

Sept 1938	*	*	Chief of Peking Branch	*
Aug. 1939	*	Director	Chief of Peking Branch	*
April 1946	*	*	Main staff of Osaka Branch	*
Aug. 1946	*	*	Chief of C.R. Dep't, Head Office	*
March 1947 Present	*	*	Chief of Business Dep't, Head Office	*

1. The names of the Share-holders and their ratios, who held 10% or more of the stocks of the Co. concerned, at the time of assumption of offices and retirements therefrom.

The name of the Share-holder and the ratio, at the time of assumption of office (22 August 1939).

OKURA MINING CO. 100%

2. The names of other Officials, their positions and dates of assumption of offices and retirements therefrom.

Name	Position	D a t e s	
		Assumption	Retirement
Hara, Takatsugu	Managing Director	5 June 1933	1 March 1940
- " -	Director & Chairman	12 July 1937	9 March 1945
Taketomi, Eiichi	Managing Director	27 June 1934	9 March 1945
- " -	Director &		

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

(一) 明文の取極の有無

該當事項ナシ

(二) 本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

社員トシテ永年勤續シ會社ニ於テ社員ノ内上席トナリタルヲ以テ
役員缺員補充ノタメ會長ノ指名ニヨリ株主總會（形式的）ニ於テ
選任サレテ就任シタ

11. At the time of your assumption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangement existed in writing.

None

b. Details of circumstances under which you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

Having been a senior employee for serving many years, occupied the office, in formality only, as there was a vacancy, by the nomination of the Chairman of the Board of Directors at the Share-holders' General Meeting.

昭和貳拾參年貳月參日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚偽又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名

西田眞一郎



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12. Date

3 February 1948

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and complete disclosure on relevant or material matters in this questionnaire will subject me to penalty in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signature

S. Nishida

大倉

APPROVED *1/10/1948*

承認 昭和23年1月11日

37

Misao Ueda

3 February 1948.

TO : TETSU KATAYAMA, PRIME MINISTER.

SUBJECT: PETITION FOR RECOGNITION AS HAVING NO
RELATION WITH ZAIBATSU.

NAME OF THE PETITIONER: SHINICHIRO NISHIDA, DIRECTOR.

NAME OF THE FIRM: TAISEI CONSTRUCTION CO., LTD.

ADDRESS OF PETITIONER: NO. 143 NICHOME, SETAGAYA,
SETAGAYA-KU, TOKYO-TO.

- R E A S O N S -

IN CONFORMITY WITH ART. 6 OF THE ELIMINATION LAW
AGAINST THE CONTROLLING POWER OF ZAIBATSU FAMILIES.

1. Regarding No. 2, Clause 1, Art. 6 of above law.

I was elected, as a Director, by the nomination of the Chairman of the Board of Directors, at the Shareholders' General Meeting, to the office of the official, in the month of August 1938 (14th year of Showa), for having been a senior employee for serving many years, and as there was a vacancy in the office of the Official. As stated in the attached Questionnaire, I was, only, in charge of special duty and all duties were discharged as directed by the Chairman of the Board of Directors (or by the President) who had the power of decision as regard to the general business affairs as well as the election of the officials. The bonus, for instance, was given me, as an employee and not as an Official. May well be said, that I was no different than a senior employee and also due to the geographical reason, I had not attended most of the Shareholders' General Meetings and afterwards the reports were submitted to me, in mere formality.

As seen from those reasons stated above, as to the circumstances in the assumption of my office, as well as

the discharging of my duties, it is clear, I believe, that I am not a relative Zaibatsu Official.

As an evidential document, attached, please, you will find the certificate, issue by Mr. Takatsugu Hara, Ex-chairman of the Board of the Directors.

IN CONFORMITY WITH ART. 7 OF THE ELIMINATION LAW AGAINST THE CONTROLLING POWER OF ZAIBATSU FAMILIES.

1. I am not a member of Okura Zaibatsu or a consort of any who belong to Zaibatsu, or have no blood relations, whatsoever, nor am I a consort of any of the blood relatives, thereof.

2. Regarding the pre-arrangement in the assumption of the offices by the Officials is as was reported to the Political Section, Central Liaison Office by Okura Mining Co. Prior to the assumption of the office, there was no arrangement for approval by Zaibatsu or Okura Mining Co. and moreover, with no approval, in formality only, was elected at the Share-holder's General Meeting, which was the true circumstances. As evidential documents, attached, please, you will find, a copy of the report as submitted by Okura Mining Co.

3. As stated, on the attached Questionnaire, I was elected to the office of Director on 22nd August 1938 but filled the lowest office among Officials and was a mere Director, as it is clearly seen on the list of Officials' salaries. Directly after the assumption of my office as the Director, I took up the duty as the manager of Peking Branch but all important matters and duties were discharged as directed by the Chairman of the Board of Directors (or by the President) or by the Directors in general, who were the highest responsible persons. Therefore, I possessed no competency nor controlling power. (Please note the annotation.)

ANNOTATION:

Art. of Taisei Construction Co. (As of 31st March 1945)

Art. 7. Board of Directors shall be composed of a Director and chairman, a Director & President, a Managing Director, and a Standing Auditor and shall discuss the business of the Co. by the regulations specially provided.

Annotation:

Officials' Salaries of this Co.

	<u>1936</u>	<u>1938</u>	<u>1939</u>	<u>1943</u>	<u>1945</u>
Managing Director	¥ 350	¥ 500	¥ 650	¥ 800	¥ 800
	<u>1936</u>	<u>1938</u>	<u>1939</u>	<u>1943</u>	<u>1945</u>
Director	¥ 250	¥ 300	¥ 400	¥ 600	¥ 700

4. I am serving as an Official of this Co. and not serving, concurrently, as an Official of any Zaibatsu Co. of zaibatsu concerned.

REFERENCE: Method of election.

Upon formation of Labours' Union in the month of March 1947, the lurking zaibatsu-colour and residuum were cleared away and as a first step towards the democratization of this company, joined in the selection of Officials and thereby, abolishing the old method of election of Officials by the Chairman and President themselves and adapting a new method by which a new President is elected by the common will of all employees. Also, the candidates for other Officials are elected and the newly elected President selects the Officials from among those candidates upon consultation with the Union.

The present Officials assumed their offices on 20th March 1947 by the method of election as stated above.

S. Nishida
Shinichiro Nishida,

P. S. Attached, hereto, please, find the statement regarding the regulations concerning the elections of the officials of Taisei Construction Co., Ltd. by Yoshio Haga, the President of the Labour Union of the employees of Taisei Construction Co., Ltd.

TO : WHOM IT MAY CONCERN.

30 JANUARY 1948

SUBJECT: CERTIFICATE.

1. I served as the Director and the Chairman of the Board of Directors of Taisei Construction Co., Ltd., approximately seven years, from July 1937 and had the power of decision as regard to the business affairs in general as well as election of officials.
2. Therefore, I, hereby, certify that MR. SINICHIRO NISHIDA, DIRECTOR has been nominated by myself and elected to the office of director upon approval by the Share-holders' General Meeting.

T. Hara

Takatsugu Hara,
43 Torigoye, Kanagawa-ku, Yokohama.
Ex-Director and Chairman of Board
of Directors,
Taisei Construction Co., Ltd.
(Former Okura Doboku, K.K.)

- C O P Y -

29 December 1947.

TO : Political Section, Central Liaison Office.
SUBJECT: Regarding Prearrangement in the Assumption
of offices by Officials.

Regarding above subject, we, hereby, answer as following:

1. There was no prearrangement necessary for approval in the assumption of offices by Officials as regards to the officials of all quasi-lineal companies of Okura Mining Co.
2. Okura Mining Co. and all quasi-lineal companies select their Officials, independently and make original proposals and in the case of Okura Mining Co. to Zaibatsu and in the case of all quasi-lineal companies to Okura Mining Co., both of which the proposals are submitted in formality only. And then, the true customary circumstance was that the elections took place as original proposals at the Shareholders' General Meetings. Much more so, as regards to other relative companies, that they made their decisions as to the election of their Officials by their individual intentions.

OKURA MINING CO., LTD.

ON THE ELECTION
OF
OFFICIALS OF TAISEI CONSTRUCTION CO., LTD.

31 January 1948.

- I. After the close of the war, due to the Directives of the Dissolution of Zaibatsu, Okura Doboku Kabushiki Kaisha became to be known as "Taisei Construction Co., Ltd. The Labor Union of the members, at the common will, was organized, March 1946, and to start with the chief purpose of democratizing the administration of the company and to cut off the relation with Okura Zaibatsu, in name as well as in fact.
- II. The Union, under the epoch-making ideal, at the common will of all the members of the company throughout the Empire, elected new President and at the same time elected candidates for other officials. It adopted the method to let the newly elected President to consult with the Union and select other officials from among those candidates. On 20th March 1947, the following assumption of offices were realized.

President	Takeo Fujita
Managing Director	Genjiro Umaru
"	Tokuji Mizushima
"	Kazue Kato
Director	Shin-ichiro Nishida
"	Matsuye Masuda
"	Yoshio Tatsumi
"	Shigeo Nakajima
"	Toshio Shiina
"	Kahei Honma
"	Hideo Miyahara
"	Hiroshi Fujisaki
Auditor	Seigo Sakurai
"	Kotaro Takemoto
"	Shigeo Yamashita

Y. Haga
YOSHIO HAGA, Present Chief,
Labor Union, Taisei Const. Co., Ltd.
4 Sancho-me, Ginza, Chuo-ku, Tokyo.

Sumarised & supplementary explanations submitted by
NISHIDA, Shinichiro (Report No. 36 dated 1/June/48)

3 February 1948.

TO : Tetsu Katayama, Prime Minister.
SUBJECT : Summarized Explanation that I
am not an official connected
with Zaibatsu.
NAME OF THE PETITIONER : Shinichiro Nishida, Director.
NAME OF THE FIRM: : Taisei Construction Co., Ltd.
ADDRESS OF THE PETITIONER: No. 1430 Nichome, Setagaya,
Setagaya-ku, Tokyo.

As I consider myself not an Official connected with Zaibatsu, I appeal for the examination of the documents and submit the summary explanation as following:

1. The reasons why I am not an official connected with Zaibatsu are as noted in
 - a. Individual investigation paper.
 - b. Application for the recognition that I am not an Official connected with Zaibatsu.
 - c. Appendix for above.

But originally the main companies under Okura-gumi there existed four (4), namely,

- a. Okura Kogyo Kabushiki Kaisha. (Became parent company after the dissolution of Gomei Kaisha Okura-gumi.)
- b. Okura Shoji Kabushiki Kaisha. (Known as Naigai Tsusho Kabushiki Kaisha at present.)
- c. Okura Kasai Kaijo Hoken Kabushiki Kaisha. (Known as Chiyoda Kasai Kaijo Kabushiki Kaisha at present.)
- d. Okura Doboku Kabushiki Kaisha. (Known as Taisei Kensetsu Kabushiki Kaisha or in English, Taisei Construction Co., Ltd.)

The last company (d) out of four (4) being civil and architectural contracting company (Civil Engineers, Architects and General Contractor) as being considered as low characterized business and thereby received no equal treatment as other three (3) companies but has received treatment entirely as an outsider. Especially after the assumption of an office as Special Managing Director by Mr. Takatsugu Hara (Aug. 1933) when so-called railway scandal (our company had no concern) took place, those whose names appeared as far as official documents concerned, all resigned and entrusted all matters in the hands of dictatorship of Mr. Takatsugu Hara. Therefore, the fact was, from then, Mr. Hara at his own authority, selected all officials.

2. In Aug. 1939, while I was serving as the chief of Peking Detached Office, I was elected as a Director of which the decision was telegraphed to me. In January of the following year (1940) when I came to Tokyo on business conference, I made a call on Mr. Hara, then the Chairman of the Board of Directors. Mr. Hara then notified me as following:

"As there occurred a vacancy in the office of an Official, you are selected as an Official, for being a senior member among employees, but as to the treatment, it shall be same as heretofore and there shall be no difference. I want you to understand that the bonus shall be same as heretofore as other members of the employees. And as to the discharging of your duties on all business matters it shall be same as heretofore and that you should not act at your own discretion just because you are a Director."

3. The Taisei Kensetsu Kabushiki Kaisha (Former Okura Doboku Kabushiki Kaisha) was, as a whole, under the dictatorship of the chairman, Mr. Hara but there existed under the chairman a special organ for the management of business known as Directors' Seat. We had no authority on any matter without an order and permission from the Directors' Seat. Whether the chief of a Detached Office (later called Branch Manager) was a Director or a common employee, it was the same, (Regarding this particular point the procedure is same even at present.)

Therefore, the difference between the chief of the Directors and the chief of the employees was only in name and there existed no other difference. The organization of the Directors' Seat was as explained in the

Petition. (Composed of a chairman, President, Standing Director, and Standing Auditor.)
Although I am a Director at present, I have no connection with the Directors' Seat.

4. I became the shareholder at the same time I took up the office of Directorship but only in name and I have not at all seen any of my share certificates.
5. From the time I took up the office of Directorship until Aug. 1945 the number of times I attended the meetings are as following:

Meeting	No. of meeting held	No. of meeting attended
Officials' meeting	215	9
General shareholders' meeting	20	1
	Total	10

6. The point that needs to be stressed upon is that the chairman, the President and the Standing Director (3) resigned their respective offices as the result of Public Office Purgi Directive of March 1947, as having relation with Zaibatsu. Following these 3 resignations, the rest of the Officials all resigned their posts but were reelected.

These new Officials were elected by the entire employees of the company throughout the country. As the result of the election, some were reelected and others were new members. The method of the election of Officials, I believe, was a rare accuracy in the financial circles.

S. Nishida
Shinichiro Nishida

3 February 1948.

TO : Tetsu Katayama, Prime Minister.
SUBJECT : Supplementary Explanation.
NAME OF THE PETITIONER : Shinichiro Nishida, Director.
NAME OF THE FIRM : Taisei Construction Co., Ltd.
ADDRESS OF THE PETITIONER: No. 1430 Nichome, Setagaya,
Setagaya-ku, Tokyo.

1. Date of return from Peking.

Arrived at Tokyo 24 April, 1946.

After the termination of war, 15 Aug. 1945, all Japanese residents in Peking under all possible hardships were awaiting their dates of repatriation to Japan but fortunately on 6th April 1946, the next year, started Peking to Tingsien camp where we were camped 11 days and on 17th of April we left there and from Tongkoo we boarded "Liberty" and landed at Senzaki on 22nd and reached Tokyo on the night of 24th on the repatriates train.

On the way we were allowed to take along possessions what we could carry but while I was on board "Liberty" I was robbed of one bundle, so I brought one knapsack for miscellaneous items and one hand bag only including one thousand yen (¥ 1,000.00) which was given me at the time of my landing at Senzaki. These were the entire properties with which I returned.

On landing at Senzaki, it was to my greatest surprise to learn that the name Okura Doboku had been changed to Taisei Kensetsu.

Reaching Tokyo on the night of 24th April, I came to the main office on 30th April when I was ordered to station at Osaka Branch Office.

2. I am a graduate of B class Technical School (Dep't of

Civil Engineering) and therefore I had served as an engineer throughout my entire service.

Above statement was omitted in the Individual Investigation Paper and others, therefore, I hereby supplement herewith.

S. Nishida
Shinichiro Nishida

APPROVED June, 1948
承認 昭和23年6月/日



NAME GENJIRO OMARU

Misao Ueda

No. 36 Name of Company Taisei KENSETSU K.K.

個人調査書

(記載上の一般的注意)

- 一、本調査書は、三通提出しなければならない。
- 二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本語に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。
- 三、記載事項は、楷書(英文の場合は大文字活字體)で明瞭に記入しなければならない。
- 四、各記載事項は、空白のまゝにしないよう正確に且つ良心的に記入しなければならない。
- 五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。
- 六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に続く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。
- 七、この調査書の重要な事項について虚偽の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

大成建設株式會社 會社 第 壹 號

氏名

王 丸 源 次 郎

個人調査書

一、姓名(振假名をつけること)

オオマルゲン
王丸源次郎

二、從來使用し又は一般に通用している他の名稱(通稱、筆名等)

該當事項ナシ

三、生年月日(年齢數え年)

明治二十五年九月十七日生 五十七才

四、出生地(都道府縣)

福岡縣

五、現住所(略記しないこと)及び電話番号

東京都北區稻村町五丁目八四三番地

六、本籍地(略記しないこと)

福岡縣粕屋郡箱崎町大字箱崎二四〇四番地

Page 1

Questionnaire

1. Name (In full).

GENJIRO OMARU

2. Other names which you have used or by which you have been known.
(alias or penname).

None

3. Date of birth (age).

17 September 1892 (57 year)

4. Place of birth (prefecture).

Fukuoka Prefecture

5. Present address (in full) and telephone number.

No. 843, Gochome, Inatsuki-machi, Kita-ku, Tokyo

6. Permanent address (in full).

No. 2404, Oaza, Hakozaiki-cho, Kasuya-gun, Fukuoka Prefecture.

七、現に保有し又は就こうとする財閥、制限、從屬又は關係會社における役員としての地位

(一) 會社の名稱及び財閥系統(昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む)

大成建設株式會社
(舊商號大倉土木株式會社)

大倉鐵業株式會社
↓大成建設株式會社

(二) 財閥會社、制限會社、從屬會社又は關係會社の區分(財閥會社の場合には更に直系、準直系又は傍系の區分)

準直系會社

(三) 地位

大成建設株式會社
常務取締役

(四) 就任の豫定日

該當事項ナシ

八、前項の他本人の保有するすべての身分及び職業

建築學會

正會

員

建築協會

會

員

九、財閥としての指定者との親族關係

(一) 關係の有無及び有りとすればその詳細(財閥同籍者との親族關係を含む。)

該當事項ナシ

(二) 指定者か指定された日において指定者同一戸籍内にあつたことの有無

該當事項ナシ

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7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

Taisei Construction Co., Ltd.
(Formerly Okura & Co., Ltd., Engineers & Contractors)
Okura Co's Quasi-lineal Co.
(Okura Mining Co. - Taisei Construction Co., Ltd.)

b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

Quasi-lineal Co.

c. Position.

Managing Director, Taisei Construction Co., Ltd.

d. Scheduled date of assumption of the position.

None

8. All other positions and professions which you hold now.

Regular Member: Institute of Architects.
Member: Builders' Association

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (including relationship with the Zaibatsu family members.)

None

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

None

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務内容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務内容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の待遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること

- (1) 本人の役員としての就任及び退任の時に於ける當該會社の株式の割以上の所有者の氏名及び持株率
- (2) 本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

就職及び退職日附	會社團體等の名稱	地位(職員たる場合を含む)	職務内容(詳細に)	會社團體等の事業内容及び所在地
大正十年九月	大成建設株式會社(當時社名日本土木株式會社)	社員	建築部勤務	土木建築請負業 東京都中央区銀座三丁目
自昭和六年七月 至昭和七年十二月	"	社員	台北出張所作業所建築主任	"
自昭和八年一月 至昭和十四年七月	"	"	福岡出張所々長	"
昭和十年六月	"	参事	"	"

10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

Dates of employment and retirement.	Name of company or organization where you served.	Status of the position (including position as ordinary personnel.)	Duties and powers (in detail).	Substance of business of organization or company where you served and its location.
Sept. 1921	Taisei Const. Co., Ltd. (Formerly Nihon Doboku Co., Ltd.)	Staff	Architectural Department	General Contractor Chuo-ku, Tokyo
July 1931 Dec. 1932	"	"	Chief of Field Office, Taihoku Branch	"
Jan. 1933 July 1939	"	"	Chief of Fukuoka Branch	"
June 1937	"	Secretary	"	"
Aug. 1939 March 1945	"	Director	Chief of Osaka Branch (22 Aug. 1939) (Director)	"

自昭和十四年八月 至昭和二十年三月	自昭和二十年四月 至昭和二十年九月	自昭和二十年十月 至昭和二十二年三月	自昭和二十二年五月 至現在
取締役	取締役	取締役	常務取締役
大阪出張所長 (昭和十四年八月二十二日 取締役ニ就任ス)	東京支店長	東京本社 營業部長	

(1) 本人ノ役員トシテノ就任及ビ退任ノ時ニオケル當該會社ノ株式ノ一割以上ノ所有者ノ氏名及ビ持株率

(2) 就任時(昭和十四年八月二十二日)ニオケル所有者ノ氏名及ビ持株率

大倉鐵業株式會社 一〇〇%

(2) 本人ガ勤務シタ期間ニオケル他ノ役員ノ氏名地位及ビ就任退任ノ年月日

氏名	地位	就任年月日	退任年月日
原 孝次	専務取締役 取締役會長	昭和八年六月五日 昭和十二年七月十二日	昭和十五年三月一日 昭和二十年三月九日
武富 英一	常務取締役 取締役會長	昭和九年六月二十七日 昭和二十年三月九日	昭和二十年三月九日 昭和二十二年三月二十日
大谷 清記	監査役	昭和十年六月二十八日	昭和十八年十一月二十日
龍田 定憲	取締役	昭和九年六月二十七日	昭和二十年三月九日
佐野 三千三	取締役 常務取締役	昭和九年六月二十七日 昭和二十年三月九日	昭和二十年三月九日 昭和二十二年一月十五日
寺島 昇	監査役	昭和十四年八月二十二日	昭和十五年六月十日

加藤一衛	中島重雄	辰巳芳雄	吳村八郎	藤田武雄	増田松榮	水嶋篤次	西田貞一郎	大倉銀三郎	池野敏夫	小林英彦	大西進	小松五郎衛
常務取締役	監査役 取締役	監査役 取締役	監査役	取締役社長	監査役 取締役	監査役 取締役 常務取締役	取締役	監査役 取締役	常務取締役	取締役	常務取締役 取締役社長	常務取締役
昭和二十二年三月二十日	昭和二十一年八月一日 昭和二十二年三月二十日	昭和二十一年八月一日 昭和二十二年三月二十日	昭和二十二年三月九日	昭和二十一年九月五日 昭和二十二年三月二十日	昭和二十一年八月九日 昭和二十二年三月二十日	昭和二十一年八月十八日 昭和二十二年三月二十日	昭和十四年八月二十二日	昭和十四年四月五日 昭和二十年三月九日	昭和十四年八月二十二日 昭和二十年三月九日	昭和十四年四月五日	昭和十四年八月二十二日 昭和二十年三月九日	昭和十四年八月二十二日
〃	昭和二十二年三月二十日 現在二至ル	昭和二十二年三月二十日 現在二至ル	昭和二十二年三月二十日	昭和二十二年三月二十日 現在二至ル	昭和二十一年八月一日 現在二至ル	昭和二十一年八月二十一日 昭和二十二年三月二十日	現在二至ル	昭和二十年三月九日 昭和二十一年四月二十五日	昭和二十年三月九日 昭和二十一年七月十二日	昭和二十二年二月五日	昭和二十年三月九日 昭和二十二年三月二十日	昭和二十年十月二十三日

山下茂雄	武本光太郎	櫻井省吾	藤咲博	宮原英雄	本間嘉平	椎名俊男
"	"	監査役	"	"	"	取締役
"	"	"	"	"	"	"
"	"	"	"	"	"	"

寺島昇	佐野三千三	龍田定憲	大谷清記	武富英一	原孝次
監査役	取締役 常務取締役	取締役	監査役	取締役 常務取締役 取締役會長	取締役 專務取締役 取締役會長
昭和十四年八月二十二日	昭和十九年六月二十七日 昭和二十年三月九日	昭和十九年六月二十七日	昭和二十年六月二十八日	昭和十九年六月二十七日 昭和二十年三月九日	昭和十八年六月十五日 昭和二十年七月十二日
昭和十五年六月十日	昭和二十年三月九日 昭和二十二年一月十五日	昭和二十年三月九日	昭和十八年十月二十日	昭和二十年三月九日 昭和二十二年三月二十日	昭和十五年三月一日 昭和二十年三月九日

April 1945 Sept. 1945	*	*	Chief of Tokyo Branch	*
Oct. 1945 March 1947	*	*	Chief of Business Dep't, Head Office	*
March 1947 Present	*	Managing Director	*	*

1. The names of the share-holders and their ratios, who held 10% or more of the stocks of the Co. concerned, at the time of assumption of offices and retirements therefrom.

The name of the share-holder and the ratio, at the time of assumption of office (22 August 1939).

OKURA MINING CO. 100%

2. The names of other officials, their positions and dates of assumption of offices and retirements therefrom.

Name	Position	D a t e s	
		Assumption	Retirement
Takatsugu Hara	Managing Director	5 June 1919	1 March 1940
- " -	Director & Chairmen	12 July 1937	9 March 1945
Hiichi Taketomi	Managing Director	27 June 1934	9 March 1945
- " -	Director & Chairman	9 March 1945	20 March 1947
Seiki Otani	Inspector	28 June 1935	20 Dec. 1943
Sadanori			

- " -	Director & Chairman	9 March 1945	20 March 1947
Seiki Otani	Inspector	28 June 1935	20 Dec. 1943
Sadanori Iagota	Director	27 June 1934	9 March 1945
Michizo Sano	"	27 June 1934	9 March 1945
- " -	Managing Director	9 March 1945	15 Jan. 1947
Noboru Terashima	Inspector	22 Aug. 1939	10 June 1940
Goroe Komatsu	Managing Director	22 Aug. 1939	23 Oct. 1945
Susumu Onishi	"	22 Aug. 1939	9 March 1945
Susumu Onishi	President	9 March 1945	20 March 1947
Hidehiko Kobayashi	Director	5 April 1937	5 February '47
Toshio Ikeno	"	22 Aug. 1939	9 March 1945
- " -	Managing Director	9 March 1945	12 July 1946
Ginzaburo Okura	Inspector	5 April 1939	9 March 1945
- " -	Director	9 March 1945	25 April 1946
Nishida Shinichiro	"	22 Aug. 1939	(Holding office)
Tokuji Mizushima	Inspector	18 Feb. 1943	1 Aug. 1946
- " -	Director	1 Aug. 1946	20 March 1947
- " -	Managing Director	20 March 1947	5 Oct. 1947
Matsuye Masuda	Inspector	9 March 1945	1 Aug. 1946
- " -	Director	1 Aug. 1946	(Holding office)
Takeo Fujita	"	5 Sept. 1946	20 March 1947
- " -	Director & President	20 March 1947	(Holding office)

Hachiro Okumura	Inspector	9 March 1945	20 March 1947
Yoshio Tatsumi	"	1 Aug. 1946	20 March 1947
- " -	Director	20 March 1947	(Holding office)
Shigeo Nakajima	Inspector	1 Aug. 1946	20 March 1947
- " -	Director	20 March 1947	(Holding office)
Kazuye Kato	Managing Director	20 March 1947	(- " -)
Toshio Shiina	Director	20 March 1947	(Holding office)
Kahei Honma	"	20 March 1947	(- " -)
Hideo Miyahara	"	20 March 1947	(- " -)
Hiroshi Fujisaki	"	20 March 1947	(- " -)
Seigo Sakurai	Inspector	20 March 1947	(- " -)
Kotaro Takemoto	"	20 March 1947	(- " -)
Shigeo Yamashita	"	20 March 1947	(- " -)

2. The names of other officials, their positions and dates of assumption of offices and retirements.

Director & Chairman
17 July 1947

十一、本人の役員就任當時その勤務した財閥會社と財閥又は財閥直系會社との間に役員としての就任についての事前承認を必要とする旨の取極の有無

(一) 明文の取極の有無

該當事項ナシ

(二) 本人の役員としての就任の事情の詳細、特にその就任につき財閥又は財閥直系會社が關與した事實の有無

社員トシテ永年勤続シ會社ニ於テ社員ノ内上席トナリタルヲ以テ役員缺員補充ノタメ會長ノ指名ニヨリ株主總會（形式的ニ）ニ於テ選任サレテ就任シタ

11. At the time of your assumption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangement existed in writing.

None

b. Details of circumstances under which you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

Having been a senior employee for serving many years, occupied the office, in formality only, as there was a vacancy, by the nomination of the Chairman of the Board of Directors at the Share-holders' General Meeting.

昭和貳拾参年貳月参日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚偽又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名

玉丸 保太郎



Page 6

12. Date

3 February 1948

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and complete disclosure on relevant or material matters in this questionnaire will subject me to penalty in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signature

G. Onoda

大倉



36

APPROVED / ~~1948~~ / 1948
承認 昭和23年6月/日

Misao Ueda

3 February 1948.

TO : TETSU KATAYAMA, PRIME MINISTER.
SUBJECT: PETITION FOR RECOGNITION AS HAVING NO RELATION
WITH ZAIBATSU.
NAME OF THE PETITIONER: GENJIRO OMARU, MANAGING DIRECTOR.
NAME OF THE FIRM: TAISEI CONSTRUCTION CO., LTD.
ADDRESS OF PETITIONER: NO. 843 GOCHOME, INAZUKE-CHO,
KITA-KU, TOKYO-TO.

- R E A S O N S -

IN CONFORMITY WITH ART. 6 OF THE ELIMINATION LAW
AGAINST THE CONTROLLING POWER OF ZAIBATSU FAMILIES.

1. Regarding No. 2, Clause 1, art. 6 of above law.

I, as an Official, hereby, state as seen from the true circumstances in the assumption of my office and in the discharging my duties, that I have whatsoever, no relation with Zaibatsu Officials and the reasons therefor as following.

Having served many years in this Co., I was a senior employee and as there occurred a vacancy among officials, I filled the office of the Director by the nomination of the Chairman of the Board of Directors, who had the power of decision as regard to the general business affairs as well as the election of Officials, and elected at the Share-holders' General Meeting on 22nd August 1938 and was classed at the lowest of the officials. After the assumption of my office, as the Director, as stated on the attached Questionnair, merely was engaged in special work and executed all duties as directed by the Chairman of the Board of Directors or by the President who were the administrators and the highest responsible persons.

I, hereby, attach the certificate issued by Ex-chairman, Mr. Takatsugu Hara as an evidential document.

IN CONFORMITY WITH ART. 7 OF THE ELIMINATION LAW AGAINST THE CONTROLLING POWER OF ZAIBATSU FAMILIES.

1. I am not a member of Okura Zaibatsu or a consort of any who belong to Zaibatsu, or have no blood relations, whatsoever, nor am I a consort of any of the blood relatives, thereof.

2. Regarding the prearrangement in the assumption of the offices by the officials is as reported to the Political Section, Central Liaison Office by Okura Mining Co. Prior to the assumption of the office, there was no arrangement for approval by Zaibatsu or Okura Mining Co. and moreover, with no approval, in formality only, elected at the Share-holders' General Meeting, which was the true circumstances. As evidential documents, attached, please, you will find, a copy of the report as submitted by Okura Mining Co.

3. As stated on the attached questionnaire, I was elected to the office of Director on 22 August 1938, but filled the lowest office among Officials, and was mere Director, as it is clearly seen on the list of Officials' salaries. (Please note the annotation) Directly after the assumption of my office as the Director, I was ordered to take up the office of Osaka Branch Manager and then, in the month of April 1945, the Tokyo Branch Manager and in the month of same year, the office of Manager of Business Dep't. But all important matters were executed as directed by the Chairman (or by the President) or by the Directors, in general (Please refer to annotation), who were the highest responsible persons and thereby, I had no competency nor controlling power any more than that of a Managing Director.

ANNOTATION:

Art. of Taisei Construction Co. (As of 31st March 1945)

Art. 7. Board of Directors shall be composed of a Director and chairman, a Director & President, a Managing Director, and a Standing Auditor and shall discuss the business of the Co. by the regulations specially provided.

Annotation:

Officials' Salaries of this Co.

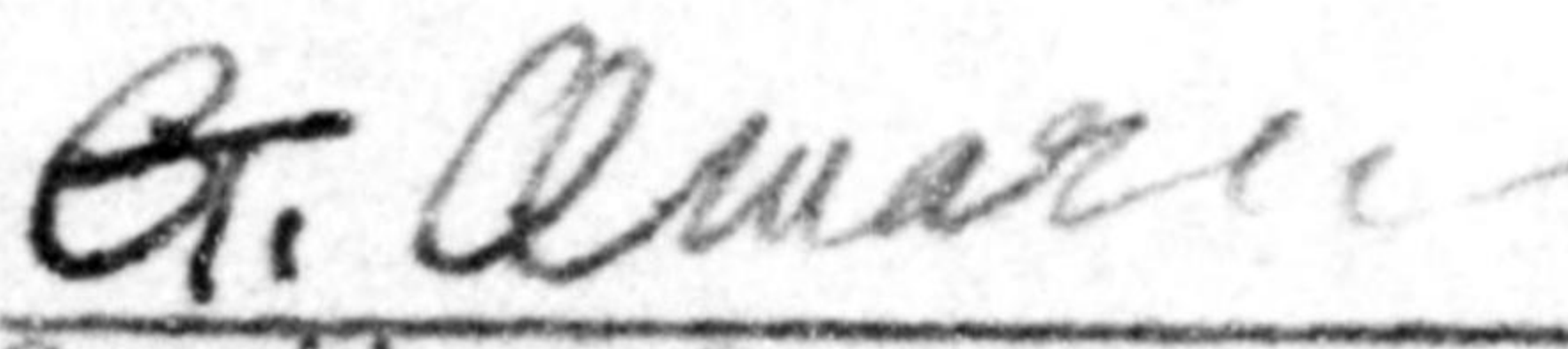
	<u>1936</u>	<u>1938</u>	<u>1939</u>	<u>1943</u>	<u>1945</u>	<u>1946</u>
Managing Director	¥ 350	¥ 500	¥ 650	¥ 800	¥ 800	¥ 2,400
	<u>1936</u>	<u>1938</u>	<u>1939</u>	<u>1943</u>	<u>1945</u>	<u>1946</u>
Director	¥ 250	¥ 300	¥ 400	¥ 600	¥ 700	¥ 2,200

4. I am serving as an Official of this Co. and not serving, concurrently, as an Official of any Zaibatsu Co. of Zaibatsu concerned.

REFERENCE: Method of election.

Upon formation of Labours' Union in the month of March 1947, the lurking Zaibatsu-colour and residuum were cleared away and as a first step towards the democratization of this company, joined in the selection of Officials and thereby, abolishing the old method of election of Officials by the Chairman and President themselves and adapting a new method by which a new President is elected by the common will of all employees. Also, the candidates for other Officials are elected and the newly elected President selects the Officials from among those candidates upon consultation with the Union.

The present Officials assumed their offices on 20th March 1947 by the method of election as stated above.


Genjiro Omaru

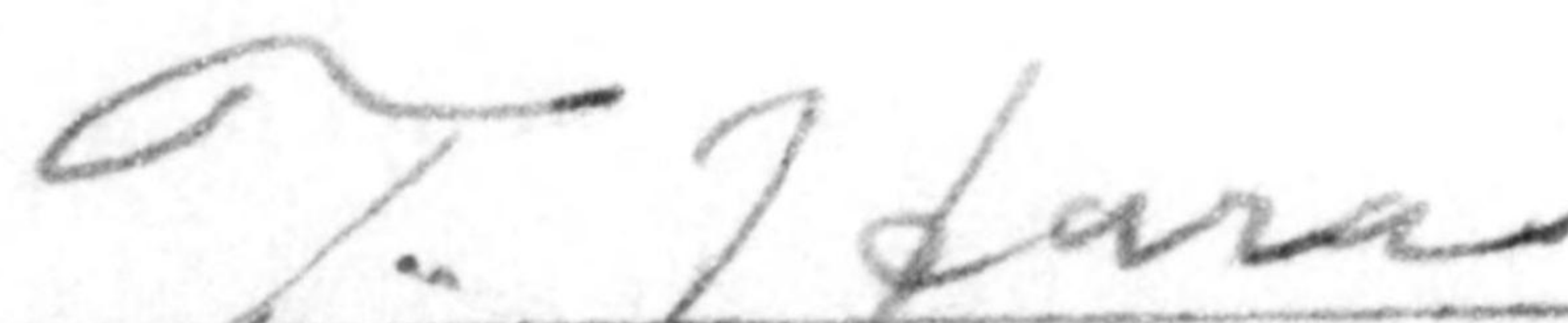
P.S. Attached, hereto, please, find statement regarding the regulations concerning the elections of the officials of Taisei Construction Co., Ltd. by Yoshio Haga, the President of the Labour Union of the employees of Taisei Construction Co., Ltd.

TO : WHOM IT MAY CONCERN.

30 JANUARY 1948

SUBJECT: CERTIFICATE.

1. I served as the Director and the Chairman of the Board of Directors of Taisei Construction Co., Ltd., approximately seven years, from July 1937 and had the power of decision as regard to the business affairs in general as well as election of Officials.
2. Therefore, I, hereby, certify that MR. GENJIRO OMARU, STANDING DIRECTOR has been nominated by myself and elected to the office of Director upon approval by the Share-holders' General Meeting.



Takatsugu Hara,
43 Torigoye, Kanagawa-ku, Yokohama. (1)
Ex-Director and Chairman of Board
of Directors,
Taisei Construction Co., Ltd.
(Former Okura Doboku, K.K.)

- C O P Y -

29 December 1947.

TO : Political Section, Central Liaison Office.
SUBJECT: Regarding Rearrangement in the Assumption
of offices by Officials.

Regarding above subject, we, hereby, answer as following:

1. There was no prearrangement necessary for approval in the assumption of offices by Officials as regards to the officials of all quasi-lineal companies of Okura Mining Co.
2. Okura Mining Co. and all quasi-lineal companies select their Officials, independently and make original proposals and in the case of Okura Mining Co. to Zaibatsu and in the case of all quasi-lineal companies to Okura Mining Co., both of which the proposals are submitted in formality only. And then, the true customary circumstance was that the elections took place as original proposals at the Shareholders' General Meetings. Much more so, as regards to other relative companies, that they made their decisions as to the election of their Officials by their individual intentions.

OKURA MINING CO., LTD.

ON THE ELECTION
OF
OFFICIALS OF TAISEI CONSTRUCTION CO., LTD.

31 January 1948.

- I. After the close of the war, due to the Directives of the Dissolution of Zaibatsu, Okura Doboku Kabushiki Kaisha became to be known as "Taisei Construction Co., Ltd. The Labor Union of the members, at the common will, was organized, March 1946, and to start with the chief purpose of democratizing the administration of the company and to cut off the relation with Okura Zaibatsu, in name as well as in fact.
- II. The Union, under the epoch-making ideal, at the common will of all the members of the company throughout the Empire, elected new President and at the same time elected candidates for other officials. It adopted the method to let the newly elected President to consult with the Union and select other officials from among those candidates. On 20th March 1947, the following assumption of offices were realized.

President	Takeo Fujita
Managing Director	Genjiro Omaru
" "	Tokuji Mizushima
" "	Kazue Kato
Director	Shin-ichiro Nishida
"	Matsuye Masuda
"	Yoshio Tatsumi
"	Shigeo Nakajima
"	Toshio Shiina
"	Kahei Honma
"	Hideo Miyahara
"	Hiroshi Fujisaki
Auditor	Seigo Sakurai
"	Kotaro Takemoto
"	Shigeo Yamashita

Y. Haga
YOSHIO HAGA, Present Chief,
Labor Union, Taisei Const. Co., Ltd.
4 Sancho-me, Ginza, Chuo-ku, Tokyo.

2
36
3 February 1948.

TO : TETSU KATAYAMA, PRIME MINISTER.

SUBJECT: APPENDED DOCUMENT FOR THE RECOGNITION THAT
I AM NOT ZAIBATSU OFFICIAL.

NAME OF THE PETITIONER: GENJIRO OMARU, DIRECTOR.

NAME OF THE FIRM: TAISEI CONSTRUCTION CO., LTD.

ADDRESS OF THE PETITIONER: NO. 843 GOCHOME, INAZUKI-
MACHI, OJI-KU, TOKYO-TO.

1. OFFICIAL CIRCUMSTANCES:

a. While serving as the head of Osaka agent, as there occurred vacancy in the office of director, having been a senior member among employees, was elected as a director in the month of August 1939 and not because of that the Osaka agent was at the top rank of all agents.

The Osaka agent was made a branch in the month of April 1945 but was not duly registered and therefore the power possessed by the branch was same as that of an agent. It was, merely, the change of name that it took place. All agents, at that time, throughout Japan, similarly, were promoted to branches.

b. I was transferred to the Tokyo Branch as manager in the month of April 1945 but there was no difference from that of the head of an agent and discharged my duties by the order from Directors' Board (Composed of President, Managing Director and Standing Auditor) and relating to the competent businesses, it was customary, to dispose by the orders received from the managers of all departments of the head office, concerned.

c. The same year, October, I became the manager of the Business Department and although, I was entrusted for the acquisition of works but the tendering of estimates and contracts agreements, were performed upon contacting all managers of the departments concerned and as directed by the directors' board.

2. SUBSTANCES OF THE OFFICIALS' SALARIES (AS of Aug. 1939)

Position	Name	Salary (¥)
Director & Chairman	Takatsugu Hara	600.00
Managing Director	Eiichi Taketomi	500.00
"	Susumu Onishi	500.00
"	Goroye Komatsu	500.00
Director	Michizo Sano	400.00
"	Toshio Ikeno	400.00
"	Hidehiko Kobayashi	400.00
"	Sadanori Kagota	400.00
"	Genjiro Omaru	300.00
"	Shinichiro Nishida	300.00

Reference: At the time, the basic salary for the highest employee was ¥ 250.00.

Genjiro Omaru

Sumarised & supplementary explanations submitted
by OMARU, Genjiro (Report No. 36 dated 1/June/48)

3 February 1948.

TO : Prime Minister.

SUBJECT : Summarized Explanation that I am not an Official connected with Zaibatsu.

NAME OF THE PETITIONER : Genjiro Omaru.

NAME OF THE FIRM : Taisei Construction Co., Ltd.

ADDRESS OF THE PETITIONER: No. 348A Gochome, Inazuki-machi, Kita-ku, Tokyo.

As I consider myself not an Official connected with Zaibatsu, I appeal for the examination of the documents and submit the summary explanation as following:

1. Originally the main companies under Okura-gumi, there existed four (4), namely,
 - a. Okura Kogyo Kabushiki Kaisha (After the dissolution of Gomei Kaisha Okura-gumi became parent company.)
 - b. Okura Shoji Kabushiki Kaisha (Known as Naigai Kabushiki Kaisha at present.)
 - c. Okura Kasai Kaijo Hoken Kabushiki Kaisha (Known as Chiyoda Kasai Kaijo Hoken Kabushiki Kaisha at present.)
 - d. Okura Doboku Kabushiki Kaisha (Known as Taisei Kensetsu Kabushiki Kaisha at present or in English, Taisei Construction Co., Ltd.)
2. The last company (d) one of four (4) being civil and architectural contracting company (Civil Engineers, Architects and General Contractor) as being considered a low characted business and thereby received no equal treatment as other three (3) companies, but has received treatment entirely as an outsider. Especially after the assumption of an office as Special Managing Director by Mr. Takatsugu Hara (Aug. 1933)

when so-called Railway scandal (our company had no concern) took place, those whose names appeared as for as official documents concerned, all resigned and entrusted all matters in the hands of dictatorship of Mr. Takatsugu Hara. Therefore, there was no intervention either in the management of the company or in the personnel affairs. The fact was that the election of Officials of which decision also took place by the complete authority of Managing Director, Mr. Hara. I want to stress that the Officials of the Doboku Company were not nominated nor recommended members by of Zaibatsu who was the large shareholder.

3. In August 1939 (while in service at Fukuoka Detached Office) I was called to the main office and was told to assume Directorship. Then I was told that I was a senior member and elected as an Official as there occurred a vacancy among Officials but as to my treatment there is not a slightest difference as heretofore. Further I was told that bonus would be given me according to the work accomplished and regarding the business matters I had no authority to exercise according to my own will. In fact I had not received any Officials' bonus.
4. The company was, as a whole, under the dictatorship of the Chairman, Mr. Hara but there existed under the Chairman a special organ for the management of business known as Directors' Seat. We had no authority on any matter without an order and permission from the Directors' Seat. Whether the chief of a Detached Office (later called Branch Manager) was a Director or a common employee, it was the same. (Regarding this particular point the procedure is same even at present.) Therefore the difference between the chief of the Directors and the chief of the employees was only in name and there existed no other difference. Moreover, no registration was made according to the commercial law. The organization of the Directors' Seat was as explained in the Petition. (Composed of a Chairman, President, Standing Director, and Standing Auditor.)
5. I became the shareholder at the same time I took up the office of Directorship but only in name and I have not at all seen any of my share certificate.

6. The circumstance was when I attended one Directors' Meeting at the main office, from Osaka, the business was almost carried on by the Chairman Hara's own will and had no chance to present our opinions but to listen to the explanation of the bills prepared.
7. It is a worthy of special mention that in March 1947 that the Chairman, the President and the Standing Director (3) resigned their respective offices as the result of Public Office Purge Directive as having relation with Zaibatsu. Following these 3 resignations, the rest of the Officials all resigned their posts and also the point that the officials were newly elected by the Labor Union. These new Officials were elected by the entire employees of the company throughout the country. As the result of the election, some were reelected and others were new members. The method of the election of Officials, I believe, was a rare occurrence in the field of construction.

Genjirō Omaru
Genjirō Omaru

3 February 1948.

TO : Tetsu Katayama, Prime Minister.
SUBJECT : Supplementary Explanation.
NAME OF THE PETITIONER : Genjiro Omaru, Director.
NAME OF THE FIRM : Taisei Construction Company, Ltd.
ADDRESS OF THE PETITIONER: No. 348A Gochome, Inazuki-machi,
Kita-ku, Tokyo.

From the year (22 Aug. 1939) I occupied the post as an Official until the termination of war, 4 Sept. 1945, the number of Officials' meetings held were 215 times as seen from the paper attached herewith. During my stay in Osaka as the chief of the Detached Office there, about 5 years, from 22 Aug. 1939 to 9 March 1945, the number of times I attended the meetings were 16 and as Tokyo Branch Manager, from 10 March 1945 to Oct. 1945, approximately 7 months, the number attended were 5 times, the total of which were 21 times.

Regarding the true circumstances of the Official meetings during my stay in Osaka as the chief of Detached Office and my standpoint, I wish to narrate unreservedly.

As the Chairman Takatsugu Hara was an expert on the accountings, there was no one within the company who could be compared with him as regard to the calculation and moreover he was clear headed and worthy of admiration. Therefore the employees within the company were greatly afraid of him. In as much there was something that clogged their nostrils.

In all Official meetings, at that time, Mr. Seiki Otani the Manager of the Dep't of Accounting, concurrently the Director, was the target of criticisms. Mr. Otani was rather a quite and a moderate gentleman and therefore from beginning to the end of the meeting, he was maltreated. Consequently the Officials' meetings were "teasing gatherings" for Mr. Otani for whom other officials felt extremely sorry.

It may be same with all other companies. As a whole, at an Officials' meeting the management and accounting were the main subjects for discussions. I, being the graduate from Dep't of Architecture, Tokyo Higher Engineering School, 1915, I do not understand the subject of accountings throughly. Having occupied the lowest seat of Directors, I did not express my opinions nor was asked for my opinions. Generally, I merely received the orders from the special organ of our company, Directors' Seat (composed of the Chairman, President, Standing Director, and Standing Auditor.) by which the decisions were made. Besides mentioned above, time was mostly spent in general chats. I could not help of sympathizing Mr. Otani for all the teasings and maltreatment he had received, and I could not help of my feeling indignation and all other members felt the same.

Having been Mr. Hara's own recital on financial accountings, there never occurred any discussion of technical problems as far as I know. Thereby, gradually, I became to restraint myself from attending the Officials' meetings. Nonsense, the Officials' meetings were! Teasing gathering for Mr. Otani! There was no use of my coming to Tokyo from Osaka to attend the meeting overcoming the great traffic difficulties. From then on, I merely attended the meeting in propriety at my own will. In fact, the meeting with only one-tenth of the total attended, the agenda had smoothly progressed. Though I absented myself from attending the meetings, I had never been questioned why I had not attended. The Directors' Seat did not count on me for which I rather, felt happy.

There was an interesting incident. I came to Tokyo from Osaka on the day of regular Official meeting. The very day Mr. Hara asked me why I had come to Tokyo. Forgotten Officials' meeting. I was his forgotten official. And I made up my mind to forget the scheduled meeting. But later a minute-book was mailed to me.

During the period of my post as the Tokyo Branch Manager, Mr. Taketomi, former chairman and Mr. Onishi were the chairman and President respectively. It was amid the terror of air raid, 12 April, that I was transferred to Tokyo from Osaka. The city of Tokyo was reduced to ashes though our main office was safe. The Branch Office was located at No. 3 Kugayama, Suginami Ward and on the way minding the attack of air raid by Graman, I came to Ginza.

At this period, the chairman and the president both were technical men and so at the meeting mostly food and materials which were one of the important items in order to expedite the constructions were on the agenda if I remember correctly. But by for more time was spent in asking and talking various things concerning themselves and others, such as, Mr. so and so lost his entire property last night as the result of air raid, where did he evacuate, where did he hide himself, etc. We all had no idea about ourselves next day. We were all wondering around, this way and that, in all directions searching for food. The Officials' meetings in this period of confusion and terror, you can well imagine for yourself that the true circumstances of the Officials' meetings which were really dead gatherings.

Genjiro Omaru
Genjiro Omaru

NUMBER OF MY ATTENDANCE AT THE OFFICIALS' MEETINGS:

<u>Periods</u>	<u>No. of meet-ings held</u>	<u>No. of meetings attended</u>
From 22 Aug. 1939 to 3 Sept. 1945	215	21

DATES ATTENDED:

<u>Year</u>	<u>Months</u>	<u>Dates</u>
1939	August	22
"	November	22
"	December	12
1940	May	28
"	December	11
"	"	18
1941	January	15
"	March	15
"	June	16
"	September	22
1942	January	19
"	June	6
1943	May	3
"	September	15
"	October	1
1944	-	-
1945	March	9
"	May	16
"	June	2
"	"	21
"	"	25
"	July	18
Total		21

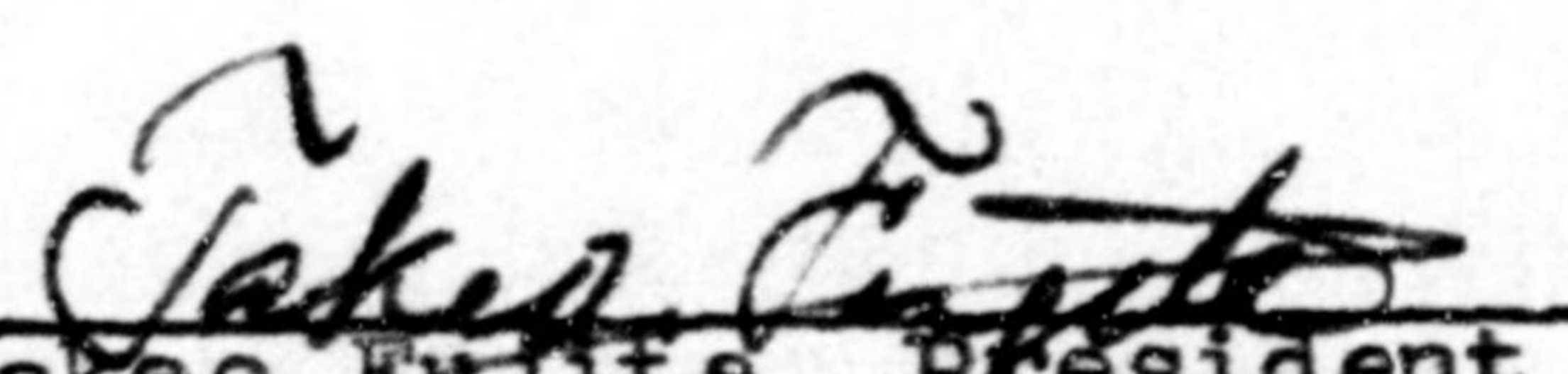
_____ 1948.

TO : WHOME IT MAY CONCERN.

SUBJECT: CERTIFICATION.

CERTIFICATE

This is to certify that Mr. Genjiro Omaru, Standing Director of our company, since assuming the office as a Director, August 1939, until 1945, he has attended our Officials' meetings twenty one (21) times out of two hundred and fifteen (215) meetings held.


Takeo Fujita, President,
TAISEI CONSTRUCTION CO., LTD.
4 Sanchoe, Ginza, Chuo-ku,
Tokyo.

TO : Whom it may concern.

SUBJECT: Certification.

CERTIFICATE

This is to certify that at the time when Mr. Omaru for the first time, became an Official, Mr. Hara as a chairman of the Board of Directors presided at the Official meetings which I attended as a chief Standing Director. Mr. Omaru did not attend the meeting very much, perhaps, due to his competent business and traffic inconveniences. His absences did not, in any way, affect the proceedings of the meetings. At later date, merely, the resolutions, by means of minute-book, were notified him.

During the period of Mr. Omaru as the Tokyo Branch manager, I succeeded Mr. Hara upon his resignation and was the Director and the chairman of the Board of Directors and at the time just prior to the termination of the war, very frequently the attacks by air raids were being made, consequently the Officials meetings were really held. At the meetings which he attended, he did not set forth any important opinion of his own.

Eiichi Taketomi

Eiichi Taketomi, Ex-Director &
Chairman.

TAISEI CONSTRUCTION CO., LTD.

10. Chronological record of profession and employment.

Note 1: "Organization or company" mentioned in this column include any organization or company other than Zaibatsu company. With reference to organizations, relationship with economic organizations such as union, association or conference etc. will particularly be given in detail.

Note 2: In case you did not, in fact, handle duties for which you have been authorized, duties and powers which you actually handled and name of the person who dealt with duties originally authorized for you will be given.

Note 3: With reference to the Zaibatsu company where you served as official or as a person accorded with the status of official, the following entries are additionally required.

a. Name and stock-holding percentage of stock-holders owning 10 per cent or more of the total stock of the company at the time of your assumption of office and retirement.

b. Name, position and dates of appointment and retirement of other officials of the company during the period when you served.

Date of employment and retirement	Dates of employment and retirement.	Name of company or organization where you served.	Status of the position (including position as ordinary personnel.)	Duties and powers (in detail).	Substance of business of organization or company where you served and its location.
1 July, 1927	Besshi Mining Co., Ltd.	Clerical-staff	Transportation	1. Mining, 2. Agriculture and Forestry,	3. Marine Transportation,
28 Feb, 1928	"	"	Accounting Section Chief, Concurrently Transportation Section Chief	4. Transportation of general passengers and cargoes by railroads,	5. Sales of goods,
26 Aug. 1928	"	"	Commercial Section Chief, Concurrently Transportation Section Chief at Niihama Mfg. Office	6. Civil Engineering,	7. Business in poisonous stuff and violent medicines,
1 July, 1932	"	"	Accounting Dept. Chief	8. Enterprises affiliates and incidental to the aforementioned items. Capital ¥15,000,000. later ¥27,000,000. later ¥80,000,000.	at 1 of 1, Otsu, Niihama city.
From 24 May, 1934 to	Tohi Gold Mining Co., Ltd.	Director, General	Mining, and dealing of minerals, refining of		

alliat-
to the
items.
100.
100.
100.

1 July, 1932

Office
Accounting Dept.
Chief

later 120,000
later 180,000
at 1 of 1, Otsu,
Niihama city.

From
24 May, 1934
to
3 July, 1941

Tohi Gold Mining Co., Ltd. Director,
General Affairs Dept.
Chief
(Concurrently

Mining, and dealing of
minerals, refining of
metal, dealing in the
products, and mfg. and
dealing of the by-pro-
ducts thereof.
Capital ¥2,500,000.
at 22, 5-chome, Kitahama,
Higashi-ku, Osaka.
After the termination of
the War:
Tohi-cho, Tagata-gun,
Shizuoka-ken.

3 July, 1941

Sumitomo Honsha, Inspector
Ltd.

Engaged in the
inspection affairs
in capacity of
Clerical-staff

1. Mining, 2 Forestry,
3. Agriculture, 4. In-
dustry, 5. Sales of
merchandise, 6.

16 Mar., 1943

Accounting
Section Chief,
General Affairs
Dept.

Engaged in Acc-
ounting affairs
in capacity of
Clerical-staff

Acquisition and
Utilization of securi-
ties and immovables,
7. Investment and
loaning into various
enterprises, 8. Any
other enterprises

Feb. 1944

General Affairs According to the
Dept. Deputy-chief provisions
concurrently of the rules, the
Accounting Sec- Deputy Chief of
tion Chief General Affairs
Department is
supposed to

affiliated and incident-
al to the above mentione
d enterprises.
Capital ¥ 150,000,000
later ¥ 300,000,000

assist and act in at 22, 5-chome, Kitahama
place of the Dept. Kitahama, Higashi-ku
Chief, but in fact Osaka.
it was simply a
nominal title and
during the tenure
of office, not a
single occasion
have I acted in
place of the
Dept. Chief, but
remained handling
the accounting

Already mentioned
above.

work only as the
Accounting Section
Chief.

21 Jan. 1946

"

Representative
Director,
Concurrently
Accounting Sec-
tion Chief

Giving decisions
upon conference
of the important
affairs of the Co.
as the Representa-
tive of the Co.
and execution of
the daily work
(work for dissolu-
tion of the Sumitomo
Honsha).

Already mentioned
above.
The names of the
shareholders hold-
ing 10% or over of the
total shares at the
time of my appointment
to the officer and the
percentage of the
shares held, as
mentioned below.
The names, positions
and dates of appoint-
ments and retirement
of the other officer
during the period
while I was the
officer, as mention-
ed below.

1 May, 1946

"

Relieved of the
Accounting Section
Chief

From
26 May, 1946
To
28 Apr. 1947

"

Chief of the Sumi- Management of the
tomo Tokyo Liaison liaison affairs
Office

Remarks

(A)

1. Names of the shareholders holding 10% or more of the total shares and the percentage of the shares held at the time of my appointment to the officer of the Sumitomo Honsha, Ltd.

Kichizaemon Sumitomo
Tetsuzo Noda, President,
Sumitomo Bank, Ltd.

(% of the shares held)

78.3%

11.67%

1. Names, positions and dates of appointments and retirements of other officers

1,000
000
tams
to the

Alliat-
 to the
 items.
 000.
 000.
 000.

later 180,000

1. Names, positions and dates of appointments and retirements of other officers during the period while I was the officer:

<u>Names</u>	<u>Positions</u>	<u>Dates of Appointments</u>	<u>Dates of Retirements</u>
Kenzo Oshima	Auditor	14 April, 1940	12, May, 1947
Yoshio Tanaka	Director	28 April, 1941	"
Goro Hiraga	"	21 Jan., 1946	Still in service
Yataro Tanaka	"	12 May, 1947	"
Shyosaku Ikuno	Auditor	"	"

6
4
76

SJ 1st 1st

"

Director
Representative

upon completion
claiming decisions

alone
virtually mentioned

Chief
accounting section
work only as the
the accounting

昭 九五二四
至 一六七三

土肥金山株式會社

取締役

總務部長
(兼務)

昭 一六七三

株式會社住友本

検査役

昭 一八三六

總務部
會計課長

身分は職員であつて検査
事務に従事せり
身分は職員であつて會計
事務に従事せり

鑛業の採掘賣買
諸金の製錬製品の
賣買並に其の別産物の
製造賣買を爲すを
以て目的とする
大阪府東區北濱五丁
大市東區北濱五丁
目市東區北濱五丁
戦後 静岡縣田方郡
土肥町

一、鑛業 二、林業
三、農業 四、工業
五、物品販賣業
六、有價証券及不動産
の取得利用
七、諸事業の投資並に貸付
八、其の他の上掲各事
業の附帶事業(壹
億五千圓後三億
圓に増資)
大市東區北濱五丁
目市東區北濱五丁

一、株式会社住友本社役員勤務時間における他の役員の名、地位及び就任退任年月日

氏名	地位	就任年月日	退任年月日
大島 隆造	監査役	昭和十五年四月二十四日	昭和二十二年五月十二日
田中 良雄	取締役	昭和十六年四月二十八日	
平賀 五郎		昭和二十一年一月二十一日	至現在
田中 彌太郎		昭和二十二年五月十二日	
生野 章作	監査役		

以上

昭一六七三
昭一八三二六

株式会社住友本社

検査役
総務部
會計課長

身分は職員であつて検査事務に従事せり
身分は職員であつて會計事務に従事せり

目二十二
戦後・静岡縣田方郡
土肥町

一、鑛業
二、林業
三、農業
四、工業
五、物品販賣
六、有價證券及不動産
七、取得利用
八、諸事業の投資並に貸付
九、其の他の上掲各事業
十、億五千圓前後三億
十一、圓に増資
十二、大坂市東區北濱五丁目

Page 2

7. Position of official in company which you hold or for which you are under consideration.

a. Name of company and the lineage of Zaibatsu where the company belongs. (In case name of the company has been changed after 2 September 1945 the former name will also be given.)

The Sumitomo Honsha, Ltd., the lineage of Sumitomo Zaibatsu.

b. Classification of Zaibatsu, restricted, subsidiary or connected company (in the case of Zaibatsu company, the classification of the direct affiliate, indirect affiliate or associate company will also be given.)

Holding Company

c. Position.

Representative Director.

d. Scheduled date of assumption of the position.

None.

8. All other positions and professions which you hold now.

None.

9. Family relationship with the persons designated as Zaibatsu.

a. Existence or not of the relationship and its details, if any. (including relationship with the Zaibatsu family members.)

None.

b. Whether or not you were on the same family register with the designated persons on the day of their designation.

None.

七、職業の履歴

註一、本欄の會社團體とは財閥會社に限らずあらゆる會社團體を含むものとし、團體中特に組合、協會、協議會等の經濟團體については詳細に記入すること

註二、職務内容に關し本人の地位から當然豫想せられる職務を事實上擔當していなかつた場合には本人が實際に擔當していた職務内容及び本人に代わり當該地位の管轄たるべき職務を事實上擔當していた者の氏名を記入すること

註三、本人が役員として又は役員の特遇の地位にて勤務した會社が財閥會社たる場合には、左の事項を附記すること
 (1) 本人の役員としての就任及び退任の時における當該會社の株式の割合以上の所有者の氏名及び持株率
 (2) 本人が勤務した期間における他の役員の氏名、地位及び就任退任年月日

就職及び退職日附	會社團體等の名稱	地位(職員たる場合を含む)	職務内容(詳細に)	會社團體等の事業内容及び所在地
昭 二七 一	別子鑛山株式會社	備員	運輸課長 經理課長兼運輸課長 新居濱製作所 商務課長兼運輸課長 經理部長	一、鑛業 二、農林業 三、海運業 四、鐵道 五、依る一般旅客及 六、貨物の運送業 七、土木 八、建築業 九、物販賣業 十、號の營業 十一、關する附帶事業 十二、一千五百萬圓後 十三、二千七百五十萬圓後 十四、新居濱市乙の一

Questionnaire

1. Name (In full).

Hidejiro SUGANO

2. Other names which you have used or by which you have been known.
(alias or penname).

None.

3. Date of birth (age).

10th March, 1892.

57 years old.

4. Place of birth (prefecture).

Ueno-city, Mie-ken.

5. Present address (in full) and telephone number.

276, Yamamoto, Yao-cho Oaza, Hakakawachi-gun, Osaka-fu.

Tel.No.666 Yao.

6. Permanent address (in full).

662, Tsuchihashi, Hakasura, Oaza, Ayama-gun, Mie-ken.

Page 1

Questionnaire

1. Name (In full).

Hidejiro SUGANO

2. Other names which you have used or by which you have been known.
(alias or penname).

None.

3. Date of birth (age).

10th March, 1892.

57 years old.

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Ueno-city, Mie-ken.

5. Present address (in full) and telephone number.

276, Yamamoto, Yao-cho Gasa, Nakakawachi-gun, Osaka-fu.

Tel.No.666 Yao.

6. Permanent address (in full).

662, Teuchihashi, Nakamura, Gasa, Ayama-gun, Mie-ken.

七、現に保有し又は就こうとする財閥、制限、從屬又は關係會社における役員としての地位

(一) 會社の名稱及び財閥系統(昭和二十年九月二日以降稱號變更があつた場合は舊稱號を含む)

任友財閥系統 株式會社任友本社

(二) 財閥會社、制限會社、從屬會社又は關係會社の區分(財閥會社の場合には更に直系、準直系又は傍系の區分)

財閥會社(直系)

(三) 地位

代表取締役

(四) 就任の豫定日

該當事項なし

八、前項の他本人の保有するすべての身分及び職業

該當事項なし

九、財閥としての指定者との親族關係

(一) 關係の有無及び有りとすればその詳細(財閥同籍者との親族關係を含む。)

該當事項なし

(二) 指定者か指定された日において指定者同一戸籍内にあつたことの有無

該當事項なし

APPROVED / 1948
承認 昭和23年6月

任友
23.2
傳

NAME Hidejiro Sugano

Misao Ueda
Name of Company The Sumitomo Honsha, Ltd.

No. 734

個人調査書

(記載上の一般的注意)

- 一、本調査書は、三通提出しなければならない。
- 二、調査書は、日本文及び英文で記入する。その場合、英文記載事項は對應頁の日本語に照應するものとする。日英兩文間に相違がある場合は、英文によるものとする。
- 三、記載事項は、楷書(英文の場合は大文字活字體)で明瞭に記入しなければならない。
- 四、各記載事項は、空白のままにしないよう正確に且つ良心的に記入しなければならない。
- 五、各記載事項中該當事項のない場合は「該當しない」又は「該當事項なし」等の文句を用いて記入しなければならない。
- 六、記載すべき空欄に充分の餘白がない場合は、補助紙を用い、「補助紙に続く」等の字句を記入して、いずれの記載欄の補助紙として用いたかを明かにしなければならない。
- 七、この調査書の重要な事項について虚偽の記載をし又は事實をかくした記載をした者は、財閥同族支配力排除法第三十一條の規定により處罰せられる。

任友本社 株式會社 第 號

氏名 菅野 秀次郎

個人調査書

一、姓名（振假名をつけること）

菅カ 野 務 次 郎

二、從來使用し又は一般に通用している他の名稱（通稱、筆名等）

なし

三、生年月日（年齢数え年）

明治二十五年三月十日 五十七才

四、出生地（都道府縣）

三重縣上野市

五、現住所（略記しないこと）及び電話番号

大阪府中河内郡八尾町大字山本三七六番地

電話番号 八尾 六六六番

六、本籍地（略記しないこと）

三重縣阿山郡府中村大字土橋六六二番地

Page 5

11. At the time of your assumption of office as official, whether or not the arrangement that the previous approval be obtained from the Zaibatsu or the direct Zaibatsu affiliate regarding assumption of the position of official existed between the Zaibatsu company where you served and the Zaibatsu or the direct Zaibatsu affiliates.

a. Whether or not the arrangement existed in writing.

b. Details of circumstances under which you assumed the position of official; particularly whether or not the Zaibatsu or the direct Zaibatsu affiliate was concerned in approving your assumption of office.

11. a.

By the resolution passed on the extraordinary general meeting of the shareholders on 21st January, 1946, I was appointed to the Representative Director of the Sumitomo Honsha, Ltd., but, there did not exist an express written arrangement between the Sumitomo Honsha and the Sumitomo Family. on my assumption of office as officer of the Honsha.

b.

As mentioned in detail in the Statement of reasons for application (1) on the separate sheet, the Sumitomo Honsha notified the policy of the dissolution of the Sumitomo Zaibatsu to the affiliated companies under its control, and since then made a remarkable headway toward its dissolution by means of carrying out the disposition of the actually working enterprises, revision of its organization, and renewal of the officers.

In other words, the Sumitomo Honsha has substantially become a liquidating company

11. a.

By the resolution passed on the extraordinary general meeting of the shareholders on 21st January, 1946, I was appointed to the Representative Director of the Sumitomo Honsha, Ltd., but, there did not exist an express written arrangement between the Sumitomo Honsha and the Sumitomo Family. on my assumption of office as officer of the Honsha.

b.

As mentioned in detail in the Statement of reasons for application (1) on the separate sheet, the Sumitomo Honsha notified the policy of the dissolution of the Sumitomo Zaibatsu to the affiliated companies under its control, and since then made a remarkable headway toward its dissolution by means of carrying out the disposition of the actually working enterprises, revision of its organization, and renewal of the officers.

In other words, the Sumitomo Honsha has substantially become a liquidating company on this stage and thereafter, and as a natural step, due to the length of my service in the company, amount of salary, etc. and also in order to be in its substantially-charged with the liquidation work in view of the nature of the work, I had discharged and also to make me a liquidator upon Honsha's official dissolution, I was appointed to the Representative, Director, as mentioned before.

~~83%~~ 83% of the shares of the Sumitomo Honsha are held by the Sumitomo Family, but as the family has submitted a power of attorney to the extraordinary general meeting of the shareholders held on the 21st January, 1946, my appointment is not at all concerned by the Sumitomo Family.

Furthermore, as Mr. Kichizaemon Sumitomo resigned from the presidency of the Sumitomo Honsha on the 22nd December, 1945, I did not by any means ask for his previous approval on my assumption of office.

昭和二十三年二月一日

「この調査表の記載は眞實であり且つ完全であることを確言する、又私はこの調査表の重要な事項について虚偽又は事實をかくした記載があるときは財閥同族支配力排除法第三十一條の規定により處罰せられることを諒承してをる旨申し添える」

署名

菅野孝之助

印

菅野

Page 6

12. Date

1st February, 1948.

13. I hereby certify that entries made in this questionnaire are true and complete. I further add that I understand that entries false or lacking full and complete disclosure on relevant or material matters in this questionnaire will subject me to penalty in accordance with the provisions of Article 31 of the Law for Termination of the Zaibatsu Family Control.

Signature

H. Sugano.



734

APPROVED June, 1948
承認 昭和23年6月 日

5 February, 1948.

Misao Ueda

To: Tetsu Katayama, Esq.,
The Prime Minister.

From:

Hidejiro SUGANO

SUBJECT: Application under the Law for termination
of Zaibatsu Family Control.

In accordance with the provisions in Art.6 and Art.7 of the
Law for Termination of the Zaibatsu Family Control, I wish to obtain
your approval on the fact that I am not an officer of Zaibatsu company
as per the Statement of reasons for application on separate sheets and
I hereby file my application with the undermentioned documents attached
hereto:

Note

- | | |
|---|-----------|
| 1. Statement of reasons for application | 2 copies |
| 1. Attached papers | 11 copies |
| 1. Questionnaire | 3 copies |

Statement of reasons for application (1)

As filled in the Questionnaire, I assumed office as Director of the Sumitomo Honsha, Ltd. and as Director to represent the company by resolution at the extraordinary general meeting of the shareholders of the Sumitomo Honsha on 21 January, 1946, and has held the office up to now, but the shares held and issued by the Sumitomo Honsha have since then been delivered to the Holding Company Liquidation Commission in accordance with the provisions in Art.10 of the Holding Company Liquidation Commission Ordinance as follows, i.e.,

Shares held by the Sumitomo Honsha, Ltd.

16 Oct., 1946: Transferred a part of shares in possession (totally paid-up shares)

13 Jan., 1947: Entrusted the voting right for the unpaid shares and the shares issued by companies abroad.

6 May, 1947: Transferred the unpaid shares.

Shares of the Sumitomo Honsha held by the Sumitomo Bank, Ltd.

24 Dec., 1946: Entrusted the voting right.

Shares of the Sumitomo Honsha held by the Sumitomo Families:

4 June, 1947: Entrusted the total voting right.

27 July, 1947: Transferred the shares excluding those anticipated to be applied to payment of the property tax by chattels.

Consequently, I am subjected to the assumption to be an officer of a Zaibatsu company holding office as officer of the Sumitomo Honsha, a Zaibatsu company, from January 1946 to 24 December, 1946, coming under 2 of Para.2 of Art.3 of the Law for termination of the Zaibatsu Family Control, but as the circumstances in which I assumed office and the manner of my execution of duties as the officer of the Sumitomo Honsha are as mentioned here below, I am apparently not an officer of a Zaibatsu company, but I think my case satisfies the requirements of 2. of Para.1 of Art.6 of the said Law, and consequently, I wish hereby to apply to obtain your approval on the fact that I am not an officer of a Zaibatsu company, i.e.,

1. Circumstances in which I assumed office as officer:

As apparent from the attached paper (1), the Sumitomo Honsha, Ltd. discontinued totally the controlling functions on all the affiliated companies under its control ever since the announcement in private to the top-man-in-charge of all the companies under its control of the policy of its dissolution. Moreover, Mr. Kichizaemon Sumitomo, the president of the Sumitomo Honsha, resigned from his directorship on 22 December, 1945, and since he has never made himself present at any of the general meetings of the shareholders of the Sumitomo Honsha in spite of the fact that he was a big shareholder of the company, but entrusted his power entirely in the hands of the officers of the Honsha (~~please refer to attached paper (2)~~), the Sumitomo Honsha was perfectly free from the control of the Sumitomo Zaibatsu and/or any other person belonging to the Sumitomo Zaibatsu on and after 22 December, 1945, and devoted its full time into the pressing measures accompanying the dissolution of Honsha, such as devising a policy for disposition of actually working enter-

prises and a method of dispositions of shares held. As it was then anticipated that some time would be required before the legislation of imperative laws and ordinances, and the completion of concrete measures to be ordered by the authorities, it was resolved that the Sumitomo Honsha effect a dissolution in reality before the dissolution on legal procedures was made, and all the old officers resigned except Yoshio Tanaka and Kenzo Oshima on 21 January, 1946, and as the successor to them, I was assigned as Representative Director, but since this date, the Sumitomo Honsha entered into a stage of work of liquidation in its substance. The reason why I was assigned to the Representative Director in such a stage was because I was to be assigned with the work in its substance of a liquidator and also, in future, as the legally qualified liquidator and this was simply a natural step judged from the length of my service, amount of my salary, etc. in order to fill up the quorum after the retirement of the officers during the war-time. Just as stated above, judged from the circumstances of the Sumitomo Honsha at the time of my assumption of office and the circumstances in which I assumed office, I am not an officer of a Zaibatsu company.

2. Actual circumstances of the execution of my duties:

As stated above, in view of the circumstances in which I assumed office in charge of work, in its substance, of a liquidator, my duties were solely to fulfil the necessary procedures for the dissolution of the Sumitomo Honsha in line with the directions given by SCAP under the supervision of the Holding Company Liquidation Commission.

The main substance of the duties I have carried out as procedures for dissolution of the Sumitomo Honsha are as follows:

1. 13 July, 1946: Submitted an application for permission under Imperial Ordinance 657 of the year 1947 for transferring the mining properties in possession of the Sumitomo Honsha to the Sumitomo Mining Company, Ltd.
1. 18 Sept., 1946: Re-submitted the above application after a part revision under date of 13 July. The said revised application was approved on 27 June, 1947 (please refer to attached paper (3)).
2
1. 5 May, 1947: Submitted an application for permission concerning donation of properties designated under provisions of Art.3 of the Finance Ministry Ordinance No.97 of the year 1945 in order to make the Sumitomo Hospital independent and a Foundational Juridical Person. The above application was approved on 5 June (please refer to attached paper (4)).
3
1. 17 July, 1947: Submitted to the Governor of the Osaka Prefecture an application for approval on the establishment of the New Osaka Hospital under Civil Law Art.34. The above is approved under date of 1 Aug. (please refer to attached paper (5)).
4
1. 20 Sept., 1947: Submitted, as the Representative of the Special Supervisors of the Sumitomo Honsha, to the competent minister the reorganization plan under the Enterprise Reconstruction and Reorganization Law. The above was partly approved under date of 30 November (please refer to attached paper (6)).
5
1. 10 Dec., 1947: Re-submitted a revised plan under the Enterprise Reconstruction and Reorganization Law.

The above was approved under date of 14 January, 1948 (please refer to attached paper (7)).

Furthermore, I attended to the disposals of miscellaneous immovable properties, etc., but under the Imperial Ordinance 657 dated 22 November, 1945, the Sumitomo Honsha was designated as the first restricted company and thus it has become imperative for Sumitomo Honsha to have the permission of the Finance Minister on all sales, donations and transfers of rights of securities, movables, immovables and any other properties; besides, the Honsha was designated under date of 6 September, 1946, to be a holding company under Art.1 of the Holding Company Liquidation Commission Ordinance by which it has become almost all the daily work of the Honsha were to be supervised by the Holding Company Liquidation Commission (please refer to attached paper (8)). Furthermore, by the enforcement of the Law for the Emergency measures for the accounting of the company, enacted on 15 August, 1946, the Sumitomo Honsha has become a special accounting company, and after the election of the special supervisors on 20 August, 1946, it has become imperative that all the decision on the scope of properties belonging to the old or new account, administration of properties belonging to the old account, the disposals of the other properties, etc. be approved by the special supervisors. Furthermore, it has become necessary for the special supervisor to make the reorganization plan including the dissolution of the Sumitomo Honsha therein under the Enterprise Reconstruction and Reorganization Law dated 18 October, 1946. Thus almost all the daily work have been under the supervision by the superintending organizations by virtue of these laws and regulations and interference either of the designated families or of the officers of the old Zaibatsu have totally been expelled.

As stated above, all my duties were simply preparations for the dissolution of the Sumitomo Honsha and that there was no room at all for the interference of the designated families or officers of the old Zaibatsu, but they were executed under directions mainly of the Holding Company Liquidation Commission, the Finance Ministry, etc. and also upon approval of the special supervisors.

I am scheduled to remain successively on duty as Liquidator upon dissolution of Sumitomo Honsha being effected at the end of February this year in accordance with the conclusive reorganization plan approved under date of 14 January, 1948. By the way, during the period in which a part of share holdings was to be delivered to the Holding Company Liquidation Commission, I gave on the 16 October, 1946, my powers of attorney to the general meetings of the shareholders of each of the affiliated companies, but all these were simply formal ones, and each of these companies have been managing their business on their own independent standing, and therefore, it can be called anything but exercising control on the affiliated companies by so doing.

As stated above, judged from the actual state of execution of my duties, I am not an officer of a Zaibatsu company.

Furthermore, at the time Mr. Yoshio Tanaka, ex-Director, resigned on 11 May, 1947, due to falling under Art.4 of the Imperial Ordinance No.1, out of those three officers who were then holding offices, i.e., myself, Mr. Goro Hiraga and Mr. Kenzo Oshima, only Mr. Kenzo Oshima received from the Holding Company Liquidation Commission an inducement to resign from his office, but neither myself nor Director Goro Hiraga received any direction at all, and I wish to add that this can be considered that on the part of the Holding Company Liquidation Commission, too, there was no objection held against my remaining successively thenceforth in the office as officer of the Sumitomo Honsha.

Statement of reasons for application (2)

As filled in the Questionnaire, I assumed office as Director of the Sumitomo Honsha, Ltd. and as Director to represent the company by resolution at the extraordinary general meeting of the shareholders of the Sumitomo Honsha on 21st January, 1946, and has held the office up to now, but the shares held and issued by the Sumitomo Honsha have since been delivered to the Holding Company Liquidation Commission in accordance with the provisions in Art.10 of the Holding Company Liquidation Commission Ordinance as follows, i.e.,

Shares held by the Sumitomo Honsha, Ltd.

- 16 Oct., 1946: Transferred a part of shares in possession (totally paid-up shares)
- 13 Jan., 1947: Entrusted the voting right for the unpaid shares and the shares issued by companies abroad.
- 6 May, 1947: Transferred the unpaid shares.

Shares of the Sumitomo Honsha held by the Sumitomo Bank, Ltd.

- 24 Dec., 1946: Entrusted the voting right.

Shares of the Sumitomo Honsha held by the Sumitomo Families:

- 4 June, 1947: Entrusted the total voting right.
- 27 July, 1947: Transferred the shares excluding those anticipated to be applied to payment of the property tax by chattels.

Consequently, I am subjected to the assumption to be an officer of a Zaibatsu company holding office as officer of the Sumitomo Honsha, a Zaibatsu company, from January, 1946 to December, 1946, coming under 2 of Para.2 of Art.3 of the Law for termination of the Zaibatsu Family Control, but as all the requirements in each of the items in Para.1 of Art.7 of the said Law are all satisfied, I wish to hereby apply in order to obtain your approval on the fact that I am not an officer of a Zaibatsu.

1. As per certificate on attached sheet (B), I am not either one of the spouse of members of same domicile or parents, brothers, sisters and/or their spouse of the 4 designated members belonging to Sumitomo Zaibatsu, i.e., Kichizaemon Sumitomo, Kanichi Sumitomo, Motoo Sumitomo and Yoshiteru Sumitomo.
2. Regarding the selection and appointment of officers of the Sumitomo Honsha, in all cases, it has been customary that a procedure was taken: the Board of Directors sorted out the candidates for officers in the order of length of service, amount of salary, etc. and after obtaining a formal approval from the president, it was conferred upon at the general meeting of the shareholder. Between the Sumitomo Honsha and the Sumitomo Family, there did not exist at all any arrangement made on this point, and that prior to my assumption of office as Representative Director as the natural order, accompanying the resignation of the old Zaibatsu officers, i.e., on 22 November, 1947, Mr. Kichizaemon Sumitomo resigned from the presidency of the Sumitomo Honsha (please refer to attached paper (10)), I did not obtain any previous approval of the Sumitomo Family on my assumption of office as officer of the Sumitomo Honsha.
3. As filled in the Questionnaire, I do not hold simultaneously officership for 4 or more Zaibatsu companies (please refer to attached paper (N)).

As stated above, I can satisfy all the requirements in each of the items of Para.1 of Art.7 of the Law for Termination of the Zaibatsu Family Control, and I cannot think that I am an officer of a Zaibatsu company.

27th January 1948.

Attached paper (1)

(Sealed by) Hidejiro Sugano,
Executive Director,
Sumitomo Honsha, Ltd.

CERTIFICATE

It is hereby certified that the Sumitomo Honsha discontinued its functions of control on all the companies under its affiliation since 24th October, 1945 downward, as mentioned hereunder:

Note:

1. On 19th October, 1945, the Sumitomo Honsha held a Directors' Meeting (Directors present were: Shyunnosuke Furuta, Keijiro Kitazawa, Yoshio Tanaka, Shyozaburo Kawai, Hiromu Kasuga, Kichichi Mirura, Hayashi Okahashi and Takeshi Kajii), when they passed a vote for the following resolution as per the ~~copy of certificate (the original of which is to be presented by the Sumitomo Honsha)~~ signed by Shyunnosuke Furuta, the Representative of all the Directors present, as per the attached sheet.

Matters of Resolution:

In view of the present circumstances observed in general since the termination of the War, the Sumitomo Honsha will be dissolved and its controlling organ on the various affiliated enterprises by discontinued. In order to cope with the present circumstances, it has been decided to carry out the undermentioned measures:

- (1) The enterprises in activity under direct control of the Sumitomo Honsha will be disposed of, by transferring the mining interests and the agriculture and forestry interests, to the Sumitomo Mining Co.
- (2) The shares of the various affiliated companies under Sumitomo's control will be handed over the office designated by the Government.
- (3) Following the dissolution of the Sumitomo Honsha, the President and all officers under him will automatically be retired; moreover, the Head of the Family will resign from directorship of all Sumitomo affiliates, likewise will do Representative Director Furuta from the Chairmanship of the Boards of Directors thereof.
- (4) In keeping with the above, all Sumitomo affiliates will continue operation of their enterprises, each on its own as an independent company.
- (5) Of the Sumitomo affiliates, those carrying the name "Sumitomo" will avoid using this name and gradually change their names.
- (6) The above resolution shall be announced in private at the Chief-men-in-charge Conference expected to be held on 24th October to come.

2. Since then, a Meeting of the Chief-men-in-charge of the subsidiary companies and the affiliated companies of special relations was held on 24th October, 1945, as per the notice "Re: Meeting of the Chief-men-in-charge" dated 19th October, 1945, whereupon the matters of Resolution described in 1. were notified in private by the Representative Director Furuta.

3. Following this, upon publication by SCAP of the policy on the dissolution of "Zaibatsu" on 6th November, notice was given to respective subsidiary companies and affiliated companies of special relations as per the matters mentioned in 1. under the title of "Re: Dissolution of the Sumitomo Honsha" dated 7th, the following day, as per the ~~copy of certificate signed by Keijiro Kitazawa (the original of which is to be presented by the Honsha)~~ and on the 8th, the very following day, announcement was made publicly on the newspaper.

CERTIFICATE

It is hereby certified that it is the true fact that on 19th October, 1945, the Board of Directors' Meeting of the Sumitomo Honsha was held whereupon the resolution as mentioned hereunder was passed and that this resolution was notified in private to the Chief-men-in-charge on the occasion of the Meeting of these people held on 24th October:

Note

In view of the present circumstances observed in general since the termination of the War, the Sumitomo Honsha will be dissolved and its controlling organ on the various affiliated enterprises under Sumitomo's control be discontinued. In order to cope with the present circumstances, it has been decided to carry out the undermentioned measures:

- (1) The enterprises in activity under direct control of the Sumitomo Honsha will be disposed of, by transferring the mining interests and the agriculture and forestry interests, to the Sumitomo Mining Co.
- (2) The shares of the various affiliated companies under Sumitomo's control will be handed over the office designated by the Government.
- (3) Following the dissolution of the Sumitomo Honsha, the President and all officers under him will automatically be retired; moreover, the Head of the Family will resign from directorship of all Sumitomo affiliates, likewise will do Representative Director Furuta from the Chairmanship of the Boards of Directors thereof.
- (4) In keeping with the above, all Sumitomo affiliates will continue operation of their enterprises, each on its own as an independent company.
- (5) Of the Sumitomo affiliates, those carrying the name "Sumitomo" will avoid using this name and gradually change their names.
- (6) The above resolution shall be announced in private at the Chief-men-in-charge Conference expected to be held on 24th October to come.

27th January, 1948.

Directors present:

Shyunnosuke Furuta
Keijiro Kitazawa
Yoshio Tanaka
Shyozaburo Kawai
Hironu Kasuga
Kiechi Minura
Hayashi Okahashi
Takeshi Kajii

The Representative for the above:

(Sealed by) Shyunnosuke Furuta.

No. Bun-120
19th October, 1945.

(Sealed by) Keijiro, Kitazawa,
Chief of the General Affairs Dept.,
Sumitomo Honsha, Ltd.

Re: Meeting of the Chief-men-in-charge

I am instructed to inform you that it is decided the Meeting of the Chief-men-in-charge of the subsidiary companies and offices of enterprises under direct control of the Honsha, under the auspices of our Representative Director, will be held as described hereunder and that you are urged to be present at this meeting.

Notes:

1. Date: 24th October (Wednesday) at 10 a.m.
 2. Place: No.2 Conference Room, Sumitomo Bldg.
-

No. Bun-120
19th October, 1945.

(Sealed by) Keijiro, Kitazawa,
Chief of the General Affairs Dept.,
Sumitomo Honsha, Ltd.

Re: Meeting of the Chief-men-in-charge

I am instructed to inform you that it is decided the Meeting of the Chief-men-in-charge of the subsidiary companies and offices of enterprises under direct control of the Honsha, under the auspices of our Representative Director, will be held as described hereunder and that you are urged to be present at this meeting.

Notes:

1. Date: 24th October (Wednesday) at 10 a.m.
 2. Place: No.2 Conference Room, Sumitomo Bldg.
-

CERTIFICATE

It is hereby certified that upon dissolution of the Sumitomo Honsha, the notice under the name of Keijiro Kitazawa, the Chief of the General Affairs Department of the Sumitomo Honsha, dated 7th November, 1945, as per the attached sheet, was despatched addressed to respective Man-in-charge of the undermentioned companies:

Note

Sumitomo Mining Co., Ltd.
Sumitomo Metal Industries, Ltd.
Sumitomo Electric Industries, Ltd.
Sumitomo Chemical Co., Ltd.
Sumitomo Aluminium Reduction Co., Ltd.
Sumitomo Machinery Works, Ltd.
Sumitomo Communication Co., Ltd.
Chosen-Sumitomo Light Metal Co., Ltd.
Sumitomo Bank, Ltd.
Sumitomo Trust Co., Ltd.
Sumitomo Warehouse Co., Ltd.
Sumitomo Life Insurance Co., Ltd.
Sumitomo Real Estate and Building Co., Ltd.
Sumitomo Co-operative Electric Power Co., Ltd.
Sumitomo Synthetic Resin Industries, Ltd.
Nippon Sheet Glass Co., Ltd.
Osaka-Sumitomo Marine & Fire Insurance Co., Ltd.

* Industry

27th January, 1948.

(Sealed by) Keijiro Kitazawa.

No. Bun-124
7th November, 1945.

(Sealed by) Keijiro Kitazawa,
Chief of the General Affairs
Department, Sumitomo Honsha,
Ltd.

Re: Dissolution of Sumitomo Honsha

In view of the circumstances observed in general since the termination of the War, the Sumitomo Honsha will be dissolved and its controlling organ on the various affiliated enterprises be discontinued. In order to cope with the present circumstances, it has been decided to carry out the undermentioned measures, and I am instructed to notify you to the effect that you will kindly arrange to get all personnel under you thoroughly understand and carry out the objective of this policy, which, I trust, will meet your attention.

Undermentioned:

1. The enterprises in activity under direct control of the Sumitomo Honsha will be disposed of, by transferring the mining interests and the agriculture and forestry interests, to the Sumitomo Mining Co.
2. The shares of the various affiliated companies under Sumitomo's control will be handed over the office designated by the Government.
3. Following the dissolution of the Sumitomo Honsha, the President and all officers under him will automatically be retired, moreover, the Head of the Family will resign from directorship of all Sumitomo affiliates, likewise will do Representative Director Furuta from the Chairmanship of the Boards of Directors thereof.
4. In keeping with the above, all Sumitomo affiliates will continue operation of their enterprises, each on its own as an independent company.
5. Of the Sumitomo affiliates, those carrying the name "Sumitomo" will avoid using this name and gradually change their names.

Attached paper (2)

Ri-Hi-No.1151

27 June, 1947

(Sgd.) FINANCIAL BUREAU DIRECTOR,
THE FINANCE MINISTRY.

To: The Sumitomo Honsha, Ltd.

I am instructed to notify that your application dated 18 September, 1946, on the matters concerning transfer of the business of the total number of mines located in Japan in your possession and the assets and liabilities affiliated thereto, filed in accordance with the provisions of Art.3 of the Finance Ministry Ordinance No.97 of the year 1945, is approved as the note here under:

Note

Provided that the amount is ¥34,191,000

That the sales proceeds shall immediately ^{be} deposited into the account of your company at your banks.

Attached paper (A)(3)

R1-H1-No.826.

5th June, 1947.

(Sgd.) THE FINANCIAL BUREAU DIRECTOR.
THE FINANCE MINISTRY.

To: The Sumitomo Honsha, Ltd.

I am instructed to notify that your application dated 19th January, 1946, on the undermentioned subject filed in accordance with the provisions of Art.3 of the Finance Ministry Ordinance No.97 of the year 1945, is approved and attach a copy of the SCAP memorandum thereto:

Note

1. Subject: Donation of properties to the New Osaka Hospital.

Provided that the transfer be effected under the supervision of the Holding Company Liquidation Commission.

Attached paper (5)(4)

Prefectural Instruction
Min-ko-No.616

To: Hidejire SUGANO, Representative Director,
Sumitomo Honsha, Ltd.,
Representative for Establishment of the
Foundation^a Juridical Person New Osaka
Hospital, at 11, Tosabori Funamachi,
Nishi-ku, Osaka.

Your application dated 18 July, 1947, on the establishment of
the Foundational Juridical Person New Osaka Hospital is approved.

I August, 1947.

(Sgd.) Bunzo AKAMA, GOVERNOR,
OSAKA PREFECTURE

NOTICE

30 November, 1947.

(Sgd) Naoto ICHIMADA,
President,
The Bank of Japan.

To: The Sumitomo Honsha, Ltd.

I notify you that the decision of the Competent Minister was received under today's date as made as per the following on the basis of the terms mentioned on your application for permission of your Reorganization Plan dated 20 September, 1947, filed in accordance with the provisions in Para.1 of Art.5 of the Enterprise Reorganization Law:

Notice

1. This Reorganization Plan is approved except the matters mentioned in the 2. hereunder.
2. Of the Reorganization Plan, Item 5 (Establishment of New Companies) and item 7 (The Disposition of the Assets belonging to the New Account) and the anticipated date of establishment of the new companies in Item 9 are disapproved.

The reasons for disapproval:

The contents of the application in question is not sufficiently in line with the purpose of economic democratization on the points of establishments of new companies and the disposition of assets belonging to the new account.

Consequently, you are urged to show concrete plan upon approval of the Holding Company Liquidation Commission.

Attached paper (X) (6)

Dept. of Commerce & Industry
Direction 23-SO-No.560

14 January, 1946.

(Sgd) Chyozauro MIZUTANI.
DEPARTMENT OF COMMERCE & INDUSTRY
MINISTER

To:

Hidejiro Sugano, Esq., Special Supervisor,
Goro Hiraga, Esq., " "
Kihachiro Iwasaki, Esq., " "
Rokuzo Furuichi, Esq., " "
The Sumitomo Honsha, Ltd.
22, 5-chome, Kitahama, Higashi-ku, Osaka.

Subject: Application for permission on
Reorganization Plan of the Sumitomo
Honsha, Ltd. under Art.16 of the
Enterprise Reconstruction and Reorgani-
zation Law.

Your application dated 10 December, 1947, under the
above heading is approved.

Attached paper (A)(7)

7 September, 1946

(Sgd.) Tadao SASAYAMA,
Chairman,
Holding Company Liquidation
Commission

To: Hidejiro, SUGANO, Esq.,
Representative Director,
The Sumitomo Honsha, Ltd.

I notify you that your company is specified by the Prime Minister under date of 6 September to be the Holding Company designated in Art.1 of the Holding Company Liquidation Commission Ordinance, as per the attached herewith:

Cabinet
Kaku-Ko-No.284

Instruction

The under mentioned company is specified as a holding company designated in Art.1 of the Holding Company Liquidation Commission Ordinance under Imperial Ordinance No.233 of the year 1946, under provisions of Art.43 of the said Ordinance.

6 September, 1946

Shigeru YOSHIDA, PRIME MINISTER.

The Sumitomo Honsha, Ltd.

Attached paper (9)(8)

Certificate

Hidejiro SUGANO

We hereby certify that the person named above is definitely not one of spouse of members of same domicile or parents, brothers, sisters and or their spouse of ours.

1st February, 1948.

Kichizaemon Sumitomo
Kanichi Sumitomo
Motoo Sumitomo
Yoshiteru Sumitomo

Attached paper (10)(9)

Certificate

It is hereby certified that it is correct and true statement that there was not any arrangement that the previous approval be obtained from the Sumitomo Family on assumption of office as officers of the Sumitomo Honsha existed in writing between the Sumitomo Family and the Sumitomo Honsha and that Hidejiro Sugano, the Representative Director of the Sumitomo Honsha, did not obtain previous approval from the Sumitomo Family upon his assumption of office as the said officer.

1 February, 1948.

(Sgd.) Kichizaemon Sumitomo

(Sgd.) Hidejiro Sugano, Representative
Director, Sumitomo Honsha, Ltd.

Attached Sheet (N) (10)

Certificate

Hidejiro SUGANO

It is hereby certified that it is correct and true statement that the person named above never held additionally the posts of officers of the affiliated companies of Sumitomo Zaibatsu lineage ever since his assumption of office as Representative Director of the Sumitomo Honsha, Ltd. on 21 January, 1946.

1 February, 1948.

(Sgd)

Hidejiro SUGANO,
Representative Director,
Sumitomo Honsha, Ltd.