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INTERNATIONAL PROSECUTION SECTION

Doc. No. 2137

20 June 1946

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Documents of Decision on Important National Policies having direct relationship to outbreak of war.

Date: July 40- Original (x) Copy () Language:
Dec 41. Japanese

Has it been translated? Yes (x) No ()

Has it been photostated? Yes () No (x)

LOCATION OF ORIGINAL

Document Division

SOURCE OF ORIGINAL: Foreign Office

PERSONS IMPLICATED:

CRIMES TO WHICH DOCUMENT APPLICABLE: Planning aggressive warfare Violation of 9 Power Treaty, Hague and Geneva Convention, etc.

SUMMARY OF RELEVANT POINTS

(Rough translation from Foreign Office accompanies document.)

1. Outline of Japan's basic national policy, Cabinet decision of 26 July 1940.
2. Principal lines of action for dealing with international situation in parallel with world developments. (Decision of Liaison Conference, 27 July 40)
3. Decision made by conference of Prime Minister and Ministers of War, Navy, Foreign Affairs, 4 Sept 40, re strengthening of Japan-German-Italy Axis.
4. Principal points in negotiations with Germany, Italy, and U.S.S.R. (Decision of Liaison Conference, 8Feb 41).

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5. Decision following Imperial Conference, 13 Nov 40, re policy for disposal of China affair.

6. Decision of Liaison Conference, 1 Feb 41, re measures vis-a-vis French Indo-China and THAILAND.

7. Decision of Liaison Conference, 6 Feb 41, re policy for mediation between French Indo-China and THAILAND on boundary dispute.

8. Gist of Imperial Hdqtrs Army and Navy policy concerning measures to be taken in South, April 41.

9. Cabinet decision of 25 Oct 40 re measures for economic development of Netherlands East Indies.

10. Decision reached following Imperial Conference of 2 July 41 re outline of Japan's National Policy in parallel with international developments.

11. Decision of Liaison Conference 25 June 41 re acceleration of measures in South.

12. Decision following Imperial Conference of 6 Sept 41 re lines of action in execution of Japan's national policy.

13. Decision following Imperial Conference 1 Dec 41, concerning declaration of war on America, Britain, and Netherlands.

Analyst: 2d Lt Blumhagen

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Page 1

(Item (1))

Ex. 541

CABINET DECISION OF July 26, 1940

Outline of Japan's Basic National Policy

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Outline of the Basic National Policy

The world is now on the threshold of a stupendous historic change. New political, economic and cultural orders are in the process of creation on the basis of the growth and development of several groups of nations. Japan is also faced by a great ordeal unparalleled in history. At this juncture, if we are to carry out a national policy truly in consonance with the lofty ideal on which our nation is founded, it is of the most urgent necessity to effect a fundamental renovation of the government administration along all lines, and to march forward toward the completion of a national defence structure, by surmounting all difficulties. The general principles of the basic national policy have been therefore formulated as follows:

1. Basic Policy

The fundamental aim of Japan's national policy lies in the establishment of world peace in accordance with the lofty ideal of Hakko Ichiu on which the Empire is founded. First of all, it is directed toward the construction of a new order of Greater East Asia built upon a firm solidarity of Japan, Manchoukuo and China with this Empire as the Center. We will therefore speedily secure for herself an unshakable national structure such as conforms to the new world situation and march forward toward the realization of the national policy by mobilizing the total strength of the nation.

2. National Defense and Foreign Policy.

In the light of the new external and internal circumstances of the Empire, we will so amplify armaments as to ensure the execution of national policy on the basis of a state structure for national defense through manifestation of the nation's total strength.

The fundamental aim of Japan's foreign policy at present lies in the construction of a new order of Greater East Asia. Attention will be focussed upon a successful settlement of the China Affair, while, by taking a long-range view of the great world change, constructive and elastic measures will be adopted in order to advance the national fortunes of the Empire.

3. Renovation of the Internal Structure.

The urgent task concerning the country's internal administration is to renovate all branches of the government in accordance with the fundamental principle of the national policy, and to lay down a firm foundation for a national defense state structure. For this purpose, we will aim at the realization of the following items:

1. In parallel with the renovation of the educational system in full accord with the fundamental principle of the national policy, we will establish national morality which attaches the

first importance to the idea of service to the state, rejecting all thoughts of selfishness and material gains. And we will aim at the encouragement of scientific spirit.

ii. We will strive for a coordinated unity of government by setting up a new national political structure.

(a) Organizing the nation anew on the basis of cooperation between government and people, and of service to the state, every man according to his occupation.

(b) Reform of the Diet system so as to conform to the new national political structure.

(c) Creation of a new government service system through fundamental renovation of the administrative methods with a view to effecting unity and expedition.

iii. Establishment of the foundation for a national defense economy on the basis of autonomous construction of the economies of Japan, Manchoukuo and China, with this Empire as the center.

(a) Establishment of Japan's economic self-sufficiency policy, making Japan, Manchoukuo and China as a single unit, and embracing the whole of Greater East Asia.

(b) Execution of a planned economy through cooperation of government and people; especially perfection of a unified control system over production, distribution and consumption of vital commodities.

(c) Establishment of a financial plan aimed at the development of coordinated economic powers; also, strengthening of financial control.

(d) Renovation of the trade policy in line with the new world situation.

(e) Establishment of the measures for self-supply of the nation's daily necessities, especially staple food stuffs.

(f) An epochal expansion of important industries, especially heavy, chemical and machine industries.

(g) An epochal development of science, and rationalization of production.

(h) Improvement and replenishment of transportation and communication facilities in line with the new world situation.

(i) Establishment of a land development plan aimed at the development of the coordinated national strength of Japan, Manchoukuo and China.

4. Establishment of the permanent policies for the enhancement of the mental qualities and physical powers of the people which constitutes

the motive force for the execution of national policy, and for the increase of population; and especially fundamental policies for the stabilization and development of agriculture and agricultural communities.

5. A decisive measure will be taken to rectify the inequality of sacrifices on the part of the people, attendant upon the execution of the national policy. Welfare measures will be fully carried out, and the living conditions of the people renovated, so as to ensure a living standard truly befitting a stout-hearted and strong-bodied people capable to survive ten years of trials and hardships and surmount the national crisis.

Item (2) Decision of the Liaison Conference, July 27, 1940

Principal Lines of Action for Dealing with the International Situation in Parallel with World Developments.

Decision of the Liaison Conference, 27 July 1940.

1. To improve Japan's internal and external circumstances in order to meet the changes in the world situation.
2. To hasten the solution of the Chinese Affair by strengthening the measures in China principally directed toward eliminating assistance to the Chiang regime by third Powers.
3. To solve the problem of the South within such scope as will not lead to war with third Powers.
4. To execute the following program in order to realize the three foregoing objectives:
 - i. To maintain a firm attitude toward America on the one hand and to effect on the other hand a sweeping readjustment of her relations with the Soviet Union as well as a political combination with Germany and Italy.
 - ii. To strengthen the measures vis-a-vis French Indo-China, Hongkong, and foreign concessions in China looking to the prevention of assistance to the Chiang regime and the elimination of the hostile character.
 - iii. To strengthen our diplomacy toward the Netherlands East Indies in order to acquire vital materials.
 - iv. To renovate the internal war-time structure.

Item (3)

Decisions Made by the Conference of the Prime Minister and the Ministers of War, TOJO, the Navy and Foreign Affairs on 4 September 1940, and by the Liaison Conference on 19 September 1940.

Strengthening of the Japan-Germany-Italy Axis.

Strengthening of the Japan-German-Italy Axis

The trends toward the strengthening of collaboration among Japan, Germany and Italy have lately become very pronounced, and it is believed that now the time is ripe for speedy initiation of conversations among the three countries on this matter. It is accordingly proposed to commence negotiations in accordance with the under-mentioned Basic Principles, with Germany, to start with.

Basic Principles.

1. To make a fundamental agreement among the three countries, in order that they shall mutually cooperate by all possible means in the establishment of a New Order in Europe and in Asia.
2. To carry out consultations among the three countries in as short a period of time as possible in regard to the best means of the above mentioned cooperation.
3. To begin with, publicity will be given, at home and abroad, to the purpose in 1 and 2 above, in the form of a joint declaration of the three countries.

EXPLANATIONS.

The German Government has specially sent Minister Stahmer to Japan. Although his mission may merely be to prove the situation here, yet the fact is that he enjoys the special confidence of Foreign Minister Ribbentrop. At this time, therefore, we had better take a step forward and commence talks for the strengthening of the Japan-Germany-Italy Axis. In the light of the situation now prevailing in this country and abroad, it is of urgent importance that, to meet the immediate needs of the situation, a joint declaration should be issued on the sense of 1 and 2 under the Basic Principles.

Inasmuch as the proposed declaration is to the effect that the three countries will cooperate by all possible means for the construction of the respective New Orders, Japan should be resolved, if need be, to take any action, including recourse to armed force. Insofar as Britain is concerned Germany may not immediately require our armed cooperation. In this event, our main objective will be the United States. The problem of the Soviet Union will also surely claim deliberation. At any rate, unless we are resolved on the employment of armed force, it will be impossible for us to carry on any useful talks with Germany.

The joint declaration mentioned above is preliminary in nature. It should be followed up by negotiations for conclusion of a military agreement. The consultation as to the best means of cooperation, mentioned in 2 under the Basic Principles, signify, in the final analysis, negotiations for military collaboration.

These negotiations are to be conducted in accordance with the Main Principles Governing Negotiations annexed hereto.

Basic Principles concerning Negotiations for a Military Alliance.

1. Japan, Germany and Italy, recognizing the fact that they stand on common ground in regard to the construction of a New World Order, will arrive at a mutual understanding with respect to support for the establishment and administration of their respective Spheres of Living, and also in regard to cooperation concerning their policies toward Britain, the Soviet Union and the United States. (See Appendix 1)

Note:

On the basis of this fundamental understanding, such further agreements as may be necessary will be concluded among Japan, Germany and Italy, or between Japan and Germany, and between Japan and Italy.

2. Japan, Germany and Italy, will, along with the above-mentioned fundamental understanding, speedily arrive at an understanding with respect to their mutual support and cooperation concerning the China Incident and the European war, by which they are respectively confronted. (See Appendix 2).

3. The negotiations under 1 and 2 above will be conducted in accordance with the Basic Essentials for the Strengthening of Japan-Germany-Italy Collaboration in Appendix 3, and on the basis of the Main Principles Governing Negotiations (in Appendix 4).

4. The understandings mentioned above need not necessarily take the form of agreements, but, if Germany and Italy so desire, there is no objection to the conclusion of agreements in this connection.

Appendix 1 - Terms of Political Understanding Forming the Basis for the Strengthening of Japan-Germany-Italy Collaboration.

1. Japan and the two countries of Germany and Italy, recognizing the fact that they stand on common ground in regard to the construction of a New World Order, for the realization of which they are presently putting forth their efforts, will mutually respect the Japanese Sphere of Living in East Asia, including the South Seas, and the German and the Italian Sphere of Living in Europe and Africa; and will cooperate by all possible means for the construction of New Orders in the said regions.

2. Japan and the two countries of Germany and Italy will mutually effect close economic cooperation.

With this end in view, they will carry out the preferential mutual interchange of material resources existing in their respective Spheres of Living and the exchange of techniques, and will also accord favorable consideration to the other party's economic activities in their respective Spheres of Living.

3. Japan and the two countries of Germany and Italy will cooperate in maintaining peace with the Soviet Union and in inducing the Soviet Union to bring her policy into line with the common ground of the two contracting parties. (In addition, an understanding will also be reached with respect to consultation as to the action to be taken in the event of a danger of either Japan or Germany and Italy entering upon a state of war with the Soviet Union, if, in the course of negotiations with Germany and Italy, it turns out that such desire is entertained by the two countries.)

4. Japan and the two countries of Germany and Italy will mutually cooperate in order not to allow the United States to interfere in regions other than the Western Hemisphere and the United States possessions, and also in order to safeguard the political and economic interests of both contracting parties in this connection. Further, in the event of either contracting party entering upon a state of war with the United States, the other contracting party will assist that party by all possible means.

Japan and the two countries of Germany and Italy will closely cooperate with respect to the action to be taken in regard to Central and South America.

Note:

The present understanding will be treated as confidential.

Appendix 2 - Terms of Understanding concerning the Mutual Support and Cooperation of Japan and the Two Countries of Germany and Italy in regard to the European War and the China Incident.

Japan and the two countries of Germany and Italy, in settling the China Incident and the European War, by which the two contracting parties are respectively confronted, will effect mutual support and cooperation as follows:

Japan will:

- a. Accord as much facility as possible in meeting the desires of Germany and Italy in regard to the acquisition of naval and material resources existing in East Asia, including the South Seas; and
- b. Cooperate as much as possible in intensifying the pressure upon British influences in East Asia, including the South Seas; and in facilitating the prosecution of war by Germany and Italy against Britain.

Germany and Italy will

- a. Cooperate as much as possible in regard to the supply of such machinery and similar articles, and in regard to such technical assistance, as may be desired by Japan, and
- b. Give as much political and economic cooperation as possible in the settlement of the China Incident.

Note:

The present understanding will be treated as confidential.

Appendix 3 - Basic Essentials for the Strengthening of Japan-Germany-Italy Collaboration.

1. Concerning Japan's Sphere of Living for the construction of a Greater East Asia New Order,

- a. The sphere to be envisaged in the course of negotiations with Germany and Italy as Japan's Sphere of Living for the construction of a Greater East Asia New Order will comprise:

The former German Islands under Mandate, French Indo-China and Pacific Islands, Thailand, British Malaya, British Borneo, Dutch East Indies, Burma, Australia, New Zealand, India, etc., with Japan, Manchuria and China as the backbone.

It is understood, however, that the South Seas Region to be indicated by Japan in conducting the negotiations with Germany and Italy will be the region from Burma eastward, including the Dutch East Indies, and New Caledonia northward. It is further understood that India may be recognized, for the immediate purposes as being included in the Sphere of Living of the Soviet Union.

- b. The goal in regard to the Dutch East Indies is to have it in a state of preparedness for independence, but the immediate objective will be to secure recognition of Japan's position of political and economic predominance in that country.

In this connection, if German proposals should be a variance with the above proposition, recognition should still be obtained of Japan's predominant position in the Dutch East Indies through the preferential supply to Japan of natural and material resources existing in that country, through the guarantee of Japan's continuance of the already existing Germany economic undertakings there, and by negotiations of a political nature on other matters in general.

- c. The same principles as in b, above will be followed with respect to French Indo-China.

2. Concerning Japan-German-Italy economic cooperation.

- a. With regard to trade, Japan will supply Germany and Italy with agricultural, forestry and aquatic products of Japan, Manchuria and China, and will also cooperate in supplying Germany and Italy with special mineral products, rubber and other articles of China, French Indo-China, the Dutch East Indies, etc. Germany and Italy, on their part, will supply Japan with such technical assistance, aircraft, machinery, chemical products, etc. as may be required by Japan.

With regard to mutual economic activities, Japan will, especially in China and Manchuria, accord de facto preferential treatment to Germany and Italy, and enable the participation of their technique and equipment.

- b. For the above-mentioned purposes, there will be separately concluded an Economic Agreement, a Trade Agreement and a Payments Agreement.

3. Concerning Japan's attitude toward Japan-Germany-Italy cooperation in regard to the Soviet Union and the United States.

Being destined to be the leader of East Asia in the postwar new order of things wherein it is anticipated that the world will be divided into the four large fields of East Asia, the Soviet Union, Europe and the American Continent, Japan, acting in close collaboration with Germany and Italy which will constitute the guiding force of Europe, will

- a. Restrain the Soviet Union on the east, west and south, and, inducing the Soviet Union so to act as to align with the common ground of Japan, Germany and Italy, endeavor to cause the advance of the Soviet sphere of influence to be oriented toward a direction where the advance has little direct effect upon the interests of Japan, Germany and Italy, - a direction such as the Gulf of Persia (it being also possible that, in case of need, Soviet advance toward India may have to be recognized); and,
- b. While peaceful means will be adhered to as far as possible in dealing with the United States, contrive to bring about a posture of things wherein Japan can, as occasion may require, bring pressure to bear upon the United States through political and economic collaboration with Germany and Italy in the East Asian and European fields, so that such posture of things can contribute toward the attainment of Japan's aspirations.

In taking these steps, Japan will keep it in mind to make the best of inducing the Soviet Union.

Further, Japan will make use of the immigrant and economic foothold, both of importance, which Germany and Italy presently have in South America, in regard to such steps as Japan may in future take with respect to the United States.

4. Concerning Japan's attitude toward the anti-British cooperation of Japan, Germany and Italy.

- a. Japan will, in the construction of an East Asian New Order, take such steps as the situation may require, in order to eliminate the political and economic interests of Britain in East Asia, including the South Seas.

(Such steps are intended to weaken Britain's position. Fact shows that the policy which Japan is already taking toward Britain in China is automatically producing an effective influence on the war situation in Europe.)

- b. With a view to furthering her cooperation with Germany and Italy in their prosecution of war against Britain, Japan will spare no cooperative effort on her part in meeting the desires of Germany and Italy in regard to the acquisition of natural and material resources existing in East Asia, including the South Seas. Further, Japan will in larger measure cooperate with Germany and Italy in the war against Britain, in respect of the elimination of British interests in East Asia, anti-British demonstrations and propaganda, the support of independence movements in the colonies and dependencies of Britain and other matters.

5. Concerning the possible use of armed force against Britain and the United States, Japan will make decisions independently in accordance with the following principles:

- (1) In the event that the China Incident has nearly been settled, Japan will use armed force by taking as favorable an opportunity, as may be afforded by the situation prevailing at home and abroad.

- (2) In the event that the China Incident has not yet been settled, it will be Japan's guiding principle to take action within limits short of war. If, however, domestic and foreign conditions take a decidedly favorable turn, or if it is deemed that, irrespective of whether our preparations are complete or not, the development of the international situation permits of no further delay, Japan will resort to armed force.

(3) The "domestic and foreign conditions" signify the European situation, especially the state of adjustment of our relations with the Soviet Union, the United States' attitude toward us, our preparations for war, and etc., as well as the state of disposal of the China Incident.

Appendix 4 - Main Principles Governing Negotiations.

1. The principal purpose of the negotiations under Paragraph 2, Appendix 1, which is designed to have Germany and Italy recognize and respect Japan's Sphere of living in East Asia, including the South Seas, is to obtain overall recognition of Japan's position of predominance in the whole of East Asia, including the South Seas.

Should, however, Germany and Italy be inclined to make any reservations in regard to any designated areas, Japan will conduct negotiations on each particular matter concerning such areas in pursuance of Paragraph 1, a., and ensuing principles of Appendix 3 (Basic Essentials for the Strengthening of Japan-Germany-Italy Collaboration), and thus secure recognition of Japan's aspirations in these connections.

2. In the event that Germany and Italy propounds a desire for Japanese military cooperation with them against Britain, Japan is prepared, as a matter of guiding principle, to meet the desire. It will be explained to Germany and Italy, however, that, in view of the existing state of affairs, Japan contemplates taking the courses of action outlined under (1), (2) and (3) in Paragraph 5, Appendix 4 (Basic Essentials for the Strengthening of Japan-Germany-Italy Collaboration), with respect to the use of armed force, i.e., participation in the war.

Germany and Italy will be enabled by such explanations to understand Japan's position, and, at the same time, efforts will be made to the end that Germany and Italy will cooperate with us in improving domestic and foreign conditions relating to Japan's entry into the war against Britain and the United States.

DCC. NO. 2137D

C E R T I F I C A T E

I, Kōru Hayashi, Chief of Archives Section of the Japanese Foreign Office, hereby certify that the attached document, entitled "Documents of Decision on Important National Policies Having Direct Relationship to Outbreak of War," consisting of pages numbered 2 through 114, was prepared by the Japanese Foreign Office during the period from March to June 1946.

Signed at Tokyo on this
4th day of September, 1946.

/s/ K. Hayashi

Witness: /s/ Nagaharu Odo

I further certify that the changes appearing on pages 2, 3, 22, 32, 74, 96, and 107 were made by myself.

/s/ K. Hayashi

No. 1

2137D

54 541

第一

昭和十五年七月二十六日閣議決定

基本國策要綱

基本國策要綱

世界ハ今ヤ歴史的一大転機ニ際會シ數個ノ国家群ノ
 生成若展ヲ基調トスル新ナル政治経済文化ノ創成ヲ見
 ントレ。皇國亦有史以來ノ大試鍊ニ直面ス。コノ秋ニ專リ
 眞ニ聲國ノ大精神ニ基テ皇國ノ國是ヲ究遂セントセ
 ハ右世界史的發展ノ必然的動向ヲ把握シテ庶政百般
 ニ目一リ速ニ根本的刷新ヲ加ヘ萬難ヲ排シテ国防国家
 体制ノ完成ニ邁進スルコトヲ以テ刻下喫緊要務トス。
 依テ基本國策ノ大綱ヲ策定スルコトヲ如シ

基本國策要綱

一 根本方針

皇國ノ國是ハ八紘ヲ一宇トスル聲國ノ大精神ニ基キ世
 界ノ平和ノ確立ヲ招来スルコトヲ以テ根本トシ先ツ皇國
 ノ核心トシ日滿友ノ強固ナル結合ヲ根幹トスル大東亞
 ノ新秩序ヲ建設スルニ在ク之カ為皇國自ラ速ニ新
 事能心ニ即應スル不拔ノ国家能心執ヲ確立シ国家總

カヲ舉テケテ右國是、且其現ニ邁進ス

二國防及外交

2137D.
皇國內外、新情勢ニ鑑ミ國家總力發揮、國防國家體制ヲ基底トシ國是遂行ニ遺憾ナキ軍備ヲ充實ス
皇子陛下、外交ハ大東亞ノ新秩序建設ヲ根幹トシ先ツ其ノ重心ヲ友那事変、完遂ニ置キ國際の大變局ヲ遠觀ニ建設的ニシテ且ツ彈力性ニ富ム施策ヲ講ニ以テ皇國國運、進展ヲ期ス

三國內態勢、刷新

我々内政、急務ハ國體、本義ニ基キ諸政ヲ一新シ
國防國家體制、基礎ヲ確立スルニ在リ之カ為テ記諸件、實現ヲ期ス

1. 國體、本義ニ透徹スル教子、刷新ト相俟テ自我功利、思想ヲ排シ國家奉仕ノ觀念ヲ一義トスル國民道徳ヲ確立ス尚科士の精神、振興ヲ期ス

No. 3
2. 強力ナル新政治體制ヲ確立シ國政、綜合的統一ヲ圖ル
官民協力一致各々其職域ニ應ジシ國家ニ奉仕スルコトヲ基調トスル新國民組織、確立

口 新政治体制 即應之得へキ議會制及改革

ハ 行政、運用ニ根本的刷新ヲ加へ其統一ヲ敏捷ト
ヲ目標トスル官場新態勢

3 皇國ヲ中心トスル日滿支三國經濟、自主的建設ヲ基
調トシ國防經濟、根基ヲ確立ス

1 日滿支ヲ一環トシ大東亞ヲ包容スル皇國、自治自
足經濟政策、確立

口 官民協力ニヨル計畫經濟、遂行特ニ重要物資、生
産配給消費ヲ母體トシ一元的統制機構、整備

ハ 綜合經濟力、發展ヲ目標トスル財政計畫、確立
並ニ金融統制、強化

ニ 世界新情勢ニ對應スル貿易政策、刷新

ナ 國民生活必需物資特ニ重要食糧、自給方策、
確立

ハ 重要産業特ニ重化工業及機械工業、計劃
的發展

ナ 科學、計劃的振興、並ニ生産力、合理化

ナ 内外、新情勢ニ對應スル交通運輸施設整備

2137D

補充

リ日滿支ヲ通スル綜合國力、發展ヲ目標トスル國土開
發計畫、確立

4. 國是遂行、原動力ナル國民、資質、体力、向上、茲ニ
人口増加ニ因スル恒久的ニ政策特ニ農業及農家、安
定、發展ニ因スル根本方策ヲ確立ス

5. 國策、遂行ニ伴フ國民犧牲、不均衡、是正ヲ斷行
シ厚生の諸施策、徹底ヲ期スルト共ニ國民生活ヲ
刷新シ眞一刃心甚十年時艱克服ニ適應スル實質
剛健ナル國民生活、水準ヲ確保ス

2137D

別冊市三

昭和十五年九月曾總理陸海外四相會議決定
昭和十五年九月十九日連絡會議決定

日独伊軸強化商スル件

日獨伊三國提攜強化、最近頗々濃化シ此際三國向ニ取急キ同談ヲ要スル時機ニ達シタリト認メラルルニ付左記基本要綱ニ基キ差詰メ獨逸側ト折衝致度

要綱

一、三國向ニ歐亞維巴及亞細亞ニ於テ新秩序建設ニ付凡有ル方法ヲ以テ相互ニ協力ヲ与フル為ル別別協定ヲ遂ゲ

二、右協力ノ最善ノ方法ニ関シ出来得ル限り短期間

ニ三國同ノ協議ヲ行フ

三、差當リ三國共同聲明トシテ前二項ニ趣旨ヲ内

No 6

外ニ公表ス

説明書

独逸政府ハ今般「スタート」ニ使テ本邦ニ特派ス
 ルニ至レル処右ハ單ニ多方情勢ヲ探査テ目的トス
 ルヤモ知レサルモ同人ハ「外相ニ特別信用スル人物ニ
 モアリ此際我方トシテ一段踏込ニテ日独伊枢軸強
 化ニ関シ話ヲ始ムルヲ適當トスベク差當リ別我
 要綱一ニ如キ共同聲明ヲ教スルコト内外ノ情勢ニ
 顧ミ喫緊ナリト認ム右聲明ハ凡有ル方法ヲ以テ
 相互ノ新秩序ノ建設ニ協力スル趣旨ナルヲ以テ先
 場合自皇國トシテ兵力ノ行使ヲモ決意セサルベカ
 ズ亦モ英國ノ関スル限り独逸トシテ直々ニ我方ノ
 協力ヲ必要トセサルヤモ測ラレス然ル場合ニハ五
 半國ヲ目標トスルコトナルベク蘇聯ノ問題モ亦
 議ニ上ルベキ処何レノ途我方トシテ武力ヲ使
 フ為スニ非ズンバ獨逸側トシテ話合ハ不可能ナル
 而シテ右共同聲明ハ畢竟豫備的ノモノニシテ引
 キ軍ヲ協力ノ交渉ニモ入ルヲ適當トスベク要綱

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第二項 最善の方法は國の協議スルハ殊局軍事協
力交渉ヲ意味ス

尚右交渉ハ別戰方針案ニ其基キ処置セントスルモノナリ
軍事同盟交渉ニ關スル要綱

一 皇國ト獨伊トハ連界ヲ新秩序建設ニ對シ共通的
立場ニ在ルコトヲ確認シ各自ノ生存圈ノ確立及經
濟ニ對スル支持及對英對蘇對米政策ニ關スル
協力ニ付キ相互ニ了解ヲ遂メ(別戰方針)

註 右基本的了解ニ基キ更ニ日獨伊間又ハ日獨
日伊間ニ所需ノ協定ヲ行フモノトス

二 現在日獨伊各國力夫々直面ニ居ル支那ノ復及
歐洲戰爭ニ關スル相互支持協力ニ關シ右基本的
了解ト共ニ連カニ了解ヲ遂メ(別戰方針)
三 前記(一)又(二)交渉ハ別戰方針ニ日獨伊提携強化ニ
對処スル基礎要件ナリ且別戰方針由來交渉方針

要領ニ基キ行フ

四 以上ノ了解ハ付スルモ協定ノ形成ヲ執ルヲ要スルモ獨
伊ノ希望アリニ於テハ協定ノ上スルヲ妨ケズ

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別紙第一

日独伊提携強化、為、基本トナルヘキ政治的

了解事項

一、日本及獨伊兩國、現在其、實現ニ努力力シテアル
在世界、新秩序建設ニ関シ共通ノ立場ニ在ルコト
ヲ認識シ南洋ヲ含ム東亞ニ於ケル日本、生存圏
並歐洲及阿弗利加ニ於ケル獨伊、生存圏ヲ相互ニ尊重
シ右地域ニ於ケル新秩序建設ニ付凡有ル方法ヲ以テ協力
ス

二、日本及獨伊兩國ハ相互ニ密接ナル經濟的協力ヲ好
之カ為各自、生存圏内、所在物質、優劣的相互交
易並ニ技術、交換ヲ行フト共ニ夫々各自、生存圏内ニ
於ケル對手國、經濟的活動ニ付好意的考量ヲ加フ

No. 9
三、日本及獨伊兩國ハ「聯ト、平和ヲ維持シ且「聯、
政策ヲ兩者共通ノ立場ニ副ハシムル如ク利導スルコト
ニ協力ス（尚獨伊ト交渉、際先方ニ希望アルコト判明
シタルトキ、右、外更ニ日本又ハ獨伊、一方カ蘇聯ト戰爭
狀態ニ入ル危險アル場合ニハ執ルヘキ措置ニ関シ協議スル

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コトニ付テモ諒解ヲ遂クルコトトス

四日本及獨伊兩國ハ米子ヲシテ西半球及米子ノ領地以外ノ方面ニ内容略セシメサルト共ニ之ニ對シ兩者ノ政治的及經濟的利益ヲ擁護スル為相互ニ協力ス又其一方ハ方カ米國ト戰爭状態ニ入ル場合ニ他ノ一方ハ凡此ノ方法ヲ以テ之ヲ援助ス

日本及獨伊兩國ハ中南米ニ對スル施策ニ関シ
緊密ニ協力ス

備考

本了解ハ秘密トス

別紙中ニ

日本及獨伊兩國ノ歐洲戰爭及支那ノ事ニ對スル相互支持協力ニ関スルノ解事項

一日本及獨伊兩國ハ現在兩者カ夫々直面シツツアル支那ノ事及歐洲戰爭ノ解決ニ方リタ、如ク相互ニ支持協力ス

日本ハ

(1) 南洋ヲ含む東亞所在資源及物資ノ取得ニシテ
 キ獨伊ノ希望ニ對シ為シ得ル限リ便宜ヲ供与ス
 (2) 南洋ヲ含む東亞ニ於ケル英米執力力ニ對スル壓迫
 ヲ強化スルト共ニ獨伊ノ對英戰爭遂行ヲ容易カニ
 スル為為シ得ル限リ協力ス

獨伊ハ

(1) 日本ノ希望スル機械類等ノ供給並ニ技術ノ援助
 ニ關シ為シ得ル限リ協力ス

(2) 支那事變解決ノ為為シ得ル限リ、政治的及經濟的協力ヲ為ス

備考

本了解ハ秘密トス

別紙ヲ五

日獨伊提携強化ニ對処スル基礎要件

一、皇國、大東亞新秩序建設、為生存圈ニ就テ
 (1) 獨伊トノ交渉ニ於テ皇國ノ大東亞新秩序建設
 為生存圈トシテ考慮スヘキ範圍ハ

日滿支ヲ根幹トシ、日獨領委任統治諸島、佛領
 印及同太平洋島嶼、英國、英領馬來、英領「ボル
 ネオ」蘭領東印を、曰ル也。(濠洲、新西蘭)並ニ
 印を等トス、但シ交渉上、我方カ提示スル南洋地域ハ
 曰ル也、以東蘭印「ニエーカレドニア」以北トス、尚印五
 ハ之ヲ一應「リ」聯、生存圏内ニ置直クヲ認ムルコトアルニ
 (四)蘭領東印ハ、獨立態勢ニアラシムルヲ目途トスルモ、
 當リ我方、政治上、經濟上、優先的地位ヲ認ムルニ
 モ、トス

右ニ関シ、方一獨逸、提案ト補觸ルルコトアル場合ニ
 於テモ、蘭印所在物資及資源、優先的供給、蘭
 印ニ於ケル獨逸人、既得經濟經營權、繼續ニ關スル
 保障、其他全般ニ於ケル政治的平衡ニ依リ、蘭印
 ニ於ケル皇室ノ優越的地位ヲ認ムルコトトス
 (一)佛領印及支那ニ関シテモ、(四)ニ同シ
 (二)日獨伊三國、經濟協力ニ就テ
 (三)交易ニ関シ、皇國ハ日滿支三國、農林水產物等
 ヲ供給スル、外支那、佛印、南印等、特殊產物

及「コム」等ノ供給ニ付協力ヲ与スルヲ独伊ハ皇國ノ
中要トスル技術ノ援助及航空機・機械類等ノ製
造・修理等ノ供給ヲ為ス

相互經濟的活動ニ関シテハ皇國ハ特ニ支那及滿洲
ニ於テ事實上獨伊ノ為優先的取扱ヲ為シ其技
術及施設ヲ參加セシム

(四) 右目的ノ為夫々經濟協定・貿易協定及支拂協
定ヲ締結ス

三日獨伊三國ノ対ソノ及対米協力ニ関スル皇國ハ能クニ
就テ世界リカ東亞ノ「聯」歐洲及米洲ノ四大分野ニ分
ルルヲ豫見セラルル戰後ノ新態勢ニ於テ東亞ノ指導
者ヲ以テ任スル皇國ハ歐洲ノ指導勢力カクニ獨伊ト密
接ニ提携ス

(一) 「聯」ヲ東西南南方面ヨリ牽制シ且之ヲ日獨伊共
通ノ立場ニ割リ如ク利益ヲ享テ其勢力カ圈ノ進出方
面ヲ日獨伊三國ノ利害關係ニ直接影響與フカキ
方面例ハ波斯灣(場合ニ依リテハ印支)方面
ニ對スル「聯」進出ヲ認めルコトアルヘシニ向テ方面

ニ向ハシムル如ク努カムト共ニ

(四) 又米國ニ對シテハ力ナク平和的手段ヲ以テスヘキモ
東亞及歐洲分野、政治的經濟的提揚ニ依リ所
要ニ應ジ米國ニ對シテ壓迫ヲ加ヘ得ルニ態勢ヲ極成
シ以テ皇國ノ主張ヲ母々徹スルニ寄与セシタル如ク第ニ
右施策ニ際シ努力メテ「ソ」聯ヲ利通スルコトヲ考慮ス
且又獨伊ハ現在南米ニ相當ノ移民ト經濟的地歩
ト有スルヲ以テ將來皇國ノ米國ニ對スル諸般施
策ニ之ヲ利用ス

四日獨伊三國、排英協力ニ関スル皇國ノ態度ニ就テ

(一) 皇國ハ東亞新秩序建設上、南洋ヲ含ム東亞ニ於テ
英系、政治的及經濟的權益ヲ排除スルニ為シ、英勢力ニ
應ジテ諸施策ヲ講ス

(二) 右施策ハ英國ノ地位ヲ薄弱化スルモノニシテ、英支那
ニ於ケル皇國ノ對英政策カ自ラ歐洲戰場ニ有效ニ
影響者ニ居ルコト事實ノホス所ナリ

(三) 皇國ハ更ニ獨伊ノ對英戰爭ニ層層協力スルニ為南
洋ヲ含ム東亞所在資源及物資ノ取得ニ付キ獨伊

兩國、希望ニ對シテ協力ヲ惜ラズ。又東亞ニ於ケル英國權益、排除、對英示威及宣傳、英領屬領及殖民地、獨立運動支援等、獨伊、對英戰爭ニ關シテ一層、協力ヲ為ス。

五、對英米武力行使ニ關シ、自國ハ尤、諸項ニ依リ自主的ニ決定ス。

(一) 支那ノ善處理概テ終了セル場合ニ於テハ、内外諸般情勢之ヲ評ス、限リ好様ヲ補促シ、武力ヲ行使ス。

(二) 支那事變、處理未ク終了ナル場合ニ於テハ、原則トシテ南戰ニ至ラサル限、ニ於テ施策スルモ、内外諸般情勢、勢力持ニ有利ニ進展スルカ若ク、我準備、成否ニ拘ハラズ、國際情勢、推察最早、猶餘ヲ評サスト、認ララル場合、武力ヲ行使ス。

(三) 内外諸般情勢、支那事變處理、情況、外歐洲情勢、特ニ對シ、國交調整、狀況、求國、我ニ對スル動向及我戰爭準備等、諸件ヲ指スモノトス。

別紙第四

交涉方針要領

一 獨伊ヲシテ皇國、南洋ヲ含ム東亞ニ於ケル生存圈ヲ承認尊重セシムヘキ別紙第一、交涉ニ於テハ南洋ヲ含ム東亞全般ニ付包括的ニ皇國、優越的地位ヲ認メシムコトヲ主眼トス但獨伊側ヨリ特定地区ニ何等留保の態交ニ出ヅル場合ニ別紙第三日獨伊提携強化ニ対処スル基礎要件(一)(四)項以下ヲ付シ右地区ニ付具體的折衝ヲ行ヒ之ヲ承認セシム

ニ 獨伊側ヨリ對英米軍事的協力ニ関シ希望シ来タル場合ニ於テハ皇國トシテハ右則トシテ之ニ應スル用意アルニ我現狀ニ鑑ミ武力ヲ使即チ參考戰ニ関シテハ別紙第三日獨伊提携強化ニ対処スル基礎要件(一)(四)項(一) (一)(三)ノ如ク考慮シ居ル矣ヲ説明諒解セシムト共ニ獨伊側ヲシテ皇國、對英米開戰ニ関スル内外諸般ノ情勢改善ニ関シ我ニ協力セシムル如クスルモノトス

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証 明 書

日本外務省文書課長タル余 ハヤシカヲルハ 「戦争勳
登ニ直接関係アル重要國策ノ決議書類」ト題シ二頁
ヨリ百十四頁迄ノ頁数ヲ附シタル別紙添付書類ハ千九百
四十六年（昭和二十一年）三月ヨリ六月迄ノ間ニ日本外務省
ニヨリ作成セラレタルモノナルコトヲ茲ニ証明ス

東ニテ於テ署名ス

ケー ハヤシ

千九百四十六年九月四日

証 人

ナガハル オドウ

余ハ更ニ二頁、三頁、二十二頁、三十三頁、七十四頁、九十六頁
及百七頁ニ存スル訂正ハ余自身ノ爲シタルモノナルコトヲ
証明ス

ケー ハヤシ

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"COVER"

Item 2

Separate Document No. 2

Decision of Liaison Conference 27 July 1940 /SHOWA 15/

Gist of Main Points in Regard to Dealing with the

Situation to meet the Change in

World Conditions.

FILE COPY

RETURN TO ROOM 361
Gist of Main Points in regard to dealing with the situation to meet the change in World Conditions.

1. To improve conditions at home and abroad to cope with changes in the world situation.
2. To accelerate the settlement of the China Incident by promptly strengthening policies towards CHINA for the main purpose of preventing Third Powers from assisting the CHIANG regime.
3. To settle the Southern Problems within the limits so as not to cause a war against a Third Power.
4. To fulfil especially the following matters as policies for above three clauses.
 - (1) To foster a strong political tie with GERMANY and ITALY and to take active steps in the adjustment of diplomacy towards the SOVIET UNION while maintaining a firm front towards the UNITED STATES.
 - (2) To strengthen policies towards FRENCH INDO-CHINA, HONGKONG and Settlements to check assistance to the CHIANG Regime and root out the feeling of enmity toward JAPAN.
 - (3) To strengthen the diplomatic policy towards the DUTCH EAST INDIES in order to obtain important materials.
 - (4) To reform the war-time organization at home.

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別冊第二

昭和十五年七月二十七日連絡會議決定

世界情勢ノ推移ニ伴フ時局處理要綱骨子

2★

Doc 2137E-2

世界情勢ノ推移ニ伴フ時局處理要綱骨子

- 一 世界情勢ノ變局ニ對處シ内外ノ情勢ヲ改善スルコト。
- 二 速カニ第三國ノ援蔣行爲禁絶ヲ主眼トスル對支施策ヲ強化シ支那事變ノ解決ヲ促進スルコト。
- 三 第三國ト開戦ニ至ラザル限度ニ於テ南方問題ヲ解決スルコト。
- 四 第三項ノ施策トシテ特ニ左ノ件ヲ實行スルコト。
 - (1) 一面對米嚴然タル態度ヲ保持スル他面獨伊トノ政治的結束強化對「ソ」國交ノ飛躍的調整ヲ圖ル。
 - (2) 佛印香港及租界ニ對スル援蔣禁絶敵性艾除施策ヲ強化ス。
 - (3) 重要物資取得ノ爲對蘭印外交ヲ強化ス。
 - (4) 國內戰時態勢ヲ刷新ス。

Bx. 1305

Doc. 2187 F
3. 2187 F

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"HOOVER"

Item 8

Separate Document 13

Determined by Army and Navy Department, Imperial Headquarters, in early April, SHOWA 16 /1941/.

Gist of Imperial Headquarters, Army and Navy Department Policy concerning Measures to be taken in the South.

Gist of Imperial Headquarters Army and Navy Department Policy concerning Measures to be taken in the South.

Determined by
Imperial Headquarters,
in early April
SHOWA 16/ 1941/.

I. The aims of the measures to be taken by the EMPIRE in the South are to promote the settlement of the CHINA INCIDENT as well as to expand our overall national defensive power in the interests of self-existence and self-defence.

For these purposes,

1. To establish close and inseparable joint relations in military affairs, politics and economy with FRENCH INDO-CHINA and THAILAND.
2. To establish close economic relations with the NETHERLAND INDIES.
3. To maintain normal commercial relations with the other various countries in the South.

II. The foregoing purposes shall, on principle, be accomplished through diplomatic measures.

III. In executing the foregoing measures resort to arms in the interest of self-existence and self-defence will be taken only when the following instances should occur and when no means for solution of same can be found:-

1. In case the EMPIRE's Self-existence should be threatened by the Embargoes of the UNITED STATES, GREAT BRITAIN and the NETHERLANDS.
2. In case the situation of the Anti-JAPANESE encirclement by the UNITED STATES, GREAT BRITAIN, the NETHERLANDS and CHINA becomes so tense that it cannot be tolerated in the interests of national defense.

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別冊第十三

昭和十六年四月上旬大本營陸海軍部決定

南方施策ニ關スル大本營陸海軍部方針骨子

對南方施策ニ關スル大本營陸海軍部方針ノ骨子

昭和十六年四月上旬
大本營決定

一、帝國ノ當面スル對南方施策ノ目的ハ支那事變ノ處理ヲ促進スルト共ニ自存自衛ノ爲綜合國防力ヲ擴充スルニアリ
之カ爲

1 佛印、泰トノ間ニ軍事、政治、經濟ニ亘リ緊密不離ノ結合關係ヲ設定ス

2 葡印トノ間ニ緊密ナル經濟關係ヲ樹立ス

3 其ノ他ノ南方諸邦トノ間ニ正常ノ通商關係

二、前項ヲ維持シテ達成ハ外交的施策ニ依ル本則ス

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三、

前項ノ施策遂行ニ方リ左記ノ如キ事態發生シ
之カ打開ノ方策無キニ於テノミ自存自衛ノ爲
武力ヲ行使ス

1 米英蘭ノ禁輸ニ依リ帝國ノ自存ヲ脅威セラ
レタル場合

2 米英蘭支等ノ對日包圍態勢加重セラレ國防
上忍ヒ得サルニ至リタル場合

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Doc. No. 2137-G
Item 9

Page 1 *

Separate Document 14

"Cabinet Decision, 25 October 1940 /Showa 15/"
"Re Measures for Economic Development of N.E.I."

The inevitability of occurrences in economic affairs attendant on the progress of the New World Order and the priority of our Empire in the Netherlands East Indies based on the Tripartite Pact between Japan, Germany and Italy necessitates making the N.E.I. a link in the Greater East Asia economic sphere under the leadership of our Empire by establishing immediately close economic relations and developing and utilizing their rich natural resources from the general standpoint of co-existence and co-prosperity in connection with which the following measures must now be taken:

1. To stop the N.E.I. from relying on the European-American Economic Bloc, and to make it take the standpoint as being a member of the Greater East Asia Economy.
 2. To remove or mitigate various restrictions which at present obstruct the economic activities of Japanese giving them preference and freedom in economic activities above others.
 3. To propose a joint development of the N.E.I. so as to secure in the Greater East Asia Sphere the supply of necessary war material needed by the Empire as far as possible, thus becoming independent from British /T.N. Second Chinese character unreadable./ resources. If necessary, to lease or purchase suitable islands or territories.
 4. Although we have already received a guarantee from the N.E.I. as to the supply of major items which are necessary to our Empire, we must furthermore obtain the N.E.I.'s agreement with regard to an increase in variety and quantities of these materials. Further, to make every effort for the realization of instituting under the Empire's guidance the trade control of important merchandise especially tin, rubber, quinine and others, over which the N.E.I. have a world monopoly.
- RETURN TO ROOM 361**
5. To purchase as far as possible those agricultural products produced in the N.E.I., which bring profits to the natives and to stimulate their purchasing power in order to translate co-existence and co-prosperity into reality. For this purpose the N.E.I. should on their part accede to our demand as far as possible and encourage agriculture.
 6. To seek special cooperation of the N.E.I. in regard to an increase in the export of Japanese merchandise to them.
 7. With a view to the future establishment of a great monetary sphere within the Greater East Asia area under Japanese leadership, efforts shall be made to make the N.E.I. a link of the sphere also in regard to the establishment of

new monetary relations with them, but it shall not be aimed to include the N.E.I. itself in the "YEN" bloc. To strive to place the Exchange Control in the N.E.I. under our guidance. For the time being, every advantage should be accorded to the Japanese with regard to the application of Exchange Control in the N.E.I. and at the same time N.E.I. banks should establish "credit" to the Japanese, as well as exert utmost efforts to give other financial facilities to the Japanese.

8. As a means to secure the leading position for the whole of Greater East Asia with regard to traffic and communications, efforts shall be made in establishing the following special rights and interests.

(a) The right of coastal trade, the right of entering unopened ports and the right of administering and using port facilities.

(b) The landing and operating right of submarine cables, the right of participating in the management of inland communication enterprises, and other communication rights.

(c) The inauguration of regular air services and the right of instituting air safety equipment.

9. In order to build a stable fishery position in the South we must strive towards increasing the number of fishing boats, removal of restrictions at ports of import of fish, establishment of fishery bases, and acquisition of other rights and interests pertaining to the conducting of the marine product industry.

10. To prohibit the establishment of new rights and interests of Third Powers in the N.E.I. and to endeavour to oust those already in existence likely to obstruct the expansion of our Empire.

11. To make the N.E.I. institute an Economic Constructional Commission and other suitable organs in which Japanese will be included and participate in forming the N.E.I. domestic and foreign economic policies and their enforcement, with a view towards guiding and strengthening economic collaboration with the Empire.

The N.E.I. shall be required to consult the above-mentioned organs with regard to trade, finance, taxation, customs duties, economic agreements with third countries, enterprises, traffic and communications, etc.

For the time being, Japanese importers in the N.E.I. will be made to participate in the Consultative Committee in the Ministry of Economics.

12. To demand the strict control of anti-Japanese commentaries by newspapers and other periodicals, at the same time ensuring freedom to Japanese in regard to the publication of newspapers.

13. To demand the N.E.I. authorities to exercise rigid control over Chinese residents assuming pro-Chiang-Kai-Shek and anti-Japanese attitudes. On the other hand, from a broad viewpoint, to plan the use of their organization and resources in consideration of their economic position.

14. In order to ensure the economic development of the Empire, various measures shall be resorted to, such as inviting influential natives to the Empire, or by propagandizing the true aspect of the Empire and creating a proper understanding thereof.

15. The Economic Policy shall be based upon the broad viewpoint of establishment of the Greater East Asia Co-prosperity Sphere and efforts shall be made towards expanding the interests of the Empire in harmony with the natives.

1 *

Ex 1317

Doc 2137 G Item 9

別冊第十四

昭和十五年十月廿五日閣議決定

對蘭印經濟發展ノ爲ノ施策

FILE COPY
RETURN TO BUREAU

Ex. 1317

對蘭印經濟發展ノ爲ノ施策

一五、二〇、二五

閣議決定

世界新秩序ノ進展ニ伴フ經濟圈發生ノ必然性竝ニ日獨伊三國條約ニ基ク皇國ノ蘭印ニ於ケル優位ヲ確認シ共存共榮ノ大局的立場ニ基キ速ニ蘭印ト經濟的緊密化ヲ圖リ以テ其豐富ナル資源ヲ開發利用シ皇國ヲ中心トスル大東亞經濟圈ノ一環タル實ヲ舉ケシメンコトヲ期シ、差當リ左記要領ニヨリ施策スルモノトス

一、蘭印經濟ヲシテ歐米經濟ニプロツクニ依存セラル狀態ヲ清算セシメ大東亞經濟ノ一員タル立場ヲ取ラシムルコト

二、現在邦人ノ經濟的活動ヲ阻害スル諸種ノ制限的措置ヲ撤廢又ハ緩和シ、邦人ノ經濟的活動ヲ他ニ優先シテ自由活達セラシムル如ク努ムルコト

三、皇國ノ必要トスル重要物資ヲ可及的ニ大東亞圈内ニテ確保シ以テ英國ヨリ資源的獨立ヲ圖ルタメ蘭印ニ對シ共同開發ヲ提議スルコト

要スレバ適當ナル島嶼地域ノ租借又ハ買収ヲナスコト

四、皇國ノ必要トスル重要物資ノ供給確保ニ關シテハ先ニ蘭印側ノ保障ヲ取り付ケタルモ更ニ此等物資ノ種類及數量ノ増加ニツキ蘭印ノ同意ヲ求ムルコト

Doc 21376 Stan 9

- 尙進ミテハ重要物資特ニ蘭印ノ世界的獨占商品タル錫、ゴム一規那等ヲ皇國ノ指導ニヨル貿易管理ノ實現ニ努ムルコト
- 五、共存共榮ノ實ヲ擧ケルタメ可及的土着民ノ收益トナル蘭印産農産物ノ買付ヲナシ土着民ノ購買力ヲ培養ス
- コレガ爲蘭印側ニ於テハ可及的我が國ノ要請ニ應スル如ク農業ヲ誘導スルコト
- 六、皇國製品ノ對蘭印輸出増進ニツキ特ニ協力提揚ヲ求ムルコト
- 七、將來他ノ大東亞諸地域ヲ併セ皇國ヲ中心トスル大金融圖ノ設定ヲ目標トシ、蘭印トノ新金融關係ノ設定ニ付テモ之カ一環タラシムル如ク努ムルモノトス、但シ蘭印自体ハ之ヲ圖域トナスコトヲ目的トセサルコト
- 蘭印ニ於ケル爲替管理ヲ皇國ノ指導下ニ置ク如ク努ムルコト
- 差當リ蘭印ニ於ケル爲替管理ノ選用ヲ邦人ニ對シ寬大ナラシムルト共ニ蘭印ノ銀行等ヲシテ本邦側ニ對シ一クレデット一ノ設定其ノ他極力金融上ノ便宜ヲ供與セシムルコト
- 八、交通及通信ニ關シテハ大東亞全局ノ指導的地位ヲ確保スル一環トシテ左ノ特殊利益ノ設定ニ努ムルコト

3. 4

(イ)沿岸貿易権、不凍港入港権及港湾設備ノ經營及
利用權

(ロ)海底電線ノ敷揚及運用權、國內通信事業經營ニ
對スル參加權ソノ他ノ通信權

(ハ)定期航空路ノ新設及航空保安施設ノ設置權

九、水産業ニ關シテハ兩方ニ範圍タル地步ヲ建設ス
ルタメ漁船ノ増加、漁獲物輸入港制限ノ撤廢、漁
業根據地ノ設置、其ノ他水産業ノ經營ニ伴フ利益
ノ獲得ニ努ムルコト

一〇、蘭印ニ於ケル第三國權益ニ對シテハ新規ノ設
定ヲ許サス又存存ノモノニシテ皇國ノ發見ニ障害
トナルカ如キモノハ之ヲ驅逐スルカ如ク努メシム
ルコト

一一、蘭印ノ對内外經濟政策ノ樹立及實施ニ參與シ
皇國トノ經濟的提携ヲ指導強化スル爲前印領ニ邦
人ヲ加ヘタル經濟建設委員會、其他適當ナル機關
ヲ設ケシムルコト

貿易、金融、稅制、關稅、第三國トノ經濟協定、企業、交通、通
信等ニ關シテハ石機關ヘノ諮問ヲ要スルモノトス
差當リ、在蘭印邦人輸入商ヲ經濟省ノ諮問委員會ニ參加セシムル
コト

一二、蘭印ニ於ケル新聞其他刊行物ノ對日惡論調ニ
對シ徹底的ナル取締ヲ要求スルト共ニ邦人ノ新聞
發行ニ對スル自由ヲ確保セシムルコト

5. *

Doc 2137 G Item 9

- 一三、在任華僑ノ接將抗日態度ニ對シテハ印當局ノ嚴重ナル取締ヲ要求スルト共ニ一方ソノ經濟的地位ニ鑑ミ大局的立場ヨリ華僑ノ組織及資力ノ利用ヲ策スルコト
- 一四、皇國ノ經濟的發展ヲ期スル爲、土著有力者ヲ皇國ニ招致シ又ハ皇國ノ眞姿ヲ宣傳正解セシムル等語般ノ見識ヲ諒スルコト
- 一五、經濟施策ハ大東亞共榮國確立ノ大局的立場ニ立脚スルコトト皇國ノ利益伸長ト土民ト調和スル如ク努ムルコト

Ex. 1306.

Doc. No. 2137H

Page 1 *

(Item 11)

Separate Document 16

Re Acceleration of Measures in the South

"Decision of Liaison Conference."

25 June 1941 /Showa 16/

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(Item 11)

Re Acceleration of Measures in the South

(Memorandum)

Decision of the Imperial Headquarters-Government
Liaison Conference, 25 June 1941 /Showa 16/

1. In view of the various existing conditions, the EMPIRE shall, in accordance with its fixed policy accelerate its measures towards FRENCH INDO-CHINA and THAILAND. Especially, in connection with the return of the JAPANESE Delegate from the DUTCH INDIES, a military union shall be established with FRENCH INDO-CHINA as soon as possible for the purpose of the stability and defence of EAST ASIA.

Concerning the establishment of joint military relations with FRENCH INDO-CHINA, the essential factors which the EMPIRE should stress upon are as follows:-

a) The establishment or use of Air Bases and Harbour Facilities in specified areas in FRENCH INDO-CHINA, and stationing of the necessary troops in the southern part of FRENCH INDO-CHINA.

b) Furnishing of facilities in connection with the stationing of IMPERIAL troops.

2. To open diplomatic negotiations for the purpose of the preceding paragraph.

3. In case the FRENCH Government or the FRENCH INDO-CHINA authorities do not comply with our demands, we shall attain our objective by force of arms.

4. In order to deal with such circumstance as mentioned in the above paragraph, preparations shall be commenced beforehand for the despatching of troops.

Doc 2137 H Item 11

別冊第十六

昭和十六年六月二十五日

連絡會議決定

南方施策促進ニ關スル件

南方施策促進ニ關スル件（宛）

昭和十六年六月二十五日

大本營政府連絡會議決定

一 帝國ハ現下諸般ノ情勢ニ鑑ミ既定方針ニ準據シテ
 對佛印泰施策ヲ促進ス特ニ蘭印派遣代表ノ歸朝ニ
 關聯シ速ニ佛印ニ對シ東亞安定防衛ヲ目的トスル
 日佛印軍事の結合關係ヲ設定ス
 佛印トノ軍事の結合關係設定ニ依リ帝國ノ把握ス
 ヘキ要件左ノ如シ

(イ) 佛印特定地域ニ於ケル航空基地及港灣施設ノ設
 定又ハ使用竝ニ南部佛印ニ於ケル所要軍隊ノ駐屯
 (ロ) 帝國軍隊ノ駐屯ニ關スル便宜供與

二 前號ノ爲外交ヲ開始
 三 佛國政府又ハ佛印當局者ニシテ我々ニ應セサ
 ル場合ニハ武力ヲ以テ我々之目的ヲ貫徹ス
 四 前號ノ場合ニ處スル爲豫メ軍隊派遣準備ニ着手ス

COPY

EXHIBIT NO. 1306



Doc. 2137 Evid.

ROLL 292
ENDS

Folder 15

(128)

INTERNATIONAL PROSECUTION SECTION

Doc. No. 2137

20 June 1946

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Documents of Decision on Important National Policies having direct relationship to outbreak of war.

Date: July 40- Original (x) Copy () Language:
Dec 41 Japanese

Has it been translated? Yes (x) No ()

Has it been photostated? Yes () No (x)

LOCATION OF ORIGINAL

Document Division

SOURCE OF ORIGINAL: Foreign Office

PERSONS IMPLICATED:

CRIMES TO WHICH DOCUMENT APPLICABLE: Planning aggressive warfare Violation of 9 Power Treaty, Hague and Geneva Convention, etc.

SUMMARY OF RELEVANT POINTS

(Rough translation from Foreign Office accompanies document.)

1. Outline of Japan's basic national policy, Cabinet decision of 26 July 1940.
2. Principal lines of action for dealing with international situation in parallel with world developments. (Decision of Liaison Conference, 27 July 40)
3. Decision made by conference of Prime Minister and Ministers of War, Navy, Foreign Affairs, 4 Sept 40, re strengthening of Japan-German-Italy Axis.
4. Principal points in negotiations with Germany, Italy, and U.S.S.R. (Decision of Liaison Conference, 8 Feb 41).

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Page 1

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5. Decision following Imperial Conference, 13 Nov 40, re policy for disposal of China affair.

6. Decision of Liaison Conference, 1 Feb 41, re measures vis-a-vis French Indo-China and THAILAND.

7. Decision of Liaison Conference, 6 Feb 41, re policy for mediation between French Indo-China and THAILAND on boundary dispute.

8. Gist of Imperial Hdqtrs Army and Navy policy concerning measures to be taken in South, April 41.

9. Cabinet decision of 25 Oct '40 re measures for economic development of Netherlands East Indies.

10. Decision reached following Imperial Conference of 2 July 41 re outline of Japan's National Policy in parallel with international developments.

11. Decision of Liaison Conference 25 June 41 re acceleration of measures in South.

12. Decision following Imperial Conference of 6 Sept 41 re lines of action in execution of Japan's national policy.

13. Decision following Imperial Conference 1 Dec 41, concerning declaration of war on America, Britain, and Netherlands.

Analyst: 2d Lt Blumhagen

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Page 2

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C E R T I F I C A T E.

I, Kaoru Hayashi, Chief of Archives Section of the Japanese Foreign Office, hereby certify that the attached document, entitled "Documents of Decision on Important National Policies Having Direct Relationship to Outbreak of War," consisting of pages numbered 2 through 114, was prepared by the Japanese Foreign Office during the period from March to June 1946.

Signed at Tokyo on this
4th day of September, 1946.

Witness: _____

I further certify that the changes appearing on pages 2, 3, 22, 32, 74, 96, and 107 were made by myself.

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Titte

國策決定文書

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FOR REPRODUCTION

Document No.

2137

Translation Section No.

IV

書類番號第二一三七號

一九四六年一月十八日

證明書

余 E. P. モナハン 八聯合國最高指揮官總司令部

國際檢察部ニ關係アルモノニシテ、書籍ヨリ成ル下記

題名即開戦ニ直接關係アル重要國策決定文書

(四冊) (一) 日本語譯文 (三) 英語譯文 (ハ) 余が前記

日附ニ前述資格ニ於テ職務遂行中尤記方法ニ於テ

得シトヲ證明ス。(若シアラバ取得ノ場所及人名、及ビ

關係セル日本特別記録書、記録並ニ綴ヲモ併記スベシ)

即チ外務省總務課長大野カツリンヨリ得タリ。

氏名

E. P. モナハン

2137
CPA
J.A.

階 級 調 查 官

軍 籍 番 號 國 際 檢 察 部

Chen
by [Signature]

Seven ~~to~~ Decisions not in English
to be translated into Japanese..

Please have index translated. Those not
in the English should be marked
with a red check, and translations
made immediatly, with title and
no. showing which it is.

M. YANAI

English converted by J. Golden.

- 1 26 July '40 Outline of basic national policy
- 2 27, July '40 Principal lines of action for dealing with the international situation in parallel with world development.
- 3 4 Sept '40 Strengthening of the Japan-Germany-Italy Axis
- 4 27 Sept '40 Treaty of three countries; Japan, Germany and Italy. Letters exchanged between Foreign Minister and German ambassador in ^{Tokyo} Japan.
- 5 3, Feb '41 Principal points in negotiations with Germany, Italy and the Soviet Union.
- 6 13, Apr '40 Treaty of neutrality between Japan and Russia. Letter of announcement, and letters exchanged between Foreign Minister and Russia's foreign commissar.
- 7 22, Dec '38 Announcement of Prime Minister KONOE
- 8 15, Nov. '40 Policy for the disposal of the China affairs
- 9 30, Nov. '40 Sino-Japanese basic treaty
Additional protocol
Additional secret convention
Additional secret agreement
Secret exchanged official document
Understood matters between plenipotentiaries

Extraction of minute book

- 10 30, Jan '40 Common announcement of Japan-Manchuko-China
- 11 1, Feb '41 Measures vis-a-vis French Indo-China and Thailand
- 12 6, Feb '41 Policy for mediation in the Tai-French Indo-China boundary dispute.
- 13 Apr '41 Quit of the Imperial Headquarters Army and Navy policy concerning the measures to be taken in the southern region.
- 14 25, Oct '40 Measures for economic development of the Netherlands East Indies.
- 15 2, July '41 Outline of national policy in parallel with international development
- 16 25, June '41 Acceleration of the measures in the southern region.
- 17 29, June '41 French-Japanese protocol concerning the common defence for French Indo-China. Letters re military cooperation
- 18 6, Sept '41 Lines of action in execution of Japan's national policy
- 19 5, Nov '41 'A' plan and 'B' plan against America
- 20 1, Dec '41 Decision on declaration of war against America, Britain and the Netherlands

4. Concluded at Berlin Sept 27 1940.

Three countries' treaty between Japan, Germany
and Italy.

Tripartite Pact

~~Three countries' treaty~~ between Japan, Germany and Italy.

Realizing, ^{of the utmost} ~~most~~ importance for ~~the~~ permanent peace to give proper positions to the respective countries, the Japanese government, the German government and the Italian government have decided to ^{formulate} ~~make~~ it ^a basic policy to establish and maintain the new order in East Asia and in Europe in which the nations in those areas can live and prosper ~~together~~, and to cooperate and ^{mutually} support ^{each other} ~~mutually~~ in the efforts for this purpose ^{in these areas.} Furthermore our three governments ^{will not} ~~won't~~ refuse to help other nations ^{that} ~~which~~ try to ^{make} ~~do~~ the same effort ^{as} for us ^{anyplace} ~~wherever~~ in the world, and ^{ultimate} ~~last~~ thereby we wish to realize the ^{world peace} ~~peace~~ idea of the three countries for ~~the~~ ^{of the world.} Therefore the Japanese government, the German government and the Italian government have concluded

The treaty as follows:

Art. 1 Japan recognizes, ^{and respects} the ^{leading positions} ~~priority~~ of Germany and Italy regarding the establishment of the new order in Europe.

Art. 2 Germany and Italy recognize and respect the ^{leading position} ~~priority~~ of Japan regarding the establishment of the new order in ~~the~~ Greater East Asia.

Art. 3 Japan, Germany and Italy promise to cooperate mutually regarding the efforts based on the said policy. Furthermore, in case of an attack on one of the contracted parties by a ~~third~~ country which is not participating ⁱⁿ the European War or the China-Japanese incident, the three countries promise to ^{mutually aid each other} ~~to help~~ ~~mutually~~ by all political, economical and military means.

Art 4 In order to put the present treaty into force,
the mixed professional ^{Commission} ~~committee~~, ~~which is~~
composed of representatives ^{who are to be} appointed
by the Japanese government, the German
government and the Italian government,
shall be opened without delay

Art 5 Japan, Germany and Italy recognize that
the said Articles have no influence
on the political situation existing between
each of the three contracted parties
and the Soviet Union.

Art 6. The present treaty shall be put in force
~~in~~ ^{at} the ~~moment~~ ^{time} of signature, and its
force continues for ten years ~~since from~~
~~the moment~~ ^{that time}.

The contracting parties shall confer
with ~~each other about a renewal~~ ^{each other about a renewal} of the present treaty
at a proper time before the expiration
of the said period, based on the request
of one party.

As ^{to} the certification ~~of~~ ^{of} the above mentioned
matters, we (following names) have
signed ^{and sealed} ~~the~~ the present treaty, being
entrusted by ~~our~~ ^{our} respective governments.

We have made three copies in
Berlin on September 27, 1940

Letters exchanged between ^{the} Foreign Minister
and ^{the} German ambassador in Tokyo.

Letter no 1. from ^{the} German ambassador
in Tokyo to ^{the} Foreign Minister.

I have the honour to ^{write} ~~write~~
I ~~will mention~~ the following matter
to you: ~~in the letter~~. As ^a ~~the~~ result
of our negotiation which ~~was~~ ^{were} held at
Tokyo on ^{the} 9th of this month, we are
fortunately ^{arriving at the conclusion} ~~concluding~~ to conclude ^{of the} ~~the~~
^{tripartite pact} ~~three countries' treaty~~. At this time
^{have a small desire to}
I express ^{my} deep gratitude to your
Excellency for your fulfilment of an
~~the~~ important ^{position} ~~play~~ always with great
tolerance and friendship.

I wish to mention the following ^{points} ~~points~~
in this letter regarding several important
matters which ^{were} ~~was~~ repeated in the
conference between your Excellency and
~~me~~.

The German government recognizes that

^{Contracting}

The ~~contracted~~ parties are respectively going into a new and decisive stage of ~~the~~ world history, having the duty to occupy the leading position in establishing the new order in ~~the~~ Greater East Asia and Europe respectively.

The fact that the interests of the ^{Contracting} ~~contracted~~ parties ^{should be} harmonized for ^{the distant} long future, and ~~the~~ absolute mutual reliance of the ^{contracting} ~~contracted~~ parties ~~are~~ ^{will} to become the concrete base of the treaty.

The German government believes firmly that the technical details concerning the practice of the treaty will be able to be ^{decided} ~~decided~~ ^(easily), and it ~~is~~ ^{is} ~~sure~~ ^{is} ~~out~~ ^{is} ~~of~~ ^{is} ~~the~~ ^{is} ~~importance~~ ^{is} ~~of~~ ^{is} ~~the~~ ^{is} ~~treaty~~ ^{is} ~~provide~~ ^{is} ~~for~~ ^{is} ~~every~~ ^{is} ~~cases~~ ^{is} ~~which~~ ^{is} ~~may~~ ^{is} ~~happen~~ ^{is} ~~during~~ ^{is} ~~the~~ ^{is} ~~operation~~ ^{is} ~~of~~ ^{is} ~~the~~ ^{is} ~~treaty~~.

Such cases as above mentioned should be dealt with at ~~every~~ ^{the} time when they happen, ^{based} ~~based~~ ^{only} on ^{the spirit of} mutual reliance and assistance.

The decision of the professional committee prescribed ~~in~~ ⁱⁿ Article 4 shall not be put into practice without the permission ~~of~~ ^{of the} respective governments.

It is a ^{self-evident fact} ~~matter of self-evidence~~ that the question whether one of the contracted countries is attacked in the sense of Article 3 or not should be decided by the ^a conference of the three ~~contracted~~ ^{contracting} countries.

I think it is naturally that in case of a attack on Japan by a third country which is not ^{a participant} ~~participating~~ in the European War or the Chinese incident, Germany should support Japan on every points and ^{assist} ~~help~~ by all military and economic means.

^{as to the} ~~by~~ relations of Japan and the Soviet Union, Germany will endeavour to increase ^{of it} friendly recognition ~~of~~ ^{as much} as far as possible and will willingly have the honour to ^{take} ~~have~~ the

trouble for the said ~~subject~~ ^{matter} at any time.

Germany will use its industrial ability and other technical and material resources for Japan to the best of ~~its~~ ^{its} ~~power~~ ^{ability} in order to ~~make~~

~~to~~ establish the New Order in ~~the~~ ^{without difficulty,} greater East Asia as well as for ~~the~~ full preparation against any critical situation.

Furthermore Germany and Japan promise ^{mutual aid} ~~to~~ ~~help~~ ~~mutually~~ in order to obtain ~~the~~ materials and means (including oil) by all means.

The Foreign Minister of Germany believes firmly that in case ~~of~~ the help and cooperation of Italy regarding the said matters, ~~are needed~~ ^{are needed} Italy will keep ^{faith} ~~the~~ path with Germany and Japan without fail.

I, the ambassador, received the above mentioned matters ~~immediately~~ ^{directly} from ^M Minister Stohmer, ~~the~~ special ^{representative} ~~representative~~. And I have the honour

to present this letter to your Excellency
as the view of the Foreign Minister of
Germany transmitted ^{to} me several times by
the German government.

I, the ambassador, hereby have
the honour to pay ~~the~~ ^{my} respects to your
Excellency again.

Sept 27, 1940.

Letter of announcement

The Japanese government and the
government of the Union of Soviet
Socialist Republics,

^{based}
~~being~~ on the spirit of the treaty
of neutrality which was concluded
between Japan and Union of Soviet
Socialist Republics on Apr 13, 1941,

in order to secure the relations of
peace and friendship between them,

^{Do solemnly}
~~Have~~ ^{solemnly} announced that Japan promises
to respect the security and ^{territorial integrity} ~~non-invasion~~
~~of the territory~~ of the Mongolian people's
Republic, and that the Union of Soviet
Socialist Republics promises to respect
the security and ^{territorial integrity} ~~non-invasion~~ of
the ~~territory~~ of ^{MANCHUKUO} ~~Manchuria~~

No 6. Concluded at Moscow on Apr 13 1941.

Treaty of neutrality between Japan
and Russia.

Treaty of neutrality between Japan and Russia.

Signed at Moscow on Apr 13 1941.

Art 1 The both ^{contracting} ~~contracted~~ countries promise to maintain the relation of peace and friendship between them and to respect mutually the security and ^{territorial integrity} ~~non-interference~~ of the territory of the other ^{contracting} ~~contracted~~ country.

Art 2 In case of a military attack on one of the ^{contracting} ~~contracted~~ countries on the part of one or more than two of ~~the~~ third countries, the other ^{contracting} ~~contracted~~ country shall obey the neutrality during the whole term of the trouble.

Art 3 The present treaty shall be put into force ^{from} ~~since~~ the ^{date} ~~day~~ of ~~the~~ ratification of ~~the~~ both ^{contracting} ~~contracted~~ countries. And its validity shall continue for five

years. In case that one of the ^{contracting} ~~contract~~ countries does not ^{announce} ~~inform~~ the invalidity of the present treaty before the expiration of the said period, the present treaty shall be recognized as being prolonged automatically for another ~~not~~ 5 years.

Art 4 The present treaty shall be confirmed as soon as possible and the letter of ratification shall be exchanged at Tokyo as soon as possible.

Semi-public letter exchanged between
Foreign Minister MATSUOKA and
Foreign Commissioner Nolotov

Dear Sir

I expect and hope that the ^{commercial agreement} ~~agreement~~
~~of commerce~~ and the fishery treaty
will be concluded as soon as
possible in regard to the treaty
of neutrality which was signed this
day, and in order to wipe out
~~every~~ ^{all} matters which are not useful
for the maintenance of the relation
of friendship between the ~~two~~ ^{two} countries,
I have the honour to mention to your
Excellency that I will endeavour
to solve, ^{in a ~~several~~ ^{few} months} ~~the problems~~ between your
Excellency and ^{myself} ~~I~~ as soon as possible,
the problems concerning re-adjustment
of the rights in Sakhalin based
on the contract which was signed
at Moscow on Dec 14 1925.

I suggest that it will be proper
for both your country and my country
and for ^{MANCHUKUO} ~~Manchuria~~ and Outer Mongolia
to solve ^{frontier} problems in ~~the frontier~~ ^(with the same) spirit
and to establish in ^{the} near future
a common committee or a mixed
committee with the purpose to deal
with troubles and cases ~~at~~ ^{on} the
frontier.

English Corrected
by J. Goldstein

[A. I. S. H. I. I.]

Resolution

Being founded on the Treaty and its supplementary notes concluded ^{at} this time, Japan and China are going to offer various rights and interests to the other countries. These rights and interests are given ~~to~~ specially to the other party in order to attain the common objective of establishing ^{the} new order in East Asia, that is shown in the preface of the Treaty, and so, taking ^{into} consideration ^{the} its particular nature, ^{the} ^{powers of the} general third-party powers ^{in general} should not take a share in its profit as a matter of course, applying to this case the most favored nation clause. We are all agreed in this opinion that in ^{the} future, ~~if~~ ^a ^{third-party} ^{country} ^{nation} demands the same treatment ^{regarding} ~~as~~ to the said rights and interests, we ought ^{to} reject the application of the most favored nation clause, excepting ~~the~~ special instances which will be admitted through ^{the} conference of ^{two} countries.

(4.) The time when the Japanese troops' evacuation ends.

In the formal meeting of this day, the Japanese negotiation committee men related as follows ^{regarding} ~~as to~~ the rule of ~~the~~ Item No. 3 of the supplementary protocol.

"Security of public peace in China after peace is

restored completely between both countries and the state of hostilities cease ~~and to a prerequisite for~~ ^{it is a prerequisite for} the completion of evacuation. ~~of Japanese military forces.~~

Therefore, ~~the Japanese troops~~ ^{it} will be naturally impossible to finish the evacuation ~~as long as~~ ^{long as} the public peace is not secured and, moreover, even if we wish to have all the Japanese troops (exclusive of those which ~~stationed~~ ^{stationed} ~~in~~ ⁱⁿ ~~the~~ ^{the} ~~area~~ ^{area} according to ~~the~~ ^{the} ~~Treaty~~ ^{Treaty} regarding the fundamental relation between Japan and China and on the existing agreement between both countries.) withdrawn ~~at once~~ ^{immediately} as soon as the public peace is secured ~~and~~ ^{and} it is clearly impossible as a matter of fact. Accordingly, the ~~complete~~ ^{realization of} evacuation of the Japanese troops will be more or less delayed ~~in reality~~ ^{in reality} until after ~~the~~ ^{the} ~~public~~ ^{public} ~~peace~~ ^{peace} will have been secured. But, at the longest, it will be finished within two years."

As to this statement the Chinese ~~side~~ committee men related as follows:

"By the words 'security of public peace' in the statement of the Japanese ~~side~~ committee men, ^{it} is meant ^{that} the settlement of ~~the~~ ^{in general,} general public peace, that is to say, the social order after the state of hostilities, restores the peaceful state as a good neighbourhood."

The Japanese ~~side~~ committee men stated further as ~~for~~ the foregoing.

"Japan has no intention to prolong purposely the evacuation under pretext of unstable public peace."

At last the ^{of the} negotiation committee men stated that they understood ~~each other~~ what the other party related, and they agreed to publish ~~their~~ minutes of the proceedings on the occasion of restoration of complete peace or within two years after it.

(5.) "Arrangement" in ~~the~~ article No. 8 of the Treaty. ^{proximity} ~~ambiguity~~ was reached by both ^{the} Japanese and ^{the} Chinese committee men at to-day's formal meeting, ⁱⁿ ~~the~~ opinion that by ~~the~~ "arrangement" in ~~the~~ article No. 8 of the Treaty is meant what is concerned with the whole ^{subject} of a concrete nature ~~which is~~ ^{that is} necessary to attain the purpose of the Treaty and, accordingly, in the "arrangement" any military arrangement ^{also} will be included, ~~too~~.

Plan of memorandum concerning collation
of documents on conference

We, the undersigned, collated to-day the originals which
is written in Japanese of "documents on conference
regarding readjustment for the new relationship
between Japan and China" made at SHANGHAI on
30, Dec. 1939, and which are held by both Japan
and China, and found no differences between them.
By the "documents on conference" which are referred to
in the minutes of proceedings attached to the Treaty
concluded ^{at} this time, are meant ^{to be} the above mentioned
originals in Japanese which have been collated between
us to-day. ^{we} Hereby, ~~we~~ make four copies of the said
originals in Japanese as a certification and attaches
them each to this memorandum.

On Aug. 21 of the 15th year of SHŌWA (1940)
the 29th year of CHUN-HWA-MIN-KUO, at NANKING, each
two documents in Japanese and in Chinese are made.

Plan of memorandum concerning collation
of documents on conference

We, the undersigned, collated to-day the originals which
is written in Japanese of "documents on conference
regarding readjustment for the new relationship
between Japan and China" made at SHANGHAI on
30, Dec. 1939, and which are held by both Japan
and China, and found no differences between them.
By the "documents on conference" which are referred to
in the minutes of proceedings attached to the Treaty,
concluded ^{at} this time, are meant ^{to be} the above mentioned
originals in Japanese which have been collated between
us to-day. ^{we} Hereby, ~~we~~ make four copies of the said
originals in Japanese as a certification and attaches
them each to this memorandum.

On Aug. 21 of the 15th year of SHŌWA ⁽¹⁹⁴⁰⁾ i.e., Aug. 21 of
the 29th year of CHUN-HWA-MIN-KUO, at NANKING, each
two documents in Japanese and in Chinese are made.

English corrected by J. Goldstein

[1940]

No. 10. Common Declaration of
Japan, Manchukuo and China

Concluded at NANKING on 30, Nov. 1940

(Initiated ^{by} ~~between~~ Japanese and Chinese negotiation
committee-men on 31. Aug, 1940, and amended further
in conference of both parties on 1, Oct. 1940)

The Government of the Japanese Empire,
the Government of the Manchukuan Empire and
the National Government of China, under a common
ideal of respecting each other's ~~their~~ proper character-
istics and of constructing ^a new order based upon
morality in East Asia, acting in close concert as
a good neighbour to each other, will form an axis
of eternal peace in East Asia and, on this foundation,
hope to contribute to peace of the whole world, and
declare as follows;

1. Japan, Manchukuo and China respect each
other's ~~their~~ sovereignty and territory.
2. Japan, Manchukuo and China ^{will} take every
necessary measures in every direction in order

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to effect the true aim of a general concert of three countries ^{based} on the keynote of reciprocity, especially in ^{the} intensification of close relationship, ~~for~~ ^{for a} common defense against communism and in economical concert.

3. Japan, Manchukuo and China will conclude an agreement soon being based on the significance of this declaration.

On Nov. 30 in the 15th year of SHŌWA, that is,
Nov. 30 in the 7th year of KWANGTE,
Nov. 30 in the 29th year of CHUN-HWA-MIN-KUO.
at NANKING.

Vol. 17.

French-Japanese Protocol bearing on common
defense of French Indo-China

concluded on July 29, 1941
at Vichy.

Protocol between Japan and France regarding
the joint defense of French Indo-China

The Government of the Japanese Empire and the
Government of France,
taking consideration of the present international circum-
stances,
recognize it is reasonable that Japan ^{understand,} ~~sees~~ the general
tranquility in East Asia and Japan's safety are exposed to
danger if the safety of French Indo-China is threatened,
and renewing on the one hand the promise given by
Japan in an effect that Japan respects the French
rights and interests in East Asia, especially the safety
of territories of French Indo-China and the sovereignty
of France over the whole Indo-China, ^{and} on the other

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hand the promise made by France ^{to the} ~~in an~~ effect that France does not conclude with the third party countries concerning Indo-China any pact or agreement in which political, economical or military cooperation of an opposing nature, directly or indirectly, against Japan, is foreseen, arranged the following regulations;

1. The Governments of the both countries make military co-operation for the sake of joint defense of French Indo-China.

2. Measures that will be taken for the said co-operation shall be object of a special arrangement.

3. Above regulations shall be effective so far as the situations, which are the motives of adoption of these regulations, last.

As a certification of the above mentioned, we, the undersigned, being entrusted duly by their respective governments, signed this protocol which is brought to effect on and after this day.

Two copies of this protocol in Japanese and in French are made, at Vichy, on July 29 of the 16th year of SHŌWA, i.e., July 29, 1941.

SOTOMATSU KATŌ (signature)

^{ソトマツ}
Dorlan

(signature)

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Exchanged official notes concerning
military joint action

1. Translation of the letter of Ambassador KATŌ
addressed to Vice Premier ^ĐDarlan, dated Jul. 29
(in French.)

I have the honour to write you that regarding the Protocol signed between the French and Japanese Governments with to-day's date, I request you will assure me the agreement of the French Government to the following proposals;

(1.) The French Government will give Japan the competence to take the following measures;

(a.) Dispatch of the Japanese military forces, warships and air forces, of necessary amounts to southern Indo-China.

(b.) Use of the following eight places as air force bases; Phnompenh, Saigon, Bien-hoa, Siem-reap, Kampong-Trak, Soc-trang, Nhatrang and Tourane.

Further, use of Saigon and Camranh Bay as naval bases.

Japanese forces will set up necessary establishments

at the above mentioned places.

(c.) The said Japanese military forces shall be given the right to quarter and to train themselves and shall be admitted their freedom of action, and at the same time they shall be given special facilities to perform their duties. The abolition of various limitations regulated by NISHIHARA-Martin Pact is ~~rendered~~ ^{understood understood} to be involved in the foregoing.

(d.) The French Government will supply the aforesaid Japanese military forces with necessary currency after a measure which shall be decided ^{at} ~~in~~ a conference. In this year the amount will go up for 23,000,000 Indo-Chinese piastre, that means 4,500,000 Indo-Chinese Piastre monthly, and in that amount, the currency which, being ruled by existing various agreements, ought to be tendered to the Japanese military forces stationing in Tonking, shall not be included. The Japanese Government is ready to pay for that amount with free yen, American dollar or gold, according to the choice of the French Government.

- (2.) The French Government will admit the aforementioned principle of the dispatch of the Japanese military forces and will adopt all the effective measures so as to avoid outbreaks of any accidental conflicts between the Japanese troops and the Indo-Chinese.
- (3.) Further details of the activities of the Japanese military forces will be deliberated with each other and decided between authorities of the Japanese and French military forces.

I submit my highest esteem to Your Excellency.

July 29, 1941, at Vichy,

SOTOMATSU KATŌ (Sig.)

2. Translation of the letter of Vice Premier Darlan^{ダラン} addressed to Ambassador KATŌ, dated July 29. (in French)

I have the honour to write you that concerning the Protocol signed to-day between the Japanese and the French Governments, I assure you the consent of the French Government to the proposal involved in the letter which you sent us with the date of this day and which is re-recorded here as follows;

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- (The letter of Ambassador KATŌ addressed to
Vice Premier D.) -

I have the honour to express my highest respect to
Your Excellency.

July 29, 1941, at Vichy.

Daban (Sig.)

No. 19, "Plan A" and "Plan B" against America
Decided through the meeting in the presence of Emperor
on Nov. 5, 1941.

Plan A

1. The problem of commercial indiscrimination

In the case any successful conclusion with the plan of
Sep. 25 is hopeless at all, the plan shall be amended
as follows:

" Provided that the principle of indiscrimination is applied
universally, the Japanese Government will admit
to have the principle applied in the whole Pacific area,
i.e., in China."

2. The problem of understanding and performance of the
Tri-Partite Treaty.

We shall make it clear furthermore that we have no
~~intention~~ ^{arbitrarily} to expand ~~arbitrarily~~ the scope of understanding
of the right of self-defence, and at the same time, as for
the understanding and execution of the Tri-Partite Treaty,
we shall negotiate ^{to the} in an effect that Japan ~~acts~~ ^{will act} according
to what the Japanese Government decides for itself as has
been explained repeatedly, and that Japan considers it

has been already admitted by the American side.

3. The problem of withdrawal of military forces

This point shall be mitigated as follows;

(A.) Stationing and withdrawal of military forces in China.

The Japanese troops dispatched in China on account of the China Incident, in several definite areas of North China and Mongolia and in Hainan Island, will continue their ~~stationing~~^{occupation} for necessary period after the peace between Japan and China will be obtained, and in the other districts, will begin evacuation as soon as the peace will be attained after the arrangement which shall be decided otherwise, and, with the security of public peace, will finish it within two years.

(note) When American side questions about this necessary period, we shall negotiate in an effect that about 25 years are aimed at.

(B.) Occupation and evacuation of military forces in French Indo-China

The Japanese Government respects the territory and sovereignty of French Indo-China. The Japanese troops dispatched ^{to} French Indo-China at present

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shall be withdrawn as soon as the China Incident will be settled ^{and a} ~~the~~ just and fair peace will be established in Far East.

Further^{more}, ~~it shall be provided to the utmost to~~ ^{it must be avoided} ~~the~~ ^{must not be} the four principles included in the items of formal decision between America and Japan (no matter whether it may be any understanding or other declaration.).

Plan B

1. The Japanese and the American Governments make a promise firmly to each other that they will not make any advance by force of arms towards South East Asia and southern Pacific Area, excepting French Indo-China.
2. The Japanese and the American Governments ^{will} cooperate each other in such a way that the acquisition of materials ^{needed by} ~~necessary~~ for them in Dutch Indies is secured.
3. The Japanese and the American Governments restore to each other their ~~pre-assets-freezing~~ commercial relationship before assets were frozen.

The U.S. Government gives Japan a promise to supply her with necessary petroleum.

(4.) The American Government will not take any action ~~to make an obstacle in~~ ^{to obstruct} the efforts of Japan and China for peace.

(note)

(a.) If necessary, we have no objection in promising America that we are ready to move the Japanese forces ~~stationing in~~ ^{occupying} southern French Indo-China to the northern area, provided that this plan of arrangement is concluded and, further, that, if peace is made between Japan and China or just and fair peace is secured in Pacific area, Japan will withdraw the said Japanese troops.

(b.) If it is necessary, we shall ~~insert~~ ^{attach} ~~supplementarily~~ ^{supplements} ~~in it~~ ^{to} the regulation dealing with ~~by~~ ^{by} indiscriminate treatment in commerce and the rule bearing on the understanding and execution of the Tri-Partite Treaty, which are involved in the A-Plan.

English corrected by
J. Goldstein

T. UDOGUCHI

Supplementary No. 9.

No. 1.

The fundamental treaty between Japan and China
Concluded at Nanking on 30th Jan. of Showa 15th

The treaty concerning ~~the~~ fundamental relations
between Japan and China

~~The~~ ^{with} ~~under~~ the hope of participating ⁱⁿ the establishment
^{world peace} of ~~peace of the world~~ after establishing eternal peace
in the Orient in friendly concert ~~and~~ ^{complete mutual respect} having a
~~respect to each other~~ ^{to} ~~special quality~~ mutually and keeping
the common ideal to settle ^{the} ~~a~~ new order based on morality
in the Orient, the governments of Japan and China
want to revise the fundamental principle
regulating the relations between both countries and
make the following agreements:

Provision 1 : ~~The~~ Both governments shall cultivate mutual aid and friendship concerning politics, economics, culture and etc., having respect ^{for} each ~~others~~ sovereignty and territory in order to maintain neighbourly friendship eternally between both countries.

~~The~~ Both governments promise to abolish, and prohibit in future ² actions and causes ~~to~~ destroy the friendship between both countries ~~mutually~~ concerning politics, diplomacy, education, propoganda, trade and etc.

Provision 2 : ~~The~~ Both governments shall co-operate strictly in ^{unification} the ~~unity~~, creation and development of culture

Provision 3: ~~The~~ Both governments promise to ^{jointly} defend ~~jointly~~ ^{against} ~~from~~ all communistic destruction ^{which would} ~~to~~ endanger public order and welfare in both countries.

~~The~~ Both governments shall ~~to~~ co-operate strictly in ⁱⁿ weeding out communists and their organizations in each territory, ^{also into} ~~and~~ informations and propoganda, in order to complete ^{the} above-mentioned object.

Japan shall station necessary troops in the fixed place in Mongolia and North China for ^a necessary period, according to another decision by ^{Conference of} both countries' ^{consultation} in order to ^{Cooperate} ~~in~~ ^{carry out the} ~~execute~~ anti-communism policy. ~~cooperatively~~.

Provision 4: ~~The~~ Both governments promise to co-operate strictly to maintain common public peace, until Japanese troops dispatched to China will ~~finish to withdraw~~ ^{be withdrawn} by another ^{Conference} ~~decision~~.

The location to be ~~stated~~ ^{occupied} by Japanese troops for the necessary period to maintain public peace shall be decided by another ^{Conference by} ~~consultation~~ of both countries.

Provision 5: The Chinese government shall permit ~~that the~~ Japanese marines ^{to be} stationed in ~~the fixed~~ ^{predetermined} territory in China according to another decision by both countries' consultation, based on ~~the~~ existing customs or in order to secure ~~the~~ ^{mutual benefit for} ~~common profit~~ of both countries.

Provision 6: ~~The~~ Both governments shall be in ^{strict agreement regarding} ~~concert~~ ^{the two} with economics of both countries, according to the spirit of ^{mutual aid} and the principle of equality and reciprocity.

The Chinese government ~~shall consent to~~ ^{will agree} ~~cultivate~~ ^{mutually exploit}.

^{mineral}
The ~~basic~~ resources necessary for ~~the~~ national defence, among them special resources in North China and Mongolia. ~~co-operatively.~~

The Chinese government shall accord necessary facilities to Japan and ^{to} the Japanese for the ^{exploitation} ~~cultivation~~ of special resources necessary ^{to} ~~for~~ the national defence in other territories..

The Chinese government shall accord full ^{positive} ~~positive~~ facilities to Japan and the Japanese, after she considers the Chinese demand for the above-mentioned resources, ~~or~~ ^{or} the utilization of them.

~~The~~ Both governments shall take ^{necessary} measures to develop ~~the~~ ordinary trade, and to ^{facilitate} ~~facilitate~~ and rationalize the arrangement of ~~demand and supply~~ ^{supply and demand} of materials between both countries.

~~The~~ Both governments shall ^{particularly} ~~co-operate~~ ^{co-operate} ~~specifically~~ to develop ~~the~~ trade in Yangtze River and its basin, and to rationalize the ^{supply and demand} ~~demand and supply~~ of materials among Japan, North China and Mongolia.

The Japanese government shall give necessary aid or co-operate with the Chinese government to recover

and develop the industry, finance, transportation and communication in China by ^{conference of} both countries' ~~consultations~~.

Provision 7: Corresponding to the development of new relations between Japan and China, the Japanese government shall abolish the extraterritoriality ^{belonging} ~~belong~~ to Japan and return the settlement in China.

And the Chinese government shall open ^{legally} ~~the~~ territory of ~~these~~ to residence and trade of the Japanese.

Provision 8: ~~Both~~ both governments ^{are to} make another agreement concerning ~~the~~ practical matters necessary to complete the object of this treaty.

Provision 9: This treaty shall be enforced ^{from} ~~since~~ the day of signature.

The following men have signed and sealed ~~the~~ ^{Copies} ~~parts~~ of this treaty, being ^{legally} ~~rightly~~ authorized by each government, as the evidence of this treaty.

Two ^{Copies} ~~parts~~ of this have been made in Japanese and Chinese at Nanking on the 30th Nov. of Showa ^{that is} 15th, 30th Nov. of "Chūkamin'koku" 29th.

The Supplementary protocol.

No. 6

The plenipotentiaries of both countries have made the following protocol this day on signing ~~in~~ this treaty concerning the fundamental relations between Japan and China;

Provision 1: The Chinese ~~permitted~~ ^{existence} the ~~existence~~ of special conditions accompanying ~~with~~ the completion of hostilities, while ~~the~~ Japan has been engaging in ~~it~~ ^{them} ~~in~~ Chinese territory, and ~~that~~ ^[permit] Japan ^{to} take measures necessary for the completion of the purpose of the hostilities, and ~~also~~ also take measures necessary for it.

The above-mentioned special condition shall be arranged according to the object of this treaty and supplementary protocol, following ~~to~~ ^{the} exchange of the situation as well as possible, on ~~the~~ completing the object of the hostilities, in spite of being ~~in~~ ^{during} ~~the~~ ~~hostility~~ ~~hostilities~~.

Provision 2: The ~~matter~~ ^{hostilities} ~~which~~ ^{matter dealt with by} the temporary government and the restoration government of China ~~and~~ have been taken over and ^{have} been kept temporarily by the Chinese government.

And therefore ~~disarranged~~ ^{confused} ~~matters~~ ^{points} which ~~is necessary to~~ ^{must be} ~~arranged~~ among these matters shall be arranged as soon as possible according to the object of this treaty and supplementary protocol ^{No. 1.} by both countries' consultation, if the situation permits.

Provision 3: The Japanese troops shall begin ~~to~~ ^{their} ~~withdrawal~~ ^{occupation troops} ~~except the troops to station~~, which will leave in two years ~~two years~~ after the establishment of public peace according to the treaty signed this day, concerning the fundamental relations between Japan and China, and to the present promise between both countries, when ~~the~~ general peace is ~~recovered~~ ^{restored} and the situation of hostilities is over.

And the Chinese shall assure the establishment of public peace in this period.

Provision 4: The Chinese government shall ~~compensate~~ ^{pay compensation} ~~for the loss of claims~~ and benefits which the Japanese in China suffered ~~from~~ ^{since the outbreak of} the emergency ~~since it broke~~.

Provision 5: This protocol shall be enforced simultaneously with the treaty.

The plenipotentiaries ^{of both countries} have signed and sealed ~~the~~ ^{these} ~~sheets~~ ^{Copies} of this agreement as ~~the~~ evidence of this.

Two ~~sheets~~ ^{Copies} of this have been made in Japanese and Chinese ~~characters~~ ^{languages} at Nanking on the 30th Nov. of Showa 29th, ^{that is} 30th Nov. of "Chūkaminokoku" 29th.