1	Defendants UNOCAL CORPORATION and UNION OIL COMPANY OF CALIFORNIA					
2	(collectively "Unocal") answer the unverified Complaint ("Complaint") in the above-captioned					
3	action as follows.					
4	I. GENERAL DENIAL					
5	Pursuant to California Code of Civil Procedure Section 431.30(d), Unocal denies generally					
6	and specifically each and every material allegation of the Complaint.					
7	II. AFFIRMATIVE DEFENSES					
8	FIRST AFFIRMATIVE DEFENSE					
9	TO ALL CAUSES OF ACTION					
10	(Improper Party)					
11	1. Unocal is not a proper party to this action. It is not a party to any relevant contract					
12	involved in this action, nor is it even a direct investor in the corporate entity that is responsible for					
13	constructing the pipeline involved in this action $-i.e.$, the gas pipeline in the Tenasserim region of					
14	Burma. The entity involved in constructing the pipeline, Moattama Gas Transportation Company					
15	Limited, is a corporation, some of the shares of which are owned by a subsidiary of Unocal.					
16	Accordingly, Unocal should not have been named as a party to this action.					
17	SECOND AFFIRMATIVE DEFENSE					
18	TO ALL CAUSES OF ACTION					
19	(Failure to State a Cause of Action)					
20	2. The Complaint and each of its causes of action fail to state a cause of action.					
21	THIRD AFFIRMATIVE DEFENSE					
22	TO ALL CAUSES OF ACTION					
23	(Lack of Necessary or Indispensable Party)					
24	3. The Complaint and each of its causes of action are barred because the Plaintiffs have					
25	failed to join all persons necessary or indispensable for full and just adjudication of this action.					
26						
27						
28						

3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27

28

FOURTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Statutes of Limitations)

4. The Complaint and each of its causes of action are barred by the applicable statutes of limitations, including, but not limited to, California Code of Civil Procedure Sections 338, 340, and 343, and California Business and Professions Code Section 17208, and any applicable state or federal limitations period.

FIFTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Mitigation)

5. Plaintiffs have failed to mitigate, reduce, or otherwise avoid their alleged damages.

SIXTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Laches)

6. Plaintiffs are barred by the doctrine of laches from pursuing the claims for relief asserted in the Complaint.

SEVENTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Failure to Exhaust Statutory Remedies)

7. Plaintiffs have failed to exhaust remedies available in Burma as required under §2(b) of the Torture Victim Protection Act, to the extent that adequate and available remedies exist within the meaning of that provision.

EIGHTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(No Right to Equitable Relief)

8. Plaintiffs have a complete and adequate remedy at law for any injuries they may have suffered, and therefore are not entitled to seek equitable relief.

1	NINTH AFFIRMATIVE DEFENSE						
2	TO ALL CAUSES OF ACTION						
3	(Attorneys' Fees)						
4	9. Unocal alleges that Plaintiffs lack standing and otherwise are not entitled to seek						
5	attorneys' fees.						
6	TENTH AFFIRMATIVE DEFENSE						
7	TO ALL CAUSES OF ACTION						
8	(Privilege)						
9	10. The Complaint and each of its causes of action are barred because Unocal was						
10	privileged by federal law, including federal statutes and executive orders, to engage in the business						
11	transactions that Plaintiffs allege were wrongful.						
12	ELEVENTH AFFIRMATIVE DEFENSE						
13	TO ALL CAUSES OF ACTION						
14	(Collateral Estoppel and/or Res Judicata)						
15	11. The Complaint and each of its causes of action are barred by the doctrine of collateral						
16	estoppel and/or Res Judiciata.						
17	TWELFTH AFFIRMATIVE DEFENSE						
18	TO ALL CAUSES OF ACTION						
19	(Due Care)						
20	12. The Complaint and each of its causes of action are barred because Unocal has acted at						
21	all times with due care.						
22	THIRTEENTH AFFIRMATIVE DEFENSE						
23	TO ALL CAUSES OF ACTION						
24	(Other Persons as Sole Cause)						
25	13. To the extent that Plaintiffs suffered the harms alleged in the Complaint, persons or						
26	entities other than Unocal caused those harms.						
27							
28							
	- 3 -						
	ANSWER OF UNOCAL DEFENDANTS TO PLAINTIFFS' COMPLAINT						

I	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	

FOURTEENTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Comparative Fault/Contributory Negligence)

14. Persons other than Unocal, by their negligent acts and omissions, have contributed to and proximately caused the injuries, harm, losses, costs, and expenses alleged in the Complaint and for which Plaintiffs seek recovery. As such, the Complaint and each of its causes of action are barred and limited by the contributory or comparative negligence of persons other than Unocal.

FIFTEENTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(No Duty to Control Acts of Sovereign Government)

15. Neither Unocal nor its operating subsidiaries owe a duty, by virtue of their business relationships related to this action, to control the actions of the Government of Myanmar with regard to its own citizens.

SIXTEENTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Superseding Intervening Negligence)

16. The Complaint and each of its causes of action are barred or limited by the superseding and intervening negligence, or other acts or omissions, by persons other than Unocal, and over which Unocal had no control, and if Unocal acted wrongfully or in any negligent manner, which Unocal expressly denies, the negligence, other acts or omissions by persons other than Unocal, constituted an intervening and superseding cause of all injuries, harm, losses, costs, and expenses alleged in the Complaint, and for which Plaintiffs seek recovery.

25

26

27

1	SEVENTEENTH AFFIRMATIVE DEFENSE
2	TO ALL CAUSES OF ACTION
3	(Reasonableness and Good Faith)
4	17. Unocal acted reasonably and in good faith at all times material herein, based on all
5	relevant facts and circumstances known to Unocal at the time Unocal acted. Accordingly, Plaintiffs
6	are barred from any recovery in this action.
7	EIGHTEENTH AFFIRMATIVE DEFENSE
8	TO ALL CAUSES OF ACTION
9	(Federal Supremacy Over Foreign Affairs)
10	18. The Complaint and each of its causes of action are barred by the foreign affairs
11	provisions of the United States Constitution.
12	NINETEENTH AFFIRMATIVE DEFENSE
13	TO ALL CAUSES OF ACTION
14	(Federal Preemption)
15	19. The Complaint and each of its causes of action are barred by the doctrine of federal
16	preemption.
17	TWENTIETH AFFIRMATIVE DEFENSE
18	TO ALL CAUSES OF ACTION
19	(Dormant Foreign Commerce Clause)
20	20. The Complaint and each of its causes of action are barred because the relief sought
21	therein would pose unreasonable barriers and substantial burdens on foreign commerce in violation
22	of the dormant foreign commerce clause.
23	
24	
25	
26	
27	
28	
	_

1	TWENTY-FIRST AFFIRMATIVE DEFENSE						
2	TO ALL CAUSES OF ACTION						
3	(Civil Code Section 3294)						
4	21. Plaintiffs are precluded from recovering punitive damages from Unocal, either in						
5	whole or in part, under the applicable provision of California Civil Code Section 3294, or such						
6	other statute with similar effect as may be applicable. Unocal did not engage in, approve, or ratify						
7	conduct within the scope of that provision or provisions.						
8	TWENTY-SECOND AFFIRMATIVE DEFENSE						
9	TO ALL CAUSES OF ACTION						
10	(Punitive Damages a Denial of Due Process)						
11	22. To the extent that Plaintiff's seek punitive or exemplary damages against Unocal, it						
12	violates Unocals' rights to procedural due process under the Fourteenth Amendment of the United						
13	States Constitution and under the Constitution of the State of California and, therefore, fails to state						
14	a cause of action upon which either punitive or exemplary damages may be awarded.						
15	TWENTY-THIRD AFFIRMATIVE DEFENSE						
16	TO THE TWELFTH CAUSE OF ACTION						
17	(Business Practices Not Illegal)						
18	23. Unocal's alleged business practices were not and are not "illegal," within the meaning						
19	of California Business and Professions Code Sections 17200, et seq.						
20	TWENTY-FOURTH AFFIRMATIVE DEFENSE						
21	TO THE TWELFTH CAUSE OF ACTION						
22	(Business Practices Not Unfair)						
23	24. Unocal's alleged business practices were not and are not "unfair," within the meaning						
24	of California Business and Professions Code Sections 17200, et seq.						
25							
26							
27							
28							
	- 6 -						

TWENTY-FIFTH AFFIRMATIVE DEFENSE

TO THE TWELFTH CAUSE OF ACTION

(Business Practices Not Fraudulent, Deceptive, Intended to Conceal or Likely to Mislead)

25. Unocal's alleged business practices were not and are not fraudulent, deceptive, intended to conceal, or likely to mislead Plaintiffs or any other persons.

TWENTY-SIXTH AFFIRMATIVE DEFENSE

TO THE FIRST AND TWELFTH CAUSE OF ACTION

(Standing)

26. Plaintiffs lack standing to assert the first and twelfth causes of action.

TWENTY-SEVENTH AFFIRMATIVE DEFENSE

TO ALL CAUSES OF ACTION

(Sovereign Immunity)

27. Unocal cannot be derivatively liable for the alleged actions of agencies or representatives of the Myanmar government, because the Myanmar government is subject to the doctrine of sovreign immunity.

1	III.	PRAY	/ER	
2		WHE	REFORE, Unocal prays for	r judgment as follows:
3		1.	That this action be dismis	ssed with prejudice;
4		2.	That Plaintiffs be awarde	d nothing by means of its Complaint;
5		3.	That Unocal recover cost	s of suit and attorneys fees reasonably incurred; and
6		4.	For such other relief as th	e court may deem just and proper.
7				
8	Dated:	Septer	mber 10, 2001	Respectfully submitted,
9				HOWREY SIMON ARNOLD & WHITE, LLP
10				Edwin V. Woodsome, Jr.
11				D. Barclay Edmundson David G. Meyer Scott G. Garner
12				T. Jason White
13				
14				By: Lavil S. They
15				By: David G. Meyer
16				Attorneys for Defendants UNOCAL
17				CORPORATION, UNION OIL COMPANY OF CALIFORNIA, JOHN IMLE and ROGER C.
18				BEACH
19				
20				
21				
22				
23	ir S			
24				
25	n. 6			
26				
27				
28				

PROOF OF SERVICE

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES 2 I, the undersigned, declare: that I am employed in the aforesaid County; I am over the age of 18 and not a party to the within entitled action; my business address is 550 South Hope Street, 3 Suite 1400, Los Angeles, California 90071. 4 On September 10, 2001, I served upon the interested parties in this action the foregoing document described as: 5 ANSWER OF UNOCAL CORPORATION AND UNION OIL COMPANY OF 6 CALIFORNIA TO PLAINTIFFS' COMPLAINT 7 [X] the original X true copy(ies) thereof enclosed in sealed envelope(s) By placing 8 addressed as stated on the attached mailing list. 9 BY MAIL I caused such envelope(s) to be placed for U.S. Mail collection and delivery [X]at Los Angeles, California. I am "readily familiar" with the firm's practice of collecting and processing correspondence for mailing. Under the practice it would be deposited 10 with the U.S. Postal Service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the 11 party served, service is presumed invalid if postal cancellation date or postage meter date 12 is more than one day after date of deposit for mailing, pursuant to this affidavit. 13 BY FEDERAL EXPRESS I caused such envelope(s) to be placed for Federal Express [] collection and delivery at Los Angeles, California. I am "readily familiar" with the firm's practice of collection and processing correspondence for Federal Express mailing. Under 14 that practice it would be deposited with the Federal Express office on that same day with 15 instructions for overnight delivery, fully prepaid, at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if the Federal Express delivery date is more than one day after date of 16 deposit with the local Federal Express office, pursuant to this affidavit. 17 BY PERSONAL SERVICE I caused such document to be delivered by hand, pursuant [X]18 to CCP § 1011 to the offices of: SEE ATTACHED SERVICE LIST. 19 BY FACSIMILE By sending a copy of said document by facsimile machine for [] instantaneous transmittal via telephone line to the offices of the addressee(s) listed on the 20 attached service list using the following facsimile numbers: (STATE) I declare under penalty of perjury under the laws of the State of California that 21 [X]the above is true and correct. 22 23 Executed on September 10, 2001 at Los Angeles, California. 2^{4}

26 27

25

JOHN DOE I, ET AL., v. UNOCAL CORPORATION, ET AL., LASC Case No. BC 237980

LASC Case No. BC 237980 2 3 Daniel Stormer, Esq. **VIA MESSENGER** 4 Anne Richardson, Esq. Hadsell & Stormer, Inc. 5 128 North Fair Oaks Avenue, Suite 204 Pasadena, CA 91103 6 Fax: (626) 577-7079 7 Paul Hoffman, Esq. **VIA MESSENGER** Schonbrun DeSimone Seplow 8 Harris & Hoffman LLP 723 Ocean Front Walk 9 Venice, CA 90291 Fax: (310) 399-7040 10 Judith Brown Chomsky, Esq. <u>VIA U.S. MAIL</u> 11 Law Offices of Judith Brown Chomsky P.O. Box 29726 12 Elkins Park, Pennsylvania 19027 Fax: (215) 782-8368 13 Jennifer M. Green, Esq. VIA U.S. MAIL 14 Beth Stephens, Esq. Center for Constitutional Rights 15 666 Broadway, Seventh Floor New York, NY 10012 16 Fax: (212) 614-6499 171 Julie Shapiro, Esq. VIA U.S. MAIL Seattle University Law School 18 950 Broadway Plaza Tacoma, WA 98402 19 Richard Herz, Esq. VIA U.S. MAIL 20 Katharine J. Redford, Esq. Earthrights International (Thailand) 21 2012 Massachusetts Avenue, N.W. Washington, DC 20036 22 Fax No.: (202) 466-5189 23 *2*;4 25

1

26

27

JOHN ROE III, ET AL., v. UNOCAL CORPORATION, ET AL. LASC Case No. BC 237679

1 **SERVICE LIST** 2 3 4 Christopher E. Krafchak, Esq. **VIA MESSENGER** Kenderton S. Lynch, Esq. 5 Krafchak & Associates 1888 Century Park East, Suite 1900 Los Angeles, CA 90067 6 Fax: (310) 772-0121 7 Terry Collingsworth, Esq. VIA U.S. MAIL 8 Natacha Thys, Esq. David Grunwald, Esq. 9 **International Labor Rights Fund** 733 15th Street, N.W. Suite 920 10 Washington, D.C. 20005 11 Fax: (202) 347-4885 12 Cristobal Bonifaz VIA U.S. MAIL John C. Bonifaz 13 Law Offices of Cristobal Bonifaz 48 North Pleasant Street 14 P.O. Box 2488 Amherst, MA 01004-2488 15 Fax: (413) 369-0076 16 Joseph C. Kohn, Esq. VIA U.S. MAIL Martin J. D'Urso, Esq. 17 Kohn, Swift & Graf, P.C. One South Broad Street, Suite 2100 18 Philadelphia, PA 19107-3389 Fax No.: (215) 238-1968 19 20 21 22

23

25

26

27