

leg. doc # 433

Funayama

I M T F E

SWORN DEPOSITION

Deponent : SHINOBU, Junhei

Having first duly sworn an oath as on attached sheet and in accordance with the procedure followed in my country I hereby depose as follows.

On this 15 day of Jan, 1947

At Tokyo

DEPONENT SHINOBU, Junici ~~test~~

I, SUENOBU, Sanji, hereby certify that the above statement was sworn by the deponent, who affixed his signature and seal thereto in the presence of this witness.

On the same date

At Tokyo

Witness: (signed) SUENOBU, Sanji ~~test~~

OATH

In accordance with my conscience I swear to tell  
the whole truth withholding nothing and adding  
nothing.

SHINOBY Junko (seal)

*100 copies.*

Translation Certificate.

I, Charles D. Sheldon, Chief of the Defense Language Branch, hereby certify that the foregoing translation described in the above certificate is, to the best of my knowledge and belief, a correct translation and is as near as possible to the meaning of the original document.

*C. D. Sheldon*  
/s/ Charles D. Sheldon.

Tokyo, Japan  
Date 20 Dec 1947

Affidavit of SHINOBO, Jukhei.

International Military Tribunal for the Far East  
The United States of America et al  
against

Araki, Sadao, et al

Affidavit (*Translation*)

of  
SHINOBU, Junhei  
Junhei Shinobu

I, SHINOBU, Junhei Shinobu, being first duly sworn according to the customary formality in this country depose and state:

I, the undersigned, venture to submit the following statement as my affidavit, in answer to the given querries, namely: (1) What is the your past record of life in the past? (2) What do you understand by the term "war crimes"? Partic larly, how did you understand it in Article 10 of the Potsdam Declaration at the time when Japan accepted the conditions laid down in it? And (3) Don't you think that rules of international law should go ~~to~~ along with the changes of circumstances of the world conditions?

(1) I was born in 1871 at Shimodate, Ibaraki-ken. In my early life I devoted myself mainly to the study of Chinese classics in private schools for several years, and thus missed the opportunity of undergoing the normal curriculum of university or its preparatory schools. I studied by myself the science of jurisprudence and the English language. In 1897, I passed the examination for diplomatic and consular agents, and

entered the Foreign Office. After having served in various parts of the Orient and the Occident, and ~~as~~ First Secretary of the Embassy at Vienna and subsequently ~~as~~ Consul-General at Calcutta, ~~as my last career,~~ I retired from the foreign service in 1917.

During the Russo-Japanese War of 1904-5, I was temporarily attached to the ~~Military Office~~, and engaged in administrative works of the Japanese occupied territory in South Manchuria as a legal adviser. At that time I came into association with the late Dr. Nagao ~~Ariga~~, adviser of international law at the General Headquarters of the Japanese Manchurian Army, and took the opportunity of pursuing my further studies under him, and became in later years his ~~unique~~ <sup>sole</sup> successor in the field of diplomatic history as well as of international law particularly relative to war.

With ~~the~~ retirement from the Foreign Office, I entered into my new life of professorship ~~in~~ <sup>at</sup> the Waseda University (and sometime ~~in~~ <sup>at</sup> the Hosei University), and continued lectures ~~in~~ <sup>at</sup> Waseda ~~during the length of~~ <sup>for</sup> twenty-five years, until 1943.

In 1925, my thesis "International Law and International Morality" ( in Japanese ) passed the Faculty Council of the Tokyo Imperial University, and <sup>it</sup> was conferred the degree of Doctor of Law.

When in 1932, the "Shanghai Incident" broke out, I went to Shanghai as the adviser of international law of the Japanese Third Fleet at that port. After ~~the crisis had been over and~~ <sup>completing my work</sup>

~~After~~ returning to Tokyo, I published my work ~~in the camp~~ "International Law in the Shanghai Conflict" (in Japanese and in English), ~~which I had written at the scene (of the disturbance).~~

In 1935-6, I was an adviser ~~to~~ of the Government of the Republic of China, my duty being in giving lectures ~~on~~ of international law to the higher naval officers in Nanking. The efforts of my mission ~~were~~ <sup>were</sup> so highly appreciated by the Chinese Government that <sup>I</sup> was honoured by Generalissimo Chiang Kai-shek with the decoration of the Blue Grand Cordon of the Order of the Coloured Jewel.

With the outbreak of the "China Incident" in 1937, I ~~went again to Shanghai with the same capacity, as before of law to~~ <sup>a second time as adviser on international</sup> ~~law to~~ the Japanese China Sea Fleet, and stayed there for nearly two years, ~~of the first half of the hostilities.~~

It is my privilege to add that, throughout the aforesaid Shanghai Incident and the first phase of the China Incident, I ~~to the War Councils on~~ <sup>my</sup> felt it/pride that my legal advice ~~on~~ various occasions contributed greatly to the comparatively good reputation of the ~~fleet~~ <sup>(I am not informed as to</sup> ~~Japanese naval operations~~ <sup>not to say of the military authorities</sup> ~~of which I was not concerned~~), which were carried on in conformity, on the whole, with the ~~dictates of the universally accepted~~ laws and customs of war.

My humble work "Lectures on International Law in Time of War" (in Japanese), the draft of which I had been writing since 1930 was finished in 1940, went to press subsequently, and was published in November, 1941, ~~as a bulky literature of~~

four volumes, consisting of nearly five thousand pages. The Imperial Academy recognized its merit by endorsing it as an unprecedented and standard work, greatly contributing to the advancement of this field of jurisprudence, and was pleased to bestow the Imperial Gift on the author.

In June 1943, I was appointed a Councillor of the Higher Prize Court, *(Maritime Services)*. In February of the following year, I was nominated a Member of the Imperial Academy by the Imperial mandate.

(2) At the time when the Potsdam Declaration was proclaimed on July 26, 1945, the vast majority of Japanese international jurists, including myself, understood ~~as~~ the term "war crimes" to ~~have meant~~ mean solely and exclusively an offence against the laws and customs of war, as had generally been held by authoritative international lawyers, and they apparently considered, if my presumption is not mistaken, that that term in Article 10 of the Declaration was accepted by the Japanese Government in that sense. I myself, in *the* above-mentioned work "Lectures on International Law in Time of War" defined ~~as~~ a "war crime", which I ~~am used to say~~ <sup>in Japanese as</sup> "Sen ritsu han" (literally: "War statute offence") as "an act (of ~~the~~) violation of the laws and customs of war. . . . The matters to be treated under war crimes are mostly, though not all, stipulated in international conventions with regards to their prohibition ( as, for instance, acts of hostilities by those who are not recognized as lawful belligerents under Articles I and 2 of the

Hague code of land warfare; acts prohibited under Articles 23, 25, 28, etc. of same; various clauses under the Geneva Red Cross Convention; violation of the rules to be observed by submarines under the London Naval Treaty of 1930.)" ( Vol.11, pp.769-770)

This is not necessarily my own creative opinion, but that ~~authorities on international law~~ which has been advanced by ~~a certain number of~~ publicists. \* ~~not~~  
only in their dissertations, ~~but~~ that interpretation finds a place ~~but not also~~ not ~~frequently~~ even in official texts, such as in Sections 441 and 442 of the British "Manual of Military Law", enacted in 1914, re-enacted in 1929, and amended in 1936, as well as in Section 366 and 369 of the American "Rules of Land Warfare" of 1914.

The former reads:

\* See, for instance, Oppenheim, international Law, 3rd ed., pp. 431-3; Pitt Cobbett, Leading Cases of International Law, 4th ed. by H.L. Bellot, p. 176; Tachi (late prominent Japanese international jurist), Treatise on International Law in Time of War (in Japanese), 1931, p. 41. See also an informative essay by Dr. Nathan (of the Middle Temple and Advocate of the Supreme Court of South Africa) entitled "The Renaissance of International Law" in The Grotius Society Publication, No. 3, 1925 in which the problem of war crimes is dealt with (pp. 79-100, 181-184). He seems to define them as "infringement of the civilized customs of war" (p.79), though he says that "there is great room for controversy, and probably for improvement." (p.181)

"(iii) The Punishment of War Crimes.

"441. The term 'War Crime' is the technical expression for such an act of enemy soldiers and enemy civilians as may be visited by punishment or capture of the offenders. It is usual to employ this term, but it must be emphasized that it is used in the technical military and legal sense only, and not in the moral sense. For although some of these acts, such as abuse of the privileges of the Red Cross badge, or the murder of prisoners, may be disgraceful, yet others, such as conveying information about the enemy, may be highly patriotic and praiseworthy. The enemy, however, is in any case entitled to punish these acts as war crimes.

"442. War crimes may be divided into four different classes;

- (i) Violations of the recognized rules of warfare by members of the armed forces.
- (ii) Illegitimate hostilities in arms committed by individuals who are not members of the armed forces.
- (iii) Espionage and war treason.
- (iv) Marauding."

The latter, without defining the term "war crimes", enumerates the examples pertaining to them as follows:

"War Crimes.

"366. Offenses committed by armed forces. — The principal offenses of this class are: Making use of poisoned and otherwise

forbidden arms and ammunition; killing of the wounded; refusal of quarter; treacherous request for quarter; maltreatment of dead bodies on the battle field; ill-treatment of prisoners of war; breach of parole by prisoners of war; firing on undefended localities; abuse of the flag of truce; firing on the flag of truce; abuse of the Red Cross flag and emblem; and other violations of the Geneva Convention; use of civilian clothing by troops to conceal their military character during battle; bombardment of hospitals and other privileged buildings, improper use of privileged buildings for military purposes; poisoning of wells and streams; pillage and purposeless destruction; ill-treatment of inhabitants in occupied territory. Individuals of the armed forces will not be punished for these offenses in case they are committed under the orders or sanction of their government or commanders. The commanders ordering the commission of such acts, or under whose authority they are committed by their troops, may be punished by the belligerent into whose hands they may fall.

"369. Hostilities committed by individuals not of armed forces. — Persons who take up arms and commit hostilities without having complied with the conditions prescribed for securing the privileges of belligerents, are, when captured by the enemy, liable to punishment for such hostile acts as war criminals."

During ~~the~~ World War ~~of 1914-18~~, there were numerous in-

stances of the so-called "war crimes", which led to lengthy discussions at the Peace Conference of Paris of 1919, and the subject was also considered by various writers. Even after the conclusion of peace, a number of arguments pertaining to the same subject were brought forward by ~~writers~~<sup>writers</sup>, but the interpretation of war crimes by them remained unshaken from that in the above-cited theories and official texts. Thus assuming, as may safely be assumed, that these commentaries were maintained in authoritative official documents, supported by a large majority of distinguished contemporary writers, it was entirely ~~out of~~<sup>beyond</sup> our conception to perceive, at the time of the acceptance by Japan of the conditions of the Potsdam Declaration in general, and those laid down in Article 10 in particular, that a war crime denoted, besides an offence against the laws and customs of war, an act of planning, instigating or accomplishing a war, whatever its nature or purpose might be.

(3) Nevertheless, the conception of war, or at least, of an aggressive war, has undergone a great change in the minds of statesmen and thinkers of the civilized nations of the world, which manifested itself in a few post-War draft or ratified treaties. The fore-runner of them was the Draft Treaty of Mutual Assistance of 1923, submitted to the Fourth Assembly of the League of Nations of that year, and which provided that "The High Contracting Parties, affirming that

aggressive war is an international crime, undertake the solemn engagement not to make themselves guilty of this crime against any other nations." (Art. 1, Par.1). The provisions of this Draft Treaty were, however,<sup>feel</sup>, ~~in~~ short of determining precisely what was the nature of an aggression itself, or what did constitute an aggression in its legal sense. Consequently, the draft was abandoned at the Fifth League Assembly, though had been accepted in principle by eighteen Member-States.

The next was the Geneva Peace Protocol, commonly so called, of 1924. This Protocol, together with the Locarno Pacts of the following year, appeared before the world as exceedingly important diplomatic documents since the First World War, revealing the guiding spirit ~~underlying~~ underlying the foreign policy of the leading European statesmen of the age. The gist of this Protocol was in denouncing aggressive wars as a crime, in its violating the recognized solidarity of the international community, by clarifying the nature of an aggressor more definitely, at least to a certain extent. Had this Protocol been ratified by the principal signatory Powers, particularly by Great Britain, by whose ultimate refusal — by the British Conservative Government which had succeeded the Labour Cabinet of Ramsay MacDonald, the principal author of the synopsis side by side with his French colleague M. Herriot

and had it been materialized as an international compact, it must have marked the greatest advancement ever made by the community of nations towards the elimination of war, by providing machinery and sanctions to make the principle operate with much vitality. But it met with the same fact as ~~that~~<sup>to</sup> of the Draft Treaty of Mutual Assistance.

Leaving aside a few other similar attempts to eliminate some kind of wars in a form of bilateral or multilateral treaties, we would mention lastly, but not the least in its importance, the Pact of Paris of 1928 — the Kellogg-Briand Pact — which renounces ~~the~~ war as an instrument of national policy. However, since its embodying principles, as well as its full text, are too well known to the public at large, we see no necessity here to enter into the details in respect to the Pact.

Nevertheless, under these draft or ratified treaties, it would be premature to conclude that every sort of wars is to be condemned as a crime. To condemn aggression, which is an action denoting a first act of proceeding to unprovoked attack or invasion, is quite understandable; but war is not an act, though hostilities are. War in the light of international law, that is to say, not in common expression but theoretically, is not an act but a state — a state in certain time and certain region, wherein acts of violence which, though not permissible in time of peace and in every region of the world, is exceptionally permissible, provided they be done in

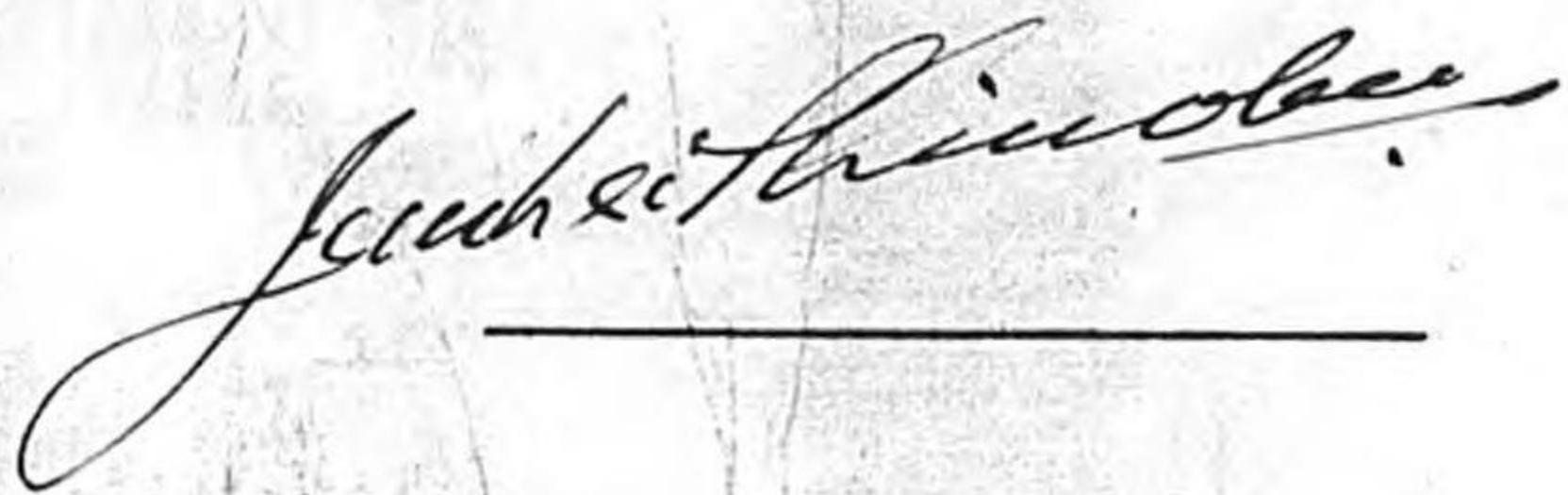
conformity with universally recognized rules of warfare,  
War is a state, a phenomenon, an event, a symptom of abnormal  
condition in the international organic body — or <sup>*to put it more simply,*</sup> ~~a~~ disease.  
~~more plainly illustrated.~~ An event itself is neither lawful  
nor unlawful. It constitutes neither crime nor non-crime.  
To condemn any war as a crime, as is often attempted by some  
treaty framers or asserted by some contemporary ~~publicists~~,  
whatever moral considerations may be urged in their support,  
legally it is simply nonsense, just as to condemn illness  
itself as a crime is nonsense, though neglect or carelessness  
of health, one of the main causes of illness, may be pronounced  
as such.

Closely connected with the conception of war or of aggressive  
war, that of war crimes has, since the Four Power Agreement  
made in London on August 8, 1945, and the subsequent publication  
of the Charter of the International Military Tribunal in  
Nuremberg, begun to take a new aspect compared with that which  
had been prevailing prior to that date. This, however, should  
be put aside from the question at issue, as I think it is  
outside ~~of~~ my province so far as the present affidavit is  
concerned. However, with such vicissitudes of the conception  
of war in general, and that of war crimes in particular, it  
seems to be a fact that rules of international law, hitherto  
held as sacred and inviolable by orthodox jurists, have come  
to experience a radical evolution, so as to meet the new idea

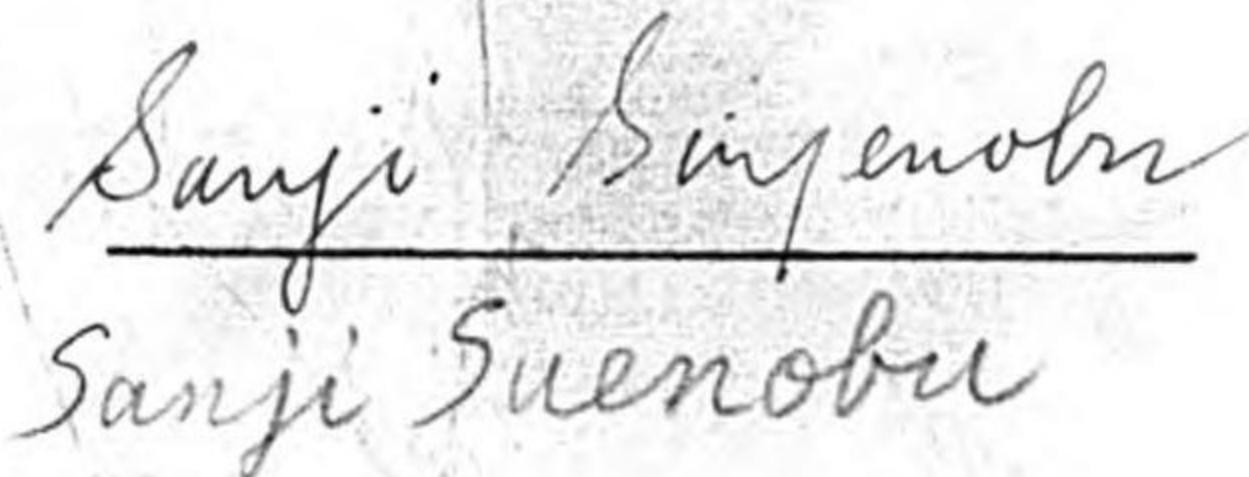
of the new age. But it must be borne in mind that a change of the rules of international law, in order to make itself adaptable and effective, cannot reach the destination at a jump. It must undergo a certain process, ~~through the natural selection of which a new theory, a new principle, or a new rule, advanced by a fresh publicist or appeared in~~ <sup>by means of which</sup> ~~now theories, new principles, and new laws, from those beginnings, may come into existence by natural selection as the fittest to survive in an unprecedent international convention could hold a commanding position as the survival of the fittest in the field of jurisprudence.~~ In order to make an interpretation of a given term as authoritative in international law and as effective in binding the whole civilized nations of the world, a single new definition advanced by a State or a group of States, a single new decision of a court of justice, even with the name of "international", a single new stipulation of a treaty, or any other single case of legal action, is insufficient for overturning traditional rules in that branch of law, though either could be invoked as a good reference and sometimes a powerful precedent. It is rightly stated in the Nuremberg judgment against the German war criminals given on August 31, 1946, that international law "is not static, but by continual adaptation follows the needs of a changing world." Most exactly. But the qualifying word "continual" is essential. Repetition to a certain extent of a given practice, and supported by a vast majority of prominent jurists of the world, particularly by such an influential organization as l'Institut de Droit International, are the necessary conditions for con-

stituting the new rules of international law as being accepted by civilized nations. It is to be hoped that the new theory of war criminals ~~would~~<sup>will</sup> pass through such <sup>a</sup> process, and ~~would~~<sup>will</sup> grow up as the fittest of positive legal rules for governing future international misdemeanours.

This eleventh day of January, 1947, at Tokyo



Sworn to and subscribed before me on the above-mentioned date and at the above-mentioned place.



Sanji Suenobu  
Sanji Suenobu

O A T H

I swear according to my conscience to state  
the whole truth, reserve nothing what I know, nor add  
anything what I do not know.

*James H. Hobson*

2  
Hobson  
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Legal

Jap. Doc. No. 497

Subject.

信夫淳平供述書

Defence Counsel. 清瀬 Phase

General

Certification. is attached to this.  
~~will be lately completed.~~

Priority

(1)

Express.

- (A) Copy only  
~~(The official translation is attached to this.)~~
- (B) Translation and copy.  
~~(Translation for reference is not attached to this.)~~

Date

Jan. 13. 1947

Sign

J. D. C.

Shigeo

(1)

Note

作文

極東國際軍事裁判所

亞米利加合衆國其他

對

荒木貞夫 其他

宣誓供述書

供述書

信夫 懿平

自分儀我國ニ行ハルル方式ニ從ヒ先ヅ別紙ノ通り宣誓ヲ爲シ

タル上次ノ如ク供述致シマス

slip doct# 433

下名の拙者は、與へられたる問題即ち(一)

過去の経歴は如何、(二)戦争犯罪なる語を  
如何に解するや、殊に日本がポワダル宣言を

象諾したる際、同宣言第十條にある同語を如

何に解したるや、(三)國際法の諸法則は世

界の事情の変化と併行すべきモリと思惟せざ

るや、の三問題に對し、供述書として左の陳

述を改めます。

(一) 明治四年(一八七一年)下館出生。

英語

出生。

10

15

20

幼少の頃数年久々二三の私塾に在りて漢

學を專攻一つつありたるがため、大學及び大

學豫備校の正常課程を躊躇むの機会を逸し、獨

習にて法律學及英語學を修めた。明治三十年

(一八九七年)外交官及領事官試験に合格して外

務省に入り、東西各地に勤務し、在ウヰーン

大使館一等書記官、次いでカルカワタ總領事を

最後として、大正六年(一九一七年)退職した。

明治三十七八年(一九〇四年)の日露

戰役に法律顧問として臨時陸軍に出仕し、在

南滿洲占領地行政事務に従事した。其の間滿

洲軍總司令部國際法顧問として從軍中の有

賀長雄博士に師事する機会を得、爾來其の

指導の下に戰時國際法及外交史に於て同博士

の唯一の後繼者となるに至つた。

大正六年(一九一七年)外務の官職を去る

と同時に早稻田大學の教職に就き、其の間

一時法政大學にも講師となつた。(和昭末爾)

十八年（一九四三年）に至る二十五年間、早

稻田大學に於て引續キ外交史及國際法を講述

いた。

大正十四年（一九二五年）論文『國際法及

國際道德』を東京帝國大學に提出し、法學博

士の學位を授けられた。

昭和七年（一九三二年）上海事變に際し在上

海日本第三艦隊國際法顧問とし同地に滞在、

任務終り帰朝後<sup>〔陳中執筆〕</sup>上海軍と國際法『』邦文及

英文一冊刊行した。

昭和十一年（一九三五・六年）中華民國政府顧向に在職、南京に在り。同海軍幹部將校に國際法を講述した。任務終り同國政府其の功績を嘉頌し、蔣介石主席より特に藍色大綬采玉勳章を贈與せられた。

昭和十二年（一九三七年）支那事變勃發し共に再び在上海日本支那艦隊國際法顧向として同地に赴任、二ヶ月弱其の任務に從事した。

前記上海事變を通じ及支那事變初期に從軍中、陸軍のことは承知せざるも、日本艦隊の

限る限り、其の行動も大体に於て文戰  
めたのは、自分の帷幄に於ける隨時の法律的  
の法規慣例に遵守するの比較的の令聞を得せり  
憚らざることを茲に附記するは私かく欣幸と  
助言相應に與りし力ありしものと自誇するに  
す所である。

昭和五年（一九三〇年）以来執筆中です

「戦時國際法講義」（邦文）  
昭和十五年（一九四〇年）の初め脱稿すると共に印刷に着手  
し、翌十六年（一九四一年）十一月、四巻通

計約五千頁の大冊と一七刊行した。帝國學士院は本書を以て斯學に貢獻するニと至大なる稀有の好著と認め、昭和十八年（一九四三年）四月著者に對し恩賜賞を授與せられた。

昭和十八年（一九四三年）六月高等捕獲審檢所評定官被仰付。

昭和十九年（一九四四年）二月勅旨を以て帝國學士院會員被仰付。

( / )

六日に聲明ありたる當時にありては、自分自身をも含め、日本の國際法學者の大多数は、  
『戰爭犯罪』なる語を從来權威ある國際法學者  
の説ける如く、専ら交戦の法規慣例の違反  
を意味するものと解し、且自分の推測にて  
誤り、オ十條の同字句を同様の意味に解した  
當り、日本政府が該宣言を受諾するに  
ものと思惟いた。自分も前掲の拙著『戰時國

際法講義に於て、軍事犯罪（自分は之を可

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國際法規の上に禁止の二つが規定されたりする。

（三）  
軍事法規  
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第二條

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二十三條の名歸、  
二十五條、  
二

十八條等の禁止事項、赤十字條約の諸規定、

一九三〇年の倫敦海軍條約中の潛水艦の導由

すへキ法則等の達文の如きと解説して居るのです。

以上は必ず一モ自分の創見ではなく、既に

世の國際法學者の若干か提唱した所である。

ハオツペンハイムの國際法

一頁乃至四三三頁、ヒット・コベツトの國際

法の主要先例』ヘロワト監修の四版、第一七

大貞、立戸戰時國際法論』『邦文』一九三一

年版、第一四一頁参照。その他『ローナニス協

会叢書』一九二五年、第一三號所載ナサン博士

の「國際法の再生」と題する有益の諭文を元  
 參照（ナセナリ）七九頁乃至才一〇〇頁、ナセナリ八一頁  
 乃至ナセナリ八四頁）。彼は戰爭犯罪を「文明國  
 間の交戦慣例」の侵害として解するやうである  
 ハナセナリ七九頁）。尤モ之に就て彼は「議論」の餘  
 地大にあり、<sup>又</sup>改善の餘地モアリと説く  
 ナセナリ一八一頁）。

書の上にも同様の解説を見るニヒ稀でない。  
 雪に學者の諭文に於てのみと限らず、公文

例へば英國の一九一四年制定、一九二九年再

制定、一九三六年改正の陸戰法規提要

四一節及び第四四二節には

(三) 戰爭犯罪の處罰

四一。『戰爭犯罪』なる語は犯人の處

罰又は逮捕に依りて報いられるべき敵國の

軍人及び常人の行為に對する専門語也

る。二の語は~~軍事~~軍事的用語であるも、専ら軍事

的及び法律的意義に於ては用ひらるべキモ

く、道徳的意義に於ては用ひらるべキモ

のに非ざるニと注意を要す。蓋しニル等

行爲の或モリ、例へば赤十字徽章の特權

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の濫用の如キ、將た俘虜の殺害の如キは、

勿論心づへキニと存れど、他方例へば敵

に関する情報の傳達の如キは、大に愛國

的而して且賞揚すべキ類の行為モある。

丁ルヒモ敵国と立てば、ニル等の行為を

如何なる場合に於てモ戦争犯罪リハ處

罰するの権利を有する。

四四二。戦争犯罪は左の四種に類別するモ

得べきである。

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(一) 軍隊の構成員に依りて行はるる周辺の交戦法則の違反。

(二) 軍隊の構成員に非ざる個人に依りて行はるる違法の武力的敵對行為。

(三) 间諜及び敵軍帮助罪

(四) 強奪

又米國の一九一四年制定の『陸戰法規』中

三六六節及び三六九節に於ては、特に『犯

罪』の定義を下す所なきモノ、之に属する

類例を左の如くに列舉してある。

## 戰 爭 犯 罪

三六六。

軍隊員に依りて行はる犯罪

(別行) 二の種類に屬する重なる犯行左の如くある。

施毒その他の禁止の武器弾薬の使用、負傷

者り殺害、助命の拒否。助命の背信的要

來。戰場に於ける死体への凌辱。俘虜の

虐待。俘虜の宣誓違反。不防守地への射

擊。休戰旗の濫用。休戰旗への射擊。赤

十字旗及び徽章の濫用。その他赤十字條

約の違反行為。兵員の戰鬪中軍事的性質

モ隋蓋せんがため常人の被服の使用。病院その他の保護建物への砲撃。保護建物の軍事的用途への不當使用。井水泉州への施毒。掠奪及び不必要の破壊。占領地住民の虐待。

軍隊所属員に1つその政府又は指揮官の命令又は許可の下に以上の犯行を爲せる場合には處罰せらるべ。左事実を記入せよ。

の犯行を命令し又は麾下軍隊員の犯行を承認したる指揮官は、その権内に召



第一次大戰中、戰爭犯罪の事例多く有りし  
 かたれ、一九一九年の巴里講和會議に於て  
 その問題となり、局外の諸學者の所見モ世  
 に出で、同講和會議終了後に有りてモ、同様  
 の論議は各方面に現下化たるゝ、シハモ戰爭犯  
 罪なるモトに關する諭者(?)の見解は、以上ノ解  
 説及び公文書掲記のモのから依然離るる所  
 かつた。乃ち權威ある公文書の規定と、その  
 が時代の有力なる學者に依りて支持せらるた  
 るものと推定し、且爾く推定し得るの事實に

(別行)

鑑け、日本がボツタム宣言の全條件、殊に同  
 宣言中十條所規の條件を受諾せる際には、  
 戰爭犯罪なるものは交戦の法規慣例の違反以  
 外に、當該戰爭の性質又は目的の如何に論ず  
 るニヒの行為を稱呼するものと解するが如キ  
 く、凡て戰争を計畫し、指導し、又は實行す  
 る、吾等の全然觀念以外にすりしモノである。

（別行）一  
 ヲモ侵略戰争の觀念が、第一次大戰以後の若  
 へ三ノ然、いかう戰争の觀念、若くは少な  
 千の條約又は條約案の上に現下ル未リたる  
 カハ

如く、既近文明諸國の政治家及び學者の間に  
 一大變化を示すに至つたのは事実である。二  
 小等の條約入下條約案の先驅を作せるものは、  
 一九二三年のオ四回国際聯盟總会に附議せら  
 したる相互援助條約案である。同條約案はオ  
 一條文一項に於て「締約国ハ侵略的戦争ノ國  
 際的罪惡ナルコトヲ嚴ニ宣言シ、各自之ヲ犯  
 サヤルコトヲ約入」と規定した。併しモ本  
 條約案の諸條項を通じ、侵略乞のモノの性質  
 は如何、將來法的意義に於ける侵略の構成要

件如何、を正確に規定するに至らす、隨つて次のオ四回聯盟總會に於ては、主義とし之に賛成する聯盟國は十八の多き有つたけども、遂に廢案となつたのである。

次に一九二四年の謂ゆるセーハ平和講定書である。ニの講定書は、<sup>翌年</sup>成立のロカル協定と共に、オ第一次大戦以後の歐洲主要政治家の外交的指導精神の表現として、最も重いものである。その核心は侵略する文書たりしモノである。且つ核心は侵略する文書たりしモノである。且つ核心は侵略する文書たりしモノである。

略国の性質を明かにして、且侵略戦争を排斥す

るに立つた。假に世界の主要國、殊に英國政  
府は一にて本議定書を批准し、それから一の國際  
條約となつたといたならば、戰爭の非認とい  
ふ企圖に向つて甚大の貢献を為したに相違な  
い。然るにその後、景に佛國のエリオット首相  
と共に本議定書の主要の作者たりし英國の勞働  
黨内閣の主班ラムセー・マクドナルドは失脚  
し、代つて成立せる保守黨政府がこの批准を  
拒絶するに至りたるがため、本議定書は遂に  
前年の相互援助條約と同一の運命に陥つた。

別行

ニの外に二国間又は多邊的の條約の形成はお

於て或種類の戦争を非認する同様の企図はお

りして、それは今楷き、最後に(2)然しながら

その重要性に於ては最後に非ざる一擧げた

キは、国家の政策の具としての戦争の拠棄を

宣言したる一九二八年のケロッケ・ブリアン

不戦條約である。然しながらこの條約は、條

句モ精神も共に世上周知のものであるから、

ニニに之を援用するの運はするまい。

以上の條約又は條約案に於てモ、凡ゆる種

類の戦争を犯罪としたモリと諭するのは早計である。侵略とは挑發せられたるに非ざる攻撃又は侵入の一歩の行為を言表はすものと解すべきであらう。この意味に於て侵略を排斥するのは當然である。然しながら戦争は行為ではない。戦争は國際法の眼に照れば、一特定の時及び場所に於て、平時には為すを許さる所の敵對行為か、交戦の法規慣例に遵由て行はる限り、例外的に許されるといふ

状態である。戦争は一の状態であり、一の現象である。一の出来事である。一層簡単に云へば、一の癡態である。イグニト出来事そのものには適法である。違法でもない。犯罪でもない。輓逐或條約の起草者か往々試みんとして、又或諭者が往々唱ふるが如き、戦争を一の犯罪と見る二とは、道徳的に如何に之を辯護すべき論據があるにモセ。されど、如何に主なる原因の一たるへキ健康の疎略又病氣の主なる原因の一たるへキ健康の疎略又

(19)

は不注意は罪と云ふるかモノ知れぬか、病  
氣そのものを犯罪と論ずるニヒノニセニス  
ひあるのと擇はぬのでぢる。  
戦争又は侵略戦争の觀念と開聯し、戦争犯  
罪の觀念モ、一九四五年八月八日の倫敦四国  
協定の成立以来、ミテニユーリンベルク国  
際軍事法廷の憲章の公表以来、從前行はル未  
いたる所のそルに比ズルば、一の新様相を呈  
するに至つた。併ルヒモニの問題は、本供述  
書に關する限り當面の問題以来、  
1= 屬すべ思惟

するのひ、今觸ぬことにする。さりとてから  
 一般に戦争の觀念、殊に戦争犯罪のと小の斯  
 者の神聖不可侵と思惟へ来りたる國際法の諸  
 法則が、新時代の新思想に順應せんがため、  
 かる変遷に伴ひ、從來オルソドウリス的法學  
 今や根本的進化を体験せんとするに至つたこ  
 とは事實のやうである。然しながらニニに留  
 意せねばならぬことは、凡そ國際法の諸法則  
 の変化は、一足飛びに所期の彼岸に達し得る  
 ものに非ざる二十九十九である。即ちそれには

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或順序か要る。新一主義理論、新しき主義、新

レキ法則は國際法學界に於ける自然淘汰を経

ニニに始めて適者とて生存するに至るとい

ふ順序がそルいある。或與へられたる文字の

解釋が國際法上での權威あるものとなリ、世

界の文明諸國全体を拘束すべキ有効的のもの

ヒテるには、單に一國若くは若干國の新に唱

ふる一定義、將來の一の司法裁判所によんば

そルが國際といふ名を冠するにモせよとの單

たる一新判決、一方的の一新規定その他法的

措置の一新事例は、將來に於て有力下る参考

として又先例として援用さるニヒあるは勿

論するモ、二ルのみで國際法則を一変せしむ

るには力尚ほ足らぬのいちる。かのニエトレ

ンヘルクの判決中の一節に「國際法」あるモの

は靜的のモのに非ず、不斷の順應に由り变化

1つつある世界の必要に隨伴すヘキモノのであ

る。山と云ふ一句あるハ、二ルは確に至言ひ

ある。然して下から右の「不斷の」といふ形容

詞が大功である。或行為の或程度に於ける縛

返りと云ふこと、そして世界の有力なる国際法學者の大半に依り、殊に萬国國際法學會の如き有力なる團體に依り、其外支持せらるゝこと、ニルハ文明諸國を有效的に拘束すべき國際法の新法則を構成するに就ての必要條件である。戰爭犯罪に関する新理論を斯かる順序を経て將來の國際的非行を取締るへキ法則の最適者として生存且成長するに至らんことを望ましとする。

昭和廿二年(一九四七年)一月十一日於東京

供述者

にじゅく、ふみ  
キ

右ハ當立會人ノ面前ニテ宣誓シ且ツ署名捺印シタルコトヲ證

明シマス

同日

於

会所

立會人

木近

久次

宣誓書

良心ニ從ヒ眞實ヲ述べ何事ヲモ默祕セズ又何事ヲモ附加セザ

ルコトヲ誓フ

(捺署  
印名)



International Military Tribunal for the Far East

The United States of America et al.

against

Iraki, Sadao, et al.

Affidavit

of

SHINOEU, Junhei

I, SHINOEU, Junhei being first duly sworn according to the customary formality in this country depose and state:

I, the undersigned, venture to submit the following statement as my affidavit, in answer to the given querries, namely: (1) What is the record of your past life? (2) What do you understand by the term "War crimes"? Particularly, how did you interpret Article 10 of the Potsdam Declaration at the time Japan accepted the conditions laid down in it? And (3) Don't you think that rules of international law should go along with the changes in world conditions.

(1) I was born in 1871 at Shinodate, Ibaraki-ken. In my early life I devoted myself for several years, mainly to the study of Chinese classics in private schools, and thus missed the opportunity of undergoing the Normal curriculum of university or preparatory schools. I studied by myself the science of jurisprudence and the English language. In 1897, I passed the examination for diplomatic and consular agents, and entered the Foreign Office. After having served in various parts of the Orient and the Occident, and as First Secretary of the Embassy at Vienna and subsequently as Consul-General at Calcutta, my last post, I retired from the foreign service in 1917.

During the Russo-Japanese War of 1904-5, I was temporarily attached to the Army, and engaged in administrative work of the Japanese occupied territory in South Manchuria as a legal adviser. At that time I came into association with the late Dr. ARIGA, Nagao, adviser of international law at the General Headquarters of the Japanese Manchurian Army, and took the opportunity of pursuing my further studies under him, and became in later years his sole successor in the field of diplomatic history as well as of international law particularly relative to war.

With my retirement from the Foreign Office, I entered into my new life of professorship at Waseda University (and sometime at Hosei University), and continued lectures at Waseda for twenty-five years, until 1948.

In 1925, my thesis "International Law and International Morality" (in Japanese) passed the Faculty Council of the Tokyo Imperial University, and I was conferred the degree of Doctor of Law.

When in 1932, the "Shanghai Incident" broke out, I went to Shanghai as the advisor of international law of the Japanese Third Fleet at that port. After completing my work and returning to Tokyo, I published my work "International Law in the Shanghai Conflict" (in Japanese and in English), which I had written at the scene of the disturbance).

In 1935-6, I was an adviser to the Government of the Republic of China, my duty being in giving lectures on international law to the higher naval officers in Nanking. The efforts of my mission were so highly appreciated by the Chinese Government that I was honoured by Generalissimo Chiang Kai-shek with the decoration of the Blue Grand Cordon of the Order of the Coloured Jewel.

With the outbreak of the "China Incident" in 1937, I went to Shanghai a second time as adviser on international law to the Japanese China Sea

Fleet, and stayed there for nearly two years.

It is my privilege to add that, throughout the aforesaid Shanghai Incident and the first phase of the China Incident, I felt it my pride that my legal advice to the War Councils on various occasions contributed greatly to the comparatively good reputation of the Japanese Fleet operations (I am not informed as to the Army), which were carried on in conformity, on the whole, with the laws and customs of war.

My humble work "Lectures on International Law in Time of War" (in Japanese), the draft of which I had been writing since 1930 was finished in 1940, went to press subsequently, and was published in November, 1941, in four volumes, consisting of nearly five thousand pages. The Imperial Academy recognized its merit by endorsing it as an unprecedented and standard work, greatly contributing to the advancement of this field of jurisprudence, and was pleased to bestow the Imperial Gift on the author.

In June 1943, I was appointed a Councillor of the Higher Prize Court (Maritime Seizures). In February of the following year, I was nominated a Member of the Imperial Academy by the Imperial mandate.

(2) At the time when the Potsdam Declaration was proclaimed on July 26, 1945, the vast majority of Japanese international jurists, including myself, understood the term "war crimes" to mean solely and exclusively an offence against the laws and customs of war, as had generally been held by authoritative international lawyers, and they apparently considered, if my presumption is not mistaken, that term in Article 10 of the Declaration was accepted by the Japanese Government in that sense. I myself, in the above-mentioned work "Lectures on International Law in Time of War" define a "war crime", which I am accustomed to express in Japanese as "Sen ritsu han" (literally: "War statute offence") as "an act

of violation of the laws and customs of war . . . . The matters to be treated under war crimes are mostly, though not all, stipulated in international conventions with regards to their prohibition (as, for instance, acts of hostilities by those who are not recognized as lawful belligerents under Articles I and 2 of the Hague code of land warfare; acts prohibited under Articles 23, 25, 28, etc. of same; various clauses under the Genova Red Cross Convention; violation of the rules to be observed by submarines under the London Naval Treaty of 1930.)"

( Vol. II, PP. 69-770 )

This is not necessarily my own creative opinion, but that which has been advanced by a certain number of authorities on international laws. That interpretation finds a place not only in their dissertations, but also frequently even in official texts, such as in Sections 441 and 442 of the British "Manual of Military Law", enacted in 1914, re-enacted in 1929, and amended in 1936, as well as in Section 366 and 369 of the American "Rules of Land Warfare" of 1914.

The former reads:

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\* See, for instance, Oppenhoim, international Law, 3rd ed., PP. 431-3; Pitt Cobbett, Leading Cases of International Law, 4th ed. by H.L. Bollot, p. 176; Tachi (late prominent Japanese international jurist), Treatise on International Law in Time of War (in Japanese), 1931, P. 41. See also an informative essay by Dr. Nathan (of the Middle Temple and advocate of the Supreme Court of South Africa) entitled "The Renaissance of International Law" in The Grotius Society Publication, No. 3, 1925 in which the problem of war crimes is dealt with (PP, 79-100, 181-184). He seems to define them as "infringement of the civilized customs of war" (P. 79), though he says that "there is great room for controversy, and probably for improvement." (p.181)

"(iii) The Punishment of War Crimes.

"441. The term 'War Crime' is the technical expression for such an act of enemy soldiers and enemy civilians as may be visited by punishment or capture of the offenders. It is usual to employ this term, but it must be emphasized that it is used in the technical military and legal sense only, and not in the moral sense. For although some of those acts, such as abuse of the privileges of the Red Cross badge, or the murder of prisoners, may be disgraceful, yet others, such as conveying information about the enemy, may be highly patriotic and praiseworthy. The enemy, however, is in any case entitled to punish these acts as war crimes.

"442. War crimes may be divided into four different classes;

- (i) Violations of the recognized rules of warfare by members of the armed forces.
- (ii) Illegitimate hostilities in arms committed by individuals who are not members of the armed forces.
- (iii) Espionage and war treason.
- (iv) Marauding."

The latter, without defining the term "war crimes", enumerates the examples pertaining to them as follows:

" War Crimes.

"366. Offenses committed by armed forces. — The principal offenses of this class are: Making use of poisoned and otherwise forbidden arms and ammunition; killing of the wounded; refusal of quarter; treacherous request for quarter; maltreatment of dead bodies on the battle field; ill-treatment of prisoner of war; breach of parole by prisoners of war; firing on undefended

localities; abuse of the flag of truce; firing on the flag of truce; abuse of the Red Cross flag and emblem; and other violations of the Geneva Convention; use of civilian clothing by troops to conceal their military character during battle; bombardment of hospitals and other privileged buildings, improper use of privileged buildings for military purposes; poisoning of wells and streams; pillage and purposeless destruction; ill-treatment of inhabitants in occupied territory. Individuals of the armed forces will not be punished for these offenses in case they are committed under the orders or sanction of their government or commanders. The commanders ordering the commission of such acts, or under whose authority they are committed by their troops, may be punished by the belligerent into whose hands they may fall.

"369. Hostilities committed by individuals not of armed forces.

Persons who take up arms and commit hostilities without having complied with the conditions prescribed for securing the privileges of belligerents, are, when captured by the enemy, liable to punishment for such hostile acts as war criminals."

During World War I, there were numerous instances of the so-called "war crimes", which led to lengthy discussions at the Peace Conference of Paris of 1919, and the subject was also considered by various writers. Even after the conclusion of peace, a number of arguments pertaining to the same subject were brought forward by writers, but the interpretation of war crimes by them remained unshaken from that in the above-cited theories and official texts. Thus assuming, as may safely be assumed, that these commentaries were maintained in authoritative official documents, supported by a large majority of distinguished contemporary writers, it was entirely beyond our conception to perceive, at the time of the acceptance by Japan of the conditions of the Potsdam Declaration in general, and those

laid down in Article 10 in particular, that a war crime denoted, besides an offence against the laws and customs of war, an act of planning, instigating or accomplishing a war, whatever its nature or purpose might be.

(3) Nevertheless, the conception of war, or at least, of an aggressive war, has undergone a great change in the minds of statesmen and thinkers of the civilized nations of the world, which manifested itself in a few post-War draft or ratified treaties. The forerunner of them was the Draft Treaty of Mutual Assistance of 1923, submitted to the Fourth Assembly of the League of Nations of that year, and which provided that "The High Contracting Parties, affirming that aggressive war is an international crime, undertake the solemn engagement not to make themselves guilty of this crime against any other nations." (Art. I, Par.1). The provisions of this Draft Treaty were, however, fall short of determining precisely what was the nature of an aggression itself, or what did constitute an aggression in its legal sense. Consequently, the draft was abandoned at the Fifth League Assembly, though had been accepted in principle by eighteen Member-States.

The next was the Genoa Peace Protocol, commonly so called, of 1924. This Protocol, together with the Locarno Facts of the following year, appeared before the world as exceedingly important diplomatic documents since the First World War, revealing the guiding spirit underlying the foreign policy of the leading European statesmen of the age. The gist of this Protocol was in denouncing aggressive wars as a crime, in its violating the recognized . . . . . solidarity of the international community, by clarifying the nature of an aggressor more definitely, at least to a certain extent. Had this Protocol been ratified by the principal signatory Powers, particularly by Great Britain, by whose ultimate refusal — by the British Conservative Government which

had succeeded the Labour Cabinet of Ramsay MacDonald, the principal author of the synopsis side by side with his French colleague M. Herrriot — it had been dropped — and had it been materialized as an international compact, it must have marked the greatest advancement ever made by the community of nations towards the elimination of war, by providing machinery and sanctions to make the principle operate with much vitality. But it met with the same fate as the Draft Treaty of Mutual Assistance.

Leaving aside a few other similar attempts to eliminate some kind of wars in a form of bilateral or multilateral treaties, we would mention lastly, but not the least in its importance, the Pact of Paris of 1928 — the Kellogg-Briand Pact — which renounces war as an instrument of national policy. However, since its embodying principles, as well as its full text, are too well known to the public at large, we see no necessity here to enter into the details in respect to the Pact.

Nevertheless, under these draft or ratified treaties, it would be premature to conclude that every sort of wars is to be condemned as a crime. To condemn aggression, which is an action denoting a first act of proceeding to unprovoked attack or invasion, is quite understandable; but war is not an act, though hostilities are. War in the light of international law, that is to say, not in common expression but theoretically, is not an act but a state — a state in certain time and certain region, wherein acts of violence which, though not permissible in time of peace and in every region of the world, is exceptionally permissible, provided they be done in conformity with universally recognized rules of warfare. War is a state, a phenomenon, an event, a symptom of abnormal condition in the international organic body — or, to put it more simply, a disease. An event itself is neither lawful nor unlawful. It constitutes neither crime nor non-crime.

To condemn any war as a crime, as is often attempted by some treaty framers or asserted by some contemporary writers, whatever moral considerations may be urged in their support, legally it is simply nonsense, just as to condemn illness itself as a crime is nonsense, though neglect or carelessness of health, one of the main causes of illness, may be pronounced as such.

Closely connected with the conception of war or of aggressive war, that of war crimes has, since the Four Power Agreement made in London on August 8, 1945, and the subsequent publication of the Charter of the International Military Tribunal in Nuremberg, begun to take a new aspect compared with that which had been prevailing prior to that date. This, however, should be put aside from the question at issue, as I think it is outside my province so far as the present affidavit is concerned. However, with such visissitudes of the conception of war in general, and that of war crimes in particular, it seems to be a fact that rules of international law, hitherto held as sacred and inviolable by orthodox jurists, have come to experience a radical evolution, so as to meet the new idea of the new age. But it must be borne in mind that a change of the rules of international law, in order to make itself adaptable and effective, cannot reach the destination at a jump. It must undergo a certain process by means of which new theories, new principles, and new laws, from those beginnings, may come into existence by natural selection as the fittest to survive in the field of international jurisprudence. In order to make an interpretation of a given term as authoritative in international law and as effective in binding the whole civilized nations of the world, a single new definition advanced by a State or a group of States, a single new decision of a court of justice, even with the name of "international", a single new stipulation of a treaty, or any other

single case of legal action, is insufficient for overturning traditional rules in that branch of law, though either could be invoked as a good reference and sometimes a powerful precedent. It is rightly stated in the Nuremberg judgment against the German war criminals given on August 31, 1946, that international law "is not static, but by continual adaptation follows the needs of a changing world." Most exactly. But the qualifying word "continual" is essential. Repetition to a certain extent of a given practice, and supported by a vast majority of prominent jurists of the world, particularly by such an influential organization as l'Institut de Droit International, are the necessary conditions for constituting the new rules of international law as being accepted by civilized nations. It is to be hoped that the new theory of war criminals will pass through such a process, and will grow up as the fittest of positive legal rules for governing future international misdemeanours.

This eleventh day of January, 1947, at Tokyo

(Signed) Junhei Shinobu

Sworn to and subscribed before me on the above-mentioned date and at the above-mentioned place.

(Signed) Sanji Suenobu

DEF. DOC # 433

O A T E

I swear according to my conscience to state the whole truth, reserve nothing what I know, nor and anything what I do not know.

(Signed) Junhei Shinobu

DEF DOC N 433

O A T H

In accordance with my conscience I swear to tell the whole truth  
withholding nothing and adding nothing.

/S/ SHINeBU, Junhei (seal)

TRANSLATION CERTIFICATE

I, Charles D. Sheldon, Chief of the Defense Language Branch,  
hereby certify that the foregoing translation described in the above  
certificate is, to the best of my knowledge and belief, a correct  
translation and is as near as possible to the meaning of the original  
document.

/S/ Charles D. Sheldon

Tokyo, Japan  
Date 20 Feb., 1947

International Military Tribunal for the Far East

The United States of America et al.

against

Araki, Sadao, et al

Affidavit

of

SHINOBU, Junhei

I, SHINOBU, Junhei being first duly sworn according to the customary formality in this country depose and state:

I, the undersigned, venture to submit the following statement as my affidavit, in answer to the given queries, namely: (1) What is the record of your past life? (2) What do you understand by the term "War crimes"? Particularly, how did you interpret Article 10 of the Potsdam Declaration at the time Japan accepted the conditions laid down in it? And (3) Don't you think that rules of international law should go along with the changes in world conditions.

(1) I was born in 1871 at Shimodate, Ibaraki-kon. In my early life I devoted myself for several years, mainly to the study of Chinese classics in private schools, and thus missed the opportunity of undergoing the Normal curriculum of university or preparatory schools. I studied by myself the science of jurisprudence and the English language. In 1897, I passed the examination for diplomatic and consular agents, and entered the Foreign Office. After having served in various parts of the Orient and the Occident, and as First Secretary of the Embassy at Vienna and subsequently as Consul-General at Calcutta, my last post, I retired from the foreign service in 1917.

During the Russo-Japanese War of 1904-5, I was temporarily attached to the Army, and engaged in administrative work of the Japanese occupied territory in South Manchuria as a legal adviser. At that time I came into association with the late Dr. ARITA, Nagao, adviser of international law at the General Headquarters of the Japanese Manchurian Army, and took the opportunity of pursuing my further studies under him, and became in later years his sole successor in the field of diplomatic history as well as of international law particularly relative to war.

With my retirement from the Foreign Office, I entered into my new life of professorship at Tezuka University (and sometime at Hosei University), and continued lectures at Tezuka for twenty-five years, until 1943.

In 1925, my thesis "International Law and International Morality" (in Japanese) passed the Faculty Council of the Tokyo Imperial University, and it was conferred the degree of Doctor of Law.

When in 1932, the "Shanghai Incident" broke out, I went to Shanghai as the advisor of international law of the Japanese Third Fleet at that port. After completing my work and returning to Tokyo, I published my work "International Law in the Shanghai Conflict" (in Japanese and in English), which I had written at the scene of the disturbance).

In 1935-6, I was an advisor to the Government of the Republic of China, my duty being in giving lectures on international law to the higher naval officers in Nanking. The efforts of my mission were so highly appreciated by the Chinese Government that I was honoured by Generalissimo Chiang Kai-shek with the decoration of the Blue Grand Cordon of the Order of the Coloured Jewel.

With the outbreak of the "China Incident" in 1937, I went to Shanghai a second time as adviser on international law to the Japanese China Sea

Fleet, and stayed there for nearly two years.

It is my privilege to add that, throughout the aforesaid Shanghai Incident and the first phase of the China Incident, I felt it my pride that my legal advice to the War Councils on various occasions contributed greatly to the comparatively good reputation of the Japanese Fleet operations (I am not informed as to the Army), which were carried on in conformity, on the whole, with the laws and customs of war.

My humble work "Lectures on International Law in Time of War" (in Japanese), the draft of which I had been writing since 1930 was finished in 1940, went to press subsequently, and was published in November, 1941, in four volumes, consisting of nearly five thousand pages. The Imperial Academy recognized its merit by endorsing it as an unprecedented and standard work, greatly contributing to the advancement of this field of jurisprudence, and was pleased to bestow the Imperial Gift on the author.

In June 1943, I was appointed a Councillor of the Higher Prize Court (Maritimo Seizuros). In February of the following year, I was nominated a Member of the Imperial Academy by the Imperial mandate.

(2) At the time when the Potsdam Declaration was proclaimed on July 26, 1945, the vast majority of Japanese international jurists, including myself, understood the term "war crimes" to mean solely and exclusively an offence against the laws and customs of war, as had generally been held by authoritative international lawyers, and they apparently considered, if my presumption is not mistaken, that term in Article 10 of the Declaration was accepted by the Japanese Government in that sense.

I myself, in the above-mentioned work "Lectures on International Law in Time of War" define a "war crime", which I am accustomed to express in Japanese as "Sen ritsu han" (literally: "War statute offence") as "an act

of violation of the laws and customs of war . . . . The matters to be treated under war crimes are mostly, though not all, stipulated in international conventions with regards to their prohibition (as, for instance, acts of hostilities by those who are not recognized as lawful belligerents under Articles I and 2 of the Hague code of land warfare; acts prohibited under Articles 23, 25, 28, etc. of same; various clauses under the Genova Red Cross Convention; violation of the rules to be observed by submarines under the London Naval Treaty of 1930.)"

( Vol. II, PP. 769-770 )

This is not necessarily my own creative opinion, but that which has been advanced by a certain number of authorities on international laws. That interpretation finds a place not only in their dissensions, but also frequently even in official texts, such as in Sections 441 and 442 of the British "Manual of Military Law", enacted in 1914, re-enacted in 1929, and amended in 1936, as well as in Section 366 and 369 of the American "Rules of Land Warfare" of 1914.

The former reads:

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\* See, for instance, Oppenhoim, International Law, 3rd ed., PP. 431-3; Pitt Cobbett, Landing Cases of International Law, 4th ed. by H.L. Bollot, p. 176; Tachi (late prominent Japanese international jurist), Treatise on International Law in Time of War (in Japanese), 1931, P. 41. See also an informative essay by Dr. Nathan (of the Middle Temple and advocate of the Supreme Court of South Africa) entitled "The Renaissance of International Law" in The Grotius Society Publication, No. 3, 1925 in which the problem of war crimes is dealt with (PP, 79-100, 181-184). He seems to define them as "infringement of the civilized customs of war" (P. 79), though he says that "there is great room for controversy, and probably for improvement." (p.181)

"(iii) The Punishment of War Crimes.

"441. The term 'War Crime' is the technical expression for such an act of enemy soldiers and enemy civilians as may be visited by punishment or capture of the offenders. It is usual to employ this term, but it must be emphasized that it is used in the technical military and legal sense only, and not in the moral sense. For although some of those acts, such as abuse of the privileges of the Red Cross badge, or the murder of prisoners, may be disgraceful, yet others, such as conveying information about the enemy, may be highly patriotic and praiseworthy. The enemy, however, is in any case entitled to punish these acts as war crimes.

"442. War crimes may be divided into four different classes;

- (i) Violations of the recognized rules of warfare by members of the armed forces.
- (ii) Illogitimate hostilities in arms committed by individuals who are not members of the armed forces.
- (iii) Espionage and war treason.
- (iv) Marauding."

The latter, without defining the term "war crimes", enumerates the examples pertaining to them as follows:

" War Crimes.

"366. Offenses committed by armed forces. — The principal offenses of this class are: Making use of poisoned and otherwise forbidden arms and ammunition; killing of the wounded; refusal of quarter; treacherous request for quarter; maltreatment of dead bodies on the battle field; ill-treatment of prisoner of war; breach of parole by prisoners of war; firing on undefended

localities; abuse of the flag of truce; firing on the flag of truce; abuse of the Red Cross flag and emblem; and other violations of the Geneva Convention; use of civilian clothing by troops to conceal their military character during battle; bombardment of hospitals and other privileged buildings, improper use of privileged buildings for military purposes; poisoning of wells and streams; pillage and purposeless destruction; ill-treatment of inhabitants in occupied territory. Individuals of the armed forces will not be punished for these offenses in case they are committed under the orders or sanction of their government or commanders. The commanders ordering the commission of such acts, or under whose authority they are committed by their troops, may be punished by the belligerent into whose hands they may fall.

"369. Hostilities committed by individuals not of armed forces.

Persons who take up arms and commit hostilities without having complied with the conditions proscribed for securing the privileges of belligerents, are, when captured by the enemy, liable to punishment for such hostile acts as war criminals."

During World War I, there were numerous instances of the so-called "war crimes", which led to lengthy discussions at the Peace Conference of Paris of 1919, and the subject was also considered by various writers. Even after the conclusion of peace, a number of arguments pertaining to the same subject were brought forward by writers, but the interpretation of war crimes by them remained unshaken from that in the above-cited theories and official texts. Thus assuming, as may safely be assumed, that these commentaries were maintained in authoritative official documents, supported by a large majority of distinguished contemporary writers, it was entirely beyond our conception to perceive, at the time of the acceptance by Japan of the conditions of the Potsdam Declaration in general, and those

laid down in Article 10 in particular, that a war crime denoted, besides an offence against the laws and customs of war, an act of planning, instigating or accomplishing a war, whatever its nature or purpose might be.

(3) Nevertheless, the conception of war, or at least, of an aggressive war, has undergone a great change in the minds of statesmen and thinkers of the civilized nations of the world, which manifested itself in a few post-War draft or ratified treaties. The forerunner of them was the Draft Treaty of Mutual Assistance of 1923, submitted to the Fourth Assembly of the League of Nations of that year, and which provided that "The High Contracting Parties, affirming that aggressive war is an international crime, undertake the solemn engagement not to make themselves guilty of this crime against any other nations." (Art. 1, Par.1). The provisions of this Draft Treaty were, however, fall short of determining precisely what was the nature of an aggression itself, or what did constitute an aggression in its legal sense. Consequently, the draft was abandoned at the Fifth League Assembly, though had been accepted in principle by eighteen Member-States.

The next was the Geneva Peace Protocol, commonly so called, of 1924. This Protocol, together with the Locarno Facts of the following year, appeared before the world as exceedingly important diplomatic documents since the First World War, revealing the guiding spirit underlying the foreign policy of the leading European statesmen of the age. The gist of this Protocol was in denouncing aggressive wars as a crime, in its violating the recognized solidarity of the international community, by clarifying the nature of an aggressor more definitely, at least to a certain extent. Had this Protocol been ratified by the principal signatory Powers, particularly by Great Britain, by whose ultimate refusal — by the British Conservative Government which

had succeeded the Labour Cabinet of Ramsay MacDonald, the principal author of the synopsis side by side with his French colleague M. Herriot — it had been dropped — and had it been materialized as an international compact, it must have marked the greatest advancement ever made by the community of nations towards the elimination of war, by providing machinery and sanctions to make the principle operate with much vitality. But it met with the same fate as the Draft Treaty of Mutual Assistance.

Leaving aside a few other similar attempts to eliminate some kind of wars in a form of bilateral or multilateral treaties, we would mention lastly, but not the least in its importance, the Pact of Paris of 1928 — the Kellogg-Briand Pact — which renounces war as an instrument of national policy. However, since its embodying principles, as well as its full text, are too well known to the public at large, we see no necessity here to enter into the details in respect to the Pact.

Nevertheless, under those draft or ratified treaties, it would be premature to conclude that every sort of wars is to be condemned as a crime. To condemn aggression, which is an action denoting a first act of proceeding to unprovoked attack or invasion, is quite understandable; but war is not an act, though hostilities are. War in the light of international law, that is to say, not in common expression but theoretically, is not an act but a state — a state in certain time and certain region, wherein acts of violence which, though not permissible in time of peace and in every region of the world, is exceptionally permissible, provided they be done in conformity with universally recognized rules of warfare; war is a state, a phenomenon, an event, a symptom of abnormal condition in the international organic body — or, to put it more simply, a disease. An event itself is neither lawful nor unlawful. It constitutes neither crime nor non-crime.

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This eleventh day of January, 1947, at Tokyo

(Signed) Junhei Shinobu

Sworn to and subscribed before me on the above-mentioned date and  
at the above-mentioned place.

(Signed) Sanji Suenobu

DEF DOC # 433

O A T E

I swear according to my conscience to state the whole truth, reserve nothing what I know, nor and anything what i do not know.

(Signed) Junhoi Shinobu

\* DEF LOC # 433

C A P S

In accordance with my conscience I swear to tell the whole truth withholding nothing and adding nothing.

/S/ SHINZBU, Junhei (seal)

TRANSLATION CERTIFICATE

I, Charles D. Sheldon, Chief of the Defense Language Branch, hereby certify that the foregoing translation described in the above certificate is, to the best of my knowledge and belief, a correct translation and is as near as possible to the meaning of the original document.

/S/ Charles D. Sheldon

Tokyo, Japan  
Date 20 Feb., 1947