

116TH CONGRESS  
1ST SESSION

# H. R. 50

To modernize Federal grant reporting, and for other purposes.

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## IN THE HOUSE OF REPRESENTATIVES

JANUARY 3, 2019

Ms. FOXX of North Carolina introduced the following bill; which was referred to the Committee on Oversight and Reform

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# A BILL

To modernize Federal grant reporting, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-*  
2       *tives of the United States of America in Congress assembled,*

**3 SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Grant Reporting Effi-  
5 ciency and Agreements Transparency Act of 2019” or the  
6       “GREAT Act”.

**7 SEC. 2. PURPOSES.**

8       The purposes of this Act are to—

9                   (1) modernize reporting by recipients of Federal  
10          grants and cooperative agreements by creating and  
11          imposing data standards for the information that

1 grants and cooperative agreement recipients must  
2 report to the Federal Government;

3 (2) implement the recommendation by the Di-  
4 rector of the Office of Management and Budget,  
5 under section 5(b)(6) of the Federal Funding Ac-  
6 countability and Transparency Act of 2006 (31  
7 U.S.C. 6101 note), which includes the development  
8 of a “comprehensive taxonomy of standard defini-  
9 tions for core data elements required for managing  
10 Federal financial assistance awards”;

11 (3) reduce burden and compliance costs of re-  
12 cipients of Federal grants and cooperative agree-  
13 ments by enabling technology solutions, existing or  
14 yet to be developed, by both the public and private  
15 sectors, to better manage data recipients already  
16 provide to the Federal Government; and

17 (4) to strengthen oversight and management of  
18 Federal grants and cooperative agreements by agen-  
19 cies through consolidated collection and display of  
20 and access to open data that has been standardized,  
21 and where appropriate, transparency to the public.

22 **SEC. 3. DATA STANDARDS FOR GRANT REPORTING.**

23 (a) AMENDMENT.—Subtitle V of title 31, United  
24 States Code, is amended by inserting after chapter 63 the  
25 following new chapter:

1       **“CHAPTER 64—DATA STANDARDS FOR**  
2                   **GRANT REPORTING**

3       **“SEC. 6401. DEFINITIONS.**

4        “In this chapter:

5               “(1) AGENCY.—The term ‘agency’ has the  
6               meaning given that term in section 552(f) of title 5.

7               “(2) CORE DATA ELEMENTS.—The term ‘core  
8               data elements’ means data elements that are not  
9               program-specific in nature and are required by agen-  
10              cies for all or the vast majority of Federal grant and  
11              cooperative assistance recipients for purposes of re-  
12              porting.

13              “(3) DIRECTOR.—The term ‘Director’ means  
14              the Director of the Office of Management and Budg-  
15              et.

16              “(4) FEDERAL AWARD.—The term ‘Federal  
17              award’—

18               “(A) means the transfer of anything of  
19               value for a public purpose of support or stimu-  
20               lation authorized by a law of the United States,  
21               including financial assistance and Government  
22               facilities, services, and property;

23               “(B) includes grants, subgrants, awards,  
24               and cooperative agreements; and

25               “(C) does not include—

1                         “(i) conventional public information  
2                         services or procurement of property or  
3                         services for the direct benefit or use of the  
4                         Government; or

5                         “(ii) an agreement that provides  
6                         only—

7                         “(I) direct Government cash as-  
8                         sistance to an individual;

9                         “(II) a subsidy;

10                         “(III) a loan;

11                         “(IV) a loan guarantee; or

12                         “(V) insurance.

13                         “(5) SECRETARY.—The term ‘Secretary’ means  
14                         the head of the standard-setting agency.

15                         “(6) STANDARD-SETTING AGENCY.—The term  
16                         ‘standard-setting agency’ means the Executive de-  
17                         partment designated under section 6402(a)(1).

18                         “(7) STATE.—The term ‘State’ means each  
19                         State of the United States, the District of Columbia,  
20                         each commonwealth, territory or possession of the  
21                         United States, and each federally recognized Indian  
22                         Tribe.

23                         **“SEC. 6402. DATA STANDARDS FOR GRANT REPORTING.**

24                         “(a) IN GENERAL.—

1           “(1) DESIGNATION OF STANDARD-SETTING  
2 AGENCY.—The Director shall designate the Execu-  
3 tive department (as defined in section 101 of title 5)  
4 that issues the most Federal awards in a calendar  
5 year as the standard-setting agency.

6           “(2) ESTABLISHMENT OF STANDARDS.—Not  
7 later than 1 year after the date of the enactment of  
8 this chapter, the Secretary and the Director shall es-  
9 tablish Governmentwide data standards for informa-  
10 tion reported by recipients of Federal awards.

11          “(3) DATA ELEMENTS.—The data standards  
12 established under paragraph (2) shall include, at a  
13 minimum—

14           “(A) standard definitions for data elements  
15 required for managing Federal awards; and

16           “(B) unique identifiers for Federal awards  
17 and entities receiving Federal awards that can  
18 be consistently applied Governmentwide.

19          “(b) SCOPE.—The data standards established under  
20 subsection (a) shall include core data elements and may  
21 cover any information required to be reported to any agen-  
22 cy by recipients of Federal awards, including audit-related  
23 information reported under chapter 75 of this title.

1       “(c) REQUIREMENTS.—The data standards required  
2 to be established under subsection (a) shall, to the extent  
3 reasonable and practicable—

4           “(1) render information reported by recipients  
5 of Federal grant and cooperative agreement awards  
6 fully searchable and machine-readable;

7           “(2) be nonproprietary;

8           “(3) incorporate standards developed and main-  
9 tained by voluntary consensus standards bodies;

10          “(4) be consistent with and implement applica-  
11 ble accounting and reporting principles; and

12          “(5) incorporate the data standards established  
13 under the Federal Funding Accountability and  
14 Transparency Act of 2006 (31 U.S.C. 6101 note).

15       “(d) CONSULTATION.—In establishing the data  
16 standards under subsection (a), the Secretary and the Di-  
17 rector shall consult with, as appropriate—

18           “(1) the Secretary of the Treasury, to ensure  
19 that the data standards incorporate the data stand-  
20 ards created under the Federal Funding Account-  
21 ability and Transparency Act of 2006 (31 U.S.C.  
22 6101 note);

23           “(2) the head of each agency that issues Fed-  
24 eral awards;

1           “(3) recipients of Federal awards and organiza-  
2       tions representing recipients of Federal awards;  
3           “(4) private sector experts;  
4           “(5) members of the public, including privacy  
5       experts, privacy advocates, and industry stake-  
6       holders; and  
7           “(6) State and local governments.

8   **“SEC. 6403. GUIDANCE APPLYING DATA STANDARDS FOR**  
9           **GRANT REPORTING.**

10          “(a) IN GENERAL.—Not later than 2 years after the  
11       date of the enactment of this chapter—

12           “(1) the Secretary and the Director shall issue  
13       guidance to all agencies directing the agencies to  
14       apply the data standards established under section  
15       6402 to all applicable reporting by recipients of Fed-  
16       eral grant and cooperative agreement awards; and

17           “(2) the Director shall prescribe guidance ap-  
18       plying the data standards to audit-related informa-  
19       tion reported under chapter 75.

20          “(b) GUIDANCE.—The guidance issued under this  
21       section shall—

22           “(1) to the extent reasonable and practicable—  
23              “(A) minimize the disruption to existing  
24       reporting practices for agencies and for recipi-

1           ents of Federal grant and cooperative agree-  
2           ment awards; and

3                 “(B) explore opportunities to implement  
4                 modern technologies within Federal award re-  
5                 porting;

6                 “(2) allow the Director to permit exceptions for  
7                 categories of grants if the Director publishes a list  
8                 of such exceptions, including exceptions for Indian  
9                 Tribes and Tribal organizations consistent with the  
10                Indian Self-Determination and Education Assistance  
11                Act; and

12                “(3) take into consideration the consultation re-  
13                quired under section 6402(d).

14 **“SEC. 6404. AGENCY REQUIREMENTS.**

15                “Not later than 3 years after the date of the enact-  
16                ment of this chapter, the head of each agency shall ensure  
17                that all of the agency’s grants and cooperative agreements  
18                use data standards for all future information collection re-  
19                quests and amend existing information collection requests  
20                covered by chapter 35 of title 44 (commonly referred to  
21                as the Paperwork Reduction Act) to comply with the data  
22                standards established under section 6402, consistent with  
23                the guidance issued by the Secretary and the Director  
24                under section 6403.”.

1       (b) TECHNICAL AND CONFORMING AMENDMENT.—

2 The table of chapters for subtitle V of title 31, United  
3 States Code, is amended by inserting after the item relat-  
4 ing to chapter 63 the following new item:

“64. Data Standards for Grant Reporting 6401”.

5 **SEC. 4. SINGLE AUDIT ACT.**

6       (a) AMENDMENTS.—

7           (1) Section 7502(h) of title 31, United States  
8 Code, is amended by inserting before “to a Federal  
9 clearinghouse” the following “in an electronic form  
10 consistent with the data standards established under  
11 chapter 64.”.

12           (2) Section 7505 of title 31, United States  
13 Code, is amended by adding at the end the following  
14 new subsection:

15           “(d) Such guidance shall require audit-related infor-  
16 mation reported under this chapter to be reported in an  
17 electronic form consistent with the data standards estab-  
18 lished under chapter 64.”.

19           (b) GUIDANCE.—Not later than 2 years after the  
20 date of the enactment of this Act, the Director shall issue  
21 guidance requiring audit-related information reported  
22 under chapter 75 of title 31, United States Code, to be  
23 reported in an electronic form consistent with the data  
24 standards established under chapter 64 of title 31, United  
25 States Code, as added by section 3.

**1 SEC. 5. CONSOLIDATION OF ASSISTANCE-RELATED INFOR-**

**2 MATION; PUBLICATION OF PUBLIC INFORMA-**

**3 TION AS OPEN DATA.**

4       (a) COLLECTION OF INFORMATION.—Not later than  
5 4 years after the date of the enactment of this Act, the  
6 Secretary and the Director shall enable the collection, pub-  
7 lic display, and maintenance of Federal award information  
8 as a Governmentwide data set, using the data standards  
9 established under chapter 64 of title 31, United States  
10 Code, as added by section 3, subject to reasonable restric-  
11 tions established by the Director to ensure protection of  
12 personally identifiable and otherwise sensitive information.

(b) PUBLICATION OF INFORMATION.—The Secretary and the Director shall require the publication of recipient-reported data collected from all agencies on a single public portal. Information may be published on an existing Governmentwide website as determined appropriate by the Director.

19       (c) FOIA.—Nothing in this section shall require the  
20 disclosure to the public of information that would be ex-  
21 empt from disclosure under section 552 of title 5, United  
22 States Code (commonly known as the “Freedom of Infor-  
23 mation Act”).

## 24 SEC. 6. EVALUATION OF NONPROPRIETARY IDENTIFIERS.

25 (a) DETERMINATION REQUIRED.—The Director and  
26 the Secretary shall determine whether to use nonpropri-

etary identifiers under section 6402(a)(3)(B) of title 31, United States Code, as added by section 3(a).

(b) FACTORS TO BE CONSIDERED.—In making the determination required pursuant to subsection (a), the Director and the Secretary shall consider factors such as accessibility and cost to recipients of Federal awards, agencies that issue Federal awards, private-sector experts, and members of the public, including privacy experts and privacy advocates.

(c) PUBLICATION AND REPORT ON DETERMINATION.—Not later than the earlier of 1 year after the date of the enactment of this Act or the date on which the Secretary and Director establish data standards pursuant to section 6402(a)(2) of title 31, United States Code, as added by section 3(a), the Secretary and the Director shall publish and submit to the Committees on Oversight and Government Reform of the House of Representatives and Homeland Security and Governmental Affairs of the Senate a report explaining the reasoning for the determination made pursuant to subsection (a).

**SEC. 7. DEFINITIONS.**

In this Act, the terms “agency”, “Director”, “Federal award”, and “Secretary” have the meaning given those terms in section 6401 of title 31, United States Code, as added by section 3(a).

**1 SEC. 8. RULE OF CONSTRUCTION.**

2 Nothing in this Act, or the amendments made by this  
3 Act, shall be construed to require the collection of data  
4 that is not otherwise required pursuant to any Federal  
5 law, rule, or regulation.

**6 SEC. 9. NO ADDITIONAL FUNDS AUTHORIZED.**

7 No additional funds are authorized to carry out the  
8 requirements of this Act and the amendments made by  
9 this Act. Such requirements shall be carried out using  
10 amounts otherwise authorized.

