is given by the three-dimensional version of Pythagoras' theorem:

$$h^2 = x^2 + y^2 + z^2$$

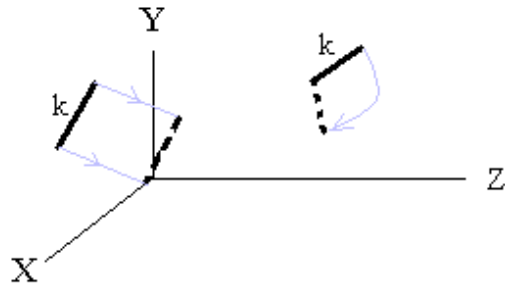
Invariance in a 3D Euclidean space



The length of an object in a three dimensional coordinate system is given by the 3D version of Pythagoras' theorem:

$$k^2 = h^2 + z^2 \quad \text{but } h^2 = x^2 + y^2$$

$$k^2 = x^2 + y^2 + z^2$$

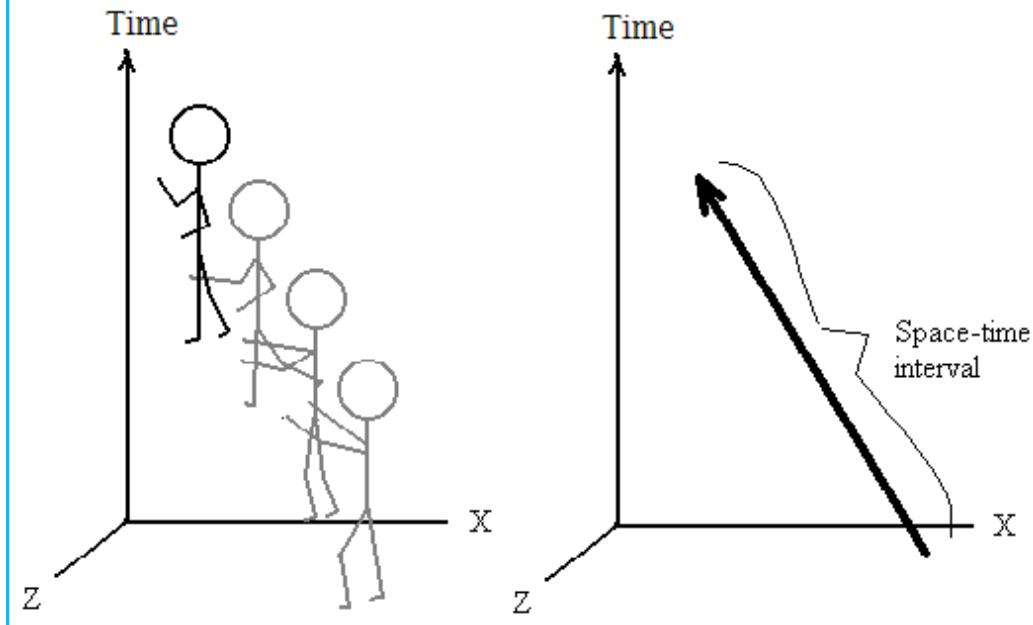


In a three dimensional coordinate system it seems that the real length of a thing stays the same (is INVARIANT) during translations and rotations. It appears to be always given by:

$$k^2 = x^2 + y^2 + z^2$$

The derivation of this formula is shown in the illustration. It seems that, provided all the directions in which a thing can be tilted or arranged are represented within a coordinate system, then the coordinate system can fully represent the length of a thing. However, it is clear that things may also be changed over a period of time. Time is another direction in which things can be arranged. This is shown in the following diagram:

The invariant spacetime interval



The path taken by a thing in both space and time is known as the space-time interval.

In 1908 Hermann Minkowski pointed out that if things could be rearranged in time, then the universe might be four-dimensional. He boldly suggested that Einstein's recently-discovered theory of Special Relativity was a consequence of this four-dimensional universe. He proposed that the space-time interval might be related to space and time by Pythagoras' theorem in four dimensions:

$$s^2 = x^2 + y^2 + z^2 + (ict)^2$$

Where i is the imaginary unit (sometimes imprecisely called $\sqrt{-1}$), c is a constant, and t is the time interval spanned by the space-time interval, s . The symbols x , y and z represent displacements in space along the corresponding axes. In this equation, the 'second' becomes just another unit of length. In the same way as centimetres and inches are both units of length related by centimetres = 'conversion constant' times inches, metres and seconds are related by metres = 'conversion constant' times seconds. The conversion constant, c has a value of about 300,000,000 meters per second. Now i^2 is equal to minus one, so the space-time interval is given by:

$$s^2 = x^2 + y^2 + z^2 - (ct)^2$$

Minkowski's use of the imaginary unit has been superseded by the use of advanced geometry, that uses a tool known as the "metric tensor", but his original equation survives, and the space-time interval is still given by:

$$s^2 = x^2 + y^2 + z^2 - (ct)^2$$

Space-time intervals are difficult to imagine; they extend between one place and time and another place and time, so the velocity of the thing that travels along the interval is already determined for a given observer.

If the universe is four-dimensional, then the space-time interval will be invariant, rather than spatial length. Whoever measures a particular space-time interval will get the same value, no matter how fast they are travelling. The invariance of the space-time interval has some dramatic consequences.

The first consequence is the prediction that if a thing is travelling at a velocity of c metres per second, then all observers, no matter how fast they are travelling, will measure the same velocity for the thing. The velocity c will be a universal constant. This is explained below.

When an object is travelling at c , the space time interval is **zero**, this is shown below:

The distance travelled by an object moving at velocity v in the x direction for t seconds is:

$$x = vt$$

If there is no motion in the y or z directions the space-time interval is $s^2 = x^2 + 0 + 0 - (ct)^2$

$$\text{So: } s^2 = (vt)^2 - (ct)^2$$

But when the velocity v equals c :

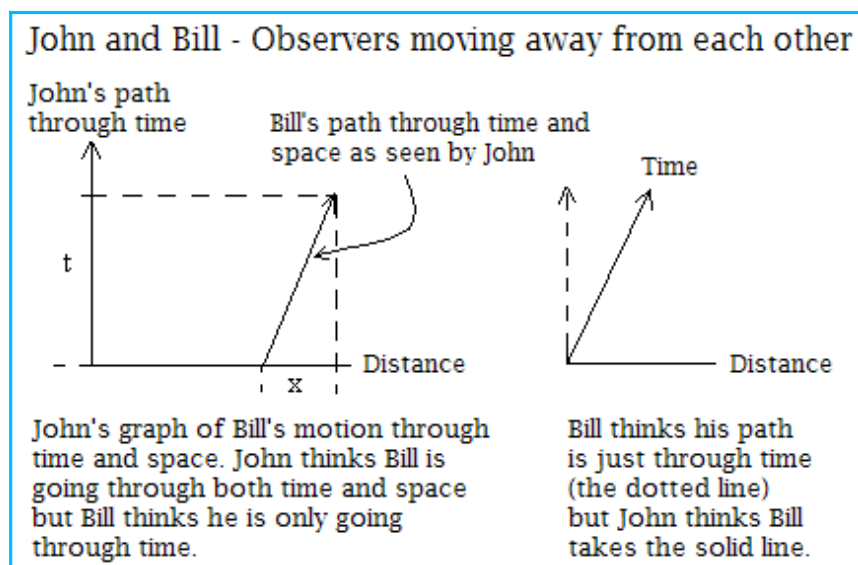
$$s^2 = (ct)^2 - (ct)^2$$

$$\text{And hence the space time interval } s^2 = (ct)^2 - (ct)^2 = 0$$

A space-time interval of zero only occurs when the velocity is c (if $x > 0$). All observers observe the same space-time interval so when observers observe something with a space-time interval of zero, they all observe it to have a velocity of "c", no matter how fast they are moving themselves.

The universal constant, c , is known for historical reasons as the "speed of light". In the first decade or two after the formulation of Minkowski's approach many physicists, although supporting Special Relativity, expected that light might not travel at exactly c , but might travel at very nearly c . There are now few physicists who believe that light does not propagate at c .

The second consequence of the invariance of the space-time interval is that clocks will appear to go slower on objects that are moving relative to you. Suppose there are two people, Bill and John, on separate planets that are moving away from each other. John draws a graph of Bill's motion through space and time. This is shown in the illustration below:



Being on planets, both Bill and John think they are stationary, and just moving through time. John spots that Bill is moving through what John calls space, as well as time, when Bill thinks he is moving through time alone. Bill would also draw the same conclusion about John's motion. To John, it is as if Bill's time axis is leaning over in the direction of travel and to Bill, it is as if John's time axis leans over.

John calculates the length of Bill's space-time interval as:

$$s^2 = (vt)^2 - (ct)^2$$

whereas Bill doesn't think he has travelled in space, so writes:

$$s^2 = (0)^2 - (cT)^2$$

The space-time interval, s^2 , is invariant. It has the same value for all observers, no matter who measures it or how they are moving in a straight line. Bill's s^2 equals John's s^2 so:

$$(0)^2 - (cT)^2 = (vt)^2 - (ct)^2$$

and

$$- (cT)^2 = (vt)^2 - (ct)^2$$

hence

$$t = T / \sqrt{1 - v^2/c^2}$$

So, if John sees Bill measure a time interval of 1 second ($T = 1$) between two ticks of a clock that is at rest in Bill's frame, John will find that his own clock measures an interval t between these same ticks which is greater than one second. The interval t is known as **coordinate time**. It is said that clocks in motion slow down, relative to those on observers at rest. This is known as "relativistic time dilation of a moving clock". The time that is measured in the rest frame of the clock (in Bill's frame) is called the **proper time** of the clock.

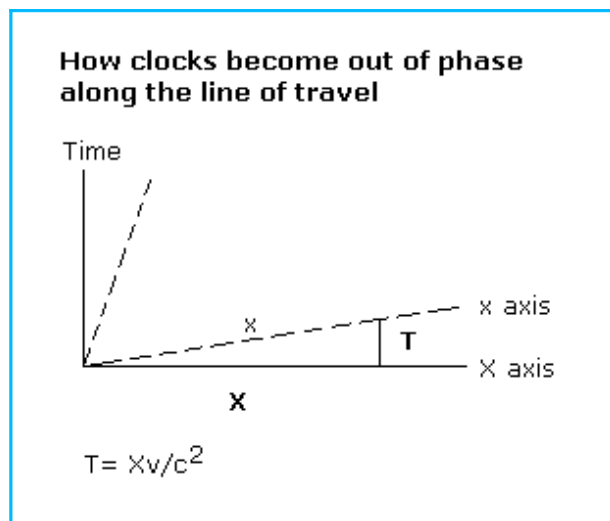
John will also observe measuring rods at rest on Bill's planet to be shorter than his own measuring rods, in the direction of motion. This is a prediction known as "relativistic length contraction of a moving rod". If the length of a rod at rest on Bill's planet is X , then we call this quantity the proper length of the rod. The length x of that same rod as measured on John's planet, is called **coordinate length**, and given by.

$$x = X \sqrt{1 - v^2/c^2}$$

See section on the Lorentz transformation below.

The last consequence is that clocks will appear to be out of phase with each other along the length of a moving object. This means that if one observer sets up a line of clocks that are all synchronised so they all read the same time, then another observer who is moving along the line at high speed will see the clocks all reading different times. In other words observers who are moving relative to each other see different events as **simultaneous**. This effect is known as **Relativistic Phase** or the **Relativity of Simultaneity**. Relativistic phase is often overlooked by students of Special Relativity, but if it is understood then phenomena such as the twin paradox are easier to understand.

The way that clocks go out of phase along the line of travel can be calculated from the concepts of the invariance of the space-time interval and length contraction.



The relationship for comparing lengths in the direction of travel is given by:

$$x = X\sqrt{1 - v^2/c^2}$$

So distances between two points, at $t=0$, according to Bill are simple lengths in space (x) whereas John sees Bill's measurement of distance as a combination of a distance (X) and a time interval (T):

$$x^2 = X^2 - (cT)^2$$

But from the length formula above:

$$x^2 = X^2 - (v^2 / c^2)X^2$$

$$\text{So: } (cT)^2 = (v^2 / c^2)X^2$$

$$\text{And } cT = (v / c)X$$

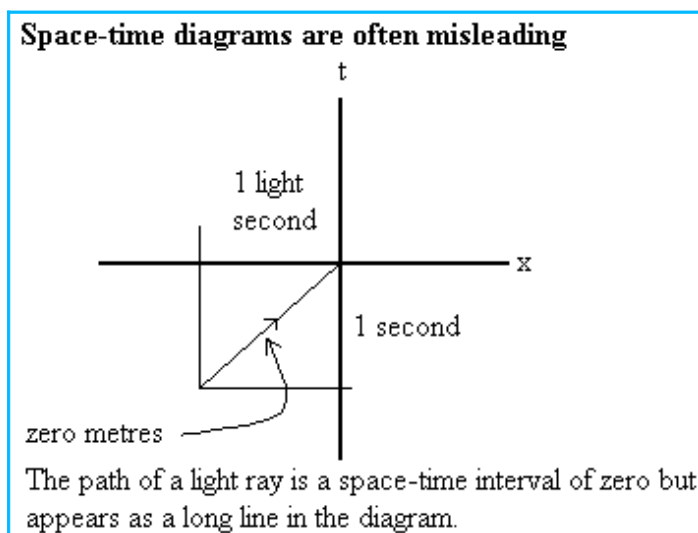
$$\text{So: } T = (v / c^2)X$$

Clocks that are synchronised for one observer go out of phase along the line of

travel for another observer moving at v metres per second by: (v / c^2) seconds for every metre. This is one of the most important results of Special Relativity and should be thoroughly understood by students.

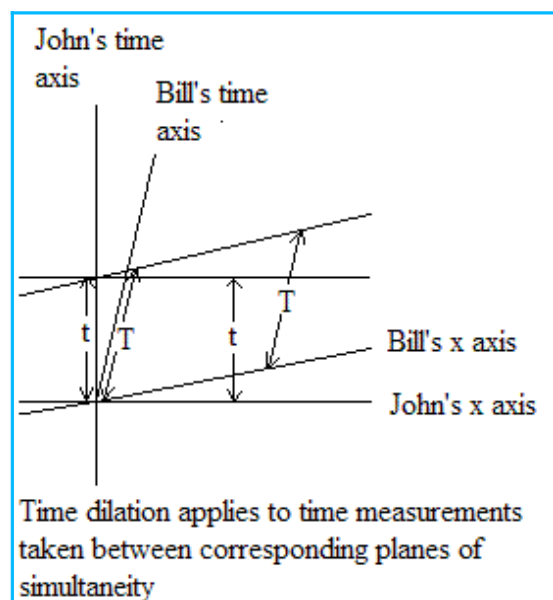
The net effect of the four-dimensional universe is that observers who are in motion relative to you seem to have time coordinates that lean over in the direction of motion and consider things to be simultaneous that are not simultaneous for you. Spatial lengths in the direction of travel are shortened, because they tip upwards and downwards, relative to the time axis in the direction of travel, akin to a rotation out of three-dimensional space.

Great care is needed when interpreting space-time diagrams. Diagrams present data in two dimensions, and cannot show faithfully how, for instance, a zero length space-time interval appears.



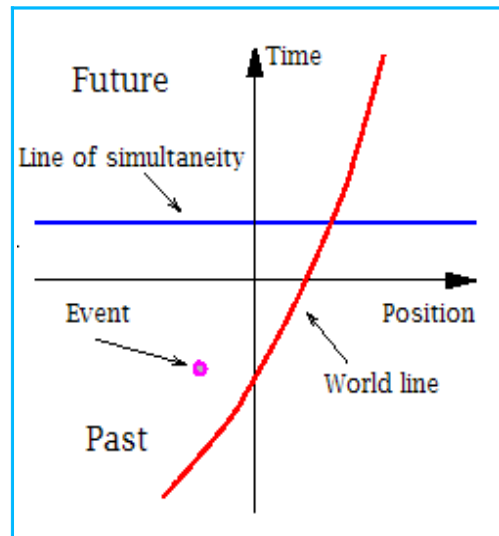
It is sometimes mistakenly held that the time dilation and length contraction results only apply for observers at $x=0$ and $t=0$. This is untrue but like many myths it contains an element of truth. An inertial frame of reference is defined so that length and time comparisons can be made anywhere within a given reference frame.

Time differences in one inertial reference frame can be compared with time differences anywhere in another inertial reference frame provided it is remembered that these differences apply to corresponding pairs of simultaneous events.



Spacetime

In order to gain an understanding of both Galilean and Special Relativity it is important to begin thinking of space and time as being different dimensions of a four-dimensional vector space called spacetime. Actually, since we can't visualize four dimensions very well, it is easiest to start with only one space dimension and the time dimension. The figure shows a graph with time plotted on the vertical axis and the one space dimension plotted on the horizontal axis. An *event* is something that occurs at a particular time and a particular point in space. ("Julius X. wrecks his car in Lemitar, NM on 21 June at 6:17 PM.") A *world line* is a plot of the position of some object as a function of time (more properly, the time of the object as a function of position) on a spacetime diagram. Thus, a world line is really a line in spacetime, while an event is a point in spacetime. A



horizontal line parallel to the position axis (x -axis) is a *line of simultaneity*; in Galilean Relativity all events on this line occur simultaneously for all observers. It will be seen that the line of simultaneity differs between Galilean and Special Relativity; in Special Relativity the line of simultaneity depends on the state of motion of the observer.

In a spacetime diagram the slope of a world line has a special meaning. Notice that a vertical world line means that the object it represents does not move -- the velocity is zero. If the object moves to the right, then the world line tilts to the right, and the faster it moves, the more the world line tilts. Quantitatively, we say that:

$$\text{Velocity} = 1/(\text{slope of worldline})$$

Notice that this works for negative slopes and velocities as well as positive ones. If the object changes its velocity with time, then the world line is curved, and the instantaneous velocity at any time is the inverse of the slope of the tangent to the world line at that time.

The hardest thing to realize about spacetime diagrams is that they represent the past, present, and future all in one diagram. Thus, spacetime diagrams don't change with time -- the evolution of physical systems is represented by looking at successive horizontal slices in the diagram at successive times. Spacetime diagrams represent the evolution of events, but they don't evolve themselves.

The lightcone

Things that move at the speed of light in our four dimensional universe have surprising properties. If something travels at the speed of light along the x -axis and covers x meters from the origin in t seconds the space-time interval of its path is

zero.

$$s^2 = x^2 - (ct)^2$$

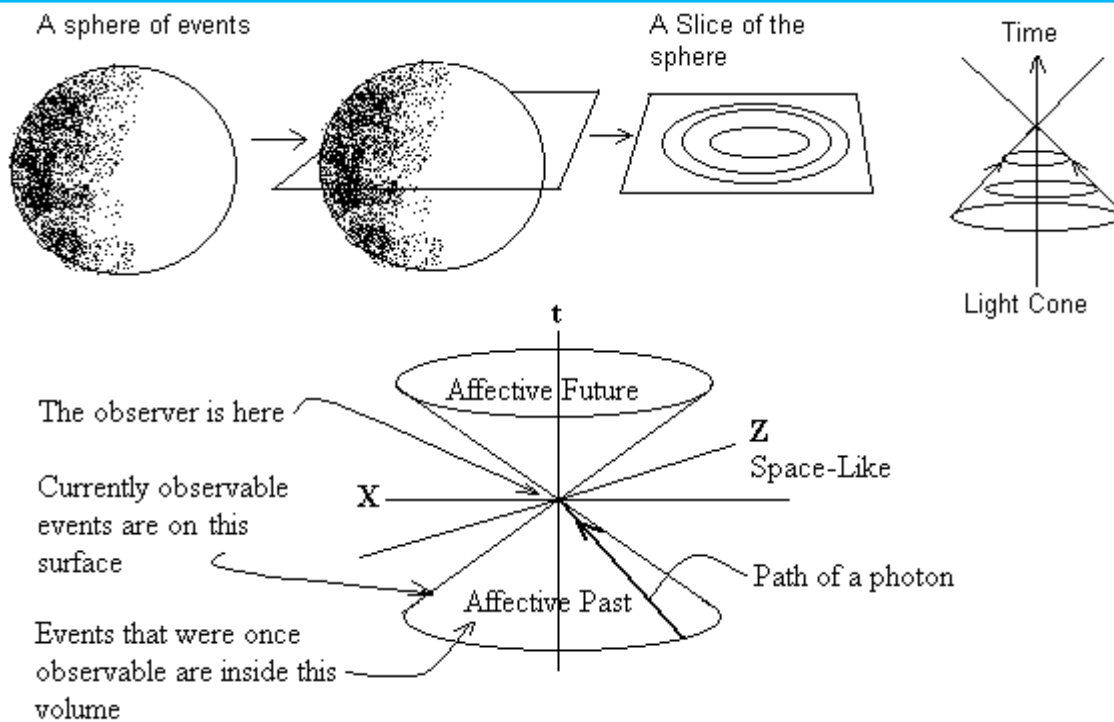
but $x = ct$ so:

$$s^2 = (ct)^2 - (ct)^2 = 0$$

Extending this result to the general case, if something travels at the speed of light in any direction into or out from the origin it has a space-time interval of 0:

$$0 = x^2 + y^2 + z^2 - (ct)^2$$

This equation is known as the Minkowski Light Cone Equation. If light were travelling towards the origin then the Light Cone Equation would describe the position and time of emission of all those photons that could be at the origin at a particular instant. If light were travelling away from the origin the equation would describe the



A Slice of a Sphere of Events Represented by a Light Cone (Solution of $0 = x^2 + z^2 - (ct)^2$)
 position of the photons emitted at a particular instant at any future time 't'.

At the superficial level the light cone is easy to interpret. It's backward surface represents the path of light rays that strike a point observer at an instant and it's forward surface represents the possible paths of rays emitted from the point observer. Things that travel along the surface of the light cone are said to be **light-like** and the path taken by such things is known as a **null geodesic**.

Events that lie outside the cones are said to be **space-like** or, better still **space**

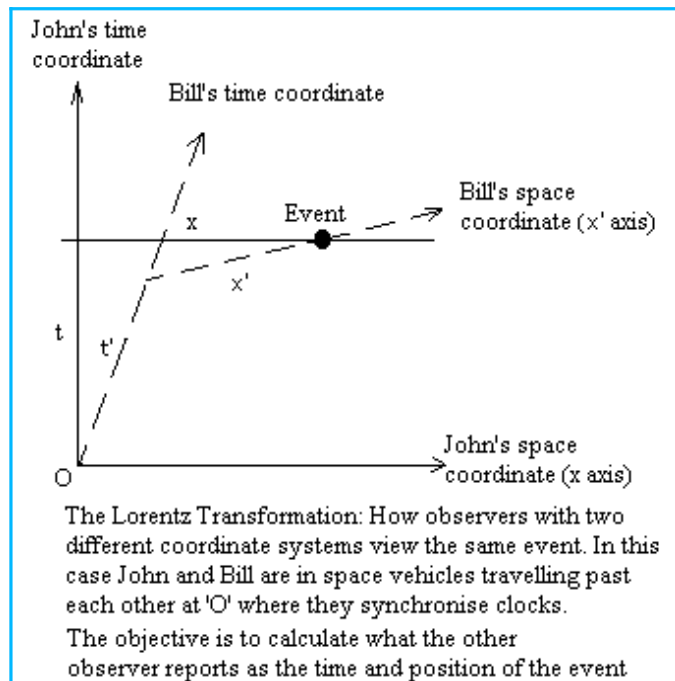
separated because their space time interval from the observer has the same sign as space (positive according to the convention used here). Events that lie within the cones are said to be **time-like** or **time separated** because their space-time interval has the same sign as time.

However, there is more to the light cone than the propagation of light. If the added assumption is made that the speed of light is the maximum possible velocity then events that are space separated cannot affect the observer directly. Events within the backward cone can have affected the observer so the backward cone is known as the "affective past" and the observer can affect events in the forward cone hence the forward cone is known as the "affective future".

The assumption that the speed of light is the maximum velocity for all communications is neither inherent in nor required by four dimensional geometry although the speed of light is indeed the maximum velocity for objects if the principle of **causality** is to be preserved by physical theories (ie: that causes precede effects).

The Lorentz transformation equations

The discussion so far has involved the comparison of interval measurements (time intervals and space intervals) between two observers. The observers might also want to compare more general sorts of measurement such as the time and position of a single event that is recorded by both of them.



The equations that describe how each observer describes the other's recordings in this circumstance are known as the Lorentz Transformation Equations. (Note that the symbols below signify coordinates.)

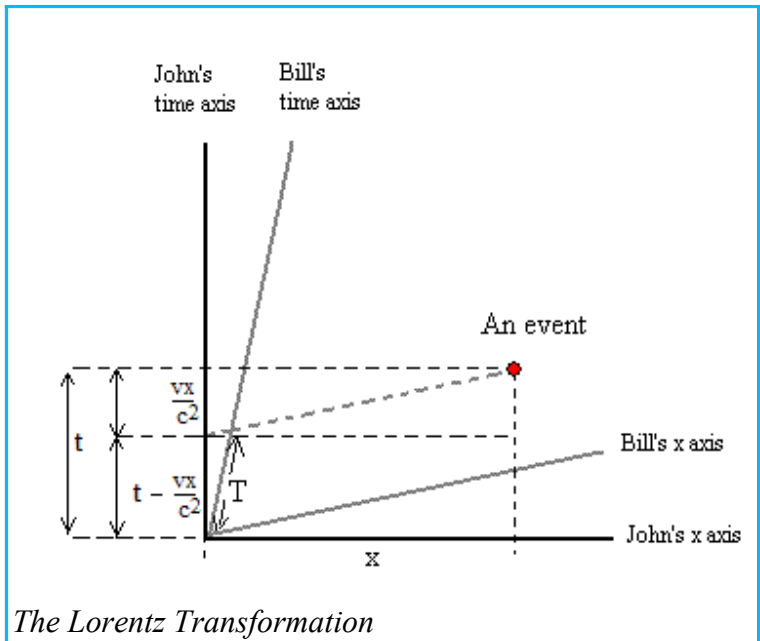
The table below shows the Lorentz Transformation Equations.

$x' = \frac{x - vt}{\sqrt{(1 - v^2/c^2)}}$	$x = \frac{x' + vt'}{\sqrt{(1 - v^2/c^2)}}$
$y' = y$	$y = y'$
$z' = z$	$z = z'$
$t' = \frac{t - (v/c^2)x}{\sqrt{(1 - v^2/c^2)}}$	$t = \frac{t' + (v/c^2)x'}{\sqrt{(1 - v^2/c^2)}}$

See appendix 1 for the derivation of these equations. Notice how the phase $(v/c^2)x$ is important and how these formulae for absolute time and position of a joint event differ from the formulae for intervals.

A spacetime representation of the Lorentz Transformation

Bill and John are moving at a relative velocity, v , and synchronise clocks when they pass each other. Both Bill and John observe an event along Bill's direction of motion. What times will Bill and John assign to the event? It was shown above that the relativistic phase was given by: vx / c^2 . This means that Bill will observe an extra amount of time elapsing on John's time axis due to the position of the event. Taking phase into account and using the time



dilation equation Bill is going to observe that the amount of time his own clocks

$$T = \frac{t - vx/c^2}{\sqrt{1 - v^2/c^2}}$$

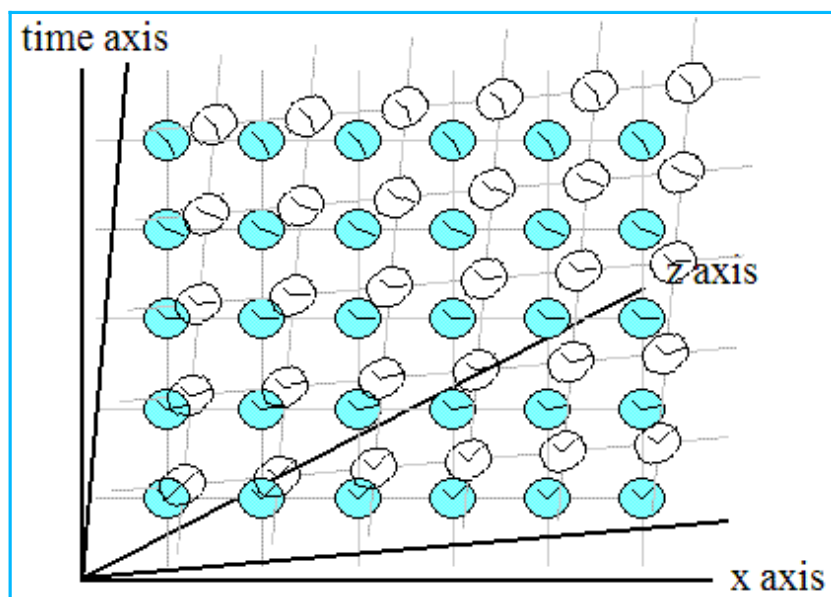
measure can be compared with John's clocks using:

This relationship between the times of a common event between reference frames is known as the Lorentz Transformation Equation for time.

More about the relativity of simultaneity

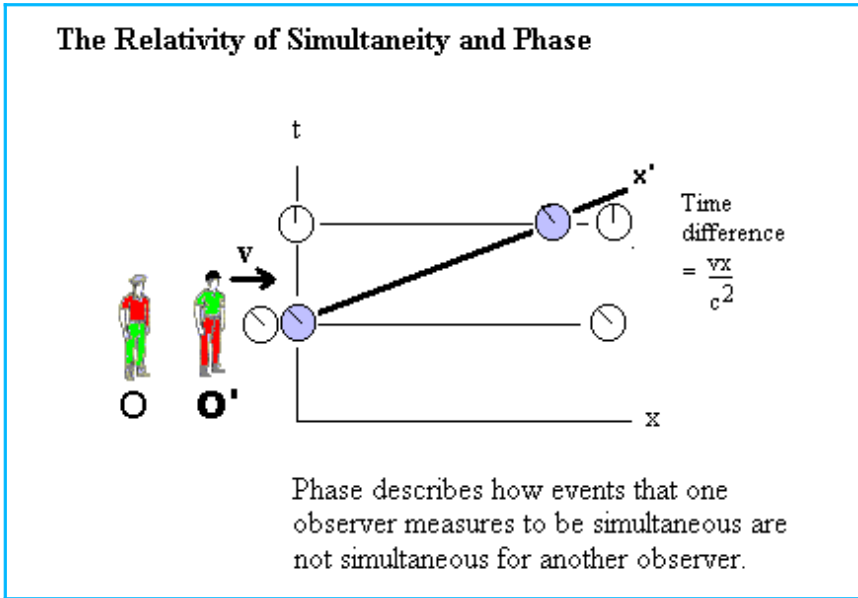
Most physical theories assume that it is possible to synchronise clocks. If you set up an array of synchronised clocks over a volume of space and take a snapshot of all of them simultaneously, you will find that the one closest to you will appear to show a later time than the others, due to the time light needs to travel from each of the distant clocks towards you. However, if the correct clock positions are known, by taking the transmission time of light into account, one can easily compensate for the differences and synchronise the clocks properly. The possibility of truly synchronising clocks exists because the speed of light is constant and this constant velocity can be used in the synchronisation process (the use of the predictable delays when light is used for synchronising clocks is known as "**Einstein synchronisation**").

The Lorentz transformation for time compares the readings of synchronised clocks at any instant. It compares the actual readings on clocks allowing for any time delay due to transmitting information between observers and answers the question "what does the other observer's clock actually read now, at this moment". The answer to this question is shocking. The Lorentz transformation for time shows that the clocks in any frame of reference moving relative to you cease to be synchronised!



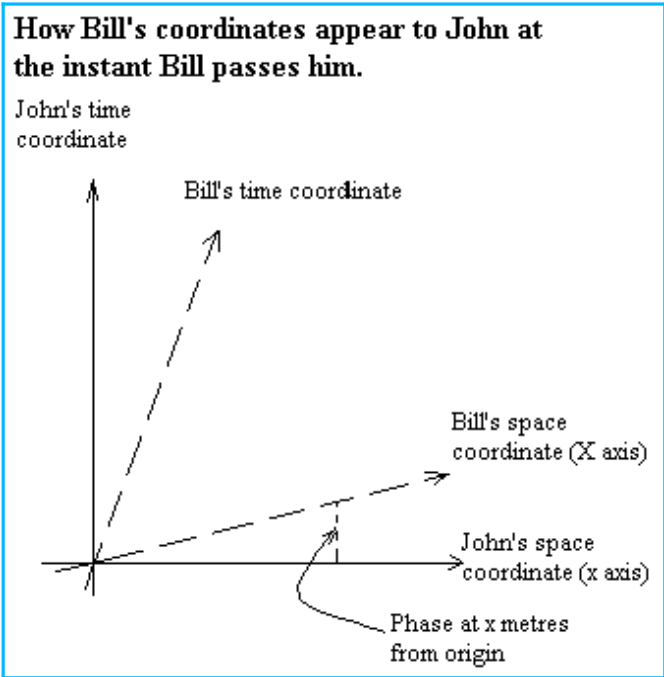
Relativity shows that the frames of reference of relatively moving observers do not overlie each other.

The desynchronisation between relatively moving observers is illustrated below with a simpler diagram:



The effect of the relativity of simultaneity is for each observer to consider that a different set of events is simultaneous. Phase means that observers who are moving relative to each other have different sets of things that are simultaneous, or in their “present moment”. It is this discovery that time is no longer absolute that profoundly unsettles many students of relativity.

The amount by which the clocks differ between two observers depends upon the distance of the clock from the observer ($t = xv / c^2$). Notice that if both observers are part of inertial frames of reference with clocks that are synchronised at every point in space then the phase difference can be obtained by simply reading the difference between the clocks at the distant point and clocks at the origin. This difference will have the same value for both observers.



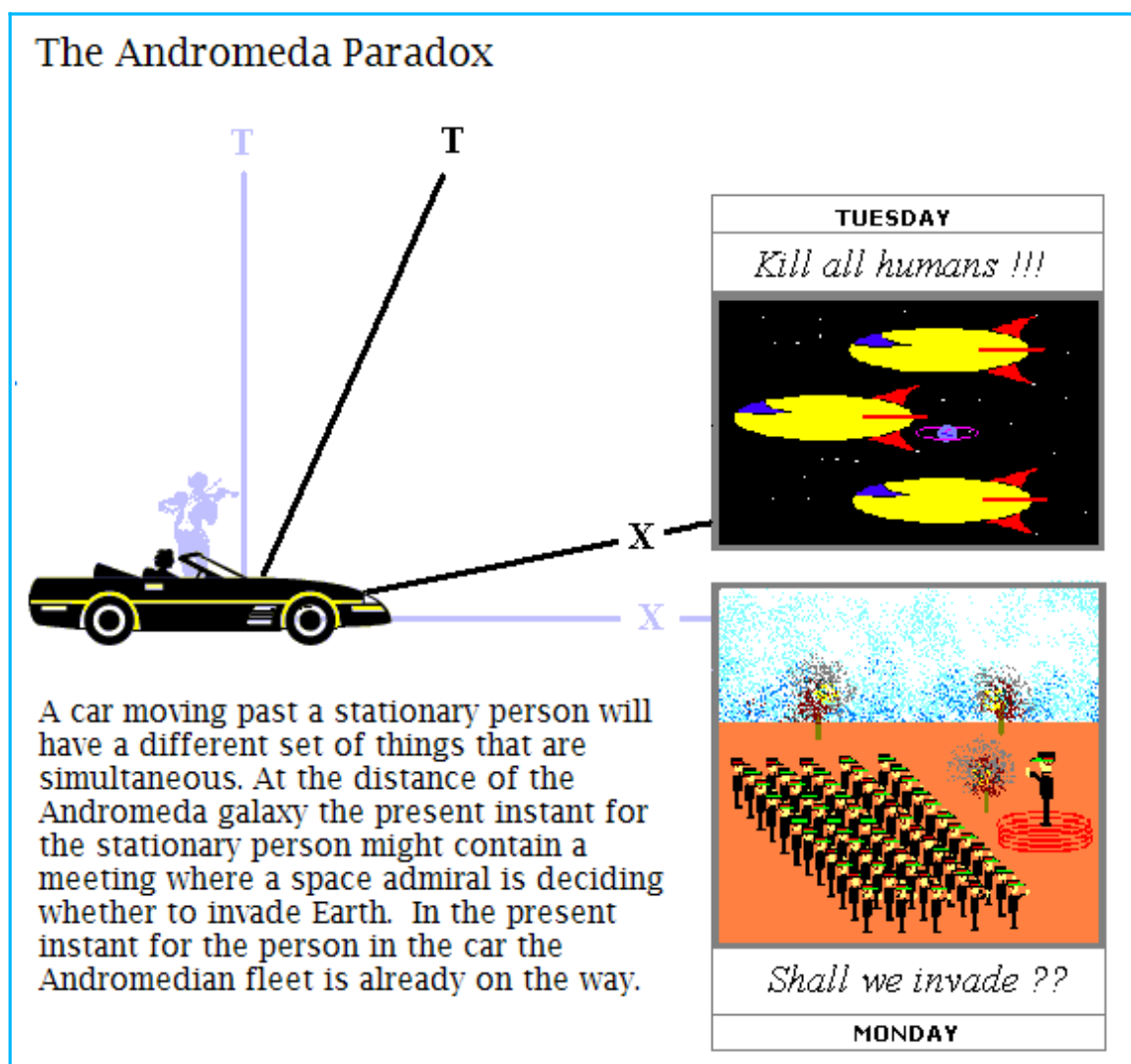
The Andromeda paradox

Relativistic phase differences have the startling consequence that at distances as large as our separation from nearby galaxies an observer who is driving on the earth can have a radically different set of events in her "present moment" from

another person who is standing on the earth. The classic example of this effect of phase is the "Andromeda Paradox", also known as the "Rietdijk-Putnam-Penrose" argument. Penrose described the argument:

"Two people pass each other on the street; and according to one of the two people, an Andromedan space fleet has already set off on its journey, while to the other, the decision as to whether or not the journey will actually take place has not yet been made. How can there still be some uncertainty as to the outcome of that decision? If to either person the decision has already been made, then surely there cannot be any uncertainty. The launching of the space fleet is an inevitability." (Penrose 1989).

The argument is illustrated below:

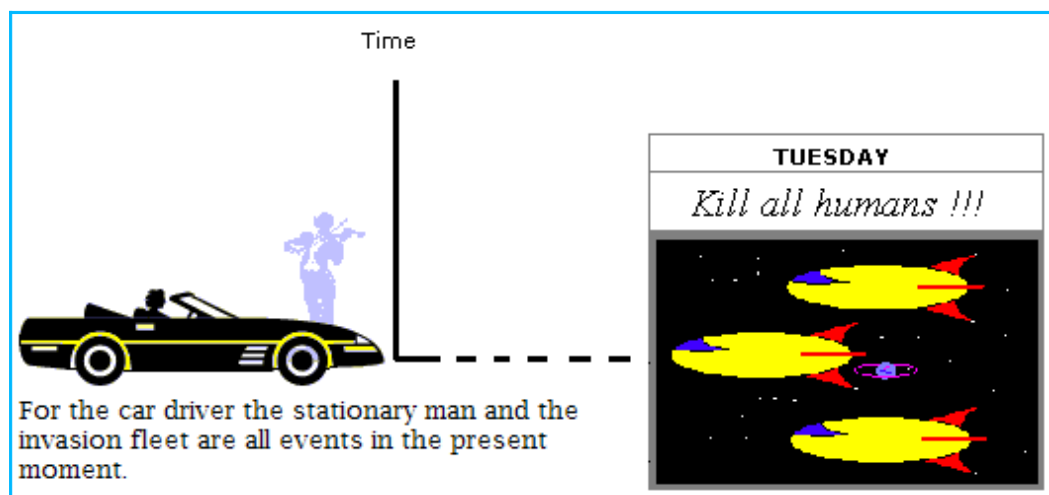


Notice that neither observer can actually "see" what is happening on Andromeda now. The argument is not about what can be "seen", it is purely about what different observers consider to be contained in their instantaneous present moment. The two observers observe the same, two million year old events in their telescopes but the moving observer must assume that events at the present moment on Andromeda are a day or two in advance of those in the present moment of the

stationary observer. (Incidentally, the two observers see the same events in their telescopes because length contraction of the distance from Earth to Andromeda compensates exactly for the time difference on Andromeda.)

This "paradox" has generated considerable philosophical debate on the nature of time and free-will. The advanced text of this book provides a discussion of some of the issues surrounding this geometrical interpretation of special relativity.

A result of the relativity of simultaneity is that if the car driver launches a space rocket towards the Andromeda galaxy it might have a several days head start compared with a space rocket launched from the ground. This is because the "present moment" for the moving car driver is progressively advanced with distance compared with the present moment on the ground. The present moment for the car driver is shown in the illustration below:



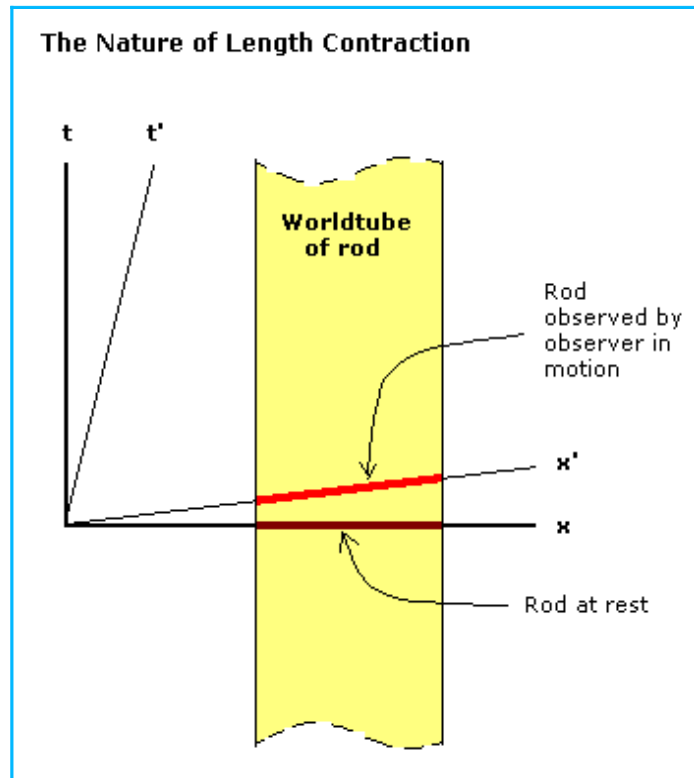
The result of the Andromeda paradox is that when someone is moving towards a distant point there are later events at that point than for someone who is not moving towards the distant point. There is a **time gap** between the events in the present moment of the two people.

The nature of length contraction

According to special relativity items such as measuring rods consist of events distributed in space and time and a three dimensional rod is the events that compose the rod at a single instant. However, from the relativity of simultaneity it is evident that two observers in relative motion will have different sets of events that are present at a given instant. This means that two observers moving relative to each other will usually be observing measuring rods that are composed of **different** sets of events. If the word "rod" means the three dimensional form of the object called a rod then these two observers in relative motion **observe different rods**.

The way that measuring rods differ between observers can be seen by using a Minkowski diagram. The area of a Minkowski diagram that corresponds to all of the

events that compose an object over a period of time is known as the **worldtube** of the object. It can be seen in the image below that length contraction is the result of individual observers having different sections of an object's worldtube in their present instant.



(It should be recalled that the longest lengths on space-time diagrams are often the shortest in reality).

It is sometimes said that length contraction occurs because objects rotate into the time axis. This is partly true but there is no actual rotation of a three dimensional rod, instead the observed three dimensional slice of a four dimensional rod is changed which makes it appear as if the rod has rotated into the time axis.

There can be no doubt that the three dimensional slice of the worldtube of a rod does indeed have different lengths for relatively moving observers so that the relativistic contraction of the rod is a real, physical phenomenon.

The issue of whether or not the events that compose the worldtube of the rod are always existent is a matter for philosophical speculation.

Further reading: [Vesselin Petkov. \(2005\) Is There an Alternative to the Block Universe View?](#)

More about time dilation

The term "time dilation" is applied to the way that observers who are moving relative to you record fewer clock ticks between events than you. In special

relativity this is not due to properties of the clocks, such as their mechanisms getting heavier. Indeed, it should not even be said that the clocks tick faster or slower because what is truly occurring is that the clocks record shorter or longer elapsed times and this recording of elapsed time is independent of the mechanism of the clocks. The differences between clock readings are due to the clocks traversing shorter or longer distances between events along an observer's path through spacetime. This can be seen most clearly by re-examining the Andromeda Paradox.

Suppose Bill passes Jim at high velocity on the way to Mars. Jim has previously synchronised the clocks on Mars with his Earth clocks but for Bill the Martian clocks read times well in advance of Jim's. This means that Bill has a head start because his present instant contains what Jim considers to be the Martian future. Jim observes that **Bill travels through both space and time** and expresses this observation by saying that Bill's clocks recorded fewer ticks than his own. Bill achieves this strange time travel by having what Jim considers to be the future of distant objects in his present moment. Bill is literally travelling into future parts of Jim's frame of reference.

In special relativity time dilation and length contraction are not material effects, they are physical effects due to travel within a four dimensional spacetime. The mechanisms of the clocks and the structures of measuring rods are irrelevant.

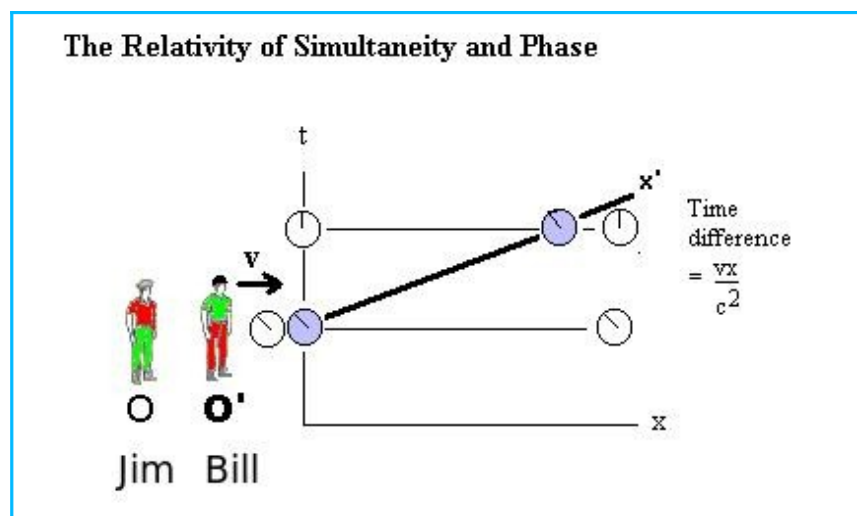
It is important for advanced students to be aware that special relativity and General Relativity differ about the nature of spacetime. General Relativity, in the form championed by Einstein, avoids the idea of extended space and time and is what is known as a "relationalist" theory of physics. Special relativity, on the other hand, is a theory where extended spacetime is pre-eminent. The brilliant flowering of physical theory in the early twentieth century has tended to obscure this difference because, within a decade, special relativity had been subsumed within General Relativity. The interpretation of special relativity that is presented here should be learnt before advancing to more advanced interpretations.

The twin paradox

In the twin paradox there are twins, Bill and Jim. Jim is on Earth. Bill flies past Jim in a spaceship, goes to a distant point such as Mars, turns round and flies back again. It is found that Bill records fewer clock ticks over the whole journey than Jim records on earth. Why?

The twin paradox seems to cause students more problems than almost any other area of special relativity. Students sometimes reason that "all motion is relative" and time dilation applies so wonder why, if Jim records 25 seconds for the journey and sees Bill's clocks read 15 seconds, Bill doesn't reciprocally see Jim's clocks read only 9 seconds? This mistake arises for two reasons. Firstly, relativity does not hold that "all motion is relative;" this is not a postulate of the theory. Secondly, Bill moves through space, so the effects of the relativity of simultaneity must be considered as well as time dilation. The analysis given below follows Bohm's approach (see "further reading" below). It demonstrates that the twin "paradox", or more correctly, the way that the twin's clocks read different elapsed times, is due in large part to the relativity of simultaneity.

The effects of the relativity of simultaneity such as are seen in the "Andromeda paradox" are, in part, the origin of the "twin paradox". If you have not understood the Andromeda Paradox you will not understand the twin paradox because it will not be obvious that the twin who turns round has a head start. The relativity of simultaneity means that if Bill flies past Jim in the direction of Mars then Bill finds that any of Jim's clocks on Mars will already be reading a time that is in Jim's future. Bill gets a head start on the journey because for him Mars is already in Jim's future. Examine the diagram below, the x' axis connects all the events that Bill considers to be in his present moment, notice that these events get ever further into Jim's future with distance. Bill is flying to a Mars that is already in Jim's future. If you understand this then you will understand the twin paradox.



The analysis of the twin paradox begins with Jim and Bill synchronising clocks in their frames of reference. Jim synchronises his clocks on Earth with those on Mars. As Bill flies past Jim he synchronises his clock with Jim's clock on Earth. When he does this he realises that the relativity of simultaneity applies and so, for Bill, Jim's clocks on Mars are not synchronised with either his own or Jim's clocks on Earth. There is a time difference, or "gap", between Bill's clocks and those on Mars even when he passes Jim. This difference is equal to the relativistic phase at the distant point. This set of events is almost identical to the set of events that were discussed above in the Andromeda Paradox. This is the most crucial part of understanding the twin paradox: to Bill the clocks that Jim has placed on Mars are **already in Jim's future** even as Bill passes Jim on Earth.

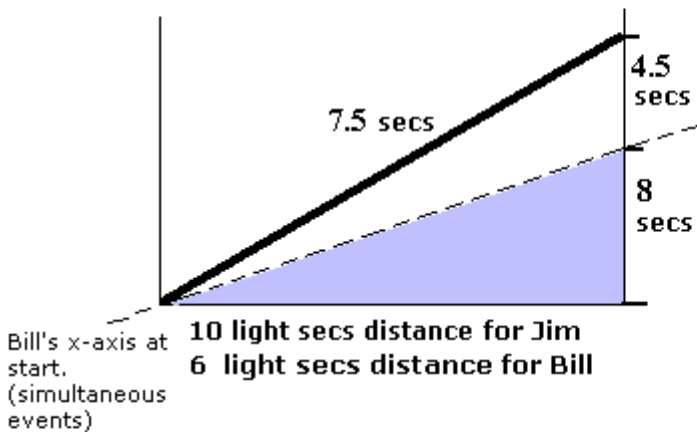
Bill flies to Mars and discovers that the clocks there are reading a later time than his own clock. He turns round to fly back to Earth and realises that the relativity of simultaneity means that, for Bill, the clocks on Earth will have jumped forward and are ahead of those on Mars, yet another "time gap" appears. When Bill gets back to Earth the time gaps and time dilations mean that people on Earth have recorded more clock ticks than he did.

In essence the twin paradox is equivalent to two Andromeda paradoxes, one for the outbound journey and one for the inbound journey with the added spice of actually visiting the distant points.

For ease of calculation suppose that Bill is moving at a truly astonishing velocity of $0.8c$ in the direction of a distant point that is 10 light seconds away (about 3 million kilometres). The illustration below shows Jim and Bill's observations:

The Time Gap Explanation of the Twin 'Paradox

The outward journey



Bill travels 10 light secs at 0.8c so takes 12.5 secs to get to the destination according to Jim.

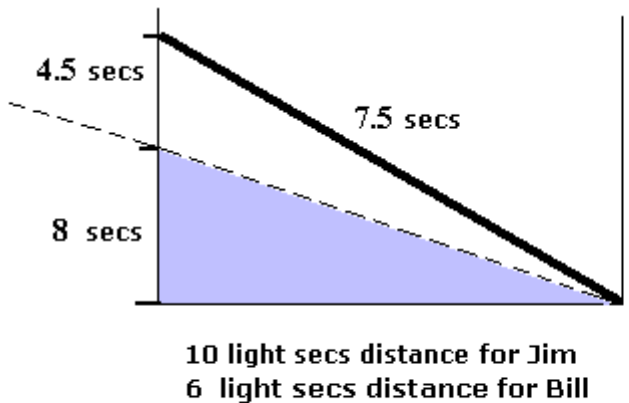
Bill observes the distance to be 6 light secs ie: $10\sqrt{1 - 0.8^2}$

Bill takes 7.5 secs to make the journey according to his own clocks, ie: $x/v = 6/0.8$

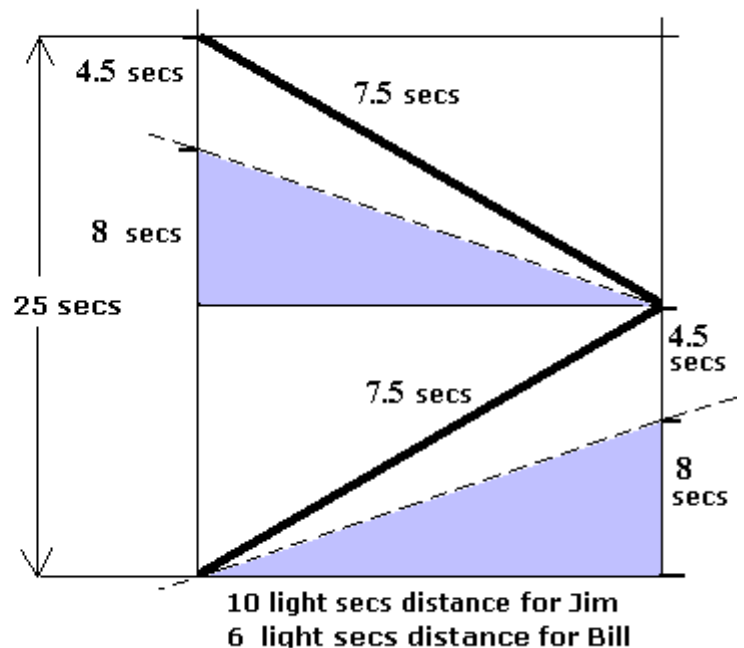
Bill observes ONLY 4.5 secs elapsed time on the clock at the destination.

The other 8 secs on the clock are due to the initial time gap. Even when Bill is next to Jim he sees that the destination is already 8 secs into Jim's future.

The return journey



The overall journey



From Bill's viewpoint there is both a time dilation and a phase effect. It is the added factor of "phase" that explains why, although the time dilation occurs for both observers, Bill observes the same readings on Jim's clocks over the whole journey as does Jim.

To summarise the mathematics of the twin paradox using the example: Jim observes the distance as 10 light seconds and the distant point is in his frame of reference. According to Jim it takes Bill the following time to make the journey:

Time taken = distance / velocity therefore according to Jim:

$$t = 10 / 0.8 = 12.5 \text{ seconds}$$

Again according to Jim, time dilation should affect the observed time on Bill's clocks:

$$T = t \times \sqrt{1 - v^2/c^2}$$

so the time elapsed on Bill's clocks is:

$$T = 12.5 \times \sqrt{1 - 0.8^2} = 7.5 \text{ secs}$$

Thus for Jim the round trip takes 25 secs and Bill's clock reads 15 secs.

Bill measures the distance as:

$$X = x \times \sqrt{1 - v^2/c^2} = 10 \times \sqrt{1 - 0.8^2} = 6 \text{ light seconds}$$

For Bill the trip takes $X / v = 6 / 0.8 = 7.5$ seconds.

Bill observes Jim's clocks to appear to run slow as a result of time dilation:

$$t' = T \times \sqrt{1 - v^2/c^2}$$

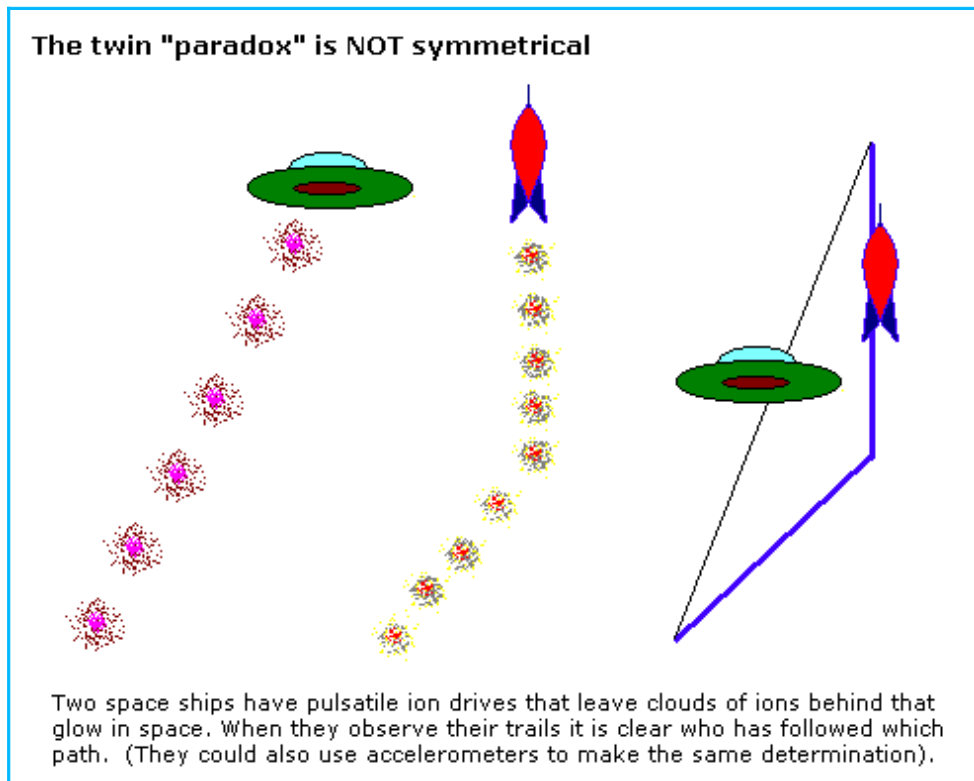
hence the time that elapses on the clock on Mars is:

$$t' = 7.5 \times \sqrt{1 - 0.8^2} = 4.5 \text{ secs}$$

But there is also a time gap of $vx / c^2 = 8$ seconds. This gap must be added to the elapsed time to give the actual time shown on the clock on Mars. So for Bill, Jim's clocks register 12.5 secs have passed from the start to the distant point. This is composed of 4.5 secs elapsing on Jim's clocks at the turn round point plus an 8 sec time gap from the start of the journey. Bill sees 25 secs total time recorded on Jim's clocks over the whole journey, this is the same time as Jim observes on his own clocks.

It is sometimes dubiously asserted that the twin paradox is about the clocks on the twin that leaves earth being slower than those on the twin that stays at home, it is then argued that biological processes contain clocks therefore the twin that travelled away ages less. This is not really true because the relativistic phase plays a major role in the twin paradox and leads to Bill travelling to a remote place that, for Bill, is at a later time than Jim when Bill and Jim pass each other. A more accurate explanation is that when we travel we travel in time as well as space.

Students have difficulty with the twin paradox because they believe that the observations of the twins are symmetrical. This is not the case. As can be seen from the next illustration either twin could determine whether they had made the turn or the other twin had made the turn.



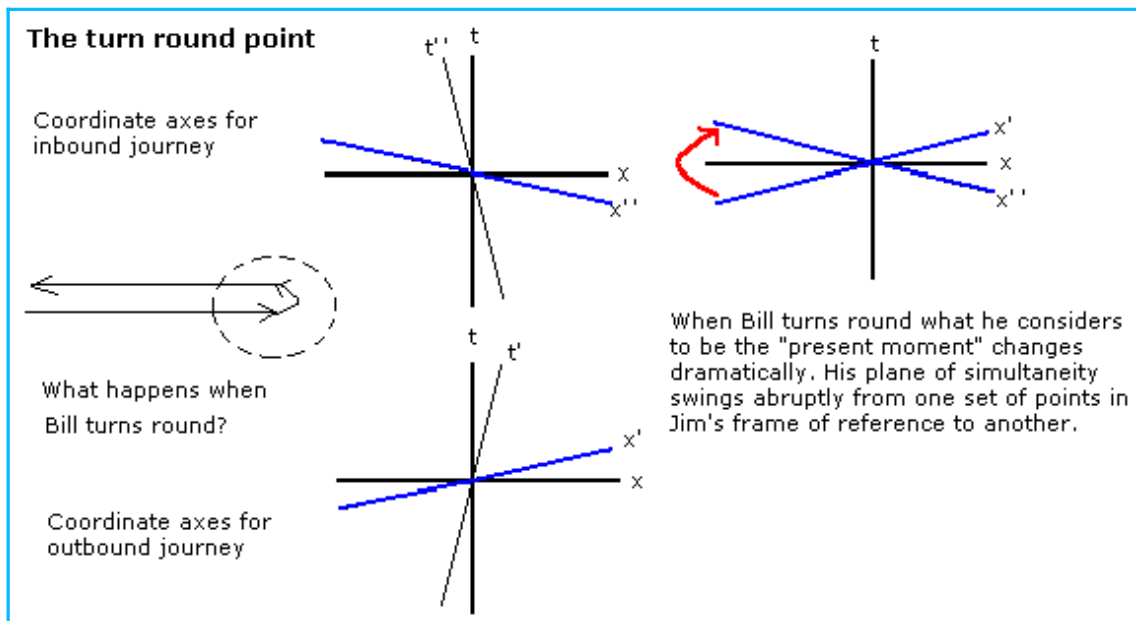
The twin paradox can also be analysed without including any turnaround by Bill. Suppose that when Bill passes Mars he meets another traveller coming towards Earth. If the two travellers synchronise clocks as they pass each other they will obtain the same elapsed times for the whole journey to Mars and back as Bill would have recorded himself. This shows that the "paradox" is independent of any acceleration effects at the turnaround point.

Jim and Bill's view of the journey

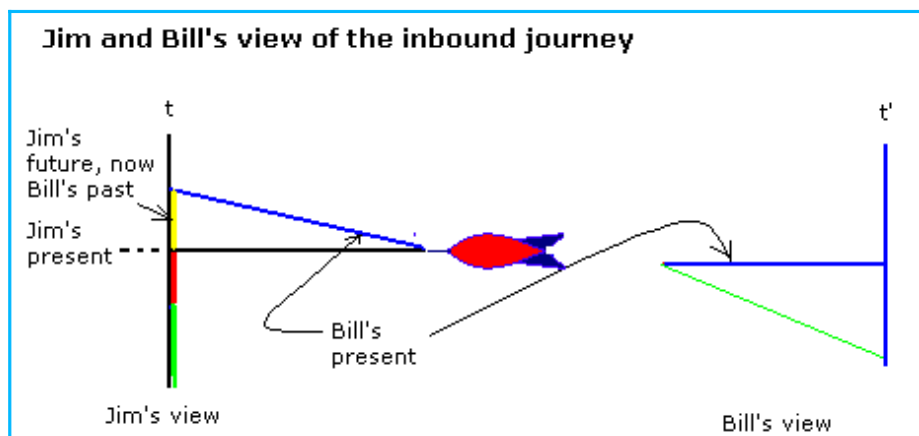
Special relativity does not postulate that all motion is 'relative'; the postulates are that the laws of physics are the same in all inertial frames and there is a constant velocity called the "speed of light". Contrary to popular myth the twins do not observe events that are a mirror image of each other. Bill observes himself leave Jim then return, Jim sees Bill leave him then return. Bill does not observe Jim turn round, he observes himself making the turn.

The following illustrations cover various views of the journey. The most important moment in the journey is the point where Bill turns round. Notice how Bill's surface of simultaneity, that includes the events that he considers to be in the present moment, swings across Jim's worldline during the turn.

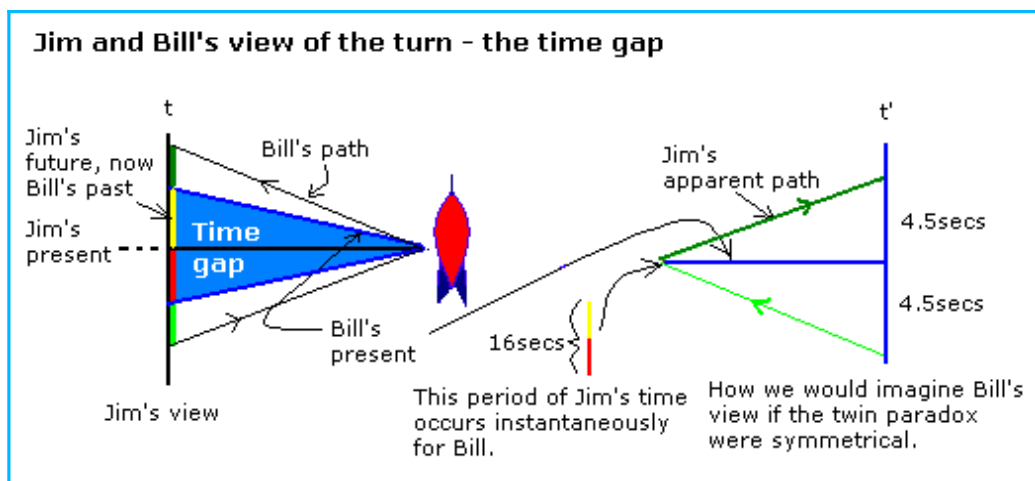
As Bill travels away from Jim he considers events that are already in Jim's past to be in his own present.



After the turn Bill considers events that are in Jim's future to be in his present (although the finite speed of light prevents Bill from observing Jim's future).

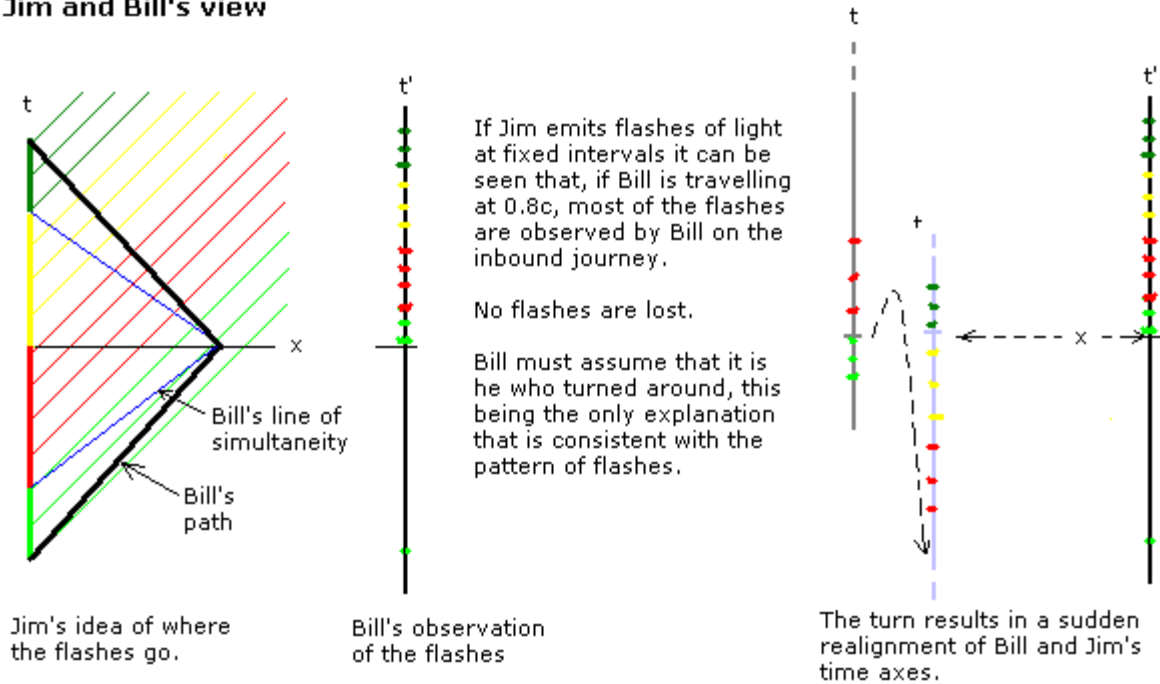


The swing in Bill's surface of simultaneity at the turn-round point leads to a 'time gap'. In our example Bill might surmise that Jim's clocks jump by 16 seconds on the turn.



Notice that the term "Jim's apparent path" is used in the illustration - as was seen earlier, Bill knows that he himself has left Jim and returned so he knows that Jim's apparent path is an artefact of his own motion. If we imagine that the twin paradox is symmetrical then the illustration above shows how we might imagine Bill would view the journey. But what happens, in our example, to the 16 seconds in the time gap, does it just disappear? The twin paradox is not symmetrical and Jim does not make a sudden turn after 4.5 seconds. Bill's actual observation and the fate of the information in the time gap can be probed by supposing that Jim emits a pulse of light several times a second. The result is shown in the illustration below.

Jim and Bill's view



Jim has clearly but one inertial frame but does Bill represent a single inertial frame? Suppose Bill was on a planet as he passed Jim and flew back to Jim in a rocket from the turn-round point: how many inertial frames would be involved? Is Bill's view a view from a single inertial frame?

Exercise: it is interesting to calculate the observations made by an observer who continues in the direction of the outward leg of Bill's journey - note that a velocity transformation will be needed to estimate Bill's inbound velocity as measured by this third observer.

Further reading:

Bohm, D. The Special Theory of Relativity (W. A. Benjamin, 1965).

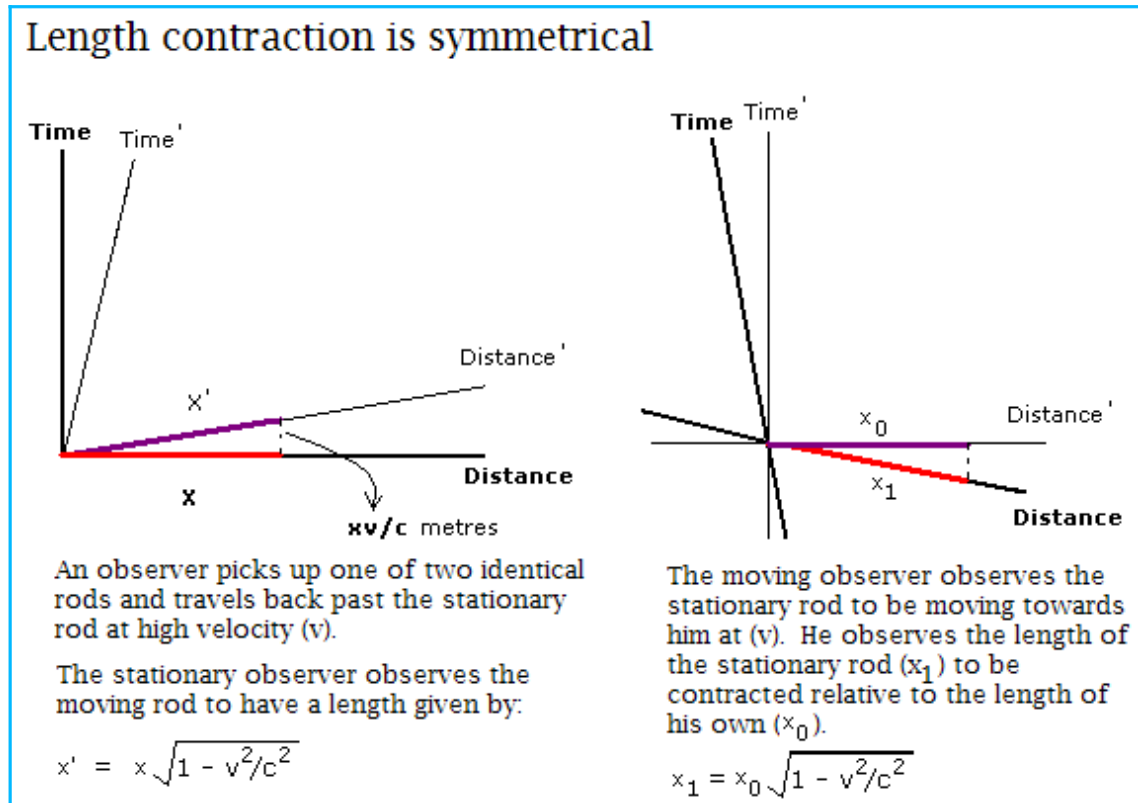
D'Inverno, R. Introducing Einstein's Relativity (Oxford University Press, 1992).

Eagle, A. A note on Dolby and Gull on radar time and the twin "paradox". American Journal of Physics. 2005, VOL 73; NUMB 10, pages 976-978.

http://arxiv.org/PS_cache/physics/pdf/0411/0411008v2.pdf

The Pole-barn paradox

The length contraction in relativity is symmetrical. When two observers in relative motion pass each other they both measure a contraction of length.

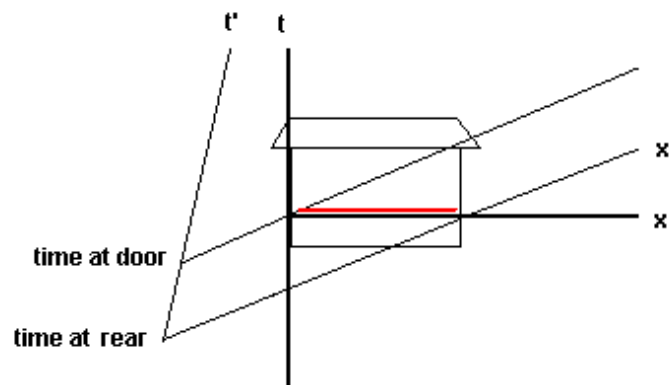


(Note that Minkowski's metric involves the subtraction of displacements in time, so what appear to be the longest lengths on a 2D sheet of paper are often the shortest lengths in a (3+1)D reality).

This symmetry of length contraction leads to two questions. Firstly, how can a succession of events be observed as simultaneous events by another observer? This question led to the concept of de Broglie waves and quantum theory. Secondly, if a rod is simultaneously between two points in one frame how can it be observed as being successively between those points in another frame? For instance, if a pole enters a building at high speed how can one observer find it is fully within the building and another find that the two ends of the rod are opposed to the two ends of the building at successive times? What happens if the rod hits the end of the building? The second question is known as the "pole-barn paradox" or "ladder paradox".

The pole-barn paradox states the following: suppose a superhero running at $0.75c$ and carrying a horizontal pole 15 m long towards a barn 10 m long, with front and rear doors. When the runner and the pole are inside the barn, a ground observer closes and then opens both doors (by remote control) so that the runner and pole are momentarily captured inside the barn and then proceed to exit the barn from the back door.

Pole-barn paradox



In the frame of the barn the pole is simultaneously at the rear of the barn and the door. In the frame of the pole these events occur sequentially.

One may be surprised to see a 15-m pole fit inside a 10-m barn. But the pole is in motion with respect to the ground observer, who measures the pole to be contracted to a length of 9.9 m (check using equations).

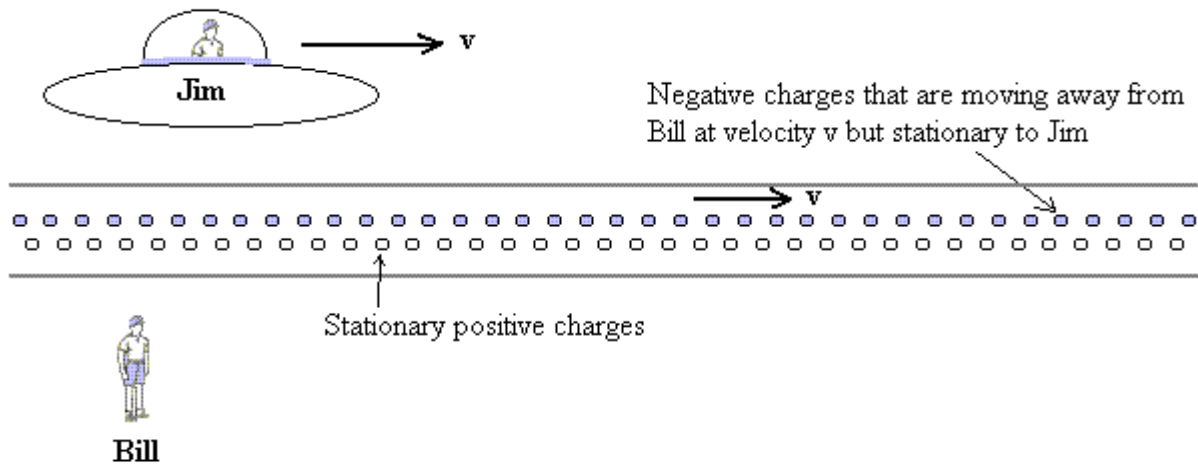
The “paradox” arises when we consider the runner’s point of view. The runner sees the barn contracted to 6.6 m. Because the pole is in the rest frame of the runner, the runner measures it to have its proper length of 15 m. Now, how can our superhero make it safely through the barn?

The resolution of the “paradox” lies in the relativity of simultaneity. The closing of the two doors is measured to be simultaneous by the ground observer. However, since the doors are at different positions, the runner says that they do not close simultaneously. The rear door closes and then opens first, allowing the leading edge of the pole to exit. The front door of the barn does not close until the trailing edge of the pole passes by.

If the rear door is kept closed and made out of some impenetrable material then in the frame of the runner a shock wave will travel at the speed of light from the rear door that compresses the rod so that it fits within the barn. This shock wave will appear like an instantaneous explosion in the frame of the barn and a progressive wave in the frame of the runner.

Evidence for length contraction, the field of an infinite straight current

Length contraction can be directly observed in the field of an infinitely straight current. This is shown in the illustration below.



Non-relativistic electromagnetism describes the electric field due to a charge using:

$$E = \frac{\lambda}{2\pi\epsilon_0 r}$$

and describes the magnetic field due to an infinitely long straight current using the Biot Savart law:

$$B = \frac{\mu_0 I}{2\pi r}$$

Or using the charge density (from $I = \lambda v$ where λ):

$$B = \frac{\mu_0 \lambda v}{2\pi r}$$

Using relativity it is possible to show that the formula for the magnetic field given above can be derived using the relativistic effect of length contraction on the electric field and so what we call the "magnetic" field can be understood as relativistic observations of a single phenomenon. The relativistic calculation is given below.

If Jim is moving relative to the wire at the same velocity as the negative charges he sees the wire contracted relative to Bill:

$$l_+ = l\sqrt{1 - v^2/c^2}$$

Bill should see the space between the charges that are moving along the wire to be contracted by the same amount but the requirement for electrical neutrality means that the moving charges will be spread out to match those in the frame of the fixed

charges in the wire.

This means that Jim sees the negative charges spread out so that:

$$l_- = \frac{l}{\sqrt{1 - v^2/c^2}}$$

The net charge density observed by Jim is:

$$\lambda = \frac{q}{l_-} - \frac{q}{l_+}$$

Substituting:

$$\lambda = \frac{q}{l} \left(\sqrt{1 - v^2/c^2} - \frac{1}{\sqrt{1 - v^2/c^2}} \right)$$

Using the binomial expansion:

$$\lambda = \frac{q}{l} \left(1 - \frac{v^2}{2c^2} - 1 - \frac{v^2}{2c^2} \right)$$

Therefore, allowing for a net positive charge, the positive charges being fixed:

$$\lambda = \frac{qv^2}{lc^2}$$

The electric field at Jim's position is given by:

$$E = \frac{\lambda v^2}{2\pi\epsilon_0 r c^2}$$

The force due to the electrical field at Jim's position is given by $F = Eq$ which is:

$$F = \frac{q\lambda v^2}{2\pi\epsilon_0 r c^2}$$

Now, from classical electromagnetism:

$$c^2 = \frac{1}{\epsilon_0\mu_0}$$

So substituting this into

$$F = \frac{q\lambda v^2}{2\pi\epsilon_0 r c^2}$$

We recover the magnetic force observed by Bill:

$$F = \frac{q\mu_0\lambda v^2}{2\pi r}$$

This is the formula for the relativistic electric force that is observed by Bill as a magnetic force. How does this compare with the non-relativistic calculation of the magnetic force? The force on a charge at Jim's position due to the magnetic field is, from the classical formula:

$$F = Bqv$$

Which from the Biot-Savart law is:

$$F = \frac{q\mu_0\lambda v^2}{2\pi r}$$

which shows that the same formula applies for the relativistic excess electrical force experienced by Jim as the formula for the classical magnetic force.

It can be seen that once the idea of space-time is understood the unification of the two fields is straightforward. Jim is moving relative to the wire at the same speed as the negatively charged current carriers so Jim only experiences an electric field. Bill is stationary relative to the wire and observes that the charges in the wire are balanced whereas Jim observes an imbalance of charge. Bill assigns the attraction between Jim and the current carriers to a "magnetic field".

It is important to notice that, in common with the explanation of length contraction given above, the events that constitute the stream of negative charges for Jim are not the same events as constitute the stream of negative charges for Bill. Bill and Jim's negative charges occupy different moments in time.

Incidentally, the drift velocity of electrons in a wire is about a millimetre per second but a huge charge is available in a wire (See link below).

Further reading:

Purcell, E. M. Electricity and Magnetism. Berkeley Physics Course. Vol. 2. 2nd ed. New York, NY: McGraw-Hill. 1984. ISBN: 0070049084.

Useful links:

<http://hyperphysics.phy-astr.gsu.edu/hbase/electric/ohmmic.html>

<http://hyperphysics.phy-astr.gsu.edu/hbase/relativ/releng.html>

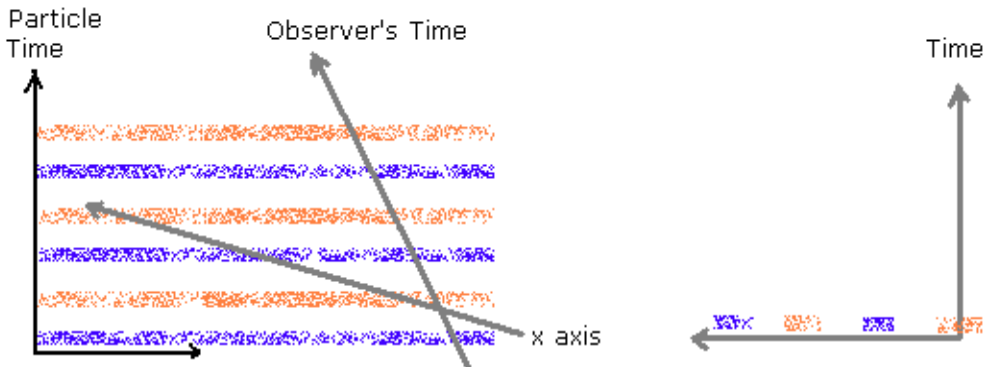
De Broglie waves

De Broglie noticed that the differing three dimensional sections of the universe would cause oscillations in the rest frame of an observer to appear as wave trains in the rest frame of observers who are moving.

He combined this insight with Einstein's ideas on the quantisation of energy to create the foundations of quantum theory. De Broglie's insight is also a round-about proof of the description of length contraction given above - observers in relative motion have differing three dimensional slices of a four dimensional universe. The existence of matter waves is direct experimental evidence of the relativity of simultaneity.

De Broglie waves

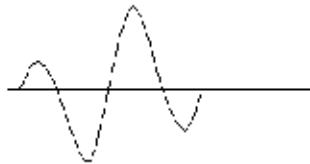
De Broglie waves result from the differing planes of simultaneity for an observer and a moving particle field.



A De Broglie wave is an oscillation occurring simultaneously in the rest frame of the particle but not simultaneously in the frame of the observer.

The observer sees the oscillation as waves distributed in space

Note: the plane of simultaneity of the observer is shown schematically above.



The effect is to produce a spatially distributed pulse

The Heisenberg uncertainty principle can be derived from the fourier transform of the pulse ie:

$$\psi(x) = \int_0^{\infty} g(k) \cos(kx) dk$$

$$\Delta k \approx 1 / (2 \Delta x)$$

$$\Delta k \Delta x \approx 1 / 2, \text{ but } k = 2\pi / \lambda = 2\pi p / \hbar = p / \hbar,$$

$$\Delta p \Delta x \approx \hbar / 2$$

Further reading: de Broglie, L. (1925) On the theory of quanta. A translation of : RECHERCHES SUR LA THEORIE DES QUANTA (Ann. de Phys., 10e s'erie, t. III (Janvier-F evrier 1925).by: A. F. Kracklauer. http://www.ensmp.fr/aflb/LDB-oeuvres/De_Broglie_Kracklauer.pdf

Bell's spaceship paradox

Bell(1976) devised a thought experiment called the "Spaceship Paradox" to enquire whether length contraction involved a force and whether this contraction was a contraction of space. In the Spaceship Paradox two spaceships are connected by a thin, stiff string and are both equally and linearly accelerated to a velocity v relative to the ground, at which, in the special relativity version of the paradox, the acceleration ceases. The acceleration on both spaceships is arranged to be equal according to ground observers so, according to observers on the ground, the spaceships will stay the same distance apart. It is asked whether the string would break.

It is useful when considering this problem to investigate what happens to a single spaceship. If a spaceship that has rear thrusters is accelerated linearly, according to ground observers, to a velocity v then the ground observers will observe it to have contracted in the direction of motion. The acceleration experienced by the front of the spaceship will have been slightly less than the acceleration experienced by the

rear of the spaceship during contraction and then would suddenly reach a high value, equalising the front and rear velocities, once the rear acceleration and increasing contraction had ceased. From the ground it would be observed that overall the acceleration at the rear could be linear but the acceleration at the front would be non-linear.

In Bell's thought experiment both spaceships are artificially constrained to have constant acceleration, according to the ground observers, until the acceleration ceases. Sudden adjustments are not allowed. Furthermore no difference between the accelerations at the front and rear of the assembly are permitted so any tendency towards contraction would need to be borne as tension and extension in the string.

The most interesting part of the paradox is what happens to the space between the ships. From the ground the spaceships will stay the same distance apart (the experiment is arranged to achieve this) whilst according to observers on the spaceships they will appear to become increasingly separated. This implies that acceleration is not invariant between reference frames (see Part II) and the force applied to the spaceships will indeed be affected by the difference in separation of the ships observed by each frame.

The section on the nature of length contraction above shows that as the string changes velocity the observers on the ground observe a changing set of events that compose the string. These new events define a string that is shorter than the original. This means that the string will indeed attempt to contract as observed from the ground and will be drawn out under tension as observed from the spaceships. If the string were unable to bear the extension and tension in the moving frame or the tension in the rest frame it would break.

Another interesting aspect of Bell's Spaceship Paradox is that in the inertial frames of the ships, owing to the relativity of simultaneity, the lead spaceship will always be moving slightly faster than the rear spaceship so the spaceship-string system does not form a true inertial frame of reference until the acceleration ceases in the frames of reference of both ships. The asynchrony of the cessation of acceleration shows that the lead ship reaches the final velocity before the rear ship in the frame of reference of either ship. However, this time difference is very slight (less than the time taken for an influence to travel down the string at the speed of light $x / c > vx / c^2$).

It is necessary at this stage to give a warning about extrapolating special relativity into the domain of general relativity (GR). SR cannot be applied with confidence to accelerating systems which is why the comments above have been confined to qualitative observations.

Further reading

Bell, J. S. (1976). *Speakable and unspeakable in quantum mechanics*. Cambridge University Press 1987 [ISBN 0-521-52338-9](#)

Hsu, J-P and Suzuki, N. (2005) Extended Lorentz Transformations for Accelerated Frames and the Solution of the “Two-Spaceship Paradox” AAPPS Bulletin October 2005 p.17 http://www.aapps.org/archive/bulletin/vol15/15-5/15_5_p17p21%7F.pdf
 Matsuda, T and Kinoshita, A (2004). A Paradox of Two Space Ships in Special Relativity. AAPPS Bulletin February 2004 p3. http://www.aapps.org/archive/bulletin/vol14/14_1/14_1_p03p07.pdf

The transverse Doppler effect

The existence of time dilation means that the frequency of light emitted from a source that is moving towards or away from an observer should be red shifted in directions that are perpendicular to the direction of motion.

The transverse doppler effect is given by:

$$\nu = \nu' \sqrt{1 - \frac{v^2}{c^2}}$$

Where ν is the observed frequency and ν' is the frequency if the source were stationary relative to the observer (the **proper frequency**).

This effect was first confirmed by Ives and Stillwell in 1938. The transverse doppler effect is a purely relativistic effect and has been used as an example of proof that time dilation occurs.

Relativistic transformation of angles

If a rod makes an angle with its direction of motion toward or away from an observer the component of its length in the direction of motion will be contracted. This means that observed angles are also transformed during changes of frames of reference. Assuming that motion occurs along the x-axis, suppose the rod has a proper length (rest length) of L' metres and makes an angle of θ' degrees with the x'-axis in its rest frame. The tangent of the angle made with the axes is:

Tangent in rest frame of rod =

$$\tan \theta' = \frac{L'_y}{L'_x}$$

Tangent in observer's frame =

$$\tan \theta = \frac{L_y}{L_x}$$

Therefore:

$$\frac{\tan \theta}{\tan \theta'} = \frac{L_y L'_x}{L'_y L_x}$$

But

$$L_x = L'_x \sqrt{1 - v^2/c^2}$$

And

$$L_y = L'_y$$

So

$$\tan \theta = \frac{\tan \theta'}{\sqrt{1 - v^2/c^2}}$$

Showing that angles with the direction of motion are observed to increase with velocity.

The angle made by a *moving* object with the x-axis also involves a transformation of velocities to calculate the correct angle of incidence.

Addition of velocities

How can two observers, moving at v km/sec relative to each other, compare their observations of the velocity of a third object?

Suppose one of the observers measures the velocity of the object as u' where:

$$u' = \frac{x'}{t'}$$

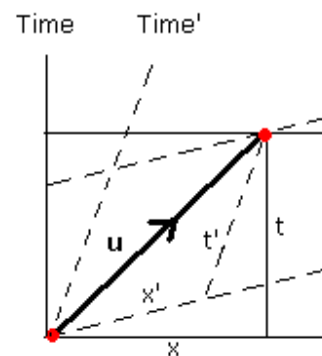
The coordinates x' and t' are given by the Lorentz transformations:

$$x' = \frac{x - vt}{\sqrt{1 - v^2/c^2}}$$

and

$$t' = \frac{t - (v/c^2)x}{\sqrt{1 - v^2/c^2}}$$

Addition of velocities



The velocity for one observer is given by $u' = x'/t'$

For the other observer it is given by $u = x/t$.

Problem: find u in terms of u' and the relative velocity of the observers (v).

but

$$x' = u't'$$

so:

$$\frac{x - vt}{\sqrt{(1 - v^2/c^2)}} = u' \frac{t - (v/c^2)x}{\sqrt{(1 - v^2/c^2)}}$$

and hence:

$$x - vt = u'(t - vx/c^2)$$

Notice the role of the phase term vx/c^2 . The equation can be rearranged as:

$$x = \frac{(u' + v)}{(1 + u'v/c^2)}t$$

given that $x = ut$:

$$u = \frac{(u' + v)}{(1 + u'v/c^2)}$$

This is known as the **relativistic velocity addition theorem**, it applies to velocities parallel to the direction of mutual motion.

The existence of time dilation means that even when objects are moving perpendicular to the direction of motion there is a discrepancy between the velocities reported for an object by observers who are moving relative to each other. If there is any component of velocity in the x direction (u_x, u_x') then the phase affects time measurement and hence the velocities perpendicular to the x-axis. The table overleaf summarises the relativistic addition of velocities in the various directions in space.

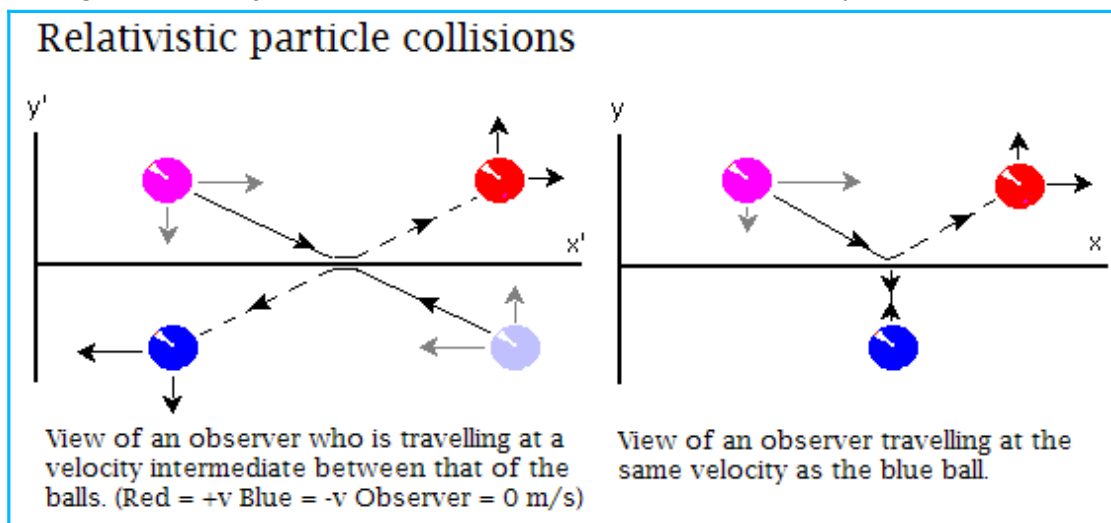
$u'_x = \frac{(u_x - v)}{(1 - u_x v/c^2)}$	$u_x = \frac{(u'_x + v)}{(1 + u'_x v/c^2)}$
$u'_y = \frac{u_y \sqrt{1 - v^2/c^2}}{(1 - u_x v/c^2)}$	$u_y = \frac{u'_y \sqrt{1 - v^2/c^2}}{(1 + u'_x v/c^2)}$
$u'_z = \frac{u_z \sqrt{1 - v^2/c^2}}{(1 - u_x v/c^2)}$	$u_z = \frac{u'_z \sqrt{1 - v^2/c^2}}{(1 + u'_x v/c^2)}$

Notice that for an observer in another reference frame the sum of two velocities (u and v) can never exceed the speed of light. This means that the speed of light is the maximum velocity in any frame of reference.

Relativistic Dynamics

The way that the velocity of a particle can differ between observers who are moving relative to each other means that momentum needs to be redefined as a result of relativity theory.

The illustration below shows a typical collision of two particles. In the right hand frame the collision is observed from the viewpoint of someone moving at the same velocity as one of the particles, in the left hand frame it is observed by someone moving at a velocity that is intermediate between those of the particles.



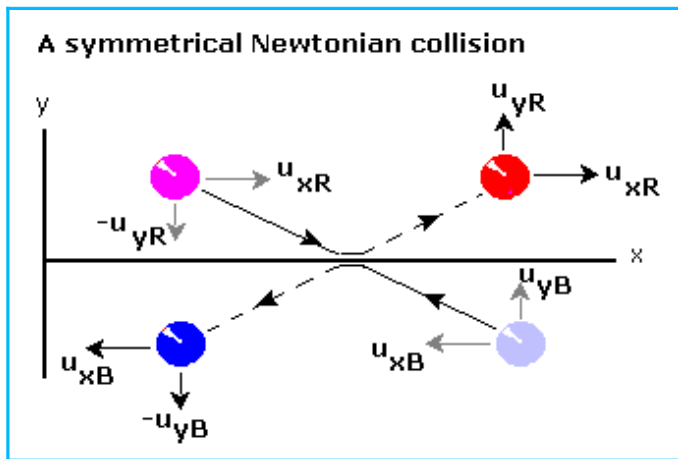
If momentum is redefined then all the variables such as force (rate of change of momentum), energy etc. will become redefined and relativity will lead to an entirely new physics. The new physics has an effect at the ordinary level of experience through the relation for kinetic energy:

$$K = \gamma mc^2 - mc^2$$

whereby it is the tiny deviations in gamma from unity that are expressed as everyday kinetic energy so that the whole of physics is related to "relativistic" reasoning rather than Newton's empirical ideas.

Momentum

In physics momentum is conserved within a closed system, the **law of conservation of momentum** applies. Consider the special case of identical particles colliding symmetrically as illustrated below:



The momentum change by the red ball is:

$$2u_{yR}m$$

The momentum change by the blue ball is:

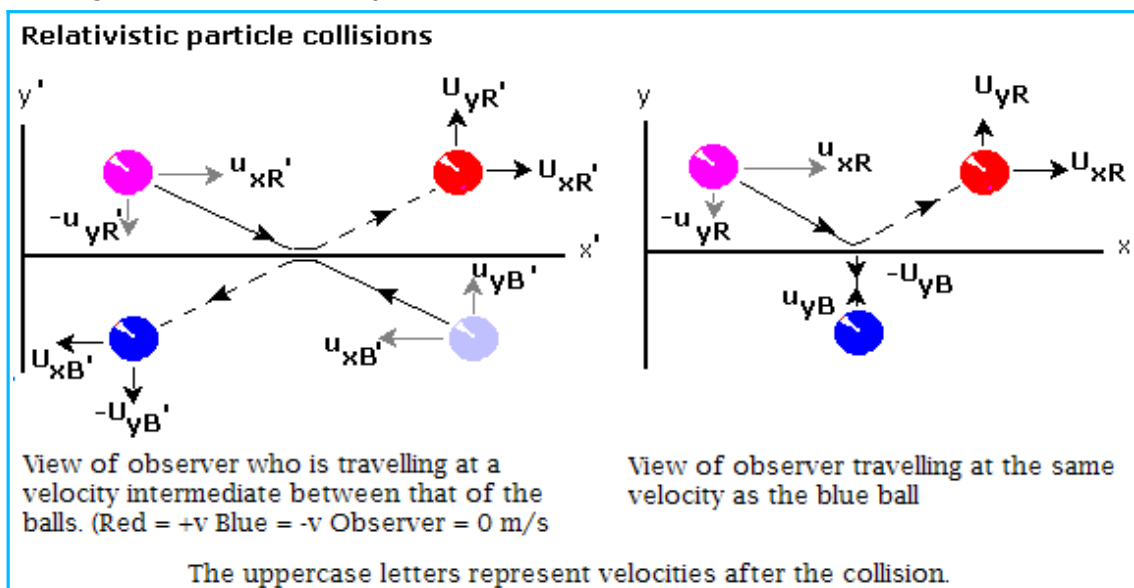
$$2u_{yB}m$$

The situation is symmetrical so the **Newtonian** conservation of momentum law is demonstrated:

$$2mu_{yR} = 2mu_{yB}$$

Notice that this result depends upon the y components of the velocities being equal ie: $u_{yR} = u_{yB}$.

The relativistic case is rather different. The collision is illustrated below, the left hand frame shows the collision as it appears for one observer and the right hand frame shows **exactly the same collision** as it appears for another observer moving at the same velocity as the blue ball:



The configuration shown above has been simplified because one frame contains a

stationary blue ball (ie: $u_{xB} = 0$) and the velocities are chosen so that the vertical velocity of the red ball is exactly reversed after the collision ie: $u'_{yR} = -u'_{yB}$. Both frames show exactly the same event, it is only the observers who differ between frames. The relativistic velocity transformations between frames is:

$$u'_{yR} = \frac{u_{yR}\sqrt{1 - v^2/c^2}}{1 - u_{xR}v/c^2}$$

$$u'_{yB} = \frac{u_{yB}\sqrt{1 - v^2/c^2}}{1 - u_{xB}v/c^2} = u_{yB}\sqrt{1 - v^2/c^2} \quad \text{given that } u_{xB} = 0.$$

Suppose that the y components are equal in one frame, in Newtonian physics they will also be equal in the other frame. However, in relativity, if the y components are equal in one frame they are **not** necessarily equal in the other frame (time dilation is not directional so perpendicular velocities differ between the observers). For

instance if $u'_{yR} = u'_{yB}$ then:

$$u_{yB} = \frac{u_{yR}}{1 - u_{xR}v/c^2}$$

So if $u'_{yR} = u'_{yB}$ then in this case $u_{yR} \neq u_{yB}$.

If the mass were constant between collisions and between frames then although

$$2m\mathbf{u}'_{yR} = 2m\mathbf{u}'_{yB} \text{ it is found that:}$$

$$2m\mathbf{u}_{yR} \neq 2m\mathbf{u}_{yB}$$

So momentum defined as mass times velocity is not conserved in a collision when the collision is described in frames moving relative to each other. Notice that the discrepancy is very small if u_{xR} and v are small.

To preserve the principle of momentum conservation in all inertial reference frames, the definition of momentum has to be changed. The new definition must reduce to the Newtonian expression when objects move at speeds much smaller than the speed of light, so as to recover the Newtonian formulas.

The velocities in the y direction are related by the following equation when the observer is travelling at the same velocity as the blue ball ie: when $u_{xB} = 0$:

$$u_{yB} = \frac{u_{yR}}{1 - u_{xR}v/c^2}$$

If we write m_B for the mass of the blue ball) and m_R for the mass of the red ball as observed from the frame of the blue ball then, if the principle of relativity applies:

$$2m_R u_{yR} = 2m_B u_{yB}$$

So:

$$m_R = m_B \frac{u_{yB}}{u_{yR}}$$

But:

$$u_{yB} = \frac{u_{yR}}{1 - u_{xR}v/c^2}$$

Therefore:

$$m_R = \frac{m_B}{1 - u_{xR}v/c^2}$$

This means that, if the principle of relativity is to apply then the mass must change by the amount shown in the equation above for the conservation of momentum law to be true.

The reference frame was chosen so that $u'_{yR} = -u'_{yB}$ and hence $u'_{xR} = v$. This allows v to be determined in terms of u_{xR} :

$$u'_{xR} = \frac{u_{xR} - v}{1 - u_{xR}v/c^2} = v$$

and hence:

$$v = c^2/u_{xR}(1 - \sqrt{1 - u_{xR}^2/c^2})$$

So substituting for v in $m_R = \frac{m_B}{1 - u_{xR}v/c^2}$:

$$m_R = \frac{m_B}{\sqrt{1 - u_{xR}^2/c^2}}$$

The blue ball is at rest so its mass is sometimes known as its **rest mass**, and is given the symbol m_0 . As the balls were identical at the start of the boost the mass of the red ball is the mass that a blue ball would have if it were in motion relative to an observer; this mass is sometimes known as the **relativistic mass** symbolised by m . These terms are now infrequently used in modern physics, as will be explained at the end of this section. The discussion given above was related to the relative motions of the blue and red balls, as a result u_{xR} corresponds to the **speed** of the moving ball relative to an observer who is stationary with respect to the blue ball. These considerations mean that the relativistic mass is given by:

$$\mathbf{m} = \frac{\mathbf{m}_0}{\sqrt{1 - \mathbf{u}^2/c^2}}$$

The relativistic momentum is given by the product of the relativistic mass and the velocity $\mathbf{p} = m\mathbf{u}$.

The overall expression for momentum in terms of rest mass is:

$$\mathbf{p} = \frac{m_0\mathbf{u}}{\sqrt{1 - u^2/c^2}}$$

and the components of the momentum are:

$$p_x = \frac{m_0u_x}{\sqrt{1 - u^2/c^2}}$$

$$p_y = \frac{m_0 u_y}{\sqrt{1 - u^2/c^2}}$$

$$p_z = \frac{m_0 u_z}{\sqrt{1 - u^2/c^2}}$$

So the components of the momentum depend upon the appropriate velocity component and the speed.

Since the factor with the square root is cumbersome to write, the following abbreviation is often used, called the Lorentz gamma factor:

$$\gamma = \frac{1}{\sqrt{1 - u^2/c^2}}$$

The expression for the momentum then reads $\mathbf{p} = m\gamma\mathbf{u}$.

It can be seen from the discussion above that we can write the momentum of an object moving with velocity \mathbf{u} as the product of a function $m(u)$ of the speed u and the velocity \mathbf{u} :

$$m(u)\mathbf{u}$$

The function $m(u)$ must reduce to the object's mass m at small speeds, in particular when the object is at rest $m_0 = m$.

There is a debate about the usage of the term "mass" in relativity theory. If inertial mass is defined in terms of momentum then it does indeed vary as $M = \gamma m_0$ for a single particle that has rest mass, furthermore, as will be shown below the energy of a particle that has a rest mass is given by $E = Mc^2$. Prior to the debate about nomenclature the function $m(u)$, or the relation $M = \gamma m_0$, used to be called 'relativistic mass', and its value in the frame of the particle was referred to as the 'rest mass' or 'invariant mass'. The relativistic mass, $M = \gamma m_0$, would increase with velocity. Both terms are now largely obsolete: the 'rest mass' is today simply called the mass, and the 'relativistic mass' is often no longer used since, as will be seen in the discussion of energy below, it is identical to the energy but for the units.

Force

Newton's second law states that the total force acting on a particle equals the rate of change of its momentum. The same form of Newton's second law holds in relativistic mechanics. The relativistic 3 force is given by:

$$\mathbf{f} = d\mathbf{p}/dt$$

If the relativistic mass is used:

$$\frac{d\mathbf{p}}{dt} = \frac{d(m\mathbf{u})}{dt}$$

By Leibniz's law where $d(xy) = xdy + ydx$:

$$\mathbf{f} = \frac{d\mathbf{p}}{dt} = m \frac{d\mathbf{u}}{dt} + \mathbf{u} \frac{dm}{dt}$$

This equation for force will be used below to derive relativistic expressions for the energy of a particle in terms of the old concept of "relativistic mass".

The relativistic force can also be written in terms of acceleration. Newton's second law can be written in the familiar form

$$\mathbf{F} = m\mathbf{a}$$

where $\mathbf{a} = d\mathbf{v}/dt$ is the acceleration.

here m is **not** the relativistic mass but is the invariant mass.

In relativistic mechanics, momentum is $\mathbf{P} = m\gamma\mathbf{v}$

again m being the invariant mass and the force is given by
$$\mathbf{F} = \frac{d\mathbf{p}}{dt} = m \frac{d(\gamma\mathbf{v})}{dt}$$

This form of force is used in the derivation of the expression for energy without relying on relativistic mass.

It will be seen in the second section of this book that Newton's second law in terms of acceleration is given by:

$$\mathbf{F} = m\gamma\mathbf{a} + \frac{m\gamma^3 v}{c^2} \frac{dv}{dt} \mathbf{v}$$

Energy

The debate over the use of the concept "relativistic mass" means that modern physics courses may forbid the use of this in the derivation of energy. The newer derivation of energy without using relativistic mass is given in the first section and the older derivation using relativistic mass is given in the second section. The two derivations can be compared to gain insight into the debate about mass but a knowledge of 4 vectors is really required to discuss the problem in depth. In principle the first derivation is most mathematically correct because "relativistic

mass" is given by:
$$\mathbf{m} = \frac{\mathbf{m}_0}{\sqrt{1 - \mathbf{u}^2/c^2}}$$
 which involves the constants m_0 and c .

Derivation of relativistic energy using relativistic momentum

In the following, modern derivation, m means the invariant mass - what used to be called the "rest mass". Energy is defined as the work done in moving a body from one place to another. We will make use of the relativistic momentum $p = \gamma mv$. Energy is given from:

$$dE = \mathbf{f}d\mathbf{x}$$

so, over the whole path:

$$E = \int_0^x \mathbf{f}d\mathbf{x}$$

Kinetic energy (K) is the energy used to move a body from a velocity of 0 to a velocity u . Restricting the motion to one dimension:

$$K = \int_{u=0}^{u=u} \mathbf{f} dx$$

Using the relativistic 3 force:

$$K = \int_{u=0}^{u=u} \frac{d(m\gamma u)}{dt} dx = \int_{u=0}^{u=u} m \frac{d(\gamma u)}{dt} dx = \int_{u=0}^{u=u} m d(\gamma u) \frac{dx}{dt}$$

substituting for $d(\gamma u)$ and using $dx / dt = u$:

$$K = \int_{u=0}^{u=u} m(\gamma du + u d\gamma) u$$

Which gives:

$$K = \int_{u=0}^{u=u} m(u\gamma du + u^2 d\gamma)$$

The Lorentz factor γ is given by:

$$\gamma = \frac{1}{\sqrt{1 - u^2/c^2}}$$

meaning that :

$$d\gamma = \frac{u}{c^2} \gamma^3 du$$

$$du = \frac{c^2}{u\gamma^3} d\gamma$$

So that

$$K = \int_{\gamma=1}^{\gamma=\gamma} m(u\gamma \frac{c^2}{u\gamma^3} d\gamma + u^2 d\gamma) = \int_{\gamma=1}^{\gamma=\gamma} m(\frac{c^2}{\gamma^2} + u^2) d\gamma = \int_{\gamma=1}^{\gamma=\gamma} mc^2 d\gamma$$

Alternatively, we can use the fact that:

$$\gamma^2 c^2 - \gamma^2 u^2 = c^2$$

Differentiating:

$$2\gamma c^2 d\gamma - \gamma^2 2u du - u^2 2\gamma d\gamma = 0$$

So, rearranging:

$$\gamma u du + u^2 d\gamma = c^2 d\gamma$$

In which case:

$$K = \int_{u=0}^{u=u} m(u\gamma du + u^2 d\gamma) = \int_{u=0}^{u=u} mc^2 d\gamma$$

As u goes from 0 to u , the Lorentz factor γ goes from 1 to γ , so:

$$K = mc^2 \int_{\gamma=1}^{\gamma=\gamma} d\gamma$$

and hence:

$$K = \gamma mc^2 - mc^2$$

The amount γmc^2 is known as the **total energy** of the particle. The amount mc^2 is known as the **rest energy** of the particle. If the total energy of the particle is given

the symbol E :

$$E = \gamma mc^2 = mc^2 + K$$

So it can be seen that mc^2 is the energy of a mass that is stationary. This energy is known as **mass energy**.

The Newtonian approximation for kinetic energy can be derived by using the

binomial theorem to expand $\gamma = (1 - u^2/c^2)^{-\frac{1}{2}}$.

The binomial expansion is:

$$(a + x)^n = a^n + na^{n-1}x + \frac{n(n-1)}{2!}a^{n-2}x^2 \dots$$

So expanding $(1 - u^2/c^2)^{-\frac{1}{2}}$:

$$K = \frac{1}{2}mu^2 + \frac{3mu^4}{8c^2} + \frac{5mv^6}{16c^4} + \dots$$

So if u is much less than c :

$$K = \frac{1}{2}mu^2$$

which is the Newtonian approximation for low velocities.

Derivation of relativistic energy using the concept of relativistic mass

Energy is defined as the work done in moving a body from one place to another.

Energy is given from:

$$dE = \mathbf{F}d\mathbf{x}$$

so, over the whole path:

$$E = \int_0^x \mathbf{F}d\mathbf{x}$$

Kinetic energy (K) is the energy used to move a body from a velocity of 0 to a velocity u . So:

$$K = \int_{u=0}^{u=u} F dx$$

Using the relativistic force:

$$K = \int_{u=0}^{u=u} \frac{d(mu)}{dt} dx$$

So:

$$K = \int_{u=0}^{u=u} d(mu) \frac{dx}{dt}$$

substituting for $d(mu)$ and using $dx / dt = u$:

$$K = \int_{u=0}^{u=u} (mdu + udm)u$$

Which gives:

$$K = \int_{u=0}^{u=u} (mudu + u^2 dm)$$

The relativistic mass is given by:

$$\mathbf{m} = \frac{\mathbf{m}_0}{\sqrt{1 - \mathbf{u}^2/\mathbf{c}^2}}$$

Which can be expanded as:

$$m^2 c^2 - m^2 u^2 = m_0^2 c^2$$

Differentiating:

$$2mc^2 dm - m^2 2udu - u^2 2mdm = 0$$

So, rearranging:

$$mudu + u^2 dm = c^2 dm$$

In which case:

$$K = \int_{u=0}^{u=u} (mudu + u^2 dm)$$

is simplified to:

$$K = \int_{u=0}^{u=u} c^2 dm$$

But the mass goes from m_0 to m so:

$$K = c^2 \int_{m=m_0}^{m=m} dm$$

and hence:

$$\mathbf{K} = \mathbf{mc}^2 - \mathbf{m}_0\mathbf{c}^2$$

The amount mc^2 is known as the **total energy** of the particle. The amount m_0c^2 is known as the **rest energy** of the particle. If the total energy of the particle is given the symbol E :

$$\mathbf{E} = \mathbf{m}_0\mathbf{c}^2 + \mathbf{K}$$

So it can be seen that m_0c^2 is the energy of a mass that is stationary. This energy is known as **mass energy** and is the origin of the famous formula $E = mc^2$ that is iconic of the nuclear age.

The Newtonian approximation for kinetic energy can be derived by substituting the rest mass for the relativistic mass ie:

$$\mathbf{m} = \frac{\mathbf{m}_0}{\sqrt{1 - \mathbf{u}^2/\mathbf{c}^2}}$$

and:

$$\mathbf{K} = \mathbf{mc}^2 - \mathbf{m}_0\mathbf{c}^2$$

So:

$$K = \frac{m_0 c^2}{\sqrt{1 - u^2/c^2}} - m_0 c^2$$

ie:

$$K = m_0c^2((1 - u^2/c^2)^{-\frac{1}{2}} - 1)$$

The binomial theorem can be used to expand $(1 - u^2/c^2)^{-\frac{1}{2}}$:

The binomial theorem is:

$$(a + x)^n = a^n + na^{n-1}x + \frac{n(n-1)}{2!}a^{n-2}x^2 \dots$$

So expanding $(1 - u^2/c^2)^{-\frac{1}{2}}$:

$$K = \frac{1}{2}m_0u^2 + \frac{3m_0u^4}{8c^2} + \frac{5m_0u^6}{16c^4} + \dots$$

So if u is much less than c :

$$K = \frac{1}{2}m_0u^2$$

Which is the Newtonian approximation for low velocities.

Nuclear Energy

When protons and neutrons (nucleons) combine to form elements the combination of particles tends to be in a lower energy state than the free neutrons and protons. Iron has the lowest energy and elements above and below iron in the scale of atomic masses tend to have higher energies. This decrease in energy as neutrons and protons bind together is known as the **binding energy**. The atomic masses of elements are slightly different from that calculated from their constituent particles and this difference in mass energy, calculated from $E = mc^2$, is almost exactly equal to the binding energy.

The binding energy can be released by converting elements with higher masses per nucleon to those with lower masses per nucleon. This can be done by either splitting heavy elements such as uranium into lighter elements such as barium and krypton or by joining together light elements such as hydrogen into heavier elements such as deuterium. If atoms are split the process is known as **nuclear fission** and if atoms are joined the process is known as **nuclear fusion**. Atoms that are lighter than iron can be fused to release energy and those heavier than iron can be split to release energy.

When hydrogen and a neutron are combined to make deuterium the energy released can be calculated as follows:

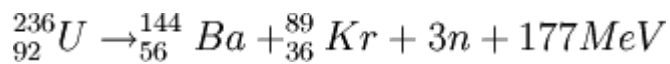
The mass of a proton is 1.00731 amu, the mass of a neutron is 1.00867 amu and the mass of a deuterium nucleus is 2.0136 amu. The difference in mass between a deuterium nucleus and its components is 0.00238 amu. The energy of this mass difference is:

$$E = mc^2 = 1.66 \times 10^{-27} \times 0.00238 \times (3 \times 10^8)^2$$

So the energy released is 3.57×10^{-13} joules or about 2×10^{11} joules per gram of protons (ionised hydrogen).

(Assuming $1 \text{ amu} = 1.66 \times 10^{-27} \text{ Kg}$, Avogadro's number = 6×10^{23} and the speed of light is 3×10^8 metres per second)

Present day nuclear reactors use a process called **nuclear fission** in which rods of uranium emit neutrons which combine with the uranium in the rod to produce uranium isotopes such as ^{236}U which rapidly decay into smaller nuclei such as Barium and Krypton plus three neutrons which can cause further generation of ^{236}U and further decay. The fact that each neutron can cause the generation of three more neutrons means that a self sustaining or **chain reaction** can occur. The generation of energy results from the equivalence of mass and energy; the decay products, barium and krypton have a lower mass than the original ^{236}U , the missing mass being released as 177 MeV of radiation. The nuclear equation for the decay of ^{236}U is written as follows:



Nuclear explosion

If a large amount of the uranium isotope ^{235}U (the **critical mass**) is confined the chain reaction can get out of control and almost instantly release a large amount of energy. A device that confines a critical mass of uranium is known as an **atomic bomb** or **A-bomb**. A bomb based on the fusion of deuterium atoms is known as a **thermonuclear bomb**, **hydrogen bomb** or **H-bomb**.



Light propagation and the aether

Many students confuse Relativity Theory with a theory about the propagation of light. According to modern Relativity Theory the constancy of the speed of light is a consequence of the geometry of spacetime rather than something specifically due to the properties of photons; but the statement "the speed of light is constant" often distracts the student into a consideration of light propagation. This confusion is amplified by the importance assigned to interferometry experiments, such as the Michelson-Morley experiment, in most textbooks on Relativity Theory.

The history of theories of the propagation of light is an interesting topic in physics and was indeed important in the early days of Relativity Theory. In the seventeenth century two competing theories of light propagation were developed. Christiaan Huygens published a **wave theory of light** which was based on **Huygen's principle** whereby every point in a wavelike disturbance can give rise to further disturbances that spread out spherically. In contrast Newton considered that the propagation of light was due to the passage of small particles or "corpuscles" from the source to the illuminated object. His theory is known as the **corpuscular theory of light**. Newton's theory was widely accepted until the nineteenth century.

In the early nineteenth century Thomas Young performed his **Young's slits** experiment and the interference pattern that occurred was explained in terms of diffraction due to the wave nature of light. The wave theory was then accepted generally until the twentieth century when quantum theory confirmed that light had a corpuscular nature and that Huygen's principle could not be applied.

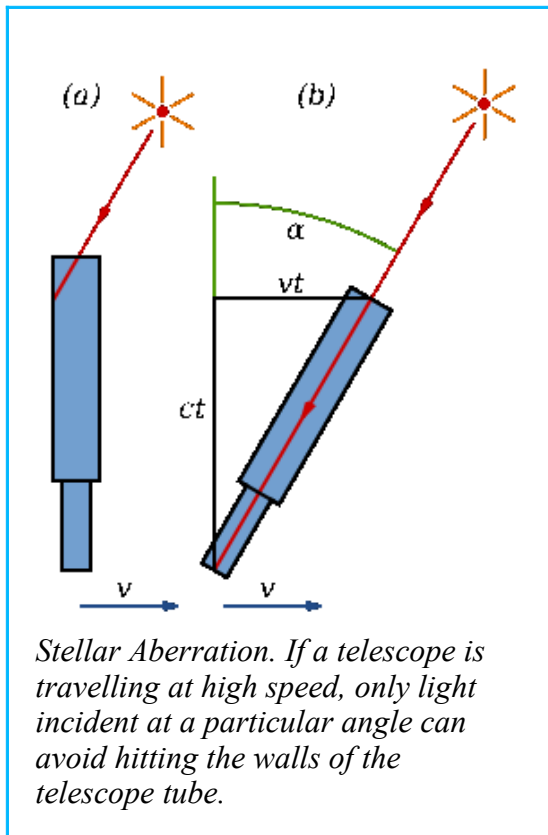
The idea of light as a disturbance of some medium, or **aether**, that permeates the universe was problematical from its inception (US spelling: "ether"). The first problem that arose was that the speed of light did not change with the velocity of the observer. If light were indeed a disturbance of some stationary medium then as the earth moves through the medium towards a light source the speed of light should appear to increase. It was found however that the speed of light did not change as expected. Each experiment on the velocity of light required corrections to existing theory and led to a variety of subsidiary theories such as the "aether drag hypothesis". Ultimately it was experiments that were designed to investigate the properties of the aether that provided the first experimental evidence for Relativity Theory.

The aether drag hypothesis

The **aether drag hypothesis** was an early attempt to explain the way experiments such as Arago's experiment showed that the speed of light is constant. The aether drag hypothesis is now considered to be incorrect.

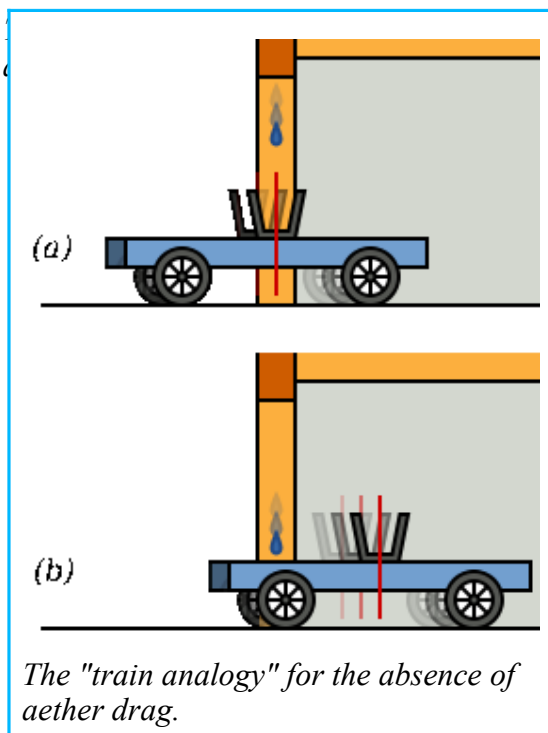
According to the aether drag hypothesis light propagates in a special medium, the

aether, that remains attached to things as they move. If this is the case then, no matter how fast the earth moves around the sun or rotates on its axis, light on the surface of the earth would travel at a constant velocity.



The primary reason the aether drag hypothesis is considered invalid is because of the occurrence of stellar aberration. In stellar aberration the position of a star when viewed with a telescope swings each side of a central position by about 20.5 seconds of arc every six months. This amount of swing is the amount expected when considering the speed of earth's travel in its orbit. In 1871 George Biddell Airy demonstrated that stellar aberration occurs even when a telescope is filled with water. It seems that if the aether drag hypothesis were true then stellar aberration would not occur because the light would be travelling in the aether which would be moving along with the telescope.

If you visualize a bucket on a train about to enter a tunnel and a drop of water drips from the tunnel entrance into the bucket at the very centre, the drop will not hit the centre at the bottom of the bucket. The bucket is the tube of a telescope, the drop is a photon and the train is the earth. If aether is dragged then the droplet would be travelling with the train when it is dropped and would hit the centre of bucket at the bottom.



The amount of stellar aberration, α is given by:

$$\tan(\alpha) = v\delta t / c\delta t$$

So:

$$\tan(\alpha) = v / c$$

The speed at which the earth goes round the sun, $v = 30 \text{ km/s}$, and the speed of light is $c = 300,000,000 \text{ m/s}$ which gives $\alpha = 20.5 \text{ seconds of arc every six months}$. This amount of aberration is

observed and this contradicts the aether drag hypothesis.

In 1818 Fresnel introduced a modification to the aether drag hypothesis that only applies to the interface between media. This was accepted during much of the nineteenth century but has now been replaced by special theory of relativity (see below).

The aether drag hypothesis is historically important because it was one of the reasons why Newton's corpuscular theory of light was replaced by the wave theory and it is used in early explanations of light propagation without relativity theory. It originated as a result of early attempts to measure the speed of light.

In 1810 François Arago realised that variations in the refractive index of a substance predicted by the corpuscular theory would provide a useful method for measuring the velocity of light. These predictions arose because the refractive index of a substance such as glass depends on the ratio of the velocities of light in air and in the glass. Arago attempted to measure the extent to which corpuscles of light would be refracted by a glass prism at the front of a telescope. He expected that there would be a range of different angles of refraction due to the variety of different velocities of the stars and the motion of the earth at different times of the day and year. Contrary to this expectation he found that there was no difference in refraction between stars, between times of day or between seasons. All Arago observed was ordinary stellar aberration.

In 1818 Augustin Jean Fresnel examined Arago's results using a wave theory of light. He realised that even if light were transmitted as waves the refractive index of the glass-air interface should have varied as the glass moved through the aether to strike the incoming waves at different velocities when the earth rotated and the seasons changed.

Fresnel proposed that the glass prism would carry some of the aether along with it so that ".the aether is in excess inside the prism". He realised that the velocity of propagation of waves depends on the density of the medium so proposed that the velocity of light in the prism would need to be adjusted by an amount of 'drag'.

The velocity of light v_n in the glass without any adjustment is given by:

$$v_n = c / n$$

The drag adjustment v_d is given by:

$$v_d = v \left(1 - \frac{\rho_e}{\rho_g} \right)$$

Where ρ_e is the aether density in the environment, ρ_g is the aether density in the glass and v is the velocity of the prism with respect to the aether.

The factor $\left(1 - \frac{\rho_e}{\rho_g} \right)$ can be written as $\left(1 - \frac{1}{n^2} \right)$ because the refractive index, n ,

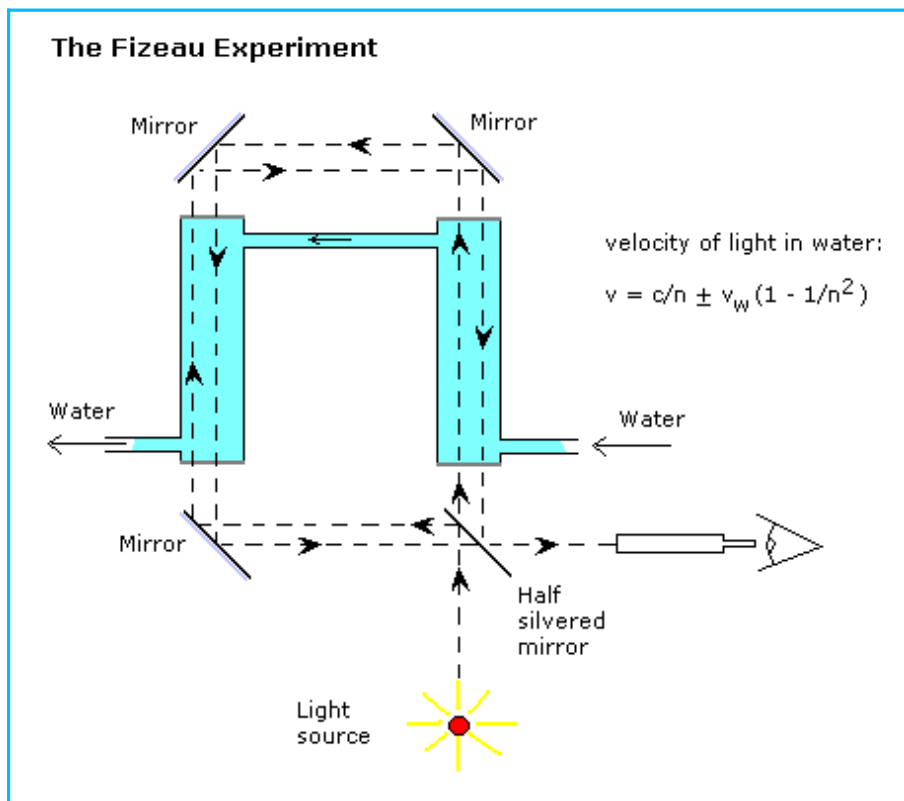
would be dependent on the density of the aether. This is known as the **Fresnel drag coefficient**.

The velocity of light in the glass is then given by:

$$V = \frac{c}{n} + v\left(1 - \frac{1}{n^2}\right)$$

This correction was successful in explaining the null result of Arago's experiment. It introduces the concept of a largely stationary aether that is dragged by substances such as glass but not by air. Its success favoured the wave theory of light over the previous corpuscular theory.

The Fresnel drag coefficient was confirmed by an interferometer experiment performed by Fizeau. Water was passed at high speed along two glass tubes that formed the optical paths of the interferometer and it was found that the fringe shifts were as predicted by the drag coefficient.



The special theory of relativity predicts the result of the Fizeau experiment from the velocity addition theorem without any need for an aether.

If V is the velocity of light relative to the Fizeau apparatus and U is the velocity of light relative to the water and v is the velocity of the water:

$$U = \frac{c}{n}$$

$$V = \frac{c/n + v}{1 + v/nc}$$

which, if v/c is small can be expanded using the binomial expansion to become:

$$V = \frac{c}{n} + v\left(1 - \frac{1}{n^2}\right)$$

This is identical to Fresnel's equation.

It may appear as if Fresnel's analysis can be substituted for the relativistic approach, however, more recent work has shown that Fresnel's assumptions should lead to different amounts of aether drag for different frequencies of light and violate Snell's law (see Ferraro and Sforza (2005)).

The aether drag hypothesis was one of the arguments used in an attempt to explain the Michelson-Morley experiment before the widespread acceptance of the special theory of relativity.

The Fizeau experiment is consistent with relativity and approximately consistent with each individual body, such as prisms, lenses etc. dragging its own aether with it. This contradicts some modified versions of the aether drag hypothesis that argue that aether drag may happen on a global (or larger) scale and stellar aberration is merely transferred into the entrained "bubble" around the earth which then faithfully carries the modified angle of incidence directly to the observer.

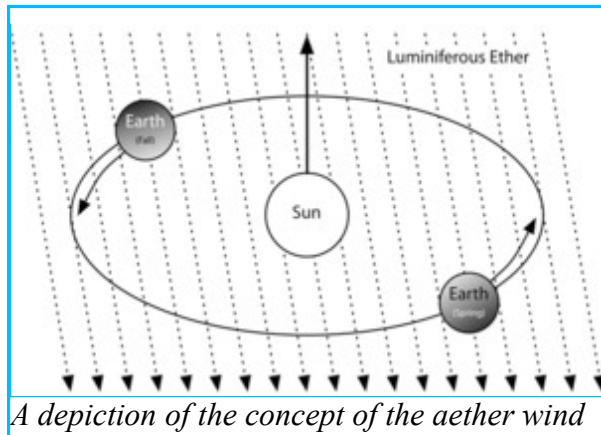
References

- [Rafael Ferraro and Daniel M Sforza 2005. Arago \(1810\): the first experimental result against the ether Eur. J. Phys. 26 195-204](#)

The Michelson-Morley experiment

The **Michelson-Morley experiment**, one of the most important and famous experiments in the history of physics, was performed in 1887 by Albert Michelson and Edward Morley at what is now Case Western Reserve University, and is considered to be the first strong evidence against the theory of a luminiferous aether.

Physics theories of the late 19th century postulated that, just as water waves must have a medium to move across (water), and audible sound waves require a medium to move through (air), so also light waves require a medium, the "luminiferous aether".



The speed of light being so great, designing an experiment to detect the presence and properties of this aether took considerable thought.

Measuring Aether

Each year, the Earth travels a tremendous distance in its orbit around the sun, at a speed of around 30 km/second, over 100,000 km per hour. It was reasoned that the Earth would at all times be moving through the aether and producing a detectable "aether wind". At any given point on the Earth's surface, the magnitude and direction of the wind would vary with time of day and season. By analysing the effective wind at various different times, it should be possible to separate out components due to motion of the Earth relative to the Solar System from any due to the overall motion of that system.

The effect of the aether wind on light waves would be like the effect of wind on sound waves. Sound waves travel at a constant speed relative to the medium that they are travelling through (this varies depending on the pressure, temperature etc (see sound), but is typically around 340 m/s). So, if the speed of sound in our conditions is 340 m/s, when there is a 10 m/s wind relative to the ground, into the wind it will appear that sound is travelling at 330 m/s ($340 - 10$). Downwind, it will appear that sound is travelling at 350 m/s ($340 + 10$). Measuring the speed of sound compared to the ground in different directions will therefore enable us to calculate the speed of the air relative to the ground.

If the speed of the sound cannot be directly measured, an alternative method is to measure the time that the sound takes to bounce off of a reflector and return to the origin. This is done parallel to the wind and perpendicular (since the direction of the wind is unknown before hand, just determine the time for several different

directions). The cumulative round trip effects of the wind in the two orientations slightly favors the sound travelling at right angles to it. Similarly, the effect of an aether wind on a beam of light would be for the beam to take slightly longer to travel round-trip in the direction parallel to the "wind" than to travel the same round-trip distance at right angles to it.

"Slightly" is key, in that, over a distance such as a few meters, the difference in time for the two round trips would be only about a millionth of a millionth of a second. At this point the only truly accurate measurements of the speed of light were those carried out by Albert Abraham Michelson, which had resulted in measurements accurate to a few meters per second. While a stunning achievement in its own right, this was certainly not nearly enough accuracy to be able to detect the aether.

The experiments

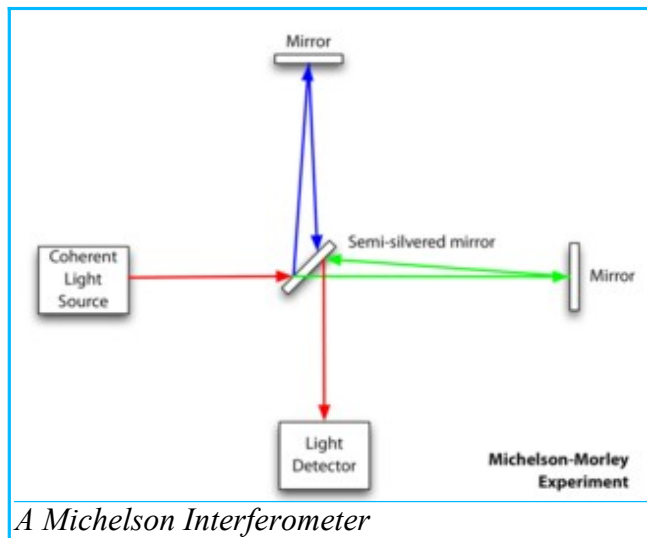
Michelson, though, had already seen a solution to this problem. His design, later known as an interferometer, sent a single source of white light through a half-silvered mirror that was used to split it into two beams travelling at right angles to one another. After leaving the splitter, the beams travelled out to the ends of long arms where they were reflected back into the middle on small mirrors. They then recombined on the far side of the splitter in an eyepiece, producing a pattern of constructive and destructive interference based on the length of the arms. Any slight change in the amount of time the beams spent in transit would then be observed as a shift in the positions of the interference fringes. If the aether were stationary relative to the sun, then the Earth's motion would produce a shift of about 0.04 fringes.

Michelson had made several measurements with an experimental device in 1881, in which he noticed that the expected shift of 0.04 was not seen, and a smaller shift of about 0.02 was. However his apparatus was a prototype, and had experimental errors far too large to say anything about the aether wind. For a measurement of the aether wind, a much more accurate and tightly controlled experiment would have to be carried out. The prototype was, however, successful in demonstrating that the basic method was feasible.

He then combined forces with Edward Morley and spent a considerable amount of time and money creating an improved version with more than enough accuracy to detect the drift. In their experiment the light was repeatedly reflected back and forth along the arms, increasing the path length to 11m. At this length the drift would be about .4 fringes. To make that easily detectable the apparatus was located in a closed room in the basement of a stone building, eliminating most thermal and vibrational effects. Vibrations were further reduced by building the apparatus on top of a huge block of marble, which was then floated in a pool of mercury. They calculated that effects of about 1/100th of a fringe would be detectable.

The mercury pool allowed the device to be turned, so that it could be rotated

through the entire range of possible angles to the "aether wind". Even over a short period of time some sort of effect would be noticed simply by rotating the device, such that one arm rotated into the direction of the wind and the other away. Over longer periods day/night cycles or yearly cycles would also be easily measurable.



During each full rotation of the device, each arm would be parallel to the wind twice (facing into and away from the wind) and perpendicular to the wind twice. This effect would show readings in a sine wave formation with two peaks and two troughs. Additionally if the wind was only from the earth's orbit around the sun, the wind would fully change directions east/west during a 12 hour period. In this ideal

conceptualization, the sine wave of day/night readings would be in opposite phase.

Because it was assumed that the motion of the solar system would cause an additional component to the wind, the yearly cycles would be detectable as an alteration of the magnitude of the wind. An example of this effect is a helicopter flying forward. While on the ground, a helicopter's blades would be measured as travelling around at 50 MPH at the tips. However, if the helicopter is travelling forward at 50 MPH, there are points at which the tips of the blades are travelling 0 MPH and 100 MPH with respect to the air they are travelling through. This increases the magnitude of the lift on one side and decreases it on the other just as it would increase and decrease the magnitude of an ether wind on a yearly basis.

The most famous failed experiment

Ironically, after all this thought and preparation, the experiment became what might be called the most famous failed experiment to date. Instead of providing insight into the properties of the aether, Michelson and Morley's [1887 article](#) in the American Journal of Science reported the measurement to be as small as one-fortieth of the expected displacement but "since the displacement is proportional to the square of the velocity" they concluded that the measured velocity was approximately one-sixth of the expected velocity of the Earth's motion in orbit and "certainly less than one-fourth". Although this small "velocity" was measured, it was considered far too small to be used as evidence of aether, it was later said to be within the range of an experimental error that would allow the speed to actually be zero.

Although Michelson and Morley went on to different experiments after their first

publication in 1887, both remained active in the field. Other versions of the experiment were carried out with increasing sophistication. Kennedy and Illingsworth both modified the mirrors to include a half-wave "step", eliminating the possibility of some sort of standing wave pattern within the apparatus. Illingsworth could detect changes on the order of 1/300th of a fringe, Kennedy up to 1/1500th. Miller later built a non-magnetic device to eliminate magnetostriction, while Michelson built one of non-expanding invar to eliminate any remaining thermal effects. Others from around the world increased accuracy, eliminated possible side effects, or both. All of these with the exception of Dayton Miller also returned what is considered a null result.

Morley was not convinced of his own results, and went on to conduct additional experiments with Dayton Miller. Miller worked on increasingly large experiments, culminating in one with a 32m (effective) arm length at an installation at the Mount Wilson observatory. To avoid the possibility of the aether wind being blocked by solid walls, he used a special shed with thin walls, mainly of canvas. He consistently measured a small positive effect that varied, as expected, with each rotation of the device, the sidereal day and on a yearly basis. The low magnitude of the results he attributed to aether entrainment (see below). His measurements amounted to only ~10 kps instead of the expected ~30 kps expected from the earth's orbital motion alone. He remained convinced this was due to *partial* entrainment, though he did not attempt a detailed explanation.

Though Kennedy later also carried out an experiment at Mount Wilson, finding 1/10 the drift measured by Miller, and no seasonal effects, Miller's findings were considered important at the time, and were discussed by Michelson, Hendrik Lorentz and others at a meeting reported in 1928 (ref below). There was general agreement that more experimentation was needed to check Miller's results. Lorentz recognised that the results, whatever their cause, did not quite tally with either his or Einstein's versions of special relativity. Einstein was not present at the meeting and felt the results could be dismissed as experimental error (see Shankland ref below).

Name	Year	Arm length (meters)	Fringe shift expected	Fringe shift measured	Experimental Resolution	Upper Limit on V_{aether}
Michelson	1881	1.2	0.04	0.02		
Michelson and Morley	1887	11.0	0.4	< 0.01		8 km/s
Morley and Morley	1902-1904	32.2	1.13	0.015		
Miller	1921	32.0	1.12	0.08		
Miller	1923-1924	32.0	1.12	0.03		
Miller (Sunlight)	1924	32.0	1.12	0.014		

Tomascheck (Starlight)	1924	8.6	0.3	0.02		
Miller (Mt Wilson)	1925-1926	32.0	1.12	0.088		
Illingworth	1926	2.0	0.07	0.0002	0.0006	1 km/s
Piccard and Stahel (Rigi)	1927	2.8	0.13	0.006		
Michelson et al.	1929	25.9	0.9	0.01		
Joos	1930	21.0	0.75	0.002		

In recent times versions of the MM experiment have become commonplace. Lasers and masers amplify light by repeatedly bouncing it back and forth inside a carefully tuned cavity, thereby inducing high-energy atoms in the cavity to give off more light. The result is an effective path length of kilometers. Better yet, the light emitted in one cavity can be used to start the same cascade in another set at right angles, thereby creating an interferometer of extreme accuracy.

The first such experiment was led by Charles H. Townes, one of the co-creators of the first maser. Their 1958 experiment put an upper limit on drift, including any possible experimental errors, of only 30 m/s. In 1974 a repeat with accurate lasers in the triangular Trimmer experiment reduced this to 0.025 m/s, and included tests of entrainment by placing one leg in glass. In 1979 the Brilliet-Hall experiment put an upper limit of 30 m/s for any one direction, but reduced this to only 0.000001 m/s for a two-direction case (ie, still or partially entrained aether). A year long repeat known as Hils and Hall, published in 1990, reduced this to 2×10^{-13} .

Fallout

This result was rather astounding and not explainable by the then-current theory of wave propagation in a static aether. Several explanations were attempted, among them, that the experiment had a hidden flaw (apparently Michelson's initial belief), or that the Earth's gravitational field somehow "dragged" the aether around with it in such a way as locally to eliminate its effect. Miller would have argued that, in most if not all experiments other than his own, there was little possibility of detecting an aether wind since it was almost completely blocked out by the laboratory walls or by the apparatus itself. Be this as it may, the idea of a simple aether, what became known as the *First Postulate*, had been dealt a serious blow.

A number of experiments were carried out to investigate the concept of aether dragging, or *entrainment*. The most convincing was carried out by Hamar, who placed one arm of the interferometer between two huge lead blocks. If aether were dragged by mass, the blocks would, it was theorised, have been enough to cause a visible effect. Once again, no effect was seen.

Walter Ritz's Emission theory (or ballistic theory), was also consistent with the

results of the experiment, not requiring aether, more intuitive and paradox-free. This became known as the *Second Postulate*. However it also led to several "obvious" optical effects that were not seen in astronomical photographs, notably in observations of binary stars in which the light from the two stars could be measured in an interferometer.

The Sagnac experiment placed the MM apparatus on a constantly rotating turntable. In doing so any ballistic theories such as Ritz's could be tested directly, as the light going one way around the device would have different length to travel than light going the other way (the eyepiece and mirrors would be moving toward/away from the light). In Ritz's theory there would be no shift, because the net velocity between the light source and detector was zero (they were both mounted on the turntable). However in this case an effect was seen, thereby eliminating any simple ballistic theory. This fringe-shift effect is used today in laser gyroscopes.

Another possible solution was found in the Lorentz-FitzGerald contraction hypothesis. In this theory all objects physically contract along the line of motion relative to the aether, so while the light may indeed transit slower on that arm, it also ends up travelling a shorter distance that exactly cancels out the drift.

In 1932 the Kennedy-Thorndike experiment modified the Michelson-Morley experiment by making the path lengths of the split beam unequal, with one arm being very long. In this version the two ends of the experiment were at different velocities due to the rotation of the earth, so the contraction would not "work out" to exactly cancel the result. Once again, no effect was seen.

Ernst Mach was among the first physicists to suggest that the experiment actually amounted to a disproof of the aether theory. The development of what became Einstein's special theory of relativity had the Fitzgerald-Lorentz contraction derived from the invariance postulate, and was also consistent with the apparently null results of most experiments (though not, as was recognised at the 1928 meeting, with Miller's observed seasonal effects). Today relativity is generally considered the "solution" to the MM null result.

The Trouton-Noble experiment is regarded as the electrostatic equivalent of the Michelson-Morley optical experiment, though whether or not it can ever be done with the necessary sensitivity is debatable. On the other hand, the 1908 Trouton-Rankine experiment that spelled the end of the Lorentz-FitzGerald contraction hypothesis achieved an incredible sensitivity.

References

- [W. Ritz, Recherches Critiques sur l'Electrodynamique Generale, Ann. Chim., Phys., 13, 145, \(1908\) - English Translation](#)
- [W. de Sitter, Ein astronomischer Beweis für die Konstanz der Lichtgeschwindigkeit, Physik. Zeitschr, 14, 429 \(1913\)](#)
- [The Michelson Morley and the Kennedy Thorndike tests of STR](#)
- [The Trouton-Rankine Experiment and the Refutation of the FitzGerald](#)

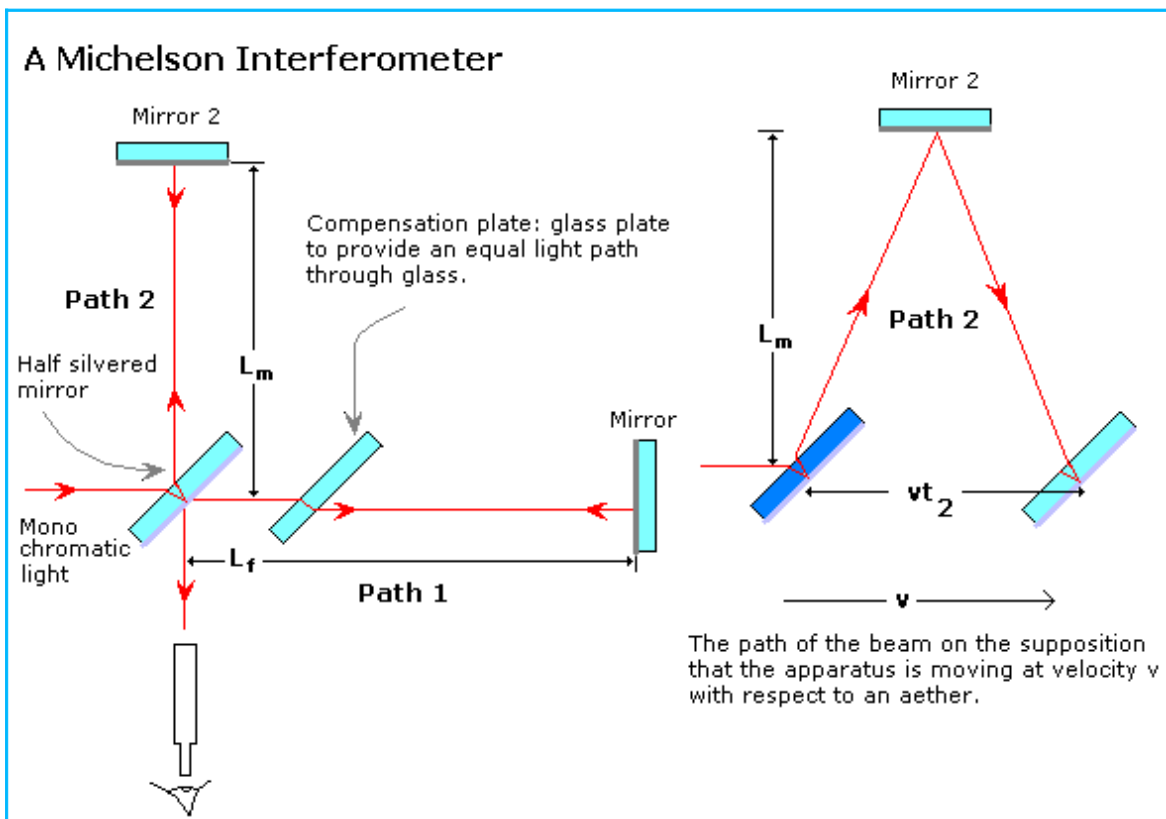
Contraction

- High Speed Ives-Stilwell Experiment Used to Disprove the Emission Theory

Mathematical analysis of the Michelson Morley Experiment

The Michelson interferometer splits light into rays that travel along two paths then recombines them. The recombined rays interfere with each other. If the path length changes in one of the arms the interference pattern will shift slightly, moving relative to the cross hairs in the telescope. The Michelson interferometer is arranged as an optical bench on a concrete block that floats on a large pool of mercury. This allows the whole apparatus to be rotated smoothly.

If the earth were moving through an aether at the same velocity as it orbits the sun (30 km/sec) then Michelson and Morley calculated that a rotation of the apparatus should cause a shift in the fringe pattern. The basis of this calculation is given below.



Consider the time taken t_1 for light to travel along Path 1 in the illustration:

$$t_1 = \frac{L_f}{c-v} + \frac{L_f}{c+v}$$

Rearranging terms:

$$\frac{L_f}{c-v} + \frac{L_f}{c+v} = \frac{2L_f c}{c^2 - v^2}$$

further rearranging:

$$\frac{2L_f c}{c^2 - v^2} = \frac{2L_f}{c} \frac{1}{1 - v^2/c^2}$$

hence:

$$t_1 = \frac{2L_f}{c} \frac{1}{1 - v^2/c^2}$$

Considering Path 2, the light traces out two right angled triangles so:

$$ct_2 = 2\sqrt{L_m^2 + (vt_2/2)^2}$$

Rearranging:

$$t_2 = \frac{2L_m}{\sqrt{c^2 - v^2}}$$

So:

$$t_2 = \frac{2L_m}{c} \frac{1}{\sqrt{1 - (v/c)^2}}$$

It is now easy to calculate the difference (Δt between the times spent by the light in Path 1 and Path 2:

$$\Delta t = \frac{2}{c} \left(\frac{L_m}{\sqrt{1 - v^2/c^2}} - \frac{L_f}{1 - v^2/c^2} \right)$$

If the apparatus is rotated by 90 degrees the new time difference is:

$$\Delta t' = \frac{2}{c} \left(\frac{L_m}{1 - v^2/c^2} - \frac{L_f}{\sqrt{1 - v^2/c^2}} \right)$$

The interference fringes due to the time difference between the paths will be different after rotation if Δt and $\Delta t'$ are different.

$$\Delta t' - \Delta t = \frac{2}{c} \left(\frac{L_m + L_f}{1 - v^2/c^2} - \frac{L_f + L_m}{\sqrt{1 - v^2/c^2}} \right)$$

This difference between the two times can be calculated if the binomial expansions

of $\frac{1}{1 - v^2/c^2}$ and $\frac{1}{\sqrt{1 - v^2/c^2}}$ are used:

$$\frac{1}{1 - v^2/c^2} = 1 + \frac{v^2}{c^2} + \left(\frac{v^2}{c^2}\right)^2 + \dots$$

$$\frac{1}{\sqrt{1 - v^2/c^2}} = 1 + \frac{1}{2} \frac{v^2}{c^2} + \frac{3}{8} \left(\frac{v^2}{c^2}\right)^2 + \dots$$

So:

$$\Delta t' - \Delta t \approx \frac{L_f + L_m}{c} \frac{v^2}{c^2}$$

If the period of one vibration of the light is T then the number of fringes (n), that will move past the cross hairs of the telescope when the apparatus is rotated will be:

$$n = \frac{\Delta t' - \Delta t}{T}$$

Inserting the formula for $\Delta t' - \Delta t$:

$$n \approx \frac{L_f + L_m v^2}{cT} \frac{1}{c^2}$$

But cT for a light wave is the wavelength of the light ie: $cT = \lambda$ so:

$$n \approx \frac{L_f + L_m v^2}{\lambda} \frac{1}{c^2}$$

If the wavelength of the light is 5×10^{-7} and the total path length is 20 metres then:

$$n = \left(\frac{20}{5 \times 10^{-7}} \right) 10^{-8}$$

So the fringes should shift by 0.4 fringes (ie: 40%) when the apparatus is rotated.

However, no fringe shift is observed. The null result of the Michelson-Morley experiment is nowadays explained in terms of the constancy of the speed of light. The assumption that the light would have a velocity of $c - v$ and $c + v$ depending on the direction relative to the hypothetical "aether wind" is false, the light always travels at c between two points in a vacuum and the speed of light is not affected by any "aether wind".

This is because, in special relativity the Lorentz transforms induce a length contraction. Doing over the above calculations we obtain:

$$L_f = L_m \sqrt{1 - v^2/c^2}$$

(taking into consideration the length contraction)

It is now easy to recalculate the difference (Δt between the times spent by the light in Path 1 and Path 2:

$$\Delta t = \frac{2}{c} \left(\frac{L_m}{\sqrt{1 - v^2/c^2}} - \frac{L_f}{1 - v^2/c^2} \right) = 0$$

because $L_f = L_m \sqrt{1 - v^2/c^2}$

If the apparatus is rotated by 90 degrees the new time difference is:

$$\Delta t' = \frac{2}{c} \left(\frac{L_m}{1 - v^2/c^2} - \frac{L_f}{\sqrt{1 - v^2/c^2}} \right) = 0$$

The interference fringes due to the time difference between the paths will be

different after rotation if Δt and $\Delta t'$ are different.

$$\Delta t' - \Delta t = \frac{2}{c} \left(\frac{L_m + L_f}{1 - v^2/c^2} - \frac{L_f + L_m}{\sqrt{1 - v^2/c^2}} \right) = 0$$

Coherence length

The coherence length of light rays from a source that has wavelengths that differ by $\Delta\lambda$ is:

$$x = \frac{\lambda^2}{2\pi\Delta\lambda}$$

If path lengths differ by more than this amount then interference fringes will not be observed. White light has a wide range of wavelengths and interferometers using white light must have paths that are equal to within a small fraction of a millimetre for interference to occur. This means that the ideal light source for a Michelson Interferometer should be monochromatic and the arms should be as near as possible equal in length.

The calculation of the coherence length is based on the fact that interference fringes become unclear when light rays are about 60 degrees (about 1 radian or one sixth of a wavelength ($\approx 1/2\pi$)) out of phase. This means that when two beams are:

$$\frac{\lambda}{2\pi}$$

metres out of step they will no longer give a well defined interference pattern. Suppose a light beam contains two wavelengths of light, λ and $\lambda + \Delta\lambda$, then in:

$$\frac{\lambda}{2\pi\Delta\lambda}$$

cycles they will be $\frac{\lambda}{2\pi}$ out of phase.

The distance required for the two different wavelengths of light to be this much out of phase is the coherence length. Coherence length = number of cycles x length of each cycle so:

$$\text{coherence length} = \frac{\lambda^2}{2\pi\Delta\lambda}.$$

Lorentz-Fitzgerald Contraction Hypothesis

After the first Michelson-Morley experiments in 1881 there were several attempts to explain the null result. The most obvious point of attack is to propose that the path

that is parallel to the direction of motion is contracted by $\sqrt{1 - v^2/c^2}$ in which

case Δt and $\Delta t'$ would be identical and no fringe shift would occur. This possibility was proposed in 1892 by Fitzgerald. Lorentz produced an "electron theory of matter" that would account for such a contraction.

Students sometimes make the mistake of assuming that the Lorentz-Fitzgerald contraction is equivalent to the Lorentz transformations. However, in the absence of any treatment of the time dilation effect the Lorentz-Fitzgerald explanation would result in a fringe shift if the apparatus is moved between two different velocities. The rotation of the earth allows this effect to be tested as the earth orbits the sun. Kennedy and Thorndike (1932) performed the Michelson-Morley experiment with a highly sensitive apparatus that could detect any effect due to the rotation of the earth; they found no effect. They concluded that both time dilation and Lorentz-Fitzgerald Contraction take place, thus confirming relativity theory.

If only the Lorentz-Fitzgerald contraction applied then the fringe shifts due to changes in velocity would be: $n = (v_1^2 - v_2^2)/c^2 \times (L_f - L_m)/\lambda$. Notice how the sensitivity of the experiment is dependent on the difference in path length $L_f - L_m$ and hence a long coherence length is required.

External links

- [Interferometers Used in Aether Drift Experiments From 1881-1931](#)
- [Early Experiments](#)
- [Modern Michelson-Morley Experiment improves the best previous result by 2 orders of magnitude, from 2003](#)
- [The Michelson-Morley and Kennedy-Thorndike Experiments](#)

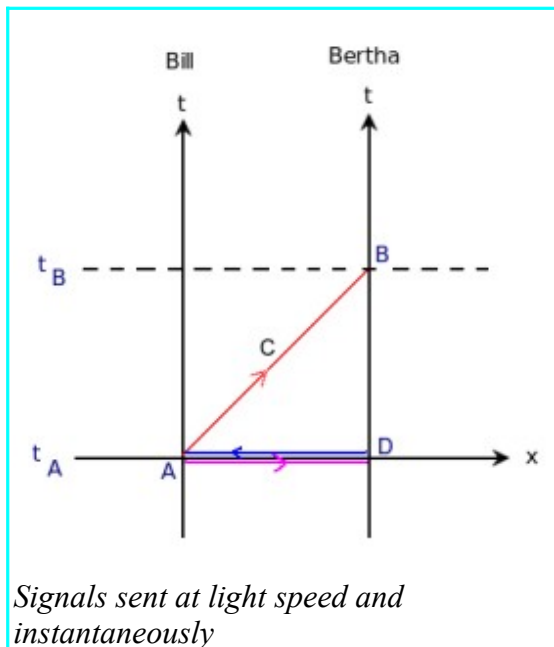
Faster than light signals, Causality and Special Relativity

It is popularly imagined that Special Relativity forbids travel faster than the speed of light or the propagation of signals faster than the speed of light. However, the actual theory does not contain this assumption. The original theory, framed by Einstein in 1905, states that the speed of light in free space is constant in all inertial frames of reference so how did people in general come to believe that this implies a speed limit? The idea of a speed limit comes from two predictions of the theory, that inertia increases towards infinite as velocity approaches light speed and that causality, the succession of cause and effect, is violated if we could signal at speeds above the speed of light.

The inertial constraint does not apply to massless particles such as the photon or to particles that might oscillate between massless and massive forms. The possibility that causality would be violated if signals could travel faster than the speed of light is a more interesting problem however. The relationship between Faster than Light signal speeds and causality will be considered and it will be shown that if a Faster than Light signal were ever discovered then either Special Relativity or Causality will be false.

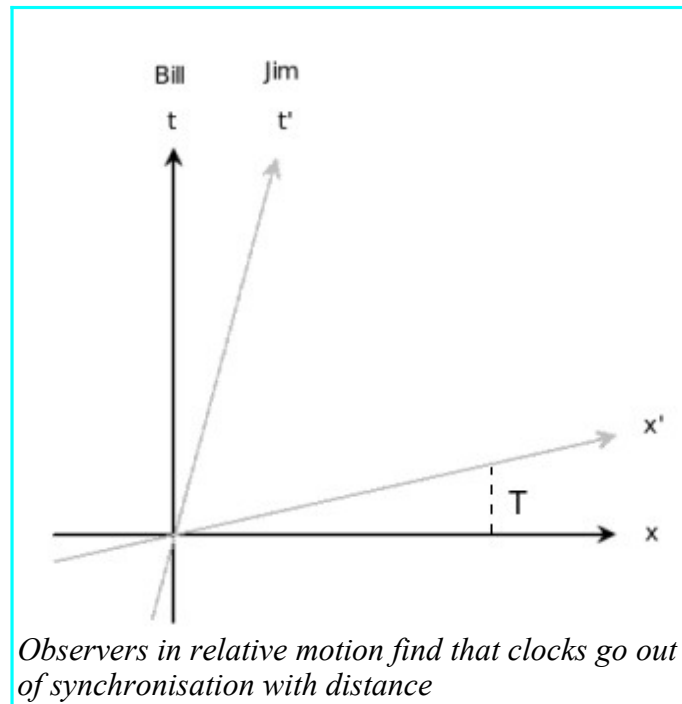
Special Relativity, faster than light signals and causality

Prior to the twentieth century physicists believed that sending signals from one observer to another was straightforward. They believed that light was always transmitted from a transmitter to a receiver where the transmitter was earlier than the receiver (the red line in the diagram below) and that signals might be transmitted instantaneously (the blue and purple lines in the diagram).

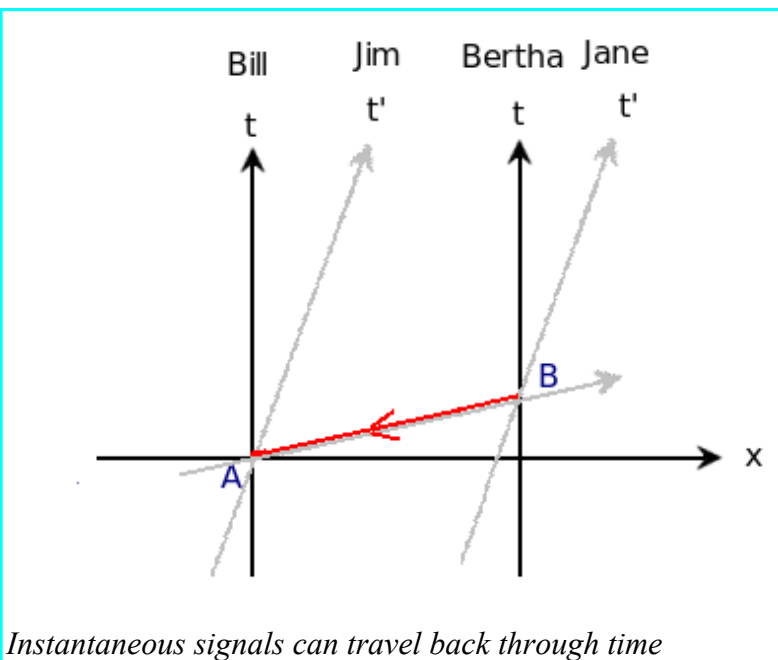


In this pre-relativistic scenario the two observers in the diagram, Bill and Bertha, have total freedom to transmit signals at any rate up to an infinite velocity. Prior to Special Relativity there was no theory for how signals might be sent backwards in time and such a possibility was discounted.

Special Relativity leads to different predictions about the behaviour of signals. In the section of this book on simultaneity it was shown that Special Relativity predicts that clocks on two relatively moving observers will go progressively out of phase with distance along a common x-axis. This effect is shown in the diagram below.



The x' axis on the diagram is all those points that Jim considers to be NOW, events that exist at the present moment. Jim's NOW differs from Bill's NOW. The two observers read the same time at the origin of the graph but clocks differ with distance from the origin.

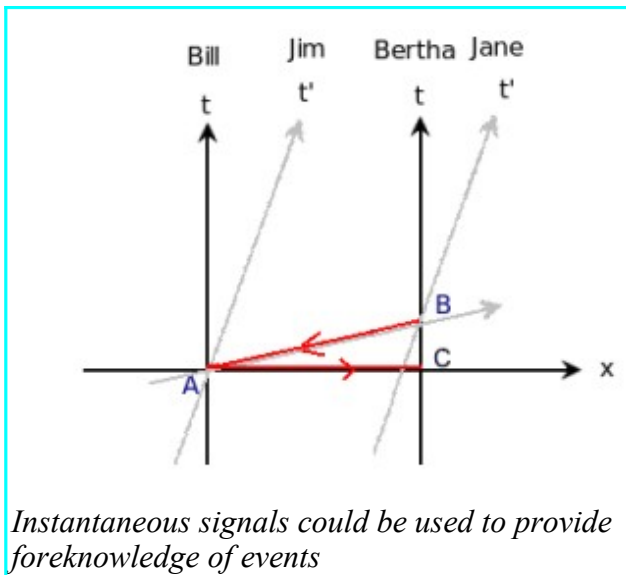


This means that if Jim were able to send a message instantaneously from one place to another, so that it was transmitted and received at the same time, Bill would see the signal to be spanning two different times. The signal would appear to go backwards or forwards in time. This is shown in the diagram in which Bertha sees the signal begin at one time (point B) and end at

another time (point A).

Notice that if Bertha asks Jane to send an instantaneous signal to Jim then Bill, who is right next to Jim when the signal arrives, will register this signal as arriving at an earlier time than the time it was started by Bertha. If it is possible to signal instantaneously then it is possible to transmit messages backwards through time! This transfer of information back through time would apply to any signal that could be sent at a speed faster than the speed of light.

If signals could be sent at faster than light speed then Bertha and Bill could work



together to become rich. Bertha could ask Jane and Jim to signal the result of a race or the price of a stock back to Bill then Bill could send this result back to Bertha at a time before the race. Bertha could then place a bet to win a fortune.. This sequence of events is shown in the diagram below, Bertha sees the result of the race at point B, signals this back to Bill at point A, using Jane and Jim to send the signal, then Bill signals back to Bertha how she should bet before the race begins.

This sequence of events might be held to be impossible in physics because it violates the principle of **Causality**. Causes precede effects according to the principle of Causality but if faster than light signals are possible then effects could precede causes. Notice that the violation of causality would actually be quite limited and would only apply to "space-like" separated events, these are events that are so recent that it would require a signal travelling faster than the speed of light to observe them now. Even if Bill and Jim were as far away as the moon they would only be able to peak a little over a second into Bertha's future if instantaneous signals were possible (the moon is less than 2 light seconds away).

It is well known that weakly interacting, free particles which cannot be observed because they are "space-like" separated from observation are described by the probabilistic predictions of Quantum Theory so it would not be altogether shocking, though certainly surprising, if causality were violated in these circumstances.

Further Reading

Liberati, S., Sonego, S. and Visser, M. (2002) Faster-than-c signals, special relativity, and causality. *Annals Phys.* 298 (2002) 167-185. <http://arxiv.org/abs/gr-qc/0107091>

Garrison, J.C., Mitchell, M.W., Chiao, R.Y., Bolda, E.L. (1998) Superluminal Signals: Causal Loop Paradoxes Revisited. Phys.Lett. A245 (1998) 19-25
<http://arxiv.org/abs/quant-ph/9810031>

Appendix 1

Mathematics of the Lorentz Transformation Equations

Consider two observers O and O' , moving at velocity v relative to each other who synchronise their clocks so that $t = t' = 0$ as they pass each other. They both observe the same event as a flash of light. How will the coordinates recorded by the observers of the event that produced the light be interrelated?

The relationship between the coordinates can be derived using linear algebra on the basis of the postulates of relativity and an extra homogeneity and isotropy assumption.

The homogeneity and isotropy assumption: space is uniform and homogeneous in all directions. If this were not the case then when comparing lengths between coordinate systems the lengths would depend upon the position of the measurement. For instance, if $x' = ax^2$ the distance between two points would depend upon position.

The linear equations relating coordinates in the primed and unprimed frames are:

$$\begin{aligned}x' &= a_{11}x + a_{12}y + a_{13}z + a_{14}t \\y' &= a_{21}x + a_{22}y + a_{23}z + a_{24}t \\z' &= a_{31}x + a_{32}y + a_{33}z + a_{34}t \\t' &= a_{41}x + a_{42}y + a_{43}z + a_{44}t\end{aligned}$$

There is no relative motion in the y or z directions so, according to the 'relativity' postulate:

$$\begin{aligned}z' &= z \\y' &= y\end{aligned}$$

Hence:

$$\begin{aligned}a_{22} &= 1 \text{ and } a_{21} = a_{23} = a_{24} = 0 \\a_{33} &= 1 \text{ and } a_{31} = a_{32} = a_{34} = 0\end{aligned}$$

So the following equations remain to be solved:

$$\begin{aligned}x' &= a_{11}x + a_{12}y + a_{13}z + a_{14}t \\t' &= a_{41}x + a_{42}y + a_{43}z + a_{44}t\end{aligned}$$

If space is isotropic (the same in all directions) then the motion of clocks should be independent of the y and z axes (otherwise clocks placed symmetrically around the x -axis would appear to disagree. Hence

$$a_{42} = a_{43} = 0$$

so:

$$t' = a_{41}x + a_{44}t$$

Events satisfying $x' = 0$ must also satisfy $x = vt$. So:

$$0 = a_{11}vt + a_{12}y + a_{13}z + a_{14}t$$

and

$$-a_{11}vt = a_{12}y + a_{13}z + a_{14}t$$

Given that the equations are linear then $a_{12}y + a_{13}z = 0$ and:

$$-a_{11}vt = a_{14}t$$

and

$$-a_{11}v = a_{14}$$

Therefore the correct transformation equation for x' is:

$$x' = a_{11}(x - vt)$$

The analysis to date gives the following equations:

$$x' = a_{11}(x - vt)$$

$$y' = y$$

$$z' = z$$

$$t' = a_{41}x + a_{44}t$$

Assuming that the speed of light is constant, the coordinates of a flash of light that expands as a sphere will satisfy the following equations in each coordinate system:

$$x^2 + y^2 + z^2 = c^2t^2$$

$$x'^2 + y'^2 + z'^2 = c^2t'^2$$

Substituting the coordinate transformation equations into the second equation gives:

$$a_{11}^2(x - vt)^2 + y^2 + z^2 = c^2(a_{41}x + a_{44}t)^2$$

rearranging:

$$(a_{11}^2 - c^2a_{41}^2)x^2 + y^2 + z^2 - 2(va_{11}^2 + c^2a_{41}a_{44})xt = (c^2a_{44}^2 - v^2a_{11}^2)t^2$$

We demand that this is equivalent with

$$x^2 + y^2 + z^2 = c^2 t^2$$

So we get:

$$c^2 a_{44}^2 - v^2 a_{11}^2 = c^2$$

$$a_{11}^2 - c^2 a_{41}^2 = 1$$

$$v a_{11}^2 + c^2 a_{41} a_{44} = 0$$

Solving these 3 simultaneous equations gives:

$$a_{44} = \frac{1}{\sqrt{(1 - v^2/c^2)}}$$

$$a_{11} = \frac{1}{\sqrt{(1 - v^2/c^2)}}$$

$$a_{41} = -\frac{v/c^2}{\sqrt{(1 - v^2/c^2)}}$$

Substituting these values into:

$$x' = a_{11}(x - vt)$$

$$y' = y$$

$$z' = z$$

$$t' = a_{41}x + a_{44}t$$

gives:

$$x' = \frac{x - vt}{\sqrt{(1 - v^2/c^2)}}$$

$$y' = y$$

$$z' = z$$

$$t' = \frac{t - (v/c^2)x}{\sqrt{(1 - v^2/c^2)}}$$

The inverse transformation is:

$$x = \frac{x' + vt'}{\sqrt{(1 - v^2/c^2)}}$$

$$\begin{aligned}
 y &= y' \\
 z &= z' \\
 t &= \frac{t' + (v/c^2)x'}{\sqrt{(1 - v^2/c^2)}}
 \end{aligned}$$

Einstein's original approach

How would two observers measure the position and timing of an event by using light rays if the speed of light were constant? The modern analysis of this problem, exposing the assumptions involved, is given above but Einstein's original reasoning (Einstein 1905,1920) is as follows.

Light is transmitted along the positive x axis according to the equation $x = ct$ where c is the velocity of light. This can be rewritten as:

$$x - ct = 0$$

Another observer, moving relatively to the first may find different values for x and t but the same equation will apply:

$$x' - ct' = 0$$

A simple relationship between these formulae, which apply to the same event is:

$$(x' - ct') = \lambda(x - ct)$$

Light is transmitted along the negative x axis according to the equation $x = -ct$ where c is the velocity of light. This can be rewritten as:

$$x + ct = 0$$

$$x' + ct' = 0$$

And:

$$(x' + ct') = \mu(x + ct)$$

Adding the equations and substituting $a = \frac{\lambda + \mu}{2}$ and $b = \frac{\lambda - \mu}{2}$:

$$(1) x' = ax - bct$$

$$(2) ct' = act - bx$$

The origin of one set of coordinates can be set so that $x' = 0$ hence:

$$x = \frac{bc}{a}t$$

If v is the velocity of one observer relative to the other then $v = \frac{x}{t}$ and:

$$(3) \quad v = \frac{bc}{a}$$

At $t = 0$:

$$(4) \quad x' = ax$$

Therefore two points separated by unit distance in the primed frame of reference i.e. when $x' = 1$ have the following separation in the unprimed frame:

$$(5) \quad \Delta x = \frac{1}{a}$$

Now t can be eliminated from equations (1) and (2) and combined with $v = \frac{bc}{a}$ and (4) to give in the case where $x = 1$ and $t' = 0$:

$$(6) \quad x' = a\left(1 - \frac{v^2}{c^2}\right)x$$

And, if $\Delta x = 1$:

$$(7) \quad \Delta x' = a\left(1 - \frac{v^2}{c^2}\right)$$

Now if the two moving systems are identical and the situation is symmetrical a measurement in the unprimed system of a division showing one metre on a measuring rod in the primed system is going to be identical to a measurement in the primed system of a division showing one metre on a measuring rod in the unprimed system. Thus (5) and (7) can be combined so that:

$$\frac{1}{a} = a\left(1 - \frac{v^2}{c^2}\right)$$

So:

$$a^2 = \frac{1}{\left(1 - \frac{v^2}{c^2}\right)}$$

Inserting this value for a into equations (1) and (2) and solving for b gives:

$$x' = \frac{x - vt}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$t' = \frac{t - (v/c^2)x}{\sqrt{1 - \frac{v^2}{c^2}}}$$

These are the **Lorentz Transformation Equations** for events on the x axis.

Einstein, A. (1920). Relativity. The Special and General Theory. Methuen & Co Ltd 1920. Written December, 1916. Robert W. Lawson (Authorised translation). <http://www.bartleby.com/173/>

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