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DATE 3 Apr 47

EVIDENTIARY DOC. NO. 2979

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ROOM NO. \_\_\_\_\_

INTERNATIONAL PROSECUTION SECTION

Doe. No. 2979

3 April 1947

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Instructions Received in 1932 by KAWABE, Torashiro, Military Attaché of Japanese Embassy in U.S.S.R. from MAZAKI, Jinzaburo, Chief of Staff

Date: 1932 Original ( ) Copy ( ) Language: English

Has it been translated? Yes ( ) No ( )

Has it been photostated? Yes ( ) No ( )

LOCATION OF ORIGINAL

SOURCE OF ORIGINAL: Russian Division

PERSONS IMPLICATED: MAZAKI, Jinzaburo; KAWABE, Torashiro; Prince KANIN

CRIMES TO WHICH DOCUMENT APPLICABLE: Relations with U.S.S.R.

SUMMARY OF RELEVANT POINTS

Transcriptions of "Instructions concerning Stratagem" against the U.S.S.R. are given in this document which contains three notes of instructions from the Chief of the General Staff, Prince KANIN to military attaché of Japanese Embassy in France and to the military attaché in U.S.S.R. KAWABE certifies all document.

Essentials of stratagem include setting up of intelligence units and organizing internal rebellion in Russia and China, for probable war with Soviet.

Analysts: GARDEN and WAGNER

Doe. No. 2979

*General Staff Russian Division*  
Doc. No. 2979

Page 1

General Staff /T.N. Illegible/ No. 406, First  
Headquarters

Instructions concerning Stratagem.

1. You shall receive delegated orders from the Military Attaché of the Japanese Embassy in France, and take charge of the execution of the stratagem.
2. You shall read the instructions for stratagem given to the Military Attaché of the Japanese Embassy in France, which LT. Col. DOBASHI in your country will show you.
3. In order to let Major KAWAMATA inspect the document in Warsaw, you shall communicate with Lt. Col. DOBASHI and inform Major KAWAMATA of the date he is to come to Warsaw.

October 5, 1932

MAZAKI, Jinzaburo,  
Assistant Chief of Staff

To: KAWABE, Torashiro

SEAL

Military Attaché of the Japanese Embassy in the U.S.S.R.

2409  
QTH

Doc. No. 2979

Page 2

/T.N. The following appears on the reverse of  
page 1 of the Japanese original/

This is a photostatic copy of the instructions which I received  
in 1932 from MAZAKI Jinzaburo, Assistant Chief of Staff. This  
document was delivered to me by Lt. Col. DOBASHI.

/Signed/ KAWABE Torashiro

September 4, 1946

Instructions pertaining to Stratagem given to the  
Military Attaché of the Imperial Embassy in France.

- (1) In regard to this Stratagem, the Military Attachés of the Imperial Embassy in France shall be given delegated command of the organs /agency/ in Europe and Turkey.
- (2) The Military Attachés of the Imperial Embassy in France shall determine the necessary plans, which is to be based upon the appended Essentials of Stratagem, and have it reported by 10 April 1934.
- (3) Those which seem relatively important in your plans must be reported simultaneously with the precedent item /TN. item 2/.

8 October 1932

the Chief of the General Staff

Prince KOTOHITO

To: KASAI, Heijuro

Military Attaché of the Embassy in France.

———— /Reverse side of the Sheet/ ———

This is a photostat of my transcription made after my reading of the original Instruction, dated 8 October 1932, which was given to KASAI, Heijuro, Military Attaché of the Imperial Embassy in France, by Prince KOTOHITO, Chief of General Staff.

4 Sept. 1946 KAWABE, Torashiro.

(TOP SECRET)

ESSENTIALS OF STRATAGEM

J. WAR with the USSR

1. In peace-time, the actual state of communization in the Far East carried out by the USSR and the Third "Inter" /Comintern/ shall be propagandized and the Empire's /TN:JAPAN's/ situation against the USSR's communizing policy shall be recognized, and thus the basis for reason of a righteous path for war with the USSR will be understood.
  2. In order to make the USSR's resources of war impotent as soon as possible after the opening hostilities, the following measures will be carried out.
    - (1) Independence movement of UKRAINE, GEORGIA, and AZERBAIJAN shall be supported and those areas shall be disturbed.
    - (2) Organizations of anti-SOVIET RUSSIANS who took refuge from the USSR will make contacts with their fellow-men in the USSR, and will breed riots in the various areas, stir up feeling against war, and plot the destruction of the government of the USSR.
  3. If friendly relation with FRANCE, POLAND, the Little ENTENTE, and the states along the coast of BALTIC SEA and TURKEY will be maintained, these countries will enforce the measures in the afores-mentioned article, and will inevitably accord facilities in the enforcement of our stratagem.
- II. War with CHINA.
4. By exposing the dark side of atrocities which is contrary to internationalism, and humanitarian, and is peculiar to the CHINESE from peace-time, and at the same time by making propaganda of such actual matters as debouchment of the communist army, plots and activities of the communist party, the inevitable conditions for protection of interest by arms will be recognized.
  5. In order to restrain USSR from participating in war, the measures in Article will be enforced if necessary.

III. War with US

6. In regards to war with US, Article 5 will be applicable.

IV. Supplementary Provisions

7. As soon as the opportunity of opening hostilities has become perceptible, the stratagem and intelligence organs in EUROPE and TURKEY are to be enlarged as in supplementary chart.

8. Regarding the enforcement of this plan excepting Articles 1 and 4, only it's preparations are to be made in so far as special instructions are not given.

TN: Translation of writing on the reverse of page 3/  
This is a photographed copy of the original document on the Essentials of Stratagem. The essentials of stratagem is what was added to the instructions of Prince TARUHITO, Chief of the General Staff.  
The copy was made in MOSCOW By YAMADA who was my assistant. The first page has my signature signed in 1932. KIWABE Torashiro.  
4 Sept 1946

Chart showing Disposition of Stratagen and Intelligence Organism  
(Subject to increase in war-time)

Intermediary Guidance	Name of Organ	Location	War with SOVIET	War with CHINA	War with U.S.	Remarks
	BRITAIN	LONDON	BRITAIN 1		U.S. 1	In regard to Intelligence, Intermediary Guidance Organs will be omitted
	FRANCE	PARIS	SOVIET 1	CHINA 1	U.S. 1	
			FRANCE 2			
Attaches of the Embassy at PARIS	ITALY GERMANY CHILE	ROME BERLIN	SOVIET 1			
Central Organ	AUSTRL.	VIENNA	GERMANY 1			
	FINLAND	HELSINKI				
	ESTONIA	FORS TALLINN	GERMANY 1			
	LATVIA	RIGA	SOVIET 1			
	LITHUANIA	KOVNO	SOVIET 1			
	POLAND	MRS. W.	SOVIET, 1			
			GERMANY or 1			
	RUMANIA	BUCHAREST	FRANCE	FRANCE 1		
	SOVIET	MOSCOW				
	TURKEY	ISTANBUL ANGORA	SOVIET 1			
Persian Organ		TEHERAN	SOVIET 1			
Attaches to INDIA	AFGHANISTAN	K. BUL	BRITAIN 1			

REFERENCE: SOVIET, CHINA, etc. indicate well-informed knowledge of SOVIET, CHINA, etc.

(P-1)

Translated by TANAKA HIRAYAMA

Date, 29/79

328  
B

General STAFF HEADQUARTERS T.N. Illegible No. 406, First

Stratagem.

Instructions concerning ~~subversive plan~~.

1. You ~~shall~~ ~~are directed to receive the~~ delegated orders from the Military Attaché of the Japanese

Embassy in France, and ~~to be in duty of~~ take charge of the execution of ~~stratagem~~.

~~Carrying out the subversive plan through LT. Col. DOBASHI in your country.~~

2. You ~~will~~ shall read ~~the instructions given to the~~ for stratagem, which <sup>of the</sup> LT. Col. DOBASHI in your country will show you

~~concerning the subversive plan through~~

~~Lt. Col. DOBASHI in your city.~~

3. In order to let Major KAWAMATA

inspect the document ~~in~~ Warsaw,

(P-1)

2

you shall communicate with Lt. COL. DOBASHI

and inform Major KAWAMATA of the  
date ~~when~~ he <sup>is to</sup> should come ~~to~~ to Warsaw.

(Note by Translator: The meaning of the  
Japanese text is ambiguous.)

¶ October 5, 1932

MAZAKI, Jinzaburo,  
~~Shinzaburo MAZAKI~~

Assistant Chief of Staff

To: KAWABE, Torashiro  
~~Torashiro KAWABE~~

SEAL

Military Attaché of the Japanese Embassy  
~~in the~~ U.S.S.R.

P-1

3

3

H.N. The following appears on the reverse of page 1,  
~~(Note by Translator: The following is the hand-~~  
~~writings note on the rear of the document.)~~

This is ~~the~~ a photostatic copy of the instructions

which I received ~~in 1932~~ from MAZAKI Jinzaburo,  
Shinzaburo MAZAKI,

Assistant Chief of Staff, in ~~1932~~. This

document was delivered to me by Lt. Col.  
DOBASHI.

Signed /

KAWABE Torashiro  
~~Torashiro KAWABE~~

# September 4, 1946.

(P-2)

4

Instructions pertaining to Strategem given to the  
Military Attaché of the Imperial Embassy in France.

- (1) .... In regard to this Strategem, the  
P Military Attachés of the Imperial Embassy in France  
shall be given delegated command of the organs/agency/  
in Europe and Turkey.
- (2) .... The Military Attachés of the Imperial Embassy in France  
shall determine the necessary plans, which is to  
be based upon the appended Essentials of Strategem,  
and have it reported by 10 April 1934.
- (3) .... Those which seem relatively important in your plans  
must be reported simultaneously with the precedent  
item /Tr. item 2/.

(R-2)

5

8 October 1932

The Chief of the General Staff

Prince KOTOHITO

To: KASAI, Heijuro.

Military Attaché of the Embassy in France

— / Reverse side of the sheet / —

*(made after my reading)*

This is a photostat of my transcription of the

8 October 1932,

original instructions, dated 8 which was given <sup>to</sup> KASAI, Heijuro,

military Attaché of the Imperial Embassy in France, by

Prince KOTOHITO, chief of General Staff.

4 Sept. 1946 KAWABE, Torashiro.

P 3, 4, 45

6

(TOP SECRET)

## ESSENTIALS OF STRATAGEM

### I. WAR with USSR

1. In peace-time, the actual state of communization in the Far East carried out by USSR and the Third "Inter" /Comintern/ shall be propagandized and ~~justify~~s the Empire's /TN:JAPAN's/ situation against USSR's communizing policy shall be recognized, and thus the basis for reason of a righteous path for war with USSR will be understood.
2. In order to make USSR's resource of war impotent as soon as possible after the opening hostilities, the following measures ~~now~~ will be carried out.
  - (1) Independence movement of UKRAINE, GEORGIA, and AZERBAIJAN shall be supported and those areas shall be disturbed.
  - (2) Organizations of anti-SOVIET RUSSIANS who took refuge from USSR will make contacts with their fellow-men in USSR, and will breed riots in the various areas, stir up feeling against war, and plot the destruction of the government of the USSR.
3. If friendly relation ~~will be maintained~~ with FRANCE, POLAND, the Little ENTENTE, and the states along the coast of BALTIC SEA and TURKEY will be maintained, these countries ~~will~~ enforce the measures in the afore-mentioned article, and will inevitably accord facilities in the enforcement of our stratagem.

### II. War with CHINA

4. By exposing the dark side of atrocities which is contrary to internationalism, ~~humanitarian~~, and ~~humanism~~, and is peculiar to the CHINESE, from peace-time, and at the same time by making propaganda of such actual matters as debouchment of the communist army, plots and activities of the communist party, the inevitable conditions for protection of interest by arms will be recognized.
5. In order to ~~restrict~~ USSR from participating in war, the measures in Article 2

R 3, 4, & 5

7

will be enforced if necessary.

### III. War with ~~the~~ US

6. In regards to war with ~~the~~ US, Article 5 will be ~~not~~ applicable.

### IV. Supplementary Provisions

7. As soon as the opportunity of opening hostilities has become perceptible, the stratagem and intelligence organs in EUROPE and TURKEY are to be enlarged as in supplementary chart.

8. Regarding the enforcement of this plan excepting Articles 1 and 4, only it's preparations are to be made in so far as special instructions are not given.

PN: Translation of writing on the reverse of page 3/

This is a photographed copy of the original document on the ~~Essentials~~ of Stratagem. The ~~Essentials~~ of stratagem is what was added to the instructions of Prince TARUHITO, Chief of the General Staff.

The copy was made in MOSCOW By YAMADA who was my assistant. The first page has my signature ~~signed~~ signed in 1932. KAWABE Torashiro. 4 Sept 1946

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KAWABE, Iorashiro

4 Sept. 1946.

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8

Intelligence Organism (Subject to increase in war-time)

with an against CHINA	War with U.S.	Remarks
CHINA /	(U.S.) /	In regard to Intelligence, Intermediary Guidance
	(U.S.) /	Organs will be omitted.

med knowledge of SOVIET, CHINA, etc.

Q-6

### Chart showing Disposition of Strategem and Intelligence Organs

Intermediary Guidance	Name of Organ	Location Situation	War with SOVIET	War with CHINA
	BRITAIN	LONDON	(BRITAIN) 1	
	FRANCE	PARIS	(SOVIET) / (FRANCE) 2	(CHINA) 1
Attaches of the Embassy at PARIS	ITALY	ROME		
	GERMANY	BERLIN	(SOVIET) 1	
	CHILE		(GERMANY) 1	
Central Organ	AUSTRIA	VIENNA	(GERMANY) 1	
	FINLAND	HELSINKI	(GERMANY) 1	
	ESTONIA	TALLINN	(SOVIET) 1	
	LATVIA	RIGA		
	LITHUANIA	(KAUNAS) KOVNO	(SOVIET) 1	
	POLAND	WARSAW	(SOVIET) 1, (GERMANY or FRANCE) 1	
	RUMANIA	BUCHAREST	(FRANCE) 1	
	SOVIET	MOSCOW		
	TURKEY	ISTANBUL and ANGORA	(SOVIET) 1	
Persian Organ		TEHERAN	(SOVIET) 1	
Attaches to INDIA	AFGHANISTAN	KABUL	(BRITAIN) 1	

REFERENCE : (SOVIET), (CHINA), etc., indicate well-informed knowl-

A1 Priority Ans.

INTERNATIONAL PROSECUTION SECTION

REQUEST FORM

1947

INCIDENT - ACCUSED

JUDGE ALBERT WILLIAMS

The undersigned requests the consideration of Document  
# 2979 (Describe) :

Please, translate into English and reproduce both English and Japanese texts. Send the original and 20 court copies to Rm. 358. Send two copies to Room 371. Do not distribute to defense counsel or anyone else unless and until notified by the undersigned

for introduction in evidence (specify purpose)  
during cross-examination of Gen. Kawabe Torashiro.

Arasliej  
Staff Attorney

31 March 1947

TO THE DOCUMENT OFFICER:

The above document has been approved for processing by you with changes as follows:

Daniel Below Lubbock  
Judge Albert Williams  
Document Control Attorney  
and Counsel  
By \_\_\_\_\_  
Secretary

參謀  
參謀四〇六号第一

CX 2409

謀略計畫三閑スル指示

一 貴官ハ佛國在覲帝國大使館附武官ノ區處ヲ受ト謀略ニ任スヘシ

二 謀略計畫三閑シ佛國在勤帝國大使館附武官ニ與ヘタル指示ハ貴地ニ於テ土橋歩兵中佐ヨリ閲覽ヲ度クヘシ

三 川俣少佐ヲヒテ「ワルニヤワ」ニ於テ右書類ヲ閲覽セシムル爲土橋中佐ト連絡ニ同少佐ノ「フルシヤワ」ニ至ルヘキ時日ヲ通報スヘシ

昭和七年十月五日

參謀次長　卓崎基三郎



ソヴィエト聯邦在勤之國大使館附武官

河邊虎四郎殿

FILE COPY  
RETURN TO ROOM 361

No. 1

doc 2979

Doc. 2979

之ハ私か參謀次長眞崎甚三郎カラ一九三三年  
ニ受ケタ指示、寫真コピーテアリマス  
此書類ハ私、所ニ土橋中佐ヨリテ届ケラレ  
ミシタ

河辺虎四郎

昭和廿一年九月四日

No. 2

doc 2979

謀略計画ニ関シ佛國在勤帝國大使館附武官ニ  
與乞指示

一、佛國在勤帝國大使館附武官ハ本謀略ニ關レ在  
歐洲並上耳占機関ヲ已處ス

二、佛國在勤帝國大使館附武官ハ別冊謀略計画  
要項ニ基キ必耍ナル謀略計画ヲ策定シ昭和八年  
四月拾日迄ニ報告スヘシ

三、計画上所要ノ經費等ハ前條ト共ニ報告スヘシ  
昭和七年十月八日 參謀總長載仁親王

佛國在勤帝國大使館附武官立井平十郎殿

Doc. 2979

之二  
一九三三年十月八日附大諭總長載仁  
親王、佛國大使館附武官笠井平十郎  
之  
スニ興ヘタル指示、厚文テ松ガ讀ミタル後ニ  
松ガ寫シタスノ寫真ヨリトテアリ

河邊虎四郎

昭和廿一年九月四日

軍機

謀畧 計画要領

河辺

オ一 対蘇戦争

一、平時ヨリ蘇聯邦乃至オ三「イニター」、極東ニ於ケル赤化事業ヲ宣傳シ蘇聯邦、赤化政策ニ対スル帝國立場ヲ諒知セシメ次テ対蘇戦、正義公道ニ立脚スル所以ヲ了得セシム

二、用戦後成ルヘク速ニ蘇聯邦、戦争力ヲ破壊スル爲厄、施策ヲ実行ス

(1) ウラライナ「ミヨルニア」アゼルバイジヤン、独立運動助成ニ当該地方ヲ擾乱ス

(2) 亡命反蘇露人团体ヲミテ蘇聯邦内ノ同志ト連絡シ各地ニ暴動ヲ起サシメ非戦○ヲ煽ルト共ニ

三、佛國、波蘭、小コニアト、冷凍瘤的諸邦、並上耳古ト

親善ヲ固リ度シ得レハ右諸邦ヲミテ前條施策ヲ実行セシメ已ハ得サルモ、我ノ謀畧実施ニ便宜ヲ與ル如ス

オ二 対支戦争

四、平時ヨリ支那民族ノ特性タル非國際的非人道的暴虐行爲、暗黒面ヲ暴露スルト共ニ共産軍、拾頭、共産黨行活動陰謀、事実ヲ宣傳シ武力を依ル權益擁護、己ハナキ事情ヲ認識セシム

五、蘇聯邦參戰ヲ牽制スル爲メ要スレハオニ條、施策ヲ實行

六、対米戦争ニ於テハオニ條、施策ヲ實行

七、開戦機運ヲ認ム、歐洲及土耳其ニ於ケル謀畧及牒報機関ヲ

八、本計画ノ実施ハオニ條及オ四條ヲ除ク外別ニ指示セラル限り準備ニ止ム

No.3

doc 2979

Doc. 2979

之ハ謀略計画要領、原文、寫し、寫眞  
ツードードアリマス  
其ノ謀略計画要領、一九三二年十月八日附  
參謀總長、載仁親王、指示ニ添ヘラレタモノ  
ニアリマス。  
其ノ寫しハモスクワニ於テ私、輔佐官ニアリ  
マレク山岡ニヨリテ作ラレタモノニアリマス。  
第一葉ニ一九三二年ニ書イタ私、署名  
ガアリマス

河辺虎四郎  
昭和廿一年九月四日

No. 4

• Shoc 2979

No. 5

Doc 2979

證明書

書類第二九七九号用

余、極東國際軍事裁判コロシヤ部文書課長  
エイ・アイ・マウーリンハ

一、謀略計画ニ関スル指示

二、謀略計画ニ關シ佛國在勤帝國大使館附

武官ニ與ル指示

三、謀略計画ニ關セ領

以上六通、寫眞贍本、一九四六年三月二十日

モスクワニ於テ赤軍より謀本部ヨリ余ニ文

附ナレタルモナルコトヲ茲ニ證ス

検事局コロシヤ部文書課長

エイ・アイ・マウーリン

一九四六年九月二十日、日本東京ニテ

(四者名)