

Witness Clausen

Questions by: Sen. Ferguson

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2 ment? You see there are three --

3 Colonel Clausen: I must have talked with him, Senator,
4 on numerous occasions about so many things that I do not re-
5 call any specific conversation.

6 Senator Ferguson: Colonel, there are three very import-
7 ant questions which were leads in writing for you. Now, have
8 you taken testimony on them so that there is a report on those
9 three questions by either you or the Secretary of War, or were
10 they later ignored and nothing said about those three import-
11 ant questions?

12 Colonel Clausen; Senator, whatever testimony I took is
13 in here and I haven't in mind right at the present time who
14 said what about what.

15 Senator Ferguson: I think I have read it all and at the
16 present moment I am unable to discover anything that is said
17 in here other than what you told me about General Miles
18 and several other instances, but did you attempt to make a
19 report, or did the Secretary of War, if I have missed it, at-
20 tempt to make a report on those three important questions?

21 Colonel Clausen: What question? On the question of a
22 joint action agreement?

23 Senator Ferguson: That is right.

24 Colonel Clausen: Not that I recall, no, sir.

25 Senator Ferguson: They did not?

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2 Colonel Clausen: Not that I recall.

3 Senator Ferguson: All right. And that was left to this
4 Senate and House committee to do as far as you were concerned?

5 Colonel Clausen: Senator, the answers I have given before
6 I think are the correct answers to that question. In other
7 words, the war with Japan had ceased, the purpose of Public
8 339 could be carried out better by the Congress than having
9 an officer like myself or Admiral Hewitt try to get the in-
10 formation.

11 Senator Ferguson: Did you discuss that with the Secretary
12 of War?

13 Colonel Clausen: I am pretty sure that I discussed it
14 with Mr. Bundy the non-necessity of pursuing these leads to
15 finality in view of the changed situation.

16 Senator Ferguson: Yes. And the Mr. Bundy you have
17 already described was this legal adviser to the Secretary of
18 War?

19 Colonel Clausen: Well, he is not the legal adviser. He
20 was a special assistant.

21 Senator Ferguson: Special assistant, all right. But he
22 was a lawyer?

23 Colonel Clausen: He is a lawyer.

24 Senator Ferguson: Is he at the Secretary of War's office
25 now, do you know?

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Colonel Clausen: No, sir. He is practicing law in Boston, Massachusetts.

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Senator Ferguson: Well, now, it is very difficult to examine anyone in relation to taking affidavits of anyone until we examine the person who made the affidavit, you appreciate that. For instance, if I wanted to examine you on Colonel Bratton's affidavit I haven't the least idea of what he is going to say about it. Therefore, it is difficult to ask you questions on that affidavit. You will agree on that, will you not?

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Colonel Clausen: Well, only that Colonel Bratton is here now.

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Senator Ferguson: Yes, but I don't know what he is going to say about that affidavit. You agree, to you, that there is direct conflict between Colonel Bratton's affidavit and his previous sworn testimony?

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Colonel Clausen: Well, I don't like your use of the word "conflict".

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Senator Ferguson: All right, you choose a better word then.

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Colonel Clausen: Well, he corrected, certainly, his testimony that he had previously given to the Board because of a refreshed recollection and examination of documents.

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Senator Ferguson: Well, now, take the 13-part message.

Colonel Clausen: Yes, sir.

Senator Ferguson: Isn't it true that Colonel Bratton originally swore that he had delivered a copy of the 13-part message in a locked bag to Colonel Bidell Smith in the Chief of Staff's office on the night of the 6th of December, 1941?

Colonel Clausen: That is what he testified before the Grunert Board, I am sure.

Senator Ferguson: You were present when he testified to that?

Colonel Clausen: Yes.

Senator Ferguson: And later he made an affidavit that he did not deliver a pouch with the 13-parts on the night of the 6th of December, 1941?

Colonel Clausen: That is right, Senator. He, on thinking it over and being shown testimony of other witnesses which I did for the reason of getting accurate testimony said that he recalled that on the night of 6 December, if I remember his affidavit correctly, he was more interested in getting the 13 parts to the Secretary of State than anybody else, since it involved a diplomatic matter, and therefore when he testified before the Grunert Board he was

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h2 2 confused and thought that he had actually delivered the
3 13 parts to the other usual recipients.

4 Senator Ferguson: Why were you trying to get testi-
5 mony, Colonel, on the fact as to whether or not Bidell
6 Smith had given the 13 parts to anybody? What was the
7 point?

8 Colonel Clausen: Senator, I did not try to get
9 testimony as to any particular point. I wanted to know
10 just who delivered what to whom, and after Colonel Bratton
11 had testified before the Grunert Board, I talked with
12 Colonel Dusenbury, and Colonel Dusenbury happened to be
13 in G-2 at the time that I started this investigation, and
14 told me that he was the one who delivered these 13 parts
15 to these people.

16 That meant there was then a discrepancy between what
17 Dusenbury said and what Colonel Bratton said.

18 Now, then, I therefore went to Colonel Bratton and
19 showed him what Colonel Dusenbury said, and said, "Who
20 is correct?"

21 Senator Ferguson: Well, you had an affidavit then
22 from Dusenbury that he had delivered the 13 parts to
23 Bidell Smith; is that correct?

24 Colonel Clausen: I had affidavits, Senator, from
25 various people, and several of these people had mentioned

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things concerning the deliveries of messages.

For example, Colonel Dusenbury, in his affidavit, put it down that he who took these intercepts around more in the latter part of the year 1941, than did Colonel Bratton.

Senator Ferguson: Do I understand that you took to Colonel Bratton the affidavit of Dusenbury, and the affidavit of General Bidell Smith, who became a General at that time, and took up the question with Colonel Bratton as to whether or not he was mistaken about giving it to Bidell Smith, the 13 parts, in General Marshall's office on the night of December 6th?

Colonel Clausen: I took around with me, Senator, so there would be no question about it, the actual papers -- that is, in the affidavit of Colonel Bratton, he mentioned exactly what I showed him, so there would not be any question about it.

I see in the affidavit, Senator:

"Colonel Clausen has shown me and asked me to comment on certain testimony adduced before the Army Pearl Harbor Board, and affidavits of Carlisle Clyde Dusenbury, Colonel, General Staff Corps; M. W. Pettigrew, Colonel; Ralph C. Smith, Major General; Charles K. Gailey, Brigadier General ; Thomas J. Betts, Brigadier General; Walter B. Smith, Lieuten-

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ant General; L. T. Gerow, Lieutenant General; Robert E. Schukraft, Colonel; John F. Stone, and George W. Renchard, which statements and affidavits were given by the above-named persons to Colonel Clausen in the course of the investigation mentioned."

In other words, Senator, I showed Colonel Bratton these affidavits, and said, "Now, what is your comment with respect to what these people say?"

Senator Ferguson: And those people said, in effect, that Colonel Bratton did not give Bidell Smith a copy of the 13 parts on the night of December 6th?

Colonel Clausen: No, sir.

Senator Ferguson: I was only talking about that part. I am sorry, but I misconstrued what you were telling me.

Colonel Clausen: General Smith is the one who could say whether he got the 13 parts on that night or not.

Senator Ferguson: Then, as I understand it, you took an affidavit of General Smith to Colonel Bratton and said, "Here I have an affidavit of Colonel Smith" - General at that time - "who says you did not," or showed it to him, and told him to read it, and it, in effect, said "You did not deliver to me these 13 parts on the night of the 6th," is that correct?

Colonel Clausen: I showed him that, and I also showed

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him these others, Senator.

Senator Ferguson: And some of the others, or all of them said that he did not deliver the 13 parts?

Colonel Clausen: On the night of the 6th.

Senator Ferguson: On the night of the 6th.

Colonel Clausen: Well, for example, the one of Dusenbury frankly said that he was supposed to have taken them around and did not do it.

Senator Ferguson: Did Dusenbury tell you why he did not take them around?

Colonel Clausen: Yes, sir, he says so in his affidavit. He did not think it of sufficient important, I remember that, because he said the next day he found out the importance.

Senator Ferguson: Now, do I understand then that Colonel Bratton thought they were so important that he spent all of his time getting these to the Secretary of State?

Colonel Clausen: I mean, Senator, Colonel Bratton and Henry Clausen agree, I am quite sure, that the most important information that came into the War Department was information that the Jap consuls and their diplomatic representatives were burning their codes. That spells war in any man's language, and we do not need that long, self-

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serving hocus-pocus 14 - part message to know it.

Senator Ferguson: All right. Then you are one of the officers in the War Department that came to the conclusion that when you learned that Washington knew that the Japanese were burning their codes, that meant war in any man's language?

Colonel Clausen: Yes.

Senator Ferguson: And war, in effect, would be on any moment.

Colonel Clausen: Yes, sir, was imminent.

Senator Ferguson: All right.

Now, did you try to find out why an alert was not sent out on those codes?

Colonel Clausen: An alert was sent out on those codes. Colonel Bratton sent the message to General Fielder that General Fielder said he did not get. The G-2 War Department sent it on 5 November, if you read my affidavit, sir. You will agree, Senator, that it went from the War Department to Hawaii, and that Colonel Fielder, General Fielder now, said if he had gotten it, he would have given it Colonel Bicknell and Colonel Bicknell who is now in Washington said he saw it on General Fielder's desk, so it is very clear it went to Hawaii.

Senator Ferguson: You mean just the Rochfort message do you not?

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1 Colonel Clausen: Yes, sir. That is the message
2 that Colonel Bratton and these other men had in mind
3 as going out on 5 December.

4 Senator Ferguson: Read into the record what message
5 you are talking about, and see whether it is not the
6 Rochefort message.

7 The Vice Chairman: Just a moment, Senator.

8 Colonel, in order that we may be clear, once or
9 twice you used November 5 as the date.

10 Do you mean November or December?

11 Colonel Clausen: I am sorry sir, I mean December.

12 The Vice Chairman: I think you will find several
13 times you said November 5.

14 Senator Ferguson: Look on page 72.

15 Colonel Clausen: That is right here, yes, sir.

16 Senator Ferguson: "Will contact Commander Rochefort
17 immediately through Com 14 Naval District regarding broad-
18 cast from Tokyo reference weather. Miles."

19 Colonel Clausen: Yes. He pronounces his name "Rochefort".

20 Senator Ferguson: All right.

21 That was the message that Colonel Bratton sent and
22 that Fielder said he never got, but Bicknell said he saw
23 it on Fielder's desk?

24 Colonel Clausen: That is right.
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1 Senator Ferguson: I think that is the way you stated
2 it.

3 Colonel Clausen: Except, Senator, when you send a
4 message of this kind, as I understand it, when it comes
5 out on the end of the recipient, it does not have the
6 same wording. Do you see what I mean? That is for security
7 purposes.

8 Senator Ferguson: I understand it is coded, or it
9 is paraphrased even after the translation is made.

10 Colonel Clausen: That is right.

11 Senator Ferguson: Now, that message was not sent
12 "urgent" or "priority" was it?

13 Colonel Clausen: It certainly was. I have the slip
14 here.

15 Senator Ferguson: Let me see where on the message it
16 is marked "priority."

17 Colonel Clausen: Page 73, "Important. Place priority
18 tag on here."

19 Senator Ferguson: Yes, but was a priority tag ever
20 put on it?

21 Colonel Clausen: Well, I did not find the message.

22 Senator Ferguson: No, but here we have a copy of it,
23 a photostatic copy.

24 Colonel Clausen: Do you mind if I ask Colonel Bratton
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Witness Clausen
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Senator Ferguson: Not at all.

(Colonel Clausen and Colonel Bratton conferred.)

Colonel Clausen: I am informed that these went out automatically on high priority.

Senator Ferguson: I did not understand from the Navy that that was true. They did not have priority unless they were marked "priority" is that right, Commander?

Commander Baecher: I do not know, Senator, what the Army system is.

Senator Ferguson: But your system is they have to be marked?

Commander Baecher: Yes, it is indicated on the message.

Senator Ferguson: Will you turn to page 75? That is a little different message.

Colonel Clausen: That is a paraphrase.

Senator Ferguson: "Commander Rochefort who can be located through the 14th Naval District has some information on Japanese broadcasts in which weather reports are mentioned that you must obtain. Contact him at once."

Now, did you ever contact Commander Rochefort?

Colonel Clausen: I certainly did.

Senator Ferguson: To ascertain whether or not he had any such information?

Witness Clausen

Questions by: Senator Ferguson

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1 Colonel Clausen: He certainly did.

2 Senator Ferguson: What was the information?

3 Colonel Clausen: Do you want me to read his affidavit?

4 Senator Ferguson: Yes.

5 Did you know there was --

6 The Vice Chairman (interposing): Go ahead and read
7 the affidavit.

8 Senator Ferguson: Do you know what page it is on?

9 Colonel Clausen: I am going to find it, Senator.

10 Senator Ferguson: One affidavit he did not sign and
11 one he did.

12 Colonel Clausen: Pages 52 and 53:

13 "AFFIDAVIT OF CAPTAIN JOSEPH J. ROCHEFORT,
14 United States Navy.

15 "Captain Joseph J. Rochefort, United States Navy, on
16 duty at Office of Chief of Naval Operations, Navy Depart-
17 ment, Washington, D. C., being first duly sworn, and informed
18 of the investigation by Major Henry C. Clausen, JAGD, for
19 the Secretary of War, supplementary to proceedings of
20 the Army Pearl Harbor Board, and the authority of the
21 Secretary of the Navy with respect to Navy personnel and
22 records, does depose and state:

23 "During the period from the fall of 1941 to 7 December
24 1941, I was the Combat Intelligence Officer in charge of
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1 the Combat Intelligence Unit at Pearl Harbor, which was
2 a field unit to the home office at Washington. I was
3 attached to the headquarters of the Commandant of the 14th
4 Naval District. My duties involved primarily the acqui-
5 sition of intelligence as directed by the head office in
6 Washington.

7 "At Pearl Harbor there was also a Fleet Intelligence
8 Officer, and a District Intelligence Officer.

9 "My opposite number in the Army in the Pearl Harbor
10 area was, prior to December, 1941, Colonel Kendall J.
11 Fielder, G-2, Hawaii Department. Prior to and during
12 December, 1941, we had established and maintained liaison
13 for the purpose of exchanging information pertaining to
14 our functions on matters of mutual concern to the Army
15 and Navy in the Hawaiian area.

16 "For this purpose I had discussions with him and his
17 staff at his headquarters and in Pearl Harbor. During the
18 fall and including the period up to 7 December, 1941,
19 we maintained most cordial and close relations, meeting
20 informally.

21 "My normal duties during the period from the fall
22 of 1941 to December 7, 1941 did not include the gathering
23 of information or intelligence from Japanese political or
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diplomatic sources.

"On occasions, however, I would receive special assignments relating to this type of material.

"I have read the various documents shown me by Major Clausen marked Top Secret Exhibit 'B'. I did not know the substance of any of these before 7 December 1941, except those numbered SIS 25392, SIS 25432, SIS 25545, SIS 25640, and SIS 25787, on the reverse side of which I have written my initials and today's date."

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Witness Clausen

Questions by: Senator Ferguson

1 I believe, Senator, those refer to the winds code
2 set-up and the destruction by the Japs of their codes.

3 Senator Ferguson: That is right on the set-up.

4 Colonel Clausen: You mean the authentic implement
5 message?

6 Senator Ferguson: Yes.

7 Colonel Clausen: No. He says here what he found.

8 "In my talks with Colonel Fielder I gave him such in-
9 formation as I received concerning the substance of these
10 documents and similar matters. It was my practice to give
11 Colonel Fielder all the information of importance in which
12 the Army and Navy were jointly interested and which came to
13 my knowledge in the course of my duties. This was done so
14 that Colonel Fielder and I would keep abreast of intelligence
15 developments in our common interests.

16 "Following are examples of such intelligence. My
17 assignment with respect to those numbered SIS 25392 and
18 SIS 25432, after being informed of the substance thereof,
19 was to monitor for an implementing message and, I in turn
20 so informed Colonel Fielder, to the best of my knowledge
21 and recollection, during the latter part of November 1941.
22 I did not receive in Hawaii any implementing message of
23 the kind for which I had been instructed to monitor.
24 Concerning those numbered SIS 25545, SIS 25640, and SIS 25787,
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Witness Clausen

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2 I was informed of the substance thereof and gave this
3 information to Colonel Fielder and Robert L. Shiver, FBI
4 Agent in Charge, Honolulu, about the 4th or 5th of December,
5 1941. This was done during the course of conversations
6 relating to the destruction of secret papers by the Japanese
7 Consul in Honolulu, which information I gave to my head
8 office in Washington."

9 Signed, "Joseph J. Rochefort, Captain, U. S. Navy."

(14) 10 Senator Ferguson: Now he does not say in that affi-
11 davit that he ever had the implementing winds message, is
12 that right?

13 Colonel Clausen: That is right, sir.

14 Senator Ferguson: Therefore he would know nothing
15 about the implementing message to destroy the codes.

16 Colonel Clausen: Senator, these others here mentioned
17 in this affidavit were the ones about the destruction of
18 codes, which was the action contemplated, Senator, when the
19 winds code was implemented, and which winds code, Senator,
20 by the way, set up was arranged for severance of the
21 telegraph or radio. In other words, the set-up of the
22 winds code, the way I read it, was in the event that you
23 could not send in the normal channels then they would have
24 this last ditch way of informing the consuls.

25 Senator Ferguson: Now did not the messages that were

1 Witness Clausen

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2 sent out there by the Navy, as set up in Exhibit 37, did
3 not they indicate that not all codes were being destroyed,
4 that only part of the codes and part of the machines were
5 being destroyed? That is on pages 40 and 41.

6 Colonel Clausen: Senator, I do not know what you mean
7 by Exhibit 37, or whatever it was that you said.

8 Senator Ferguson: Pages 40 and 41 of Exhibit 37.
9 Do you notice the difference in those messages? One refers
10 to Washington as far as the machines are concerned, and the
11 other one does not, and we are intercepting messages showing
12 that they have not destroyed their codes after the receipt
13 of these.

14 Colonel Clausen: Some of the consuls, Senator, sent
15 in the word "Haruna", you know.

16 Senator Ferguson: Yes, indicating that they had.
17 Where did that come in from?

18 Colonel Clausen: That one came from another intercept.

19 Senator Ferguson: From what country?

20 Colonel Clausen: Well, they went from all over the
21 United States.

22 Senator Ferguson: Was it destroyed in Washington?

23 Colonel Clausen: I do not know, Senator.

24 Senator Ferguson: You made a very broad statement.

25 Colonel Clausen: What was it, Senator?

Witness Clausen

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Senator Ferguson: That the destruction of these code messages to you meant war.

Colonel Clausen: Senator, you have shown me in Exhibit 37 two documents that I never saw in that form before. If they are the ones, Senator, referred to in Captain Rochefort's affidavit, then I am in harmony with you, but I do not know what they are.

Senator Ferguson: Now we better find out on that. I think that is where we will have to stop for a moment to find out whether these are the same documents that Rochefort was talking about. The best of my knowledge is, from what we have here, this Exhibit 37, would indicate that that is true.

Now I want to be corrected. Now let us get Exhibit 1. Do you have Exhibit 1? Let us check the SIS number from Exhibit 1.

Colonel Clausen: I would rather see the Top Secret Exhibit "B" of the Army Pearl Harbor Board, Senator.

Senator Ferguson: I doubt whether that is in the room.

Colonel Clausen: That is the one that Captain Rochefort had reference to, Senator.

Senator Ferguson: Can we get that to compare with Exhibit 1? I am sorry to delay it but this is a very important point, as far as I see it. I think you will

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2 agree on that.

3 Colonel Clausen: Very important, Senator. Did not
4 one of those refer, Senator, to the destruction by Washington
5 of the purple machine?

6 Senator Ferguson: Not that I know of. I do not think
7 the words "purple machine" were mentioned in any that I have
8 seen.

9 Colonel Clausen: You see "purple machine" means an
10 awful lot.

11 Senator Ferguson: I appreciate that. If these
12 messages had said "destroy the purple machine", that is a
13 different question.

14 Colonel Clausen: We can find out if you will let me
15 have Top Secret Exhibit "B" before the Army Pearl Harbor
16 Board.

17 Senator Ferguson: But you see the purple was coming
18 in as late as Sunday morning.

19 Colonel Clausen: Senator, as I understood it, the
20 purple machine was ordered destroyed.

21 I am reading here, Senator, from the affidavit of
22 Captain Thomas A. Huckins, which I took at Pearl Harbor.

23 He is in the Navy and I wanted to see from the Navy
24 there just what dispatches they had gotten from Washington,
25 so they at that time had preserved them on a microfilm. We

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sat down and they ran these things off while I watched to see what dispatches had come in.

Senator Ferguson: Just one moment, if you please, until we get these numbers straightened out here.

Colonel Clausen: Here is one, Senator, which says, "Please discontinue the use of your code machine and dispose of it immediately."

Senator Ferguson: What one is that?

Colonel Clausen: That is on page 209 of this volume of yours, Exhibit 1. Then it goes on to tell them how to break up the machine.

Senator Ferguson: That is from Tokyo to Washington, the first of December? Is that the one you were reading?

Colonel Clausen: I was reading the one from Tokyo to London.

Senator Ferguson: Yes. That has been put in evidence. It says:

"Please discontinue the use of your code machine and dispose of it immediately."

That would indicate there was going to be war with Britain then on the first? You had that knowledge, but that was never sent to Kimmel and Short, was it?

Colonel Clausen: It certainly was. There was a dispatch that went to General Short's G-2 stating that the war would

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1 break out with Britain, with America and not with Russia.
2 It was sent on 3 December 1941. It came from the British
3 Intelligence at Manila, based upon this magic traffic.

4 Senator Ferguson: That is something new now.

5 Colonel Clausen: I know it is in my exhibits here.

6 Senator Ferguson: I say it is new from this message.
7 This particular message, being Circular 2443, was not sent
8 to Kimmel and Short. Will you show him that? That is
9 the one you just called my attention to on page 209.

10 Colonel Clausen: Senator, Captain Huckins' affidavit --

11 Senator Ferguson: (Interposing) Will help us, will it?

12 Colonel Clausen: Yes, because he has got in his
13 affidavit the intercepts. He looked my intercepts over.
14 I cannot recall, as I am looking at it now, but there is some
15 tie-in between the two.

16 Senator Ferguson: Just give me an answer to this one
17 question and then we will go to the affidavit. Just look
18 at that circular 2443 on page 209, Tokyo to London, and
19 tell me, if you can, if you had any information or affidavit
20 that that particular message was sent to Kimmel and/or Short?

21 Colonel Clausen: Yes, sure.

22 Senator Ferguson: All right. Then you are going to
23 find that later for us?

24 Colonel Clausen: I have got it right here.
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Witness Clausen

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Senator Ferguson: All right.

Colonel Clausen: Shall I read a portion of it?

Senator Ferguson: Yes, I should like to have it.

Colonel Clausen: Senator, this affidavit that I now have before me is a joint affidavit of Captain Thomas A. Huckins of the Navy, and Captain Wilfred J. Holmes of the Navy, taken by me at Pearl Harbor. The reason it is in this form with some statements interspersed in here by a Commander Holtwick was that I had not, when I arrived at Pearl Harbor, been authorized to speak with Commander Holtwick. These things were cleared with the Navy.

Now this is what he goes on to say:

"That he, Commander Huckins, on 7 December 1941, and for several months immediately prior thereto, was in charge of the IBM machine room; was assistant to the principal crypt analyst, and was the administrative assistant to Captain Joseph J. Rochefort; that the records now available at Pearl Harbor concerning the intercepts SIS 25545, SIS 25640, and SIS 25787" --

Senator Ferguson: Just a moment. Stop right there. With SIS 25787.

Colonel Clausen: Yes, sir.

Senator Ferguson: That is the one that we had? That is circular 2443?

WARD & PAUL, WASHINGTON, D. C.

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Witness Clausen Questions by: Senator Ferguson

1 Colonel Clausen: That is what I said.

2 Senator Ferguson: All right, just so the record will
3 show it is the same number.

4 Colonel Clausen: This is tied in further.

5 "-- mentioned in said affidavit of Captain Rochefort,
6 consist of dispatch 4 December 1941 from OPNAV to CINCPAC
7 concerning report of Japanese circular ordering destruction
8 by Washington of purple machine and papers excepting one
9 copy of certain system, and by London, Hongkong, Singapore,
10 and Manila of purple machines, which dispatch has been
11 microfilmed and is contained in Incoming Code Book No. 1."

12 Then I go on with the pertinent messages that come
13 out of Pearl Harbor. Do you want me to read that?

14 Senator Ferguson: No, I do not think it is material
15 to what we are looking for.

16 Now, as I understand it, there was a message there
17 in Hawaii showing that the purple machines were to be
18 destroyed?

19 Colonel Clausen: Just what this states, Senator.
20 There is some more here about the Japanese Consuls.

21 Senator Ferguson: All right, give us the rest of it.

22 The Vice Chairman: Go ahead and read it.

23 Colonel Clausen: "That the pertinent outgoing messages
24 now available at Pearl Harbor are contained in Outgoing
25

WARD & PAUL, WASHINGTON, D. C.

Witness Clausen

Questions by: Senator Ferguson

1
2 Code Book No. 1 and consist of dispatch 26 November 1941
3 from COM14 to OPNAV of communication intelligence analysis,
4 dispatch 28 November 1941, from COM14 to OPNAV of British
5 Consul information that the Japanese will attack Krakaw
6 Isthmus on 1 December 1941, and, dispatch 6 December 1941
7 from COM14 to OPNAV of opinion that Japanese Consulate
8 Honolulu destroyed all codes except one system; that
9 the Communication Intelligence daily summaries covering
10 the period 1 November 1941 to and including 6 December 1941
11 are included in Exhibit 'A' herewith attached and made
12 a part hereof, and consist of a resume or evaluation show-
13 ing the results of traffic analysis based on intercepts
14 of Japanese naval radio communications only, and were
15 prepared for Captain Rochefort with copies thereof dis-
16 seminated on the dates of preparation, as indicated on the
17 copies in Exhibit 'A', only to Captain Edwin Tom Layton,
18 U.S.N., Fleet Intelligence Officer; that on 7 December
19 1941, and for several months prior thereto, the said
20 unit supervised by Captain Rochefort did not have any
21 facilities for decrypting the Japanese diplomatic radio
22 messages which required the use of a machine and the USN
23 decrypting facilities at Pearl Harbor did not include
24 a purple machine and the only machine available was one
25 designed by Commander Holtwick and covered a Japanese code

WARD A. PAUL, WASHINGTON, D. C.

(17)

Witness Clausen

Questions by: Senator Ferguson

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2 which was abandoned in 1938 and not used since that time."

3 This is then signed by Captain Thomas A. Huckins,
4 Captain Wilfred J. Holmes, and I had Commander Holtwick
5 read and approve it.

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follows

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WARD S PAUL, WASHINGTON, D C

Witness Clausen

Questions by: Senator Ferguson

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1 Senator Ferguson: That indicates at the last that
2 there was no purple machine or method of transmitting
3 purple messages in Hawaii?

4 Colonel Clausen: That is what they told me, yes, sir.
5 I was very interested, because I had been given
6 various versions of that before I left Washington, and I
7 wanted to run it down.

8 Senator Ferguson: Do you know what code this 2443
9 was in, the one on page 209 of Exhibit 1?

10 Colonel Clausen: It should show on top secret exhibit
11 "B".

12 Senator Ferguson: I sent out for the exhibit B. These
13 exhibits which you have here, these eight volume do
14 not contain these messages that you have been reading?

15 Colonel Clausen: They certain do, sir.

16 Senator Ferguson: Could you find them in there?

17 Colonel Clausen: That is what I asked for.

18 I also, Senator, got from the British, from their
19 secret place, corresponding intercepts when I was in
20 England.

21 Senator Ferguson: Did you ever get any information
22 from the British when you were in England that there was
23 a winds execute message?

24 Colonel Clausen: No, sir. I had that specific
25

Witness Clausen

Questions by: Senator Ferguson

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question put to the British people, and they investigated for me and gave me the report that there was no evidence of an execute message, but they did have two suspicious messages of that type that they would run down, and when I got back to Washington, I had them run these down through our super-duper agency that connected with the British and they got some information from out in the Far East, but they were not the ones that you and I would consider an authentic winds code intercept.

I expect that I have actual copies in my exhibits of these messages.

Senator Ferguson: Was there a Morse code execute in the British file?

Colonel Clausen: You mean with the one code word?

Senator Ferguson: No, with the three code words, but in a Morse code, or in international code, and not a voice.

I am talking about different kinds of messages. Did you find in the British file any suspicious message that was in Morse code, or international code, rather than voice?

Colonel Clausen: Senator, I would want to review that. They are in one of my exhibits. To me the most suspicious circumstance of a winds code intercept coming in about the time that Captain Safford said was a document that I saw in Hawaii, which was dated 3 December, and which

WARD & PAUL, WASHINGTON, D.C.

Questions by: Senator Ferguson

Witness Clausen

h3

1 gave a highly reliable source.

2 If you knew a winds code set-up, this would flag your
3 mind. It said "War with Britain; war with America,"
4 and "Peace with Russia."

5 Now, when I saw that I thought, "There is the winds
6 code."

7 Senator Ferguson: Where is that message?

8 Colonel Clausen: It is in my exhibits.

9 Senator Ferguson: I wish you would find that.

10 The Vice Chairman: Just a moment. Let me see if I
11 understood it. You say that message was in Hawaii?

12 Colonel Clausen: Yes, that was disseminated to the
13 FBI, to the Navy and to Colonel Bicknell in the Army.

14 The Vice Chairman: In Hawaii?

15 Colonel Clausen: Yes, sir, that is where I got it.
16 It did not go to Washington.

17 Mr. Richardson: Go ahead and find it.

18 Senator Ferguson: Find that one. I want to see that
19 one.

20 Colonel Clausen: It is in Exhibit 1, and it is also
21 in my affidavit, on the back of the affidavit of Mr.
22 Russell.

23 I will tell you how I got the lead.

24 Mr. Richardson: Let us get the message first.

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Witness Clausen

Questions by: Senator Ferguson

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Colonel Clausen: You want to know who Mr. Russell is?

Mr. Richardson: No, I want the dispatch first.

Senator Ferguson: As soon as we find the message, I will try to find out all about him.

Colonel Clausen: Here it is. It is page 87, Senator in my report.

The Vice Chairman: Let me see, Colonel. I want to be clear about it. This is the message that you secured in Hawaii?

Colonel Clausen: Yes, sir. It is one of many messages sent by the British, sir, to Hawaii.

The Vice Chairman: Read it slowly so we can understand it.

Colonel Clausen: The whole thing?

The Vice Chairman: Yes.

Colonel Clausen: It says at the top, "Urgent Cable received from Manila night of December 3, 1941.

"We have received considerable intelligence" --

The Vice Chairman: A little slower please.

Colonel Clause: "We have received considerable intelligence confirming following developments in Indochina.

"A-1. Accelerated Japanese preparation of air fields

Witness Clausen

Questions by: Senator Ferguson

h5

1 and railways.

2 "2. Arrival since November 10 of additional 100,000
3 repeat 100,000 troops and considerable quantities fighters
4 medium bombers tanks and guns (75 millimeter).

5 "B. Estimate of specific quantities have already
6 been telegraphed Washington November 21 by American military
7 intelligence here.

8 "C. Our considered opinion concludes that Japan
9 envisages early hostilities with Britain and United States.
10 Japan does not repeat not intend to attack Russia at present
11 but will act in South."

12 Senator Ferguson: That is the part that you thought
13 was the winds code execute?

14 Colonel Clausen: No. I thought whoever wrote this
15 up had before him the winds code execute.

16 I haven't finished the message.

17 The Vice Chairman: Go ahead and finish it.

18 Colonel Clausen: (Reading):

19 "You may inform Chiefs of American Military and
20 Naval Intelligence Honolulu."

21 At the bottom, sir, it says:

22 "Carbon copy: Colonel Bicknell, Mr. Shivers, Captain
23 Mayfield."
24
25

Witness Clausen

Questions by: Senator Ferguson

h6

1 Colonel Bicknell being General Short's G-2 in Hawaii,
2 Mr. Shivers being the local FBI agent in charge, Captain
3 Mayfield being the District Intelligence Officer of the
4 Navy.

5 I have a statement from the man who got it. That
6 was received by Theodore F. Davies, on the strength of
7 which they cancelled some shipments from the Philippines.
8 The British always tied in their magic to the commercial
9 interests of their country.

10 Senator Ferguson: Colonel, when you say the British
11 always tied it in, you mean that Britain used the messages
12 to take care of and protect her commercial shipments?

13 Colonel Clausen: Yes, sir. There, you see, this
14 message that I have just read for the Vice Chairman, was
15 one of many sent by a man named Wilkinson, who was in Manila.

16 Wilkinson was in the commercial business. And if
17 you read the affidavit of General MacArthur and the
18 affidavits of others, you will get somewhat the background
19 of Colonel Wilkinson.

20 In any event, if you turn to my Exhibit 1, and page
21 50, you will find that what I did was to run down the
22 source of this subparagraph C, where they say:

23 "Our considered opinion concludes that Japan envisages
24 early hostilities with Britain and United States."
25

Witness Clausen

Questions by: Senator Ferguson

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Senator Ferguson: I don't quite follow you, Colonel, that "our considered opinion concludes," could mean that the man or person who sent that had seen the winds execute message, because it would be a simple matter to say that he got it from the wind execute message.

Who is signing this memorandum?

Colonel Clausen: That is what I am going to show you. The source of that information was magic.

The Vice Chairman: Go ahead and show us that.

Colonel Clausen: At page 50, in my exhibit 1, is again set forth this same document I have just read.

Now, when I was in London, I talked with the British party in charge of all this magic stuff, and he couldn't find, he said, any connection between what I have just stated and an implement message to the winds code, but after I got back here, I gave more thought to it, and I went to see Colonel Wilkinson who then was working in New York.

I showed him this portion and I said I would like to know --

Senator Ferguson: This portion is "C"?

Colonel Clausen: "C".

I said "I would like to know if you know the source of that."

Witness Clausen

Questions by: Senator Ferguson

h8

1 He said he didn't know. And since it was British,
2 I said, "Will you find out for me?"

3 And as a result of that request, this came from the
4 British to the Americans in Washington.

5 Page 51-E.

6 The Vice Chairman: Read it.

7 Colonel Clausen: (Reading):

8 "From London 31 August, 1945.

9 "ULTRA."

10 You don't want me to read all of it?

11 Senator Ferguson: No. I want to get to the part that
12 relates to this message.

13 Colonel Clausen: (Reading):

14 "A. Colonel Wilkinson, who was stationed at Manila
15 and is now with 48000 and temporarily in U.K. was recently
16 approached by Lieutenant Colonel H. C. Clausen, of Judge
17 Advocate General's Department, U. S. Army, in connection
18 with investigation of General Short and Admiral Kimmel
19 for Pearl Harbor disaster. He carried credentials from
20 the Secretary of War.

21 "B. He brought copies of two telegrams from Manila
22 to Honolulu, of November 26, and December 3 which were as
23 follows: --"

24 I have already read you the one of December 3rd. Do
25

Witness Clausen

Questions by: Senator Ferguson

h9

1 you want me to read you the one of November 26th?

2
3 Senator Ferguson: Does it have to do with this
4 message?

5 Colonel Clausen: It speaks about the break. It
6 talks about attacking the Krakow Isthmus. I had better
7 read it.

8 "1. 'November 26, 1941. Most immediate. Secret source
9 (usually reliable) reports:

10 "(a) Japanese will attack Krakow Isthmus from sea on
11 December 1st without any ultimatum or declaration of break
12 with a view getting between Bangkok and Singapore.

13 "(b) Attacking forces will proceed direct from Hainan
14 and Formosa. Main landing point to be in Songkhla area
15 valuation for above is No. 3 repeat 3 (1e), only about
16 55 to 60 percent probable accuracy. American Military and
17 Naval Intelligence Manila informed."

18 Now, skipping down to paragraph "C":

19 "Colonel C. anxious to know basic source of para
20 C of telegram of December 2nd."

21 The one I read.

22 The Vice Chairman: December 3?

23 Colonel Clausen: They had December 2. They quote
24 it. There is no question as to what it is.

25 "And in particular whether this was in 'special'

Witness Clausen

Questions by: Senator Ferguson

h10

category."

"They mean whether it was magic.

"In point of fact of fact, para C was based on a B.J. Wilkinson was unaware of source and passed information to Honolulu as he appreciated that I possessed no direct communications."

B.J. is magic.

If you want to prove that, you can call General Carter Clark.

"D. As far as can be judged, the earlier information was based on agents reports but Clausen only pressing for origin of para C.

"E. You should consult with G-2, as security ultra at stake if this evidence made public."

Senator Ferguson: Is that the information you have on that paragraph C?

Colonel Clausen: Yes, sir.

Senator Ferguson: Now, there is only one thing that I want to inquire about. That is when I get back this Exhibit B that you carried with you, I want you to compare it to those numbers so we can find out about this purple machine.

Do you have it now?

Colonel Clausen: Yes.

Witness Clausen

Questions by: Senator Ferguson

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Senator Ferguson: If you will show me how the message is different from the ones on pages 40 and 41, of Exhibit 37?

Colonel Clausen: Here is 2578757.

Senator Ferguson: How does it read?

Colonel Clausen (reading):

"Please discontinue the use of your code machine and dispose of it immediately."

Senator Ferguson: Wait just a moment. This is Circular 2443, Exhibit 1, page 209?

Colonel Clausen: That is right, sir.

Senator Ferguson: All right.

Will you read it?

Colonel Clausen: (Reading):

"Please discontinue the use of your code machine and dispose of it immediately.

"In regard to the disposition of the machine please be very careful to carry out the instructions you have received regarding this. Pay particular attention to taking apart and breaking up the important parts of the machine.

"As soon as you have received this telegram wire the one word SETUJU in plain language and as soon as you have carried out the instructions, wire the one word HASSO in plain language.

Witness Clausen

Questions by: Senator Ferguson

hl2

1 "Also at this time you will, of course, burn the
2 machine codes and the YU GO No. 26 of my telegram (The
3 rules for the use of the machine between the head office
4 and the Ambassador Resident in England)."

5 That is what this says.

6 Senator Ferguson: And that is what this says too.
7 They are identical. That was identified as being in Hawaii?

8 Colonel Clausen: From my affidavit there of Captain
9 Huckins.

10 Senator Ferguson: Now, go to page 40 of Exhibit 37.

11 Mr. Kaufman: I think the Senator would be interested
12 in the testimony of Captain Layton on the point of this
13 purple machine.

14 Senator Ferguson: Yes.

15 Mr. Kaufman: He testified at page 228 as follows:
16 Captain Layton was the Fleet Intelligence Officer of
17 Admiral Kimmel.

18 Senator Ferguson: Yes.

19 Mr. Kaufman: He testified:

20 "On December 3, OPNAV addressed CINCPAC and COM16,
21 information CINCPAC and COM14, that Tokyo had ordered
22 London, Hongkong, Singapore and Manila to destroy their
23 purple machines. The Estavia machine had already been
24 sent to Tokyo, and the other machines to be sent to other
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WARD & PAUL, WASHINGTON, D. C.

Witness Clausen

Questions by: Senator Ferguson

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to destroy their purple machine and all but one copy of
other systems. It stated that the British Admiralty that
date had reported that the Japanese Embassy in London had
complied with its orders to destroy it.

"Mr. Sonnett: What was the purple machine, Captain?

"Captain Layton: It was an electric coding machine.

"Mr. Sonnett: Did you have any discussion with Admiral
Kimmel concerning the destruction of the purple machine by
the Japanese?

"Captain Layton: All I recall of it is that Admiral
Kimmel sent for me when he received this dispatch I have
just mentioned, or one similar to it, and asked what was
the purple machine. I told him that I didn't know, that
I would find out. I then approached Lieutenant Coleman,
the Fleet Security Officer, who had come from Washington,
and asked him, and he told me it was the Japanese diplomatic
electrical coding machine.

"Mr. Sonnett: Did you communicate that to Admiral
Kimmel?

"Captain Layton: Which information I communicated
to Admiral Kimmel."

Senator Ferguson: Had you known of that testimony,
Colonel, of Captain Layton, in the Hewitt Report?

Colonel Clausen: No, sir. He testified before Admiral

Witness Clausen

Questions by: Senator Ferguson

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Hewitt after I had seen him. I saw Captain Layton at
Guam. I took an affidavit from Captain Layton and I notice
here on one of my intercepts he wrote this, apparently
regarding this same message:

"Message not seen but British reported that Japs had
destroyed their purple machine in London. 26 April 1945.
E.T. Layton."

He signed that. Captain Layton made that statement
on that.

I haven't examined the affidavit of Captain Layton
that he gave to me on the subject.

Senator Ferguson: The only thing I am not clear on
is as to the two messages, 40 and 41, in Exhibit 37. You
were going to clear that up for me. How your messages
differed from those.

Colonel Clausen: I didn't say they were different,
Senator.

Senator Ferguson: Well, is there any difference?

Colonel Clausen: I will see now.

Senator Ferguson: I took it from what you said that
there was a difference.

Colonel Clausen: No. I don't want to say that at all.
I just said that my Top Secret Exhibit "B" was the document
that I showed the witnesses and that I had not seen beforehand

Witness Clausen

Questions by: Senator Ferguson

1
2 these papers.

3 Senator Ferguson: Then you do not purport to say
4 that there is any difference?

5 Colonel Clausen: No, I don't say there is any difference.
6 I just say that the ones I showed these people were my
7 Top Secret Exhibit "B".

8 Senator Ferguson: That is all I have at the present
9 time.

10 Senator Lucas: Mr. Chairman --

11 The Vice Chairman: Senator Lucas.

12 Senator Lucas: Mr. Chairman, it is getting rather late
13 and I do not care to ask any questions, but it does seem to
14 me that in view of this examination and the tremendous
15 amount of information that Colonel Clausen has, that this
16 committee ought to have Colonel Clausen review overnight
17 all of the traffic which these affidavits claim was received
18 in Hawaii between November 25 and December 7.

19 Certainly there has been information brought before
20 this committee that I didn't know anything about up to this
21 time. Probably that is my fault because I haven't been
22 able to read, sir, all of your affidavits. I doubt if
23 any member of the committee has read all of the information
24 that has been obtained through the various investigations
25 that have been made. But you have demonstrated a very thorough

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Witness Clausen

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knowledge of what happened as a result of your complete investigation, and as one member of the committee, if you could do that, sir, I would like to have you go over these affidavits overnight and give this committee definitely and completely the traffic that was received from November 25 up to December 7 by Admiral Kimmel or any of Admiral Kimmel's staff or other subordinates and the same with General Short and his staff or any subordinates.

WARD & PAUL WASHINGTON, D. C.
In other words, we have had the testimony of very few witnesses in this hearing who were in Hawaii outside of General Short. I think he is the only witness that has testified here from Hawaii on the Army's responsibility at Pearl Harbor. Your testimony raises some questions in my mind as to whether or not we ought not to go into that pretty thoroughly.

The Vice Chairman: Will you do that, Colonel, as requested by Senator Lucas?

Colonel Clausen: Yes, sir.

Senator Ferguson: Mr. Chairman, I would like to join in that and I would like to have him tie that in to Exhibit 1 and Exhibit 37 if possible. Or Exhibit 2. If you can do that then we would know in previous testimony what you were referring to.

Colonel Clausen: I don't follow you because I don't

Witness Clausen

1 your exhibits, Senator.

2
3 Senator Ferguson: All right. That is what I wanted
4 to give you. We can have a man with you to help on those
5 exhibits. Exhibit 1, Exhibit 2 and Exhibit 37 have been
6 referred to from day to day here. Now, if you will try
7 to tie those in when you tell us what messages were received
8 from the 25th of November to and including the 7th of
9 December as to where they appear in our exhibits, if they
10 do appear, then that would make our record clear here,
11 so that we could understand this record.

12 Colonel Clausen: I will try to do that, Senator,
13 but it is a large order. The thing that I thought you ought
14 to have from me was perhaps my opinion as to what of these
15 documents in my exhibits might tie in.

16 Senator Ferguson: Well, if you can tie them in with
17 what we could have one of our men tie them in into some of
18 the others.

19 Colonel Clausen: Some are important; some are unimportant.
20 But there is a lot of tying in.

21 For example, the British in their file of intercepts,
22 Senator, have some of the same messages I think that you
23 have, and we also have others.

24 Senator Ferguson: That isn't quite the question.
25 The question is to find out what traffic went into Hawaii and

Witness Clausen

1 was there and in the hands of any subordinate or General
2 Short or Admiral Kimmel.

3 Colonel Clausen: You won't think I am trying to put
4 the blame on Hawaii if I do that?

5 Senator Ferguson: All we want are the facts.

6 Colonel Clausen: All right, sir.

7 The Vice Chairman: You can do that, as requested by
8 Senator Lucas?

9 Colonel Clausen: Yes, sir.

10 The Vice Chairman: And as far as you are able you can
11 try to comply with Senator Ferguson's request.

12 Colonel Clausen: Yes, sir.

13 The Vice Chairman: The committee will stand in recess
14 until 10:00 o'clock tomorrow morning.

15 (Thereupon, at 4:50 o'clock p.m., the committee
16 recessed until 10:00 o'clock a.m. of the following
17 day, Wednesday, January 13, 1946.)

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