

SECRET

AUGSBURG, Ernst (Dr)

1 May 1904

ALBERTI, Ernst (Dr)

Lodz, Poland

2907

Krailing (near Graefelfing)

PHYSICAL DESCRIPTION

6'

193

blue

almost white

9 Apr 50



HAIR

HAIR

OTHER thick pale lips;
large head; almost
Albino; bifocal glasses;
heavy smoker.

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

FAMILY AT AUSTRIA

AUGSBURG,

AUGSBURG,

AUGSBURG,

AUGSBURG,

AUGSBURG,

DOB/POB

DOB/POB

DOB/POB

DOB/POB

DOB/POB

DOB/POB

Oct 48

SRIS Expert

GV L
122 (128.5)

CE/CI

?

Major (SS) - Oberregierungsrat

Oct 48 - Nov 53

Nov 53 - 7 May 63

Fired, apparently for
Security reasons (DOI: 7 Sep 66) -

"B" Contact

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Operational Aliases

ALTHAUS, Ernst (Dr); AUST, ~~Emil~~
KRAUSS, Dr.

AUGSBURG, Emil (Dr.)

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Languages: Russian, Polish, German.

EDUCATION: (MGKW-9522)

Attended school, Lodz

Breslau & Leipzig Universities: studied Germanics

PhD. 1934, Soviet press

1934: SD, Amt VII, Amt VI

WW II: (MGLA-10057 and MGKA-31324) Mbr NSDAP; SS Major; assoc. with SD Amt VI
RSHA and SD Einsatz-Kommando Ud SSR.

(MGKW-9522) Deputy director, Wannsee Institute (Eastern European Institute of Economy) of Berlin and later Plankenworth, Austria. The Institute was placed under command of Gruppe VI G for ideological research and was to provide scientific support for the intelligence services.

The scientists of Sonderlager L cooperated closely with the Wannsee Institute. In April 1945, with Monsignor Anton KWIATOWSKI and Annemarie BUSCHMANN (all of Amt VI, RSHA), AUGSBURG cashed 8 trunks of anti-Comintern files at Ettal, Bavaria. Subject later stated documents were to be placed at disposal of Vatican but whereabouts of them is unknown. (A Dr. Emil AUGSBURG was added to list of "war criminals" wanted by the Polish government; accused of stealing Polish art treasures in 1944.) In August 1945, KWIATOWSKI and his associates were taken from Ettal by Polish officers in a car.

1 May 1947: employed by CIC.

Nov 1948: CIC Region IV dropped Dr. Emil AUGSBURG; allegedly contacted by TIB and attempted negotiate employment with that org.; was in close contact with mors of "General VLASSOW Group" and alleged to have assisted them materially; strong anti-Bolshevik but has strong nationalistic political views (MGSA-4499). [] examination Hq 66th CIC files Sep 52 produced following CIC rating): honest, idealistic scholar who enjoys good food and wine; naive in scholarly way; convicted anti-Soviet who has excellent connections to Vlassov and Ukrainian circles; was political advisor to ANDERS Army in Italy (?); obtained PhD on Soviet press; unprejudiced mind, excellent scientist:

Dec 1948 report: (MGKW-9522) Resided Feilbergstr 12, Kempten; dropped by US intel. agency in Austria or Germany w/o prejudice.

1947-1949: (MGLA-8820) V-2907 was CI/CE specialist of GV L's SONIN (V-2625) group.

1950: (EGLA-3086) "In pruning down the SONIN group...Dr. Emil AUGSBURG was taken over into GV L's headquarters staff, but works out of Munich...has been entrusted with the exploitation of Case SCHWARZ...(in which)...one former General Staff Colonel Rudolf WEISS of Berchtesgaden is the central figure".

1953: POB's [] inf'd in Oct. @ ALTHAUS coming Munich near future to be FIS expert working on SovRus handbook for Zimper: leaving GV L.

Nov: 40/F3 @ FRIESEN told POB's [] that Dr. AUGSBURG of GV L would soon join 40/E sec.; 30 Nov issued Hqs gate pass.

1954: Subject is 122.W of Hqs Sec. 122 (formerly Sec. 40); speaks Russian and regards himself as something of an authority on Communism and the Soviet IS; served with von KLUGE 1941 on Eastern Front, was constantly occupied with problems of occupation of Russian territory, propaganda; prides himself on fact that he accurately predicted catastrophe on Eastern Front as early as 1941, since he is convinced that a military victory in Russia is impossible, but that the Russian people can be brought to oppose their Communist overlords; will tell story of his life on the slightest provocation; participated in "KORSAER", "Hugo" and famous SRIS "agent" from Turkey, "BERTRAM", who passed the polygraph; Subject stated that current adviser on Eastern European matters in Bonn (Otto SPRINGER ?) had served as an

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AUGSBURG, Emil (Dr.)
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adviser of this sort before Hitler, during the Nazi period, and Subject considers him a "100% Bolshevik". [REDACTED] Smokes heavily.

REFERENCES:

5 Jul 51, MGLA-7142
5 Jul 51, MGKW-9522
14 Jul 51, MGHA-11111
26 Jul 51, MGSA-4499
1 Aug 51, MGKA-31324
29 Oct 51, MGLA-8820
18 Jan 52, MGLA-10057
21 Jul 52, MGLA-12423
1 Dec 52, SPULL-4530
3 Dec 52, EGLA-1300
6 Feb 53, EGLA-2272
6 Jul 54, EGLA-9678
14 Jul 55, DIR-13645
22 Jul 55, PULL-1331
16 Aug 55, PULL-1536
27 Aug 55, DIR-21253
14 Mar 58, EGMA-32873

UPSWING Tel listings:

May 57 @ ALBERTI listed w/@ WERNER. (Sect. 122)
Apr 58 " " " "
Aug 58 " " " "
Dec 58 " " " "

SECRET

SECRET (When Filled In)

PHOTO MOUNTING SHEET

SEE 201 FOLDER FOR ORIGINAL PHOTO(S)



Augsburg, Emil /DR

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FORM 3768 USE PREVIOUS EDITIONS
3-77

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E-2 IMPDET
CL. BY 011448

(04)

FOR COORDINATION WITH ed US Army

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DATE 2000 2006

COPY

Augsburg

307TH COUNTER INTELLIGENCE CORPS DETACHMENT
HEADQUARTERS SEVENTH UNITED STATES ARMY
APO 758
US ARMY

11 Feb. 1946

MEMORANDUM FOR THE OFFICER IN CHARGE:

SUBJECT: files of the German Anti-Comintern Research, AMT VI
RSHA

RE : summary of information

1. All news and research pertaining to Comintern, which came through RSHA and ABWEHR channels, were collected by a team of scientists, Monsignore KIRIATOWSKY *Anton* and SS-STUBAF DR. AUGSBURG of AMT VI RSHA. Their job was to gain an overall picture of Russian intelligence through the means of the COMINTERN organization.

2. On 14 April 1945, KIRIATOWSKY, DR. AUGSBURG, and their secretary, Miss SUSCHMANN, arrived by truck from the WANNSEE-INSTITUT near Berlin at Garmisch-Partenkirchen, and took lodging at the HOTEL ZUR POST. Part of their luggage consisted of 6 to 8 filing cabinets, each estimated to weigh more than 100 pounds. This truck continued its trip to the SD office in Innsbruck, No. 3 Herrngasse.

3. On or about 26 April 1945 this team received orders from the Gruppenleiter AMT VI RSHA, SS OSTUBAF Albert RAPP, to leave Garmisch and to seek refuge in a monastery, to protect their files against enemy capture and to destroy them only if they were apt to fall into the hands of the Russians.

4. The team moved to ETTAL and the community of OBERAU. Acting under a direct order from the Gauleiter of Bavaria, the abbot of the monastery ETTAL quartered the threesome in the community, and allowed Monsignore KIRIATOWSKY to hold a daily service in the monastery.

5. During their 4 months stay they continued their work and acquired half a dozen more filing cabinets built by Hermit SCHAECHTELE who also cared for their food, etc. Due to billet requirements of U.S. occupational troops, they were forced to move several times, living at various times at Oberau and ETTAL at the following addresses: Hotel zur Post, Hotel Ludwig der Bayer, c/o Oberinspektor A. D. Lang, c/o policeman Heinlein. At various times during this period, they received short visits from Polish officers.

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Augsburg

6. some time in July or August, 1945, a U.S. 6x6 truck arrived from a Polish camp in Murnau and loaded their files as well as personal belongings and left for an unknown destination.

7. In November 1945, Monsignore KIRIATOWSKY, clad in U.S. uniform with Polish officer's insignia, called on the local policeman, Sgt. Heinlein (his former landlord) and said: "I am just arriving from Italy. My car and driver are waiting outside. I am on my way to Oberammergau. All three of us are with the Polish division, and we are doing fine. He picked up some odds and ends they had forgotten, held a service in the monastery, and went on."

8. Sources for this information include: German police Sgt. HEINLEIN and wife, the abbot of monastery ETTAL, SS-OSTUF LAOHNER, and Hermit SCHAECHTELE. The reliability of this information is rated I B.

9. It is recommended by this agent that the lead for this very important material be followed up at the Polish division in Italy.

ERNEST A. JAFFRAY
Special Agent, OIC

APPROVED:

LCRAN L. ELLIOTT
Special Agent, OIC
Actg. Comdg. Officer

DISTRIBUTION:

AO of S, G-2, 7th Army-----4
files-----2

BHB
ST 8 JS

- 2 -

SECRET

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INTELLIGENCE REPORT

COUNTRY Germany
 SUBJECT KYLIATOWSKI, Monsignor Anton
 ORIGIN Rome, Italy

DATE: 23 October 1946
 INFO. 1 October 1946
 DIST.
 PAGES 1
 SUPPLEMENT

EVALUATION OF SOURCE

A	B	C	D	E	F
COMPLETELY RELIABLE	USUALLY RELIABLE	FAIRLY RELIABLE	NOT USUALLY RELIABLE	NOT RELIABLE	CANNOT BE JUDGED

EVALUATION OF CONTENT

1	2	3	4	5	6
CONFIRMED OTHER SOUR.	PROBABLY TRUE	POSSIBLY TRUE	DOUBTFUL	PROBABLY FALSE	CANNOT BE JUDGED

SOURCE

REFERENCE: [JZ] 5504; San Remo Memo 105;
 Amzon Memo L-005-1001

1. Information received under date of 1 October 1946 from American Zone, Germany, indicates that following his departure from San Remo in April 1944, Subject worked for Amt VI, RSHA.
2. In April 1945 Subject and two other RSHA personnel, Dr. Emil AUGSBURG and Annemarie BUSCHMANN, cached eight trunks of anti-Komintern files at Ettal Bavaria. At Ettal, Subject was in frequent contact with Polish officers and at the end of August 1945, he and his associates were taken from Ettal by Polish officers in a car. The exact fate of the documents, which AUGSBURG stated before departure were to be placed at the disposal of the Vatican, is unknown. The possibility that they are still at Ettal is being checked, although it is strongly felt that they may have fallen into Polish hands.
3. Subject and AUGSBURG were both reported at Ancona in February 1946, at which time one KERSCHKE, a Polish priest presumably stationed at Ancona, visited Ettal.
4. It is desired that San Remo sources be checked for Subject and the others above-named. Ancona will be checked from this end.
5. USFET and Amzon, Only: Subject is well-known to the Italian CE who contacted him at the Polish church, San Remo, photographed all of his materials and aided him in retrieving a case of original materials from Warsaw without the knowledge of the Germans, in 1942-43. Unfortunately, all of their copies were destroyed at the moment of the Italian armistice, 8 September 1943.
6. This information was forwarded for local exploitation to San Remo, which reported on 8 February 1946 that Subject had had German passport No. 487, issued at Genoa on 12 August 1943, valid until November 1945, and that he had left San Remo about April 1944 taking with him all his personal possessions, including his anti-Communist files. His last known address was given as AntiKomintern, Berlin, Schoensberg, Badenschstrasse 2.

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Washington (2) London
 Vienna Amzon

[Handwritten signature]

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AUGSBURG, Emil. (cont'd)

IV-9256, 16 Nov 48. re MERK, Kurt (and BARBIE, Klaus) D-14 883:

Emil AUGSBURG dropped as of 12 Nov 48 by CIC. BARBIE stated that Dr. A. had been visited several times by a former SS GEN. BERNAU of CIC. B. told A. that he could furnish the PETERSEN net EEIs that he rec'd from TIB and they could exchange the information. BARBIE stated that if any info exchanged, it was thru Dr. A. Dr. A. has a brother, who is with the Stuttgart SS net; however this was only recently discovered because Dr. A. introduced his brother under an alias. BARBIE stated his net never trusted the Stuttgart SS net because of their connection to the French.

XII-4060, 19 Oct 49: MERK, Kurt @ von LARSEN, Manfred @ PETERSEN, Walter @ WALTER dropped w/o prejudice because more interested in posit. intell. than CI and being utilized by another AIS agency. Resid. 13 Jun 51: safe house, Tegernsee, Hochfelstr. 123. Wanted by French

Above from Hq. 66th CIC files, personally examined
 by []

ADS.

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Augsburg

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HEADQUARTERS
COUNTER INTELLIGENCE CORPS REGION IV
7970th Counter Intelligence Corps Group

LMR/ter

APO 407-A
US Army

12 Nov. 1948

IV-9242

SUBJECT: Dropping of Informant

TO : Commanding Officer, Hq. 7970th CIG Group, EUCOM,
APO 757, US Army
Attn: Technical specialist

1. It is required that Dr. Emil AUGSBURG, presently carded as X-3053-IV-K, be dropped from your records as an officially carded informant of this region.
2. subject is being dropped for the following reasons:
 - a. subject has been reporting on Eastern zone positive intelligence for the past year. In the re-organization of the "Peterson Net" subject was dropped as he was unable to produce any information of a local level of CIG interest.
 - b. subject is alleged to have been contacted by TIB agents and is currently attempting to negotiate employment with that organization.
 - c. subject was in close contact with members of the "General Glassow Group" and it is alleged that subject assisted certain members in a material way.
 - d. subject is known to be a strong anti-Bolshevik but he has strong nationalistic political views.
3. subject has not been in contact with CIG for several months, no EEI's have been given, and further de-briefing is deemed unnecessary.

FOR THE COMMANDING OFFICER:

LLOYD M. RAUSCH
Capt FA
Technical specialist



SECRET

[Signature]
-1

AUGSBURG, Dr. Emál 1/5/04
@ ALTHAUS

German

KEMPTEN, Feilberg-
strasse 12

5770 card

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Excerpt from
MGKA - 0006638
07 March 1949

SECRET

(SIB/OPS/IS)
Attempts to Penetrate EPD

10/6
15 June 1949

15 June 1949

1. The inclosed agent report, CIO Region III, subject as above, dated 25 April 1949, is forwarded for your information.

2. The following points should be observed:

It would have been prudent on your part to check with CIO on his past performance of duty.

a. If Dr. Emil AUSSBERG is now in your area, it would have been prudent on your part to check with CIO on his past performance of duty.

b. The uncoordinated effort on the part of your agents in attempting a penetration of EPD is contrary to para. 2d of the Intelligence Directive for TIS dated 13 October 1948.

3. Uncontrolled activity on the part of your agents in attempting to penetrate the informant networks used to cover high priority CIO targets.

FOR THE DEPUTY DIRECTOR, INTELLIGENCE DIVISION:

R. E. KILZER
Capt WAC
Asst. Control Officer

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Dop Dir Intel TSC
Reg. No. CO-278
Copy No. 2

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E X T R A C T

MGLA 3036, 17 August 1950

10. In pruning down the [SONIN] group, one member, Dr. Emil AUGSBURG, was taken over into GVL's Headquarters staff but works out of Munich. AUGSBURG comes from Lodz and he speaks Polish and Russian. (Possibly Agent [B056?]) He has now been entrusted with the exploitation of Case SCHWARZ (on which we have as yet received no reports). In Case SCHWARZ one former General Staff officer, Col. WEISS of Berchtesgaden is the central figure. KUEHNE, SCHACK's deputy, in [OFFSPRING] ICO, had been assisting the WEISS family while the husband was a PW. He returned in the late spring of 1950 and wanted to express his thanks to KUEHNE. It developed that WEISS had been a PW of the Poles and that he had been released when he agreed to work for the Polish IS. KUEHNE introduced him to an 'interested party' (AUGSBURG) and WEISS is now writing down his experiences. (Since this might turn into a DA operation, AUGSBURG has been instructed to deliver his reports directly to KERBL (former scenery designer for Fritz FISCHER at the Gaertnerplatz Operettentheater) and now [GLEIDL]s headquarters on Munich visits, also a letter drop, from whence messages can be picked up directly by [OFFSPRING] headquarters.)

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AIR

AUGSBURG
MGL-A-71425

Chief, Foreign Division M
Chief of Station, Karlsruhe

5 July 1951

Operational

cd/kins

Request for Traces on Dr. Emil AUGSBURG

Reference: WASHF-46721

Traces are requested on Subject, a resident of Karlsruhe,
formerly with the Wamsee Institut, who, according to corres-
pondence of July 1949, had been working with CIC, Augsburg.

*Traces
forwarded in
MGKW-9522*

[] []

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- 1 - COS
- 1 - CLS
- 1 - ELS
- 2 - POB

COPY

32-6-C-2089

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SECRET

~~Shelley~~
~~to be sent~~
Emil Augsburg
MGK-K-9522

Air

Chief of Station, Karlsruhe

5 July 1951

Attention:

Chief, Foreign Division H

Operational

Summary of traces on Emil AUGSBURG

REFERENCE:

MOLA-6074, MGK-K-9380

1. There are no traces here on Paul BOHRHOHL, and he is not listed in the 1950 Berlin telephone directory.

2. We have the following traces on Dr. Emil AUGSBURG.

MOMA-1599 dated 19 September 1949

Dr. Emil AUGSBURG was included in a list of members of the ANTEROOM chain.

MOKA-6638 dated 1 December 1948

Dr. Emil AUGSBURG ALTHAUS - born 1 May 1904; resided at Feilbergstrasse 12, Kempten; dropped by US intelligence agency in Austria or Germany without prejudice.

PIR-32 dated 1 October 1946

In April 1945, Monsignor Anton KWIATOWSKI, Dr. Emil AUGSBURG and Annemarie BUSCHMANN, all of Amt VI, RSHA, cached eight trunks of anti-Comintern files at Ettal, Bavaria. In August 1945, KWIATOWSKI and his associates were taken from Ettal by Polish officers in a car. The exact fate of the documents, which AUGSBURG stated were to be placed at the disposal of the Vatican, is unknown. The possibility that they are at Ettal is being checked, but it is thought that they fall into Polish hands. KWIATOWSKI and AUGSBURG were reported to be at Ancona in February 1946, at which time KERSCHKE and a Polish priest, presumably stationed at Ancona, visited Ettal.

Carried information

Dr. Emil AUGSBURG was added to the list of war criminals wanted by the Polish government. He was accused of stealing Polish art treasures in 1944.

IX-10836 dated 12 February 1946

Dr. Emil AUGSBURG - specialist on Soviet state ideology and law; born in Lodz and attended school there; studied Germanics at Breslau and Leipzig Universities; took his degree in 1934; has been with the SD since 1934, first with Amt VII and then with Amt VI; born about 1905; 1.75m tall; large head; thick gray hair; very fair eyebrows and lashes; pale face; thick pale lips; wears glasses.

Dr. Emil AUGSBURG was deputy director of the Institute (Eastern & European Institute of Economy) of Berlin and later Plankenwarth, Austria. In 1943, the Institute was placed under the command of Gruppe VI G for ideological research and was to provide scientific support for the intelligence services. The scientists of Sonderlager L cooperated closely with the Warnece Institute.

Hauptsturmfuehrer AUGSBURG - head of Sonderlager T, then joined Amt VI. He is brother of Dr. Emil AUGSBURG; born in Lodz; qualified engineer; worked for Siemens on their foreign orders; speaks Russian and Polish; joined the SD in 1934; served with Amt III until 1943 and with Amt VI since then; born about 1905; 1.75m tall; graying hair; rather thick set.

XI-175 dated 8 February 1946

SS Untersturmfuehrer AUGSBURG who later became Obersturmfuehrer was the head of Sonderlager L, a Special Interrogation Camp for scientists of all types attached to Waldlager Breslau-Oswitz in 1943. AUGSBURG was an engineer and a specialist in power installations. He reportedly occupied a leading position with the AMG before joining Amt VI.

3. The following information was extracted from the German Army dossier of Oberstleutnant Rudolf WEISS.

Born 27 September 1910 in Potsdam; attended school in Potsdam until 1931; married Vera von der OELFF in May 1937; daughter born in 1939; sons born in 1940 and 1944.

April 1931 - entered the German Army as a private

March 1934 - promoted to Leutnant; assigned to I/Erstflieger Kommando/ Flossen.

October 1936 - promoted to Oberleutnant; assigned to 5 Pz. Div. IIa Nr. 2066/36g.

January 1939 - Adjutant des Chofs des Heerespersonalamts, OER.

March 1940 - promoted to Hauptmann.

June 1940 - assigned to the 6th Panzer Regiment of the 8th Panzer Brigade.

September 1940 - assigned to the Oberkommando des Heeres, Heerespersonal

December 1940 - attended the Generalstabtlehrgang.

March 1941 - Adjutant, 1st Panzer Division.
April 1942 - Fuehrer Reserve, GHH.
May 1942 - promoted to Major; Adjutant des Generals fuer
Motorisierung in GHA.
October 1943 - Adjutant des Chefs des Heerespersonals.
April 1944 - promoted to Oberstleutnant.

P-#VWA 2822
Karlsruhe
AUG - 6 1951

~~SECRET~~ SECRET

Wagner
MGBA 4499

~~64485~~
only

26 July 1951

Chief, POB
Attn:
Chief of station, Karlsruhe

Operational

Traces on DR EMIL AUGSBURG

Dr. Emil Augsburg

Ref: MGLA-7142

1. subject is discussed in a CIO memo, dated 11 February 1946, subject: files of the German Anti-Comintern Research, AMT VI RSHA and a top secret memo, file IV-9242, dated 12 November 1948, subject: dropping of informant.
2. We have made copies of above-mentioned memoranda and are forwarding same herewith for your file.

Dist:

- 2-POB
- ~~1-FDK~~
- 1-COS
- 1-ELS
- 2-CLS

NOT SUITABLE FOR MICROFILM

DESENSITIZED

per DOI 10-17

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2- Encl
ATT.S.

RECEIVED

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63150
COPY NO. 3

~~SECRET~~ SECRET

CRZ 29 SEP 1971

CS COPY

VIA: _____
(SPECIFY AIR OR SEA POUCH)

DISPATCH NO. MGEA-31324

SECRET
CLASSIFICATION

TO : Chief, POB

DATE: 1 August 1951

FROM : Chief of Station, Karlsruhe *clone*

Attn: []

SUBJECT: GENERAL— Operational

SPECIFIC— Name Trace - Dr. Emil AUGSBURG

Re: MGLA-7142

1. The most recent information on Subject in Karlsruhe files is based on a CIC report concerning Subject's brother Arthur, dated in May 1946.

2. Emil was born 1 May 1904 in Lodz, Poland. Occupation, PhD (sic). Member of the NSDAP and SS Major Sturmbannfuhrer. During World War II he was associated with the SD, Amt VI, RSHA, also with SD Einsatzkommando UdSSR.

[] []

~~POB - 2~~
FDM - 1
COS/ZIP - 1

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FORM NO. 51-28A
EAE, 1949

CARDED: []

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17 Sep 52

AUGSBURG, Emil. Dr.

D-4390

@ ALTHAUS

b. 1 May 1904 in Schorndorf/Stuttgart. or Litsmannstadt.
Kempten, Feilbergstr. 12 (20 Nov 47) wears glasses,
6'0", 176 lbs., white hair, blue eyes, boyish face; oval face; large, strongly
Married formed

stated work for CIC 1 May 47

former head of Berlin WANSEE Inst. for Eastern Research; worked directly under
Dr. SIX, hd. of Amt VII, RSHA.

CIC rating: honest and idealist, scholar, who enjoys good food and wine; naive,

in scholarly way; convinced anti-Soviet, who has excellent connections to VLASSOV

and Ukrainian circles. Was political advisor to ANDERS Army in Italy (??). Has

to be provided w good radio set to listen to Soviet broadcasts.

Studied at Univ of Leipzig and obtained Ph.D. on Soviet press; unprejudiced mind,
excellent scientist.

Anton KWIATKOWSKI, Ettal Kloster,

Was in company of Catholic Monsignor *KIRIATKOWSKI*, Garmisch-Partenkirchen.

Was SS capt., RSHA, Berlin. June '43.

MOIC, 7th Army CIC, 11 Feb 46: Subj and KIRIATOWSKY, and their sec'y, Frl.

BUSCHMANN (b. ca. 1913, dk. blonde, long head, pointed nose; Silesian; relatives
in Leipzig) arrived in Garmisch-Part. 14 Apr. '45 with files of Wannsee Inst.,
which were later taken by US army truck from Polish camp in Murnau.

Reg. IV-9242, 12 Nov 48: Subj dropped because reporting Sovzone positive info,
not in pos'n furnish/info desired by CIC; negotiating employment with TIB; strong
anti-Bolshevist but also strong German nationalistic political views.

(SSU?)

* [] Heidelberg, *Myt'ly* has information
re location of Wannsee Inst. files - this ending CIC
search for same. *Ch/S*

SECRET

24. [10655-15]

US OFFICIALS ONLY

SECRET CONTROL

23 JUL 1953

EG-LA-4830

5 AUG 53

NAME: AUGSBURG, Emil (Dr.)
(last, first, et al)

TITLES:

ALIAS: ALTHAUS, Ernst (Dr.)
KRAUSS, Dr.

BORN: 1 May 1904 ; Lodz, Poland
(day, month, year, city/Prov.)

App. Age:
(+ or - # of yrs)

Photo (if yes, date & file):

Religion:

PERSONAL DESCRIPTION:

Ht: 185cm Wt: wears glasses
Eyes: blue Teeth: Hair: gray
Face-shape: Complexion: Scars: Build:

Prominent/ident. features: thick pale lips; large head

FATHER:

(last name; first, et al) (titles) (Birth day-mo-yr.: city/Prov.)

OCCUP:

RESIDENCE:

MOTHER: (maiden name):

OCCUP:

RESIDENCE:

SPOUSE:

CHILDREN: 3 daughters, 1 son

RESID: Karlsruhe, Karlstr 34 TEL.#
(city-suburb/Province street #/floor)

BUSINESS: Karlsruhe

TTD# issued: for countries:

PASSPORT# issued: for countries:

KK# (true) issued: (date city)

* KK# (@): issued: Ref. zugl.

ORG.: GV L

POS'N: Leiter III EOD: Oct 1948 COVER UNIT: 7949 QM Proc.
3# Payroll # Cryptonym: team (ID Q-143)

V# 2907

E Card #

ID Card # Emergency #

SPECIAL DOCUMENTATION:

SUMMARY OF QUALIF., ACTIV.:

- * @ ALTHAUS - KK B XIX RZ 07 486 - '52
- " By I 180 479 - '53

LANGUAGES: Russian and Polish

- REFERENCES:
- MGIA- 7142, 5 Jul 51 (tr request)
 - MCHA-11111, 14 " (MT)
 - MGKW- 9522, 5 " (traces)
 - MKA-31324, 1 Aug 51 "
 - MBSA- 4499, 26 Jul 51 "
 - MELA- 8820, 29 Oct 51 (attach.)
 - " 10057, 18 Jan 52
 - " 12423, 21 Jul 52
 - SPULL-4530, 1 Dec 52

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

SECRET CONTROL
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SECURITY INFORMATION

AUGSBURG, Emil (Dr.)
page -2-

EDUCATION: (MGKW-9522)
Attended school, Lodz
Breslau & Leipzig Universities: studied Germanics
PhD. 1934, Soviet press

1934: SD, Amt VII, Amt VI

WW II: (MGLA-10057 and MGKA-31324) Mbr NSDAP; SS Major; assoc. with SD Amt VI
RSHA and SD Einsatz-Kommando Ud SSR.
(MGKW-9522) Deputy director, Wannsee Institute (Eastern European Institute of Economy) of Berlin and later Plankenworth, Austria. The Institute was placed under command of Gruppe VI G for ideological research and was to provide scientific support for the intelligence services. The scientists of Sonderlager L cooperated closely with the Wannsee Institute. In April 1945, with Monsignor Anton KWIATOWSKI and Annemarie BUSCHMANN (all of Amt VI, RSHA), AUGSBURG cached 8 trunks of anti-Comintern files at Ettal, Bavaria. Subject later stated documents were to be placed at disposal of Vatican but whereabouts of them is unknown. (a Dr. Emil AUGSBURG was added to list of "war criminals" wanted by the Polish government; accused of stealing Polish art treasures in 1944). In August 1945, KWIATOWSKI and his associates were taken from Ettal by Polish officers in a car.

1 May 1947: employed by CIC.

Nov 1948: CIC Region IV dropped Dr. Emil AUGSBURG; allegedly contacted by TIB and attempted negotiate employment with that org.; was in close contact with mors of "General VLASSOW Group" and alleged to have assisted them materially; strong anti-Bolshevik but has strong nationalistic political views (MGSA-4499). [] examination Hq 66th CIC files Sep 52 produced following CIC rating): honest, idealistic scholar who enjoys good food and wine; naive in scholarly way; convicted anti-Soviet who has excellent connections to Vlassov and Ukrainian circles; was political advisor to ANDERS Army in Italy (?); obtained PhD on Soviet press; unprejudiced mind, excellent scientist.

Dec 1948 report: (MGKW-9522) Resided Feilbergstr 12, Kempten; dropped by US intel. agency in Austria or Germany w/o prejudice.

1947-1949: (MGLA-8820) V-2907 was CI/CE specialist of GV L's SONIN (V-2625) group.

1950: (EGLA-3086) "In pruning down the SONIN group...Dr. Emil AUGSBURG was taken over into GV L's headquarters staff, but works out of Munich...has been entrusted with the exploitation of Case SCHWARZ...(in which)...one former General Staff Colonel Rudolf WEJSS of Berchtesgaden is the central figure".

SECRET CONTROL

AIRLIFT TRAVEL

24 June 53

Name: ALTHAUS, Dr. Ernst @ AUGSBURG, Dr. Emil @ Dr. KRAUSS

V-No. V-2907

KK No: B XIX RZ-07486 - By I 180479

Payroll No. _____

Org: GV L

<u>R-No.</u>	<u>Date of Request</u>	<u>From</u>	<u>To</u>	<u>Date of Flight</u>	<u>Date of Return</u>	<u>Purpose of Trav.</u>
R-970	21 March 1952	F'furt	B'ln	29 April 1952	29 May 1952	Urgent meeting concerning CI/CE matters.
R-1016	4 June 1952	"	"	10 June 1952	9 July 1952	Settlement of IS activities.
R-1125		"	"	10 Nov 1952	9 Dec 1952	Meeting with agents.
R-1179	20 Feb-1953	"	"	1 March 1953	31 March 1953	Urgent conferences in Berlin.
R-1215	8 Apr 1953	"	"	19 April 1953	18 May 1953	Investigation on incident in West Berlin
R-1229	15 May 53	"	"	21 May 1953	20 June 1953	Urgent conferences Berl
R-1233	22 May 53	"	"	25 May 53	24 June 1953	Urgent conferences Berlin

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2000

240: AUGSBURG, Emil (Dr)

IR

2469

REGLA-~~1117~~

"SECRET CONTROL"
US OFFICIALS ONLY

AUGSBURG

20 February 1953

Chief, DE

Chief of Base, Pullach

Operational/ZIPPER [CART]

[JDROLLERY] # 350

Ref: [REGLA-2272], 2318

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

1. Enclosed is ZIPPER memo 4885 giving the latest developments in subject case. [SCHWARZ] has now been able to get a job which requires less traveling in the LEUG Vehicle Factory located in Bochum-Essen. This will facilitate his being carefully run by ZIPPER. On the other hand [SCHWARZ] has temporarily lost contact with [SCHROEDER], [V-2907] attributes this to [SCHWARZ]'s moving. He feels that as soon as the FIS establishes some new surveillants in Bochum that "SCHWARZ" will once more be contacted.

2. It is interesting to note that [V-2907] reiterates his conviction that [SCHWARZ] is being surveilled by the FIS, but that he feels there is no particular danger as long as [SCHWARZ] is not seen with people who are known as Western DE operatives. [V-2907] can hardly avoid considering himself in this category. Apparently [V-2907]'s research project for the RSHA was quite well known to the Poles as he was frequently contacted by Polish officers in the latter part of 1945 (see MOGA-4499). [V-2907]'s general meeting techniques as outlined in second ref., don't seem to lessen the security problems in this case. However, [V-2907] seems to be fully aware of the problem and may be undertaking the more detailed security measures necessary to counteract the security difficulties evolving from such meetings. Perhaps the past difficulty of meeting [SCHWARZ] necessitated a lengthy meeting in [] locale in Oberalchingen, and a more detailed study of the counter-surveillance techniques employed in connection with this one meeting would illustrate that [V-2907] is doing all possible within the realm of practicality to avoid his being seen in public with [SCHWARZ]. We are not going to press ZIPPER for more operational details in connection with this meeting or others, unless a blatant lack of security arises. We feel that it would only aggravate them and lessen other operational reporting in the future. We will use our information on above meeting only as a possible starting point for digging into a flap.

Dist:
5 - DE w l cy att 1
3 - COM " " " "

"SECRET CONTROL"
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noted
EE [CE]
EE [Poland]
FI [STC/SIA]
Z

„SECRET CONTROL“
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3. You may wonder why a person who stands a good chance of being known to the PIS was assigned this case in the first place. [V-2937] seems to be a "shining star" of EV L and has often before been transferred to a number of important cases. As this is the sole Polish DA case, the EV L probably felt it was important enough to overlook the weak security aspects of using [V-2937] in favor of putting one of their best men on the case.

[]

Approved by

[]

- 2 -

„SECRET CONTROL“
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VIA: ATR
(SPECIFY AIR OR SEA FOUCH)

31

DISPATCH NO. 22-1-215

CLASSIFICATION

TO : Chief, SS
FROM : Chief of Base, Pullach

DATE: Dec 1953

SUBJECT: GENERAL— Operational/ZIPPER
SPECIFIC— ZIPPER's CI/CE Agency

Ref.: (1) SBIA-1001, (2) SBIA-3035

1. Attached is a copy of the latest master list of W. L. Zipper's CI field agency, received from Zipper.

2. Subsequently, as a reaction to security incidents and expected further attacks against Zipper, Zipper decided to "officially" dissolve W. L. It has, in fact, been redesignated "Dienststelle 150." On one dark night W. L. folded its tent and stole off quietly to Wiesbaden, to a house being abandoned; the next night the caravan proceeded to Zwingenborn, small town in the Weinstrasse area which has housed the W. L. school. Because of this, it is planned to move the headquarters of D. 150 to a city, probably Pforzheim. [V-3035] blown to the Russians by both true name and [BLEIDI], changes his alias to [BENDER, Adolf] [V-2615] has been moved out of Berlin.

3. From W. L. several persons have been transferred to Zipper headquarters CI/CE. We know:

- a. LV-3068 [FRIESE, Hans] (ln/P-3)
- b. LV-2907 [ALBERTI, Ernst Dr.] the Russian expert.
- c. LV-2908 [DORN, Lydia], secretary

4. International Connections:

- a. Finland: V-3057 (CI/Polit.)
- b. France and Switzerland: V-3052; customs service in Karlsruhe
- c. Arab League: V-2904, Wiesbaden

5. US Offices or possible US interests:

- a. Bonn: [V-2901]; [Hoon (State?) Dent.; (Ant Blank)]
- b. Berlin

DIST:
EE-3 w/1 cy attach. 1 (DIRSOY)
COM -3 w/1 cy attach. 1

11 Dec. 53

[Redacted box]

- c. V-2951, Dr. Wolfgang Mayer, professor of law and courts, Mannheim-Heidelberg.
- See also para. 5 below.

6. West German Authorities:

- a. Bonn: [V-3011] Dr. Heinrich Weisler (for [V-2960]; V-2941, Act BLANK.
 - b. Karlsruhe: [V-3057].
- For police and GI offices, see paras. 7-9, below.

7. West German GI Offices:

- a. Bonn, Sicherheitsgruppe: [V-2977] and [V-2983.1]
- b. Karlsruhe, AM (LW?): [V-2921]

8. West German Border Police:

In [V-2720] (resid. Schlotterbach) and [V-2721] (resid. Hohenberg); V-2961, in Lam; [V-2722] in Ludwigstadt-Lauenstein; [V-2720] resid. Steinbach a.W., with Greppangstreife; [V-2757.1] leader of Grepo-Kommissariat Passau (resid. Mitterteich).

9. West German Police (other than border police):

- a. Frankfurt/M: [V-2646] police support point
- b. Karlsruhe: [V-2061] Kripo chief; V-2882, Chief Kripo Hauptstelle Nordbaden; V-3052, customs.
- c. Homburg/Saar: [V-2491.2] Kripo (Communist Party)
- d. Pforzheim: [V-2709] Nordbaden police
- e. Stuttgart: [V-2661.6] Kripo; [V-2661.13] Kripo chief
- f. Bavaria: [V-2733] police investigator, Coburg; [V-2957.2] Hof Kripo; [V-2719] Fuerth i.V. refuge camp Kripo.

10. W/T agent: [V-2648.1] (AGI SD 13) in Dresden.

11. East-West Trade:

- a. CIA: V-2712.2 (W. Berlin) and V-2070 (D. Berlin).
- b. V-2712.3 (W. Berlin, Kaiser Fern Warenhandel); V-3005 (Klein Barchow, DZUNAPHT).

12. "Special Connections":

- a. V-2635: connection to Der Spiswi magazine; he is now in Hamburg.
- b. V-2628 in Darmstadt: mineral oil.
- c. V-2755 in Stuttgart, CI/Econ. (23).
- d. V-3075 in Munich, CI/Econ.
- e. V-2602. Berlin-Lichterfelde W., chief of SV 2602.
- f. V-2603 in Neu Isenburg, leader of E. (Walckapolle-investigative squad) Besse and chief of special connections that area.
- g. V-2626, Karlsruhe, chief of SV-2626 for Russian and Polish emigration. (See also V-2881, in Munich, in Polish and Ukrainian emigration).



Approved: _____



(2)

CIA SPECIAL COLLECTIONS
RELEASE AS SANITIZED

SECRET
RI ROUTING SLIP
SECURITY INFORMATION

DATE 28 January 1953

FILE NO. []

TO: RI/201 Section

FROM: EE/PI/Zipper *Handwritten initials*

SUBJECT: Emil AUGSBURG

REMARKS: Please open new [] on Emil AUGSBURG
born 1 May 1904.

- INDEX AS MARKED
- REFILE IN FILE INDICATED
- OPEN NEW FILE AS INDICATED
- COPY RETAINED IN DIVISION

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

MICROFILMED

FEB 10 1956

FORM NO. 35-40
FEB 1952

EG LA - 7666 18 Feb 54
Augustine

*CI-CE Staff

122.W: @ Dr. ALBERT (new alias for @ ALTHASE, formerly of GV L), he is an old Slavic expert, who will be concerned first with the preparation of Zipper's forthcoming handbook on the Soviet IS, later also with studies on emigres. He left GV L because of strong personal dislike of its leader @ LEIDL. Because of his service in RSHA and high SS rank, major in SD, UTILITY, requires that he work outside of Headquarters compound.

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DATE 2000 2006

23 February 1954

SUBJECT: Dr ALBERTI

1. Subject has the designation 122/W, *according to a memo he wrote.*
2. Subject is a rather large man, 6 feet or perhaps 6'1" tall. He weighs ^{193 lbs.} 88 kilos, and wears a (German) (size) (hat) 62. He is an Albino, or nearly so, with almost ^(naturally) white hair and typically weak eyes. He wears strong bifocal glasses, smokes fairly heavily.
3. Subject speaks Russian, regards himself as something of an authority on Communism and the Soviet IS. He served with von KLUGE 1941 on the Eastern Front, was constantly occupied with problems of occupation of Russian territory, propaganda. He prides himself on the fact that he accurately predicted the catastrophe on the Eastern Front as early as 1941, since he is convinced that a military victory in Russia is impossible, but that the Russian people can be brought to oppose their Communist overlords.
4. If you have time to sit and listen, he will tell you the story of his life on the slightest provocation. In the course of our conversation with U/M, he described in some detail his participation in "KORSAER", "Hugo", and the famous SRIS "agent" from Turkey who passed the polygraph (BERTRAM).
5. He commented, rather gratis, that the current adviser on Eastern European matters in BONN, (?) Otto SPRINGER? had served as an adviser of this sort before Hitler, during the Nazi period, and now, and is, in Subject's eyes, a "100% Communist (he said Bolshevik)".

cd/s
✓ 240 AUGSBURG, Emil (For)
845

~~CONFIDENTIAL~~
CLASSIFICATION

STAFF MEMORANDUM

ACTION TO: 833
ROUTE IN TURN
TO: 833

SUBJECT: Dr. ALBERTI
INITIATED BY: 831

DATE: 3 May 54

No.	TO	FROM	DATE	COMMENT
1	833	831	3 May 54	839 has informed me that @ Dr. ALBERTI, who has office in Munich, has tel # 2 23 21 there. Is it known to us? Important determine to whom or to what firm registered. Once address determined, find out who registered there. []

requested
3 May 54

cl/s

see attached
for IDent.

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

STAFF MEMORANDUM

~~CONFIDENTIAL~~
CLASSIFICATION

File: 240 AUGSBURG, Emil

4 May 54

Identification of Telephone Subscriber

1. Request identification of the subscriber to the following telephone No:

MUNICH 2 23 21

SIMON & CO. GmbH
Tuerkenstr.29

ch/s

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SOURCE METHODS EXEMPTION 3328
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

PULL 7893 (IN 43530) dated 5 May 1954 [] (KOHLEK)
On 3 May, [] and [] briefed [LAUSNER] on results of
"ALBERTI" [] interview with [KUKOWITSCH] and [WEBER] [] complex).

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SOURCES METHODS EXEMPTION 388
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

AUGSBURG

EGLA-8884 dated 10 May 1954 (*CI-CE Reports)

Attached comments on Headquarters comments on Zipper's CI/CE

study (EGLA-7750) come mostly from @ Dr. ALBERTI, Zipper Slavic and Russian expert in the CI/CE field, previously known as 2907. [] believes that despite occasional evidences of dogmatism, ALBERTI is undoubtedly well qualified and knowledgeable in his field, although weak on recent postwar information.

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COURIER

ESL-A-9211

3 JUN 1954

Chief of Frankfurt Operations Base

Chief of Base, Pullach

INFO: COM

Operational/CART/REDSOX/AETRA

Russian Emigre Denunciations

1. On 25 May 1954, during the course of conversation with ZIPPER's CE Soviet expert, @ Dr. ALBERTI, the latter mentioned rumors within Russian emigre circles concerning persons of interest to you. Although emigre rumors should probably be rated E-4 or F-5, at best, @ Dr. ALBERTI stated that these rumors were not limited to ETS sources and that although the sources could probably never be traced back, nevertheless, he believed that behind all this noise there might be at least a few sparks.

2. During the time that MVD Capt. Nikolai E. KROKHOLOV was working with the Soviet partisans in the area of Minsk during World War II, Georgi S. ORODLOVICH, who was then on the German Army Special Staff "R," was rumored to have been in contact with KROKHOLOV and to have made available to him information from Special Staff "R."

3. Dr. Alexander TRUCHENOVICH, ETS leader recently kidnapped in Berlin, has two sons, one of whom is alleged to be an officer (lieutenant ?) in the Red Army, which fact allegedly could only be possible if TRUCHENOVICH, the father, cooperated at least to some degree with the Nazis. According to these emigre rumors, it was considered possible that he might have worked for both sides.

4. We do not feel competent to pass on the degree of improbability or possible probability of these reports, but pass them on to you as a matter of interest to your office.

[]

Approved by:

[]

Distribution:

2 - FOB

3 - COM

3 - FAB

25 May 1954

x TCC 04 402 240 / ROSEBURG, EMIL (Dove)

ORIG FILED IN

270.513-A

CONFIDENTIAL

CPD

(AIR)

EOLA-9335

Chief, EE

10 JUN. 1954

Chief of Base, Pullach

Operational [CART]

SRIS Organization and Activities

Reference: (1) EOLA-9134 (2) EQQ-W-15391

1. Ref. 1 forwarded a German translation of the study on the Committee of Information (ref. 2) and promised that ZIPPER's comments would be forthcoming.
2. Attached memo 8721, from 128.5 @ Dr. ALBERTI (Hq. [] []), forwards these comments.
3. We should be grateful for any corrections or counter-comments you might care to make.

[] []

Approved by:

[]

Dist:

3 - EE w 1 cy Att. 1 (DIRECT)
3 - COM " " " "

8 June 1954

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

Deadline	Check one
Hq Action Req. by cable	<input type="checkbox"/>
See para by pouch	<input type="checkbox"/>
Hq Comments Req. by cable	<input type="checkbox"/>
See para 3 by pouch	<input checked="" type="checkbox"/>

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151

FOURIER

EGLA-9429

EGLA-9429

SECRET

16 June 1954

Chief, IS

Chief of Base, Pullach

Operational [PART]

Princess Jastyra SAPIEHA

REF: (1) HGBA-4281, (2) HGLA-11835 (para. 5, Att.)

1. Reference (1) (re Hubert Johannes WEICHERT, born 7 March 1913) and other sources [HJ-3001/15 #399] and reference (2) have reported that a Free Justice (or Jastyra) was a Polish IS interrogator and recruiter in PW camps in Poland in the postwar period (1948-1949), along with Earl WISCHNIEWSKI (HGLA-5992), 12492; HGBA-4094; HGLA-4214) and Les LA. LIPSKI (HGLA-6358).

2. Reference (2) reported the possibility that this Free Justice might be identical with Subject. Source, who knew her and drank champagne with her before the war, has described her as very intelligent and a long-time intelligence figure. She was a courier and recruiter for the London Free Poles during World War II. In North Italy she was betrayed by one of her agents in San Remo to the Gestapo, which had her held in the Ravensbruck concentration camp. Source saw her after her release by the Americans. She returned to the Free Poles in England, where she found her no longer enjoying a relatively leading position—this so disgusted her that she returned to Poland.

NOTE (IS ONLY): Source of this paragraph is V-2307, now C. ALBERT of ZIPPER Reg. (Reg. [] []) The betrayer is Kon-signor Antoni KWIATKOWSKI (aka KWIATKOWSKI) (HGBA-5922; HGBA-4499). Source reported that toward the end of the war he evacuated KWIATKOWSKI to Kloster Ettal (vic. Oberammergau).

3. In view of Subject's confirmed postwar role for Communist Polish IS, information on Subject is requested from recipients of this dispatch.

[] []

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

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- Distribution:
- 1 - IS DIRECT
 - 3 - COM
 - 2 - Base
 - 2 - CLS
 - 3 - POB

ORIG. FILED IN:
240/SAPIEHA, J.

14 June 1954

AUGSBURG
EGL-A-9526

COURIER

22 JUN. 1954

Chief of Mission, Frankfurt

Chief of Base, Pullach

INFO: EE, MIB, FUB

Operational/CALL/CART

Gleb U-SHOFF

REF: EGQA-37449 (Not to MIB)

1. Subject, born 28 July 1916 in Petersburg, Russia, is a Ukrainian who offered his services to the Germans in Kiev in World War II. He served among other things as interpreter for General HRO (MGLA-1291) and worked with the SD and the NSRA. After World War II, he worked for ZIPPER's CI Field Base as V-2626, * Oleg USHENKY. As late as 1953 he was still carried as a ZIPPER CI Field Base "Special Connection" for Russian and Polish emigre matters (EGLA-6746).

2. In the spring of 1954, * Dr. ALBERTI (Reg. File No. [redacted]), now with the ZIPPER Headquarters CE Section, told me that he still runs Subject personally for general emigre information and that * ALBERTI considers him one of the best and most reliable men in emigre circles. In order to be able to keep his lines open to as many groups as possible, he has purposefully avoided taking a leading role in emigre politics. In view of his special status in connection with the report on Russian and Polish emigration, we call Subject's existence to the attention of MIB and FUB requesting any information on his currently available or that may come to light in the future.

3. Subject has resided from at least 1948 to date in Karlsruhe, Marie Alexanderstr. 10. He has also given as addresses probably either temporary or accommodation: Weilheim/Bavaria, Parchatzleiting #2, c/o Olga GAIT (1949); and Ettlingen/Baden, Bahn Postlageramt (railway post office general delivery), c/o Frau KALSHIN.

4. ZIPPER travel records indicate travel to and/or through the following towns among others: Ettlingen, Berchtsgaden, Eltville/Wiesbaden, Munich, Lichterfeld, Wehrburg, Frankfurt, Bamberg, Bad Reichenhall, Marzen, Mitterwald, Freising, Starnberg, Immstadt, Kempten, Tutzing, Seating, Flochingen, Erlangen, and Sealingen.

5. According to MGLA-10413, Subject was a fairly frequent visitor at the apartment of Nikolai ZAHAROV * SOHIN, born 24 April 1904 in Ashchabad, ex-SSVD colonel who emigrated to the U.S.A. in January, 1952, after several years of service for ZIPPER CI Field Base (L).

Distribution:
3 - COM
3 - EE DIRECT
2 - MIB -
2 - FUB

18 June 1954

added
G/CE
CR-3
R/ia
=

SUBMITTED

COPY

Deadline:		Check one
Hq Action Req.	by cable	<input type="checkbox"/>
See para	by touch	<input type="checkbox"/>
Hq Comments Req.	by cable	<input type="checkbox"/>
	by touch	<input checked="" type="checkbox"/>
Information		<input type="checkbox"/>

NOTE: @ Dr. ALBERTI, who during his days with the CI Field Base had the unpleasant task of dealing occasionally with @ BORIN and members of his group, describes them to me as really low types and "bonafide."

Approved by:

Distribution:

- 3 - COM
- 1 - IN
- 2 - HSB
- 2 - FSB

SECRET

52-4-33-338

COURIER

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DATE 2000 2008

FOL-A-9678

Chief, EE

- 6 JUL 1954

Chief of Base, Pullach

INFO: COM, MJB

Operational

Struggle for Power in the USSR - A ZIPPERite's Views

1. On 25 May 1954 during the course of a conversation with ZIPPER's CE, Soviet specialist, @ Dr. ALBERTI, the latter discussed views concerning the struggle for power in the USSR. He indicated personal disagreement with the view of ZIPPER's reports staff presented shortly after the fall of BERIA, that the latter's fall signified Red Army ascendancy in the USSR. @ ALBERTI also pointed out that the top military man, although a general, was not really an army man but a "GD" (state security) general and that his two subordinate leading army generals are in almost direct opposition personally and in professional strategy/tactics concepts; hence, presumably easily and deliberately played off against one another. @ ALBERTI personally considered that the ZIPPER line as published by its reports section originated from @ HERDAHL who is, according to him, too impulsive and too inclined to the military viewpoint.

2. According to @ Dr. ALBERTI, the importance of the Communist Party in the USSR cannot be overestimated. However, he now inclines to the belief that Georgi MALENKOV, because of his involvement in the government apparatus, is playing a lesser role in the party itself and as such may be headed for a decline. @ Dr. ALBERTI ascribed considerable significance to Soviet Russian press reports that during recent speeches MALENKOV addressed the Chamber of Nationalities, an assembly lesser in stature than the Chamber of Soviet Republics which was addressed by Nikita KHRUSHCHEV, whose speech received more space in the Soviet press than did MALENKOV's. Also, the report of enthusiastic reception and applause for KHRUSHCHEV received a higher rating than that given to MALENKOV.

POB Comment: Substantive disagreements on political evaluation within ZIPPER are inevitable and interesting. We have no feeling of any underestimating of Party importance or strength by @ HERDAHL's line. On the contrary, the economists in @ HERDAHL's staff are well impressed with the growing (i.e., in the next two to five years) effectiveness and realness of the Party's program in the USSR and several of the Satellites.

3. @ Dr. ALBERTI believes that the purge of the intelligence/security (MIB/MVD) apparatus has almost reached completion and that the next institutions to undergo drastic purge will be the Army and the Party itself.

Distribution:
3 - EE DIRECT
3 - COM
2 - MJB "

30 June 1954

noted
[G]CE
[S]TC/S/B
[S]R/CE
Z

4237

EV

FBI Comment: @ Dr. ALBERTI has been asked to elaborate on his ideas on this point.

4. We should be interested in any comments you may care to make on the views advanced by @ Dr. ALBERTI.

Approved by:

[]

7-4231

Aug Ring

757 dated 9 August 1944. [] Broilery 5] [] Section 27
August MORITZ was informed by Adolf POCHER, through Hans SCHLEIF that
EUCHER and Dr. AUGSBURG, a former SS Stuba, and expert for Russian affairs
at the Gahlen Headquarters was handling the [] Broilery 3] case, and would
never deliver a comrade to the French but rather give him a warning to
enable him to go underground, or, as far as they were concerned, to
disappear into the Soviets. They had been supported in their effort to make
MORITZ appear as a counter agent by Dr. Rolf OERSEER-ROEDER, a former SD Gsthf.
and an old acquaintance of MORITZ who carried much influence with GENDEL.

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CENTRAL INTELLIGENCE AGENCY
SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

SECRET

Germany/USSR

-X-24218

Views on the Current Struggle for
Power in the USSR

9 August 1954

1

25 May 1954

Germany,

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CENTRAL INTELLIGENCE AGENCY
SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

*Mr. [] of OSI
stated that he
would comment
on following.*

Competent intelligence analysts (B) from a German specialist on developments
in the Soviet counter-espionage field (B). Appraisal of Content: 3.

The following views on the struggle for power in the USSR have been expressed
by the source identified above:

1. Source does not believe that the fall of Beria signified Red Army ascendancy in the USSR. Source points out that although the top military man in the USSR is a general, he is not really an Army man, but a State Security general. The views of his two subordinate leading army generals are in almost direct opposition with each other, both personally, and in regard to their concepts of professional strategy and tactics. Therefore, it is assumed that these two are easily and deliberately played off against one another.
2. Source believes that the importance of the Communist Party in the USSR cannot be overestimated. However, he is now inclined to believe that Malenkov, because of his involvement in the government apparatus, is playing a lesser role in the Party and therefore may be headed for a decline. Source gave considerable significance to the Soviet press reports indicating that, during recent speeches, Malenkov addressed the Chamber of Nationalities. This assembly is of lesser stature than the Chamber of Soviet Republics which was addressed by Khrushchev, and the latter's speech received more space in the Soviet press than did Malenkov's. Source also considered significant the fact that Khrushchev received a more enthusiastic reception and applause than did Malenkov.

EE/[FI/G]

AIR

EGLW-1139

Augsburg 20,
OCT 27 1954

Chief of Mission, Frankfurt
Attn: Chief of Base, Pullach]
Chief, EE]

Operational/[CART]
ZIPPER Comments on Study of SRIS
Organization and Activities

REF: EGLA-9335

1. Thank you for sending in ZIPPER comments on the study forwarded as EGOW-1539L.
2. These comments were routed to the various interested desks at Headquarters. However, none made any corrections or counter-comments.

[]

Distribution:
3 - Pullach (DIRECT)
2 - Frankfurt
1 - RI
2 - EE/[FI/G]
EG:md
14 October 1954

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SOURCES METHODS EXEMPTION 3B2B
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DATE 2000 2008

EE/[FI/G]

EE/[FI/G]

32-4-1-]

13 July 55:

[] of [SR 3 W-3] up here to look at file. One of their DIA agents given EEI to look up Emil ALTHAUSEN who speaks German - Russian & engaged in intel. Address given as Krailing.

SR will ask MOB to connect with POB

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DATE 2000 2008

755

CLASSIFIED MESSAGE

ORIG : []
UNIT : [SR/W3]
EXT : 3839
DATE : 13 JULY 1955

SECRET		ROUTING	
		1	CR-RESEARCH
		2	CIA
3		5	
		6	

TO : MUNICH, FRANKFURT

FROM : DIRECTOR, CIA

CONF : SR (1-4)

INFO : COP (5), CI (6), CI [OPS] (7), FI (8), FI [OPS] (9), EE (10-11), S/C (12-13)
SPECIAL: MUNI (1-3), FRAN (4-5), PULL (9-11)

Zipper

DIR 13645 (OUT 55652) 2140Z 13 JUL 55

BY PRIORITY PRECEDENCE

TO MUNI

INFO FRAN, PULL

CITE DIR

~~SECRET~~ REDSOX LCMIMPROVE, []

REF A. MUNI 0723 (IN 20820)

B. FRAN 1073 (IN 21781)

1. DR. EMIL AUGSBURG [] AKA DR. ERNST ALTHAUS AKA DR. KRAUSS, ZIPPER

SLAVIC AND RUSSIAN EXPERT CURRENTLY HANDLING AND WRITING REPORTS ON [UDROLLERY]

LCMIMPROVE CASES. SUGGEST MOB DISCUSS REF A PARA 8 CI WITH POB AND ADVISE RESULTS PRIOR ANY ACTION.

2. NO HQ TRACES ALTHAUSEN OR KRALLING ADDRESS. IF APPEARS IDENTICAL WITH

SUBJECT PARA 1 ABOVE, PLS ADVISE KNOWN INSTANCES USE ALTHAUS ALIAS AND ADDRESS.

END OF MESSAGE

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

(Logged)

EE/DCOP []

SR [COP/CE] []
EE [G/OPS] []
EE [CE] []
COORDINATING OFFICERS

Augsburg - 201

CSR/B []

SECRET

RELEASING OFFICER

AUTHENTICATING OFFICER

IT IS FORBIDDEN TO MAKE A COPY OF THIS MESSAGE Copy No.

UNCLASSIFIED MESSAGE

DATE : 193
15 JUL 55

S-E-C-R-E-T

1	Re: [Handwritten]	5
2	[Handwritten]	6
3		

TO : DIRECTOR

FROM : MUNICH

ACTION: SR (1-4)

INFO : COP (5), CI (6), CI [OPS] (7), FI (8), FI [OPS] (9), EE (10-11), S/C (12-13)

[Handwritten signature]
21 PPH

MUNI 0867 (IN 25021)

0314Z 16 JUL 55

ROUTINE

TO: DIR

INFO: SFRAN

PRECEDENCE

CITE: SMUNI

~~REDACTED~~ REDSOX LCIMPROVE [Handwritten]

RE: DIR 12862 (OUT 54259)

MUNI 0723 (IN 20820)

1. PARA 4 REF A: REQ SOONEST HQ GUIDANCE RE ALTHAUSEN
SINCE RIS INSTRUCTED FIVE ACT IMMEDIATELY THIS TARGET.

2. PARA 5 REF A:

A. FIVE LIVING WEST UNDER ALIAS. TRUE NAME IS [SAFONOV]

THREE TOLD FIVE TRUE NAME AS BASIS ESTABLISH HE RIS AGENT.

B. SEE PARA FOUR MUNI 8132* RE ONE'S REPORT PICKUP
INCIDENT. [ODOPAI] AND [ODUNIT] DENIED ANY KNOWLEDGE PICKUP. ELABORATION.
FROM FIVE: TWO AMERICANS PICKED UP THREE AT 0100 HOURS, TOOK
HIM TO A BAR WHERE THEY TALKED AND DRANK. BROUGHT THREE HOME
AT 0300 HOURS. THREE DID NOT TELL FIVE SUBSTANCE OF DISCUSSION
WITH AMERICANS. BEFORE THREE'S DISAPPEARANCE HE TOLD FIVE THAT
[ODOPAI] "KNEW ALL ABOUT HIM AND PEOPLE AFTER ME".

C. NAME ON DDR DOC PAUL SCHNEIDER DOB 12 OCT 19 RESIDENCE
BRLN STREET FORGOTTEN. FIVE DOES NOT KNOW OF ANY ATTEMPT BACKSTOP
HAFNER KENNKARTE. RE QUESTIONING AT BORDER, SUGGEST YOU QUERY

S-E-C-R-E-T

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10

S-E-C-R-E-T

15 JUL 55

MUNI 0867 (IN 25021)

PAGE 2

[] WILL POUCH DETAILS.

3. IN ACCORDANCE AUTHORITY PARA THREE REF A WE HAD FIVE WRITE TWO MSGS RIS. FIRST WHICH NUMBER 36 SENT THREE JUL AND EXCLUSIVELY RE INCIDENT AT BORDER EXCEPT FOR LAST SENTENCE IN WHICH FIVE SAYS HE'LL TRAVEL SOON TO KRAILLING LOOK UP ALTHAUSEN. NUMBER 37 SENT 12 JUL. SUMMARY: 4 JUL FIVE VISITED [KTONR] OFFICES SEEING GI ANTONOV AND D ARBAKOV. KURGANOV IN U.S. 7 JULY FIVE TALKED WITH ARBAKOV, ANTONOV, EL KUMMING AND A KUBATIY. ARBAKOV LEAVING FOR U.S. BUT HOPING RETURN GERMANY WITH JOB. ARBAKOV CONDUCTED ANTI SOV MEETING LANDSHUT 19 JUN. ANTONOV AND [KTONR] UPSET RE VV POSDNIAKOV SIGNING KTONR BULLETIN AS EDITOR. FIVE WROTE BIOG DATE RE KUMMING.

4. NO RADIO MSG FOR FIVE ON 2 JULY BROADCAST. WILL MONITOR BROADCAST 16 JULY.

END OF MESSAGE

*CABLE SECRETARIAT COMMENT: CABLE REFERRED TO PRE-DATES CURRENT CABLE SECRETARIAT FILES. A COPY MAY BE EXAMINED IN SIGNAL CENTER ARCHIVES.

S-E-C-R-E-T

CLASSIFIED MESSAGE

AUG 1955 291

462
DATE : 21 JUL 55

S-E-C-R-E-T
~~SECRET~~

ROUTING	
1	EE - EEG/A 4
2	EE 5
3	6

TO : DIRECTOR

FROM : MUNICH

ACTION: SR (1-4)

INFO : CGP (5), CI (6), CI [OPS] (7), FI (8), FI [OPS] (9), EE (10-11),
S/C (12-13)

ZIPPER
RG

MUNI 0934 (IN 27100) 22/27 21 JUL 55

ROUTINE
PRECEDENCE

TO: DIR

INFO: FRAN

CITE: MUNI

~~REDACTED~~ REDSOX [CART]]

RE: DIR 13645 (OUT 55652)

1. FROM DISCUSSION POB VERY PROBABLE 5'S TARGET IDEN AUGSBURG.
POB BELIEVES HIS SECY LYDIA DEUSCHENDORF AKA LYDIA DORN HQ
FILE [] ACCORDING 5 LAST PART SECY'S LAST NAME ENDS IN
DEUTSCHE. POSSIBLY GARBLE. BUT THIS INCREASES POSSIBILITY
AUGSBURG TARGET. POB HAS NO TRACES ADDRESS.

2. HAVING 5 GO KRAILLING AND DO NOTHING EXCEPT LOOK AT
NAME PLATE THIS ADDRESS. WILL COMMENT FURTHER ON PLANNING RE
AUGSBURG AFTER THIS STEP ACCOMPLISHED.

END OF MESSAGE

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

Handwritten signature/initials

S-E-C-R-E-T
~~SECRET~~

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Copy No.

CI (ASST. DIR. INTL)

DATE : 091
25 JUL 55

SECRET

~~SECRET~~
CEP

TO : DIRECTOR
FROM : PULLACH
ACTION: SR (1-4)
INFO : COP (5), CI (6), CI [OPS] (7), FI (8), FI [OPS] (9), EE (10-11),
S/C (12-13)

*ZIPPER! PLEASE GET REP
THRU) AS OUR MENTION?*

PULL 1331 (IN 27997) 1626Z 24 JUL 55

ROUTINE
PRECEDENCE

TO: DIR INFO: FRAN CITE: PULL

~~SECRET~~ [CART] LCIMPROVE REDSOX

RE: DIR 13645 (OUT 55652)

TRUE

1. SUBJ REF NOT KNOWN TO HANDLE OR WRITE REPORTS [UJ DROLLERY] CASES. EMPLOYED AS RIS SPECIALIST AND CONSULTANT. MAINTAINS ROOM PROXIMITY KRAILING. PRESENTLY IN KURORT, BAD KISSINGEN, FOR SIX-WEEK REST CURE.
2. PRINCIPAL ZIPPER INTERROGATOR BURKHARDT AFFAIR, SUFFERED TWO BLACKOUTS WHILE ENGAGING THIS INTERROGATION. THEREFORE ORDERED FOUR WEEKS' REST BY DOCTOR, TO WHICH ZIPPER ADDED TWO WEEKS.
3. SUBJECT'S SECRETARY ONE LYDIA DEUTSCHENDORF, HQS FILE [] LATTER DAUGHTER JAKOB DEUTSCHENDORF, HQS FILE []

END OF MESSAGE

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SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

SECRET

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AUGUST 201

CLASSIFIED MESSAGE

318

DATE : 26 JUL 55

S-E-C-R-E-T

ROUTING	
1	RECEIVED - FRANK/IT
2	COPY
3	

TO : DIRECTOR

FROM : MUNICH

ACTION: SR (1-4)

INFO : COP (5), CI (6), CI [OPS] (7), FI (8), FI [OPS] (9), EE (10-11), S/C (12-13)

2/ ZIPPER

MUNI 0999 (IN 28828)

2046Z 26 JUL 55

ROUTINE

PRECEDENCE

TO: DIR

INFO: FRAN

CITE: MUNI

~~REDSOX~~ LCIMPROVE L

RE: MUNI 0934 (IN 27100)

1. FIVE WENT KRAILLING AND DID NOT FIND ALTHAUSEN OR SIMILAR NAME ON DOORPLATE ADDRESS.

2. WILL INFORM RIS OF ABOVE NEXT MSG. INFORMING POB NO CURRENT ACTION RE ALTHAUSEN CONTEMPLATED.

END OF MESSAGE

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SOURCES METHODS EXEMPTION 3B2E
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

logged

S-E-C-R-E-T

28 July 1955

M/ R

1. [] phoned 331 from MOB yesterday to tell him that his [] agent had been to that Krailing address but that he had not seen any nameplate indicating that an "ALTHAUSEN" or "ALPHAUSER" lived there. He said nothing about a woman whose name was to end in "DEUTSCHER". Accordingly the [] agent involved will inform the SRIS of this fact and [] promised to keep us informed of developments.

[] [] [] []

/ file 240.

AUGSBURG, Dr. Emil

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SOURCES METHODS EXEMPTION 3322
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

AUGUST 51

M/R to be filed in 240/AUGSBURG, Emil

Subj: ZIPPER's eagerness to debrief RIS emigre

1. During the past 6 months, undersigned has had occasion to conduct five or six discussions with Emil AUGSBURG, ZIPPER's RIS specialist, on information furnished by [] and the [] which information has been passed to ZIPPER for the completion of their files, and as well as with the request that they add anything known to them ~~through~~^{to} this material. During these discussions one difficulty has always arisen in the difference in nomenclature employed by ZIPPER ~~vis-a-vis~~^{and} that employed by our headquarters. On these occasions, AUGSBURG has always insisted that possibly our headquarters nomenclature might be valid for a short period, that, however, the nomenclature employed by himself and ZIPPER is much more nearly correct. He insists in this context that regardless of what number any particular section, division, or directorate might have, basically its mission must remain the same, therefore the name of the organization must be at least closely akin to any previous name which it has had. To this undersigned has been obliged to agree but maintains the position that he is obliged to employ that ~~present name~~ nomenclature used throughout our headquarters.

2. On these occasions, with possibly at the most, one exception, AUGSBURG has indicated that were he given the opportunity to talk with some of the Russian defectors known to be in American hands, at ~~this~~^{such} time^s he usually mentions [] and [] by name as well as the RIS defector from Turkey, that he should be able to clear up any differences in nomenclature within 1 or 5 minutes. Specifically AUGSBURG has mentioned on at least two occasions that should he have had the opportunity to debrief [] at the time that [] was first debriefed in Germany, he believes that [] would have been shown to be

and/agent provocature . On one occasions when the material furnished us by the
[Australians] ^{from} PETROV was the topic of discussion, AUGSBURG indicated that he
felt that undersigned was holding out on the pertinent information received
from PETROV. On the assurance that all information received from PETROV was
being forwarded to ZIPPER, AUGSBURG merely stated that in that case, headquarters
must be holding out on undersigned since the material was extremely sketchy and
in AUGSBURG's opinion, not of a CE nature and not the sort of information which
PETROV must ~~actually~~ have. On this particular occasion AUGSBURG again mentioned
that certainly much more valuable information ^{would} ~~must~~ be forthcoming should he
have the opportunity to debrief some Russian defector. It was on this occasion ^{that}
he threw in the remark that even [] who had actually been in Germany, was
not made available for AUGSBURG debriefing; that the only people with whom he
was permitted ~~to~~ contact were the two German nationals who ~~actually~~ had not
valuable information, that is, valuable information with regard to the structure
of the RIS.

3. In AUGSBURG's opinion, the individuals who do the debriefing of the ^{defectors}
in the US are either not sufficiently informed on the RIS history or are not
sufficiently trained as interrogators. When undersigned suggested that he
was of the opinion that the people had been carefully selected for these
debriefings, AUGSBURG retorted, that in that case, the only other solution he
can think of is that we must be holding out information on him. On each
occasion when this particular topic has come up, [HERMANN] of ZIPPER headquarters
has tried his best to pour oil on any troubled waters which might arise. @
[HERMANN] has on quite a few occasions offered apologies to undersigned for
AUGSBURG's direct and undiplomatic statements.

Cm
837

INTERNAL ROUTING SLIP

TO: ~~801~~ 831 ✓

801	823	837	849
805	827	839	851
807	829	841	853
809	830	843	855
815	831	845	860
817	833	846	862
819	835	847	864
821	836	848	File

8 Aug 55

DATE: 8/8/55 ORIGINATED BY: 837
 SUBJECT:

801 - Don't you
 Phil [unclear]
 this should go
 COS as EGA? ✓

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 DATE 2000 2008

Comment MLW: This falls mainly in the category of sour grapes. As matter of fact Alberti put on (according [] [] a very second rate performance in handling Hans KUKOWICH and WEBER during the [] case. This may be purely his own reaction to Augsburg. [] is not known to be unduly charitable in his assessment of ZIPPER) Be that as it may, Augsburg displays little balance in these views. I doubt very much whether he really knows enough about the problem to be taken completely seriously.

disag

The important question: How symptomatic of the overall ZIPPER view is this? Does this represent Klausner views? How clear is Augsburg from a security point of view? Has the recent MOB lead on him been clarified? Has anyone ever spent much time with him and then written an assessment? Is he really such an expert? On communism or the RI S? If the latter, where did he get his info?

wo
 no

DK This should not be dizzied. Too raw. Too unevaled #

INCOMING CLASSIFIED MESSAGE

FROM DIR 21263
 TO PULL INFO FRAN FROB
 ACTION
 INFORMATION

PRECEDENCE ROUTINE
 DATE 27 AUG 55
 MESSAGE IN NO. 594
 TYPIST'S INITIALS TOB

Paraphrase not to be made as

SECRET

Correspondence per Pars. 51 (1) 60A AR-380-5.

REDWOOD LCIMPROVE

REF: PULL 1536

WOULD WELCOME ALIAS DR. ALBERTI INTERROGATION BUT REGRET SECURITY CONSIDERATIONS PREVENT ^{NK} PRESENCE GERMANY NEAR FUTURE. SUGGEST ALBERTI PROVIDE WRITTEN BRIEF WHICH HQ WILL FULLY CONSIDER IN FURTHER ANALYSIS. HQ WILL PROVIDE RESULTS FOR ZIPPER.

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 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2000 2006

*See M/R written 29/8/55
 CW*

a good move

HA

DIS	f
829	<input checked="" type="checkbox"/>
831	<input checked="" type="checkbox"/>
837	<input checked="" type="checkbox"/>
855	<input checked="" type="checkbox"/>

SECRET

CARDED:

File: AUGSBURG, Emil

TOR

27/1125Z

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30 August 1955

M/R

Subject: Interrogation of [] by Dr. Alberti (DOI - 29 August 1955)

Reference: (1) DIR-21263 (2) PULL-1536

1. In accordance with Ref. 2, undersigned discussed the interrogation of [] in the following terms with [] HERMANN on 29 August 1955 in [] HERMANN's office. [] informed [] HERMANN that the [] interrogation was to be continued. In this connection, should ZIPPER so desire, they might prepare a written brief which would be forwarded to Headquarters to be used in these interrogations. [] HERMANN was further told that Headquarters had assured us that ZIPPER would be provided with the results of any additional work done on []. [] HERMANN expressed great interest in this and indicated that he would discuss the problem with Dr. Alberti as soon as he had the opportunity. It should be noted that Dr. Alberti will not be back for work until the 5th of September while [] HERMANN is going on leave from the 2nd of September through the 22nd. It must be anticipated, therefore, that we will not have any answer to this question until after [] HERMANN comes back from his leave.

2. After a moment or two of hesitation, [] HERMANN suggested again that naturally ZIPPER would be more interested in doing a personal interrogation of []. Thereupon undersigned suggested, since Headquarters had brought up the subject, that this suggestion might be forwarded to Headquarters along with the reply which ZIPPER gives to Headquarters' query on the written brief, or actually with the written brief if this were to be prepared. Undersigned left the writing of the brief entirely up to ZIPPER and did in no way indicate that this was something which Headquarters specifically requested, rather it was an opportunity which was being afforded to ZIPPER if they wished to avail themselves of it.

Distribution:

- Cy 1 - FILE 240/AUGSBURG
- Cy 2 - 829, 855, 831, 240/HOKI/LOU
- Cy 3 - 801
- Cy 4 - 240/~~XXXXXXXX~~ Zipper Desk

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DATE 2000 2006

FILE: 240/AUGSBURG, 5414

SECRET

14 DEC 1955

THIS BLANK FORM IS CLASSIFIED:

1. SUBJECT (NAME, IN ROMAN LETTERS AND IN NORMAL ORDER) AUGSBURG, Emil		RES NSE TO GREEN LIST REQUEST NO. 62357	
2. ALIASES, VARIANT SPELLINGS, CTC NUMBERS, ETC. ALTHAUS, Ernst (Dr.) KRAUSS, fnu (Dr.) GREEN LISTED 2 July 1951		DATE OF REQUEST 9 December 1955	
3. BIRTH DATE 1 May 1904		REQUEST FROM NAME OF ORIGINATING OFFICER []	
4. BIRTH PLACE Lodz, Poland		OFFICE DESIGNATOR EE/C/2 ROOM, BUILDING 2206 K EXTENSION 2451	
5. CITIZENSHIP		14. WAS CONTINUING SEARCH REQUESTED? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	
6. PRESENT ACTIVITY AND LOCATION Karlsruhe, Karlstr 34		15. TYPE OF REQUEST <input checked="" type="checkbox"/> FIRST TIME SUBMITTED <input type="checkbox"/> ADDITIONAL DATA <input type="checkbox"/> COVER NAME	
7. OCCUPATIONAL SPECIALTIES, EDUCATION		16. INTEREST IN SUBJECT <input type="checkbox"/> ESPIONAGE OR CE ACTIVITY <input type="checkbox"/> OPERATIONAL CLEARANCE <input checked="" type="checkbox"/> OTHER	
8. MILITARY SERVICE		17. INFORMATION REQUIRED all available information	
9. PAST OCCUPATIONS		DECLASSIFIED AND RELEASED BY CENTRAL INTELLIGENCE AGENCY SOURCE METHODS EXEMPTION 3B2B NAZI WAR CRIMES DISCLOSURE ACT DATE 2000 2008	
10. DESCRIPTION (HEIGHT) (WEIGHT) (EYES) (HAIR) (RACE) (OTHER)			
11. SPOUSE (IF WIFE, GIVE MAIDEN NAME)			
12. OTHER MEMBERS OF IMMEDIATE FAMILY, AND ASSOCIATES			
13. OTHER SIGNIFICANT DATA (POLITICS, RELIGION, ETC)		ATTACH EXTRA SHEETS WHEN NEEDED	

INSTRUCTIONS: INSERT CARBON; FILL OUT FORMS COMPLETELY.
SEND BOTH SHEETS TO [FI/STD]

SEE [CS]-10-7

FORM NO. 101 REPLACES FORM 101-1

SECRET

TO: Mr. [] [EE/9/2]

29 Dec 55
DATE

FROM: [FI/STD] SW

- A. SEE DOSSIER ON SUBJECT IN [FI/STD] BIOGRAPHIC FILES.
Examined 21 May '56, nothing pertinent.
- B. A MEMORANDUM IS ATTACHED HERETO.
- C. WITH THE LIMITED IDENTIFICATION PROVIDED IN THE REQUEST, [STD] IS UNABLE TO DETERMINE WHETHER THERE IS INFORMATION ABOUT THE SUBJECT OR NOT.
- D. [FI/STD] HAS NO PERTINENT INFORMATION ON SUBJECT. IF A CONTINUING SEARCH WAS REQUESTED IN SECTION 14, INFORMATION ABOUT THE SUBJECT WILL BE REPORTED TO YOU AS IT BECOMES AVAILABLE.
- E. THE SUBJECT OF THIS REQUEST IS APPARENTLY THE SUBJECT OF THE FOLLOWING:

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CENTRAL INTELLIGENCE AGENCY
SOURCES METHODS EXEMPTION 3B2E
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

NOTE TO THE ORIGINATING OFFICE

WHEN THE SUBJECT IS NO LONGER IMPORTANT TO YOUR OFFICE, THIS SHEET, WITH THE FOLLOWING CANCELLATION NOTICE COMPLETED, SHOULD BE SENT TO [FI/STD.]

REVIEW YOUR FILE OF THESE SHEETS FREQUENTLY, AND SEND TO [FI/STD] THE SHEETS FOR PERSONS THAT ARE NO LONGER OF SUFFICIENT INTEREST TO DEMAND A CONTINUING NAME CHECK. PLEASE DO NOT TIE UP [FI/STD]'S FACILITIES WITH CONTINUING SEARCHES FOR INFORMATION THAT IS NOT REQUIRED.

TO: [FI/STD]

DATE

FROM:

NAME
OFFICE DESIGNATOR
ROOM, BUILDING
EXTENSION

SEE THE STOP CARD FOR THIS REQUEST

For 837

Date: May 1956??

1. Sonderkommander PANNWITZ took over the Rote Kapelle assignment in Paris from REGER (now UPSWINGER) in 1943. REGER had been responsible for capturing two important SRIS agents, Henry ROBINSON and LEOPOLD TREPPER. Shortly after PANNWITZ took over TREPPER escaped. Long-time SRIS agent, Victor SUKOLOV, KENT was doubled by PANNWITZ and they worked together. There is a possibility that KENT turned PANNWITZ. HIS Rote Kapelle study states that KENT and PANNWITZ left Paris together in August, 1944. Possibly in Alsace in W/T communication with Moscow, Oct. 1944. Later reported that KENT was in Bregenz. BIS study further states that while PANNWITZ reputedly arrested in Vorarlberg by French in May 1945, KENT escaped probably into Switzerland or Soviet Zone. This misinformation in view of PANNWITZ's recent return from a Russian POW camp.
2. Personnel of PANNWITZ's Paris Amt located and debriefed after the war. These included Rolf Werner RICHTER; Waldemar LENTZ; Hans KURFESS; KOPKOW, Walter HABECKER and Willy BERG. POB has only extracts, see below, but debriefings available Washington. RICHTER reported he saw PANNWITZ in April 1945 in Hof, Bavaria. PANNWITZ was with his secretary and mistress Hilda KEMPA; KENT, SS H/Schaf OSSIG, Kriminal Sekretar ROELLIK. PANNWITZ then planned to go to Bodensee.
3. Dr. Otto Hermann Waldemar LENTZ, DOB: 2 Dec 1909, POB: Darmstadt, journalist, long-time pre-war Russian contacts. Worked in Koenigsberg monitoring broadcasts from USSR, 1936-1938; father in consulate at Danzig which Nazis considered suspect; involved SCHULZE-BOYSEN group, Berlin. 1942 arrested but released and sent to Paris to work under PANNWITZ. At end of war, LENTZ was again suspected of SRIS activities. Told SCHULZE-BOYSEN's brother-in-law, Johannes HAA S-HYKE in 1947 that he had seen Guenther WEISENBORN, who said Russians wished him to keep in touch with Germans who were members (ex-members) of the Rote Kapelle.
Horst
4. Only info we have on rest of group is an Inu KOPKOW suspected of working for BIS in January 1953 and Wilhelm BERG, whom DIR 49025, 20 April 1955, calls "expert on Western aspects of the Rote Kapelle; handled Alexander SAUTER for the Gestapo".
5. In May 1956, RISCHE told [] that he and Dr. ALBERTI were former colleagues of PANNWITZ, the latter being especially friendly with him. RISCHE felt that seeing PANNWITZ would be embarrassing as he might wish a job, etc., which request Dr. ALBERTI particularly would find hard to refuse.

THE ABOVE INFO SENT OUT BY CABLE (HAND CARRIED) ON 14 June 1956 FROM PULL-HANG to FROM INFO FRAN BRIN DIR.

~~INDEXED FOR OR APR 59~~

Augsburg, Emil

UJR

201

X

240/PARNWITZ, Vol. I

Routine

15 June 1956

[REDACTED] DIR

[REDACTED]
[REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]
3054

Reports:

- FOLLOWING FROM [UPSWING] HQS [CAR] DESK OFFICER [FRIESEN] [KUTZBACH] [RISCHKE]:
- a. IN FEB [KUTZBACH] SAID SUBJECT HAD RECENTLY APPROACHED [REGER] WORKING [UPSWING] FIELD [CARD] BASE STUTTGART AND ASKED FOR PERSONAL CONTACT WITH [UTILIT]. LATER [FRIESEN] SAID SUBJECT BEING HANDLED BY [CAVATA] BEST OF [FRIESEN]'S KNOWLEDGE, [UPSWING] HAD NOT RECEIVED COPY ANY [CAVATA] REPORT RE SUBJECT.
 - b. IN MAY, [REGER] SAID [UPSWING] PLANNING CONTACT SUBJECT SPECIFICALLY IN CONNECTION TO OPS REPORTED [PULL] 4101, [DEGIA] 19296 (NOT TO [EROB]). AT THAT TIME, [REGER] SAID HE EXPECT SUBJECT TO CONFIDE IN HIM IN VIEW OF THEIR WARTIME RELATION AND THAT SUBJECT WAS ALSO FRIEND OF [UPSWING] SENIOR HIS ANALYST @ ALBERTI.
 - c. IN JUNE, [REGER] SAID [CAVATA] OR [LEV] HAD NOTIFIED [UPSWING] THEIR FAILURE ESTABLISH RAPPORT WITH SUBJECT. [REGER] ADDED HE IS PLANNING TO CONTACT SUBJECT TO INTRODUCE [BOTTO] WHO IS HANDLING OPS MENTIONED PARA B ABOVE AND "ROTE KAPITEL" MATTERS GENERALLY.

CARDDED FOR OR/APR 59

SECRET

17 July 1956

MEMORANDUM FOR THE RECORD

SUBJECT: Conversation with @ ALBERTI, 17 July 1956

1. @ ALBERTI has a source apparently in the Munich Russian emigration who is slowly going blind. He has a chronic disease of the retina ("Netzhaut"). He lived apparently in Smolensk at one time and his father was a Czarist General Staff officer, possibly a general. He must be well known among the emigres with whom [MOB] is in contact, and [] should be able to pinpoint the source.

2. @ ALBERTI asked me whether we would be interested in a man whom he considered a very good intelligence operator and whose work @ ALBERTI was in a position to evaluate when both were connected with CIC. This man is [Alexej TSURIKOV] [born 6 June 1927 in Prague;] last known address: C/O [GRIGOLAYTIS, [38 Alphonse Street, Rochester 28, New York] @ ALBERTI said that [TSURIKOV]'s father was a right-wing exile politician in Munich and politically a royalist. He added that [TSURIKOV] spoke fluent Russian, Czech and good German, English and French. @ ALBERTI has given [TSURIKOV] the address of Professor [Nikolaus POPPE] at the [Institute for Far Eastern Studies in Washington] in the hope that [POPPE] could "put him in touch with the right people." [TSURIKOV] had actually gone on missions for CIC into Czechoslovakia and had later been employed in an intelligence school at Oberstaufen.

[[]]

~~851~~
~~827~~
~~829~~
~~831~~ 73

post file 17/7
[von Michel]

Card + file

SECRET

240/AUGSBURG, EMIL
(XTR BY: 240/TSURIKOV)

Separate Cover Attachment B to
[ECMA] 25006

31 JAN 57

Identity is [Peter KNAUR] [RFE] representative,
Stockholm

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SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

SECRET

SECRET
(When Filled In)

DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION		
HOEHER, WOLFGANG		2. RI FILE NO.	[]
		3. DISPATCH OR SOURCE DOC. SYMBOL NO.	4. DATE	
		[EGMAL-31461	17 ^{JAN} 58	
5. ANALYST	6. DATE PROCESSED	7. SOURCE CRYPTONYM	8. DATE OF INFO.	9. EVALUATION
[30 JAN 1958			
		10. DISSEMINATED IN	11. DATE	

DOCUMENT DISPOSITION	
12. CROSS REFERRED TO THIS FILE	13. TRANSFERRED TO RI FILE NO.

14. PERTINENT INFORMATION

The name of "Augsburg-Dr. Albert Hauser" was beyond question as Dr. C. Alberti appeared in Gottlob Berger's address book in connection with "Schwarze Kapelle".

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DATE 2000 2000

17 Jan 1958

15. FILE THIS FORM IN FILE NO. [] [] [] []

EGMA 32873

DISPATCH NO. [REDACTED]

SECRET
CLASSIFICATION

TO : Chief, EE

DATE: 14 March 1956

FROM : Chief of Base, Munich

INFO: SR, COS, [REDACTED]

SUBJECT: GENERAL— Operational [REDACTED] / [REDACTED] [REDACTED]

SPECIFIC— Captured German NKVD records

RECOMMENDED: headquarters' comments.

1. [REDACTED] has again asked us for information on the German NKVD records which were captured by the U.S. Army at the end of World War II. [REDACTED] (acting on behalf of Cdr. ALBERTI) some time ago had mentioned to [REDACTED] that [REDACTED] would be particularly interested in getting back some 14-18 volumes of [REDACTED] manuals which the Germans had captured when they overran Smolensk. According to [REDACTED] at the end of the war there existed three known sets of these manuals in western hands. One was in what is today the Soviet Zone of Germany and nothing further has been learned about it; the second set was presumed to have fallen into British hands and is believed to be in London today; the third, believed to have been an incomplete set, was located at the offices of the "Anti-Comintern" at [REDACTED] on the Unstruth where ALBERTI believes it was taken by U.S. forces during that part of Thuringen. [REDACTED] claims they have queried the [REDACTED] regarding their set but have received a negative reply. Has Headquarters ever ascertained whether these records are among the files taken from Smolensk that are now in Washington or Alexandria respectively? Identity 1 of [REDACTED], a recent visitor to this base, said that he had heard of this set of volumes and suggested that Identity 2 of Identity 3 be queried regarding them. He also intimated that if the set in London existed, the negative reply to [REDACTED] notwithstanding.

2. ALBERTI states that these manuals are entitled "Uchebnyk 1 uchebnye zadaniya po chekistskoi rabote" or "dlia chekistskoi raboty". He gave their title in German as "Lehrbuecher und Anschauungsmaterial fuer die Tschekistische Arbeit" and described them as 14-18 volumes, most of which were of standard octave size, printed on grey-blue coarse paper and bound in grey-blue calico. Some of the volumes, namely those including pictures, were of folio format. Each book carried a specific title on its back. In addition to these volumes, ALBERTI claimed that the Germans also captured some NKVD training manuals (Schulungsbriefe) at Smolensk. These were of size DIN A 4 and were bound in a light maroon-like type of cover with a black calico back. Needless to say these, too, would be of interest to [REDACTED].

3. We would appreciate Headquarters' comments even if it is not desired at this time to admit to [REDACTED] that we have these books (if indeed we do). Particularly, [REDACTED] Identity 2's and [REDACTED] comments would be of interest.

X-Ref'd 150.3 RIS

240/FUGSBURG, Emil

Approved by: [REDACTED]

Attachment: Identities (use) [REDACTED]

Dists: 3 - EE (w/att [REDACTED])
2 - SR (w/att [REDACTED])
2 - COS (w/att [REDACTED])
2 - [REDACTED] (w/att [REDACTED])

SECRET
CLASSIFICATION

FORM NO. 64 004

SECRET

Separate Cover Attachment to: [CGMA] 32873

Identity 1 Mr. []

Identity 2 Mr. []

Identity 3 [FD]

Distribution: 3 - EE
2 - SR
2 - [BOB]
2 - COS

SECRET

SECRET

MEMO FOR RECORD

17 March 1958

SUBJ: @ALBERTI

On 1 April, the @ALBERTI family will be reunited when they move from the vicinity of Ansbach to Krailing (near Gräfenberg). 831 wonders how this will affect Lydia DEUTSCHENDORF.

[Gräfenberg]

831

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SECRET

9112 / ANSBACHBURG.G.m.l.

SECRET

5 May 1958

MEMORANDUM FOR THE RECORD FOR MRB

FROM: ACP

SUBJECT: Miscellaneous CE Information

1. Miscellaneous CE information obtained from @ALBERTI by the undersigned was an exercise designed to keep KUBARK's channel to ^{Net} [V-2626] [Gleb UMNOV] open for useful information which can supplement and support the OD/CE effort. As a result, a good deal of chaff has been exchanged between @ALBERTI and [] and in many instances, such as in the case of listing former KGB personnel now in the West, the information exchanged thus far is probably worthless. In the case of the KGB personnel, @ALBERTI had originally promised to identify to us his "private" source on the KGB, allegedly residing in Kempten. This man, it will be remembered, is allegedly a former chief of the KGB training school near Smolensk. He apparently was involved with the AIS and was dropped under circumstances none too pleasant. There are also indications that he probably concealed all or part of his KGB background from his American handlers. In any case, we do know that the man, according to @ALBERTI, lives under a false name and appeared in [UJDROLLERY #1] [BLONDE].

2. Still, while we can guess as to his identity, we have failed so far to make a good one. @ALBERTI has refused to identify the man because this former KGB officer prefers to take his chances at trying to immigrate into the U.S. under a false name rather than revealing his true identity and past background. @ALBERTI takes the position that he cannot very well identify the man to us against

SECRET

X AUGSBURG,
DR. EMIL

SECRET

his wishes. In order to give himself (@ALBERTI) a completely free hand, @ALBERTI has made very sure that no one can ever make this a really official matter. The agent is not listed in [UPSWING's] Agent Control Section and apparently receives no money (although the undersigned does not believe this). In any case, @ALBERTI claims that vis-a-vis his superiors, this agent is "simply a friend of @ALBERTI's".

3. One of the guesses as to the identity of this man could be [Nikolai WAYLOR] Landsberg/Lech, or possibly [Georg LUTTER @JAEGER] born in Riga. Since I thought that [LUTTER] might possibly be the man, I buried [LUTTER]'s name among other request for traces which I fed to @ALBERTI. Interestingly enough, @ALBERTI never replied on [LUTTER] although that may be due to completely different reasons. Certainly 831 should occasionally call on @ALBERTI and discuss reports from [V-2626] borrow some from @ALBERTI and show them to the OD side (CE and Sov sections who are both particularly interested in seeing them).

[]

SECRET

240 Augsburg,
Dr. Emil

WORLD PERSONALITY (201) FILE REQUEST

TO HEADQUARTERS, RI DATE 13 November 1958

FROM EE/G/L [] ACTION
 OPEN AMEND CLOSE

INSTRUCTIONS: Form must be typed or printed in block letters.

SECTION I: All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.

SECTION II: Cryptonym or pseudonym will be entered in Headquarters.

SECTION III: To be completed in all cases.

SECTION I

SENSITIVE 201 NO. 1. SOURCE DOCUMENT
 NON-SENSITIVE []

NAME (Last) (First) (Middle) (Title) SEX
 AUGSBURG EMIL DR. M

NAME VARIANT

TYPE NAME 2. (Last) (First) (Middle) (Title)

A ALBERTI DR.

A ALTHAUS, ERNST Dr.

A KRAUSS, Sr.

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 SOURCES METHODS EXEMPTION 3020
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2000 2008

PHOTO 4. BIRTH DATE 5. COUNTRY OF BIRTH 6. CITY OR TOWN OF BIRTH 7. OTHER IDENTIFICATION 8.
 YES NO 01 NOV 04 POLD LODZ 1. UA 2. 3.

OCCUPATION/POSITION OCC/POS. CODE 9.
 1H ZB

SECTION II

CRYPTONYM PSEUDONYM
~~ALBERTI, DR.~~

SECTION III

COUNTRY OF RESIDENCE 10. ACTION DESK 11. 2ND COUNTRY INTEREST 12. 3RD COUNTRY INTEREST 12A.
 WGER WEST GERMANY EE/G/L

COMMENTS:

CIT: West Germany (?)
 Mbr. WGER Intell. Service, Bavaria

[]

PERMANENT CHARGE RESTRICTED FILE SIGNATURE
 YES NO YES NO

cm ✓

DISPATCH	CLASSIFICATION	DISPATCH SYMBOL AND NO.
	CONFIDENTIAL	[REDACTED] - 769
TO Chief Munich Base, INFO Attn: [REDACTED]	[REDACTED] Liaison Division	HEADQUARTERS FILE NO.
FROM Chief, EE		DATE 12 DEC 1958
SUBJECT [UPSWING] Smolensk Under Soviet Rule		RE: "43-3" - (CHECK "X" ONE)
		MARKED FOR INDEXING
		NO INDEXING REQUIRED
ACTION REQUIRED Pass to @ Dr. ALBERTI		INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY
REFERENCE(S)		

In view of the numerous discussions that @ Dr. ALBERTI has had in the past with KUBARK staffers on the subject of the Russian archives captured at Smolensk, we thought he would enjoy reading Marie Fainsol's book Smolensk Under Soviet Rule. At [REDACTED]'s discretion, the book may be passed to @ Dr. ALBERTI with the compliments of [REDACTED]

Enclosure:

Book (1)

Distribution:

2 - [REDACTED]
2 - [REDACTED]
1 - RI

11 December 1958

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SOURCES METHODS EXEMPTION 3026
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

CLASSIFIED MESSAGE

FILE NO. []

DATE: 071 [087] ATB [] / []
 11 SEP 59

S-E-C-R-E-T

TO: DIRECTOR

FROM: [] []

ACTION: EE 6

INFO: [CI, CI/OPS, CI/OA, FI, S/C 2]

RE Filing (check one):
 No CS Record Value. Destroy.
 RI file this copy as indicated
 Branch copy filed as indicated
 RE Indexing (check one):
 Marked
 Signature: [] []

ROUTING	
1	4
2	5
3	6

SEP 12 1033Z 59

ROUTINE

IN 24562

TO: DIR

INFO [] []

MICROFILMED
 APR 7 1964
 DOC. MICRO. SER.

CITE [] 0509

[H]WAY [] []

RE [] 0497 (IN 22104) *

1. [] [] BARCS MEET CAME OFF PER REF BUT [] [] COULD NOT BE INTRODUCED. [] [] ASSESSES [] AS ALERT, SIREW, CAUTIOUS AND SUSPICIOUS.

2. DETAILS [] TRAVEL PLANS NOT ELICITED BUT [] SAID HE RETURNING [] WITHIN FEW DAYS. THEREFORE AND SINCE [] [] FEELS HIS MEETING [] WOULD REQUIRE ELABORATE PRELIMINARIES, NO FURTHER OPS MOVE AGAINST [] POSSIBLE NOW.

3. POUCHING [] [] ACCOUNT [] [] MEET WITH [] AND [] DELEGATION.

END OF MESSAGE

C/S COMMENT: * REPORTED THAT [] [] ATTENDING COCKTAIL PARTY 4 SEPTEMBER HONOR 14TH PLENARY MEET WFUNA DURING WHICH WILL ATTEMPT ASSESS SUBJECT. [] AND ELICIT TRAVEL PLANS.

RECEIVED

RECLASSIFIED	
RI/AM	WFA
RI/MIS	[]
RI/PS	[]
RI/Files	[]

MIS: Pls pick up x-code to [] []

ROUTING	INITIAL
[]	[]
[]	[]
[]	[]
DESTROY	[]
[]	[]

D- [] []

(cc filed)

S-E-C-R-E-T

FORM 4-58 1389 USE PREVIOUS EDITIONS

REPRODUCTION BY OTHER THAN THE ISSUING OFFICE IS PROHIBITED.

Copy No. []

(35)

@ Albert - CE

US Army Regional
Support Group
(Name and address of requesting agency)

Berlin Document Center,
U.S. Mission Berlin
APO 742, U.S. Army

Date: JUL - 9 1960

It is requested that your records on the following named person be checked:

Name: Dr. Emil Augsburg
Place of birth: Lodz, Poland
Date of birth: 1 May 1904
Occupation:
Present address:
Other information:

0938129

It is understood that the requested information will be supplied at cost to this organization; and that payment will be made when billing is received.

6748
(Telephone No.)

MATTHEW R. SHAW
Major (Signature) Arty.
Adjutant

(This space will be filled in by the Berlin Document Center)

	Pos.	Neg.		Pos.	Neg.		Pos.	Neg.
1. NSDAP Master File	—	—	7. SA	—	—	13. NS-Lehrerbund	—	—
2. Applications	—	—	8. OPG	—	—	14. NS-Aerztebund	—	—
3. PKK	—	—	9. RWZ	—	—	15. Party Census	—	—
4. SS Officers	—	—	10. EWZ	—	—	16.	—	—
5. RUSHA	—	—	11. Kulturkammer	—	—	17.	—	—
6. Other SS Records	—	—	12. Volksgerichtshof	—	—	18.	—	—

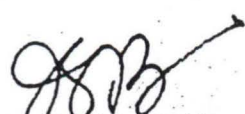
For explanation of abbreviations and terms, see other side.

NSDAP Master File & SS-Officers-File:

AUGSBURG Dr., Emil
Born: May 1, 1904 at Lodz
Occupation: SS-Officer

968129/si

In response to your request there are attached hereto photostatic copies of the pertinent files in our records concerning Augsburg. In the belief that these copies satisfy the requirements of your request this office has not evaluated the file on record.


JAMES S. BEDDIE
DIRECTOR
BERLIN DOCUMENT CENTER

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SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

AUG. 1960

Form AE/GER-20 (Jan 59) JUL 12 1960 (Date Request Received)

(Date Answer Transmitted)

G. JAN 59-11487-100M

istgrad	Bef.-Dat.	Dienststellung	von	bis	h'amtl.	Eintritt in die ff: 11.9.38		307 925		Dienststellung	von	bis	h'amtl.
if.	11.9.38	Fi. SD. Hauptamt	11.9.38			Eintritt in die Partei: 1.5.41		5518 743					
		Rechnungsw. Amt	11.2.39					1.5.04					
if.	20.4.40							500					
istf.	20.4.42												
if.	30.1.44					Größe: 185		Geburtsort: Lößl					
istf.						Anschrift und Telefon:							
						ff-Z.A.	Julr	Mer *					
						Winkelträger	SA- ... Abzeichen						
						Coburger Abzeichen	Olympia						
						Blutorden	Reitarabzeichen						
						Gold. H. J.-Abzeichen	Fahrabzeichen						
						Gold. Partelabzeichen	Reichsportabzeichen						
						Gauehrenzeichen	D. L. R. G.						
						Totenkopfring	ff-Leistungsabzeichen						
						Ehrendegen							
Zivilstrafen:	Familienstand: <i>uh.</i> <i>12.12.38</i>		Beruf: <i>Philologe</i> erlernt		jetzt		Parteilätigkeit:						
	Ehefrau: <i>M. - ha Anneliese Hanke</i> Mädchenname: <i>...</i> Geburtstag und -ort: <i>...</i>		Arbeitgeber: <i>Wannsee - Spitztur</i>										
	Parteilgenoss: <i>...</i> Tätigkeit in Partei: <i>N.F.V. ...</i>		Volksschule 4 Kl Fach- od. Gew.-Schule Handelsschule Fachrichtung: <i>Philologie</i>		Höhere Schule <i>9 bis</i> Technikum Hochschule <i>...</i>								
	Religion: <i>gottgl.</i> <i>K.A. 3.38</i>		S Sprachen: <i>Polnisch, russisch,</i> <i>Poln. Polg.</i>		Stellung im Staat (Beamter, Soldat, ...)								
	Kinder: m. w. 1. <i>11.7.44</i> 4. <i>1.11.39</i> 4. 2. <i>...</i> 5. <i>...</i> 5. 3. <i>...</i> 6. <i>...</i> 6.		Führerscheine:										
	Nationalpol. Erziehungsanstalt für Kinder:		Ahnenachweis:		Lebensborn:								

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 SOURCE METHODS EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2000 2006

Personal-Bericht

SD-Hauptant
des SS-Bewerbers Emil Augsburg (Wannsee-Institut)
(Dienstgrad) (Vor- und Nachname) (Dienststelle und Einheits)

Mitgl.-Nr. der Partei: 5.518.743 H.-Ausweis-Nr.
Zeit wann in der Dienststellung: 1.5.1937 Beförderungsdatum zum letzten Dienstgrad:

Geburtsort, (Geburtsort Kreis): 1.5.1904 Lodz/Polen
Beruf: 1. gelernter: Dolmetscher 2. jetziger: Abtlg. Leiter
Wohnort: Berlin-Wannsee Strafe: Am Heckeshorn 16
Verheiratet: Ja Mädchenname der Frau: Kinder: nein Konf.:

Hauptamtlich seit: 1.5.1936
Verstrafen: keine
Verletzungen, Verwundungen und Strafen im Kampfe für die Bewegung: keine

Beurteilung

I. Allgemeine äußere Beurteilung:

1. rassistisches Gesamtbild: vorwiegend nordisch
2. persönliche Haltung: aufrecht und zielbewusst
3. Auftreten und Benehmen in und außer Dienst: völlig einwandfrei
4. geldliche Verhältnisse: geordnet
5. Familienverhältnisse: geordnet

II. Charaktereigenschaften:

1. allgemeine Charaktereigenschaften: unbedingt zuverlässig
2. zeitliche Freizeit: in Ruhe und regsam
3. Auffassungsvermögen: sehr gut
4. Willenskraft und persönliche Härte: zur Genüge vorhanden
5. Wissen und Bildung: sehr umfassend und ständig vertieft
6. Lebensauffassung und Urteilsvermögen: ernst und klar
7. besondere Vorzüge und Fähigkeiten: Beherrschung der russischen und polnischen Sprache und wissenschaftliche Begabung
8. besondere Mängel und Schwächen: nicht bekannt

Beurteilung:

#-Obersturmführer Dr. Augsburg ist seit 1. 1. 37, der Gründung des Institutes, tätig. Zunächst betätigt er sich als Assistent, um dann als Oberassistent die Kultur und Nation litte zu leiten. Augsburg gehört zu den leitenden wissenschaftlichen Mitarbeitern des Institutes und verfügt über solide Kenntnisse über die Welt über den Durchbruch mit Hilfe der Arbeit. Seine sorgfältige Arbeitskraft und seine außerordentliche Arbeitswille bedingt es, dass er in den letzten Monaten dem Institut besondere Leistungen zu haben. Augsburg beherrscht die polnische und russische Sprache.

In Polen wurde Augsburg von der SS beauftragt, die Führung spezieller Aufgaben einzusetzen. Er wurde mit der Einsatzkommando für die UdSSR ausgesandt. Sowohl dienstlich als auch persönlich er hat sich stets korrekt und ohne jeden Mangel. Am 1. 1. 37 wurde Augsburg zum #-Obersturmführer befördert. Er ist 37 Jahre alt, verheiratet und hat 2 Kinder.

#-Brigadeführer

Deutliche Schrift!

21 July 1938

Fragebogen und Lebenslauf

Name und Vorname: *Altebbürtz Emil* Geburtsort und Ort: *1. V. 1904 in Loitz*

Falls außerhalb der deutschen Staatsgrenzen geboren, welche Staatsangehörigkeit besitzen Sie: *Österr. Staatsangehörigkeit*

Einbürgerungsdatum in Deutschland laut Urkunde: *15. II. 1937* Sind Sie bauamtlicher *H.-Führer*:

H. Dienstgrad: *Wstuf.* *H.-Nr.* *307 925* Dienststellung und Einheit: *Sj.-Kaufmann*

Parteinummer mit Eintrittsdatum laut Parteibuch: *5 518 743* *1. V. 1937*

Waren oder sind Sie Volkstischer Vertreter: *nein*
(Mit Angabe der Art [z. B. Ortsgruppenleiter], der Zeit und des Ortes)

Sonstige Angaben:

- 1. B. M. d. R., Staatsrat, Katscher
- Senator, Redner, Volkstischer Vertreter
- in der Bauernschaft, Reichsanwalt, Jäger etc.

Ehrenzeichen der Bewegung: *nein*
(Goldenes Parteiabzeichen, Gauehrenzeichen, Coburger, Muffelorden, H.A.-Abzeichen)

Träger des Winkels für alte Kämpfer:

Vor dem Feinde erworbene Auszeichnungen (mit Ja oder Nein zu beantworten):

- | | |
|---|---|
| <ul style="list-style-type: none"> 1. Pour le mérite: 2. Goldenes preuß. Militär-Verdienstkreuz:
(höchste Auszeichnung für Uffz.-Dienstgrade) 3. I.: 4. I.: 5. I.: 6. I.: 7. I.: 8. I.: 9. I.: | <ul style="list-style-type: none"> 6. Ehrenkreuz für Frontkämpfer: 7. Ehrenkreuz für Kriegsteilnehmer: 8. Verwundetenabzeichen:
(Angabe, ob Schwarz, Silber oder Gold) 9. Sonstige im Felde erworbene Landesorden |
|---|---|

Waffen Bände:

Reiter: *nein*

Schulbildung und Beruf:

Hoch- oder Hochschule bis einschließlich welcher Klasse: *14. Jahr*

Hoch- oder höhere Schule: *9 Jahre* Abitur: *Juni 1924*

Hochschule: _____ Ablußexamen: _____

Technikum, Staatslehranstalt: _____ wieviel Semester: _____ Ablußexamen: _____

Hochschule: *Universität* wieviel Semester: *16* Ablußexamen: _____ Dr.-Examen: _____

Richtung: *Philologie* Erlerner Beruf: _____

Jetziger Beruf mit Angabe der Stellung im Beruf: *kanth. Abteilungsleiter im Mannes-Inst.*

Arbeitgeber mit Angabe der Arbeitsstellung und des Ortes: *Ev. Inst. - Göttingen*

Welche Fremdsprachen beherrschen Sie in Wort und Schrift: *Polnisch und Russisch*

In welchen Fremdsprachen legten Sie die Dolmetschprüfung ab: *Polnisch*

Kraftfahrzeugführer- und Fahrlehrerscheine: _____

Flugzeugführerscheine: _____

Familienstand:

Verlobt: _____ verheiratet: *27. IV. 1937* verwitwet: _____ geschieden: _____
(Beantwortung durch Datumsangabe, Wiederverheiratung ebenfalls Antragen)

Mädchenname (Vor- und Zuname) der Verlobten bzw. der Frau: *Martha Annick, geb. Gauski*

Wie (Geburtsort): *10. II. 1910* und Geburtsort: *Kaunas*

Mitgliedschaft: _____ Nr.: *1. I. 1933* Nr.: *1. IV. 1934* Nr.: _____
(Beantwortung durch Eintragung der Mitgliedsnummer)

Geburtsdaten der Söhne: _____
(Stieföhne und vorgehene Söhne mit „S“, Adoptiv mit „A“ und unehelich mit „U.“ kennzeichnen)

Geburtsdaten der Töchter: _____
(Stieföchter wie bei den Söhnen)

Wurde von Söhnen eine Nationalpolitische Erziehungsanstalt: _____
(Name der Anstalt und Geburtsdatumsangabe benennen)

Religion: *evangelisch* katholisch: _____ gottgläubig: *Nein*

(Bei gottgläubig: Datum des Kirchenaustritts)

Militärverhältnisse:

a. bis Kriegsende:

Aktive Dienstzeit: vom bis Truppenteil
 Kriegsteilnehmer: vom bis Truppenteil
 Frontkämpfer: vom bis Truppenteil
 Kriegsgefangenschaft, welche: vom bis
 Erreichter Dienstgrad:

b. bis Wiedereinführung der Wehrpflicht:

Reichswehr: vom bis Truppenteil
 Polizei: vom bis Truppenteil
 Marine: vom bis Truppenteil
 Gendarmerie: vom bis Truppenteil
 Waffengattung: erreichter Dienstgrad:

c. nach Wiedereinführung der Wehrpflicht (16.3.35)

Zeit: vom bis Truppenteil erreichter Dienstgrad

Sind Sie im Besitze einer Kriegsbeurteilung: *ja*

Dienstzeit im Arbeitsdienst:

Zugehörigkeit zum:

Freikorps: vom bis
(Name)

Stahlhelm: vom bis M.: vom bis

Jungbo.: vom bis M.: vom bis

M.E.R.: vom bis

Waren Sie im Ausland wo? *Russland und Polen*

vom *1904* bis *1924*
vom bis
vom bis
vom bis

In welcher Eigenschaft (Kaufmann, Angestellter, Farmer, Redner usw.):

Notar geboren und aufgewachsen

Tätigkeit in den ehemaligen deutschen Kolonien: wo?

vom bis Art der Tätigkeit:

Bienstand:

Vorstehende Angaben nach bestem Wissen und Gewissen gemacht zu haben bestätigt:

21. Juli 1938
.....
(Datum)

Paul Ansbörz
.....
(Unterschrift u. Dienstgrad)

Sehr geehrte Privatanschrift: *Leolin: Mann/er, zum Zehnterstr.* -18

29. 9. 1938.

An den

Pg. Emil Augsburg.
(4-Nr.: 307.925-Part.Mitgl.Nr.5.518.743)

Ich nehme Sie mit Wirkung vom 11. September 1938 als
4-Mann in die Schutzstaffel auf und befördere Sie
zum Dienstgrad eines 4-Untersturmführers unter
gleichzeitiger Ernennung zum 4-Führer im SD-Hauptamt.

I.V.

(Dienststellenstempel)

Berlin

, den 10. Juli 1941

An den

Offizier des Reichssicherheitsamtes u. d. D.
- Hauptamt VI, Berlin

Betreff:

Beförderungsvorschlag

- Anlagen:
1. Stammlisten-Abchrift
 2. Personalbericht und Beurteilung
 3. Selbstgeschriebener Lebenslauf
 4. Durchschlag der Beförderung zum Hauptdienstführer
 5. Verordnungsprotokoll
 6. Zwei Lichtbilder

Ich bitte, die Beförderung des H. Hauptdienstführer des St. Paul A. u. b. u. r
 3. St. Vortragsamt zum Hauptamt VI zum
H. Hauptamt VI

erwirken zu wollen.

Ich erbitte gleichzeitig

- Ernennung zum Führer (St. 5 913 743)
- Beauftragung mit der Führung (St. 27 6490)
- Beauftragung m. d. W. d. G. (St. 27 6490)
- Privatanschrift: Berlin- am 10. Juli 1941

Berlin, den 10. Juli 1941

Anmerkung: 1. Originalausweise und Ausweise sind nicht mit einzureichen.
 2. Die Anlagen 1, 2, 3 und 4 sind mit dem Personalbericht zum Dienstführer abzugeben.
 3. Für die Beförderung zum Hauptdienstführer ist die Nachfolge zu benennen.
 4. Für die Beförderung zum Hauptdienstführer ist die Nachfolge zu benennen.

Ki 3 Jun 44

Berlin, den

Referent: -Sturmführer Schinck
Referent: -Sturmführer Kutter

Betr.: Beförderung des 4-Hauptsturmführers Dr. Emil Augsburg,
-Nr. 307 925, zum 4-Sturmführer.

i. Vorwerk: Das Amt VI im RSHA bittet um Beförderung des 4-Haupt-
sturmführers Dr. Augsburg zum 4-Sturmführer mit
Wirkung vom 30.1.1944.

Pg. seit: 1.5.37 Pg-Nr.: 5 518 743
seit: 2.2.43 4-Nr.: 307 925
Alter: 39 Jahre - ggl. - verh.s. Dez. 1937
Alter der Ehefrau: 33 Jahre - Kinder: 3

- 1. Gisela 17.1.39
- 2. Irmhild 24.4.41
- 3. Isa 2.2.43

eherverhältnis: ungedient, uk-gestellt für den SD/RFH
 ipo. Einsatz: Polen 1/4 Jahr 1939
 Osten 20.6.41 - 20.4.42
 Verwundungen: 16.9.41 im Osten.
 Auszeichnungen: Verwundetenabzeichen, KVK II. Kl., Sch
 Dienststellung: Haptl. im SD/RFH - Vertragsangest
 mit Besoldung nach Gruppe B 4
 Letzte Beförderung: 20.4.1942
 Schulbildung: Gymnasium mit Reife, 12
 der Germanistik, Ges
 sophie.

A. war nach seinem Studium mehrere
 Übersetzungs- und Dolmetscherdienst
 setzer für Deutsch-Polnisch, Polnisch
 sisch-Deutsch tätig. Am 1.2.1937 wurde
 schaftlicher Assistent beim Wannsee
 eingestellt und später als Oberassistent
 der Abteilung Kultur und Nationalität
 Er verfügt neben reichem
 über dem Durchschnitt
 hervorragende
 se. Er

Misch n und russisch Sprachkenntnisse zugute Men.

Augsburg ist im September 1941 bei einem Tieffliegerangriff vor Smolensk durch Leuchtpurgeschoss schwer verwundet worden, an deren Folgen er heute noch leidet.

A. gehört zu den leitenden wissenschaftlichen und wertvollsten Mitarbeitern des Wannsee-Institutes. Seine ~~4~~-mässige Haltung und sonstige Führung ist korrekt und einwandfrei.

Die Bestimmungen der Beförderungsrichtlinien vom 15.11.1943 sind erfüllt.

Es wird gebeten, ~~4~~-Hauptsturmführer Dr. Augsburg mit Wirkung vom 30.1.1944 zum ~~4~~-Sturmbannführer zu befördern, obwohl er noch nicht ~~ge~~ient hat. Er hat sich bereits im sicherheitspolizeilichen Fronteinsatz bestens bewährt.

II. Vorlage C mit der Bitte um Genehmigung.

III. An das ~~4~~-Personalhauptamt zwecks weiterer Veranlassung.

IV. Zurück an das RSHA - I A 5 - .

V. Wv. bei I A 5 a.

I.V.

I A

I A 5

I A 5 a

E. 1649.
Ku/Gor.-

CLASSIFIED MESSAGE

SECRET

DATE : 375.
7 FEB 61

TO : DIRECTOR

FROM : [MUNICH]

ACTION : C/CI

INFO : [COPY] C/EE 2, D/OS 2, S/C 2

ROUTING	
1	6
2	5
3	6

FEB 7 17 31Z 61

ROUTINE

IN 41860

HWN
EE
MISS

DIR INFO [FRAN] CITE [MUN] 4276

████████ CAMOG

1. HAVE STUDIED [MLB] FILE ON [UMNOV] AND FIND IT CONTAINS WEALTH OF MATERIAL SUCH AS OLD MEMOS AND [INTERCEPTS] WHICH NOT ON FILE HQS. SINCE SOME [INTERCEPTS] FROM [UNOBSERVE] AND ENTIRE FILE WORTH STUDY WE FORWARDING ON LOAN. UNABLE TO COPY BECAUSE MUCH OF IT OLD THERMOFAXES AND SIMILAR MATERIAL. APPEARS [UMNOV] USING [PETROS] AND POSSIBLY [LARIONOV] AS AGENTS BUT WE ALSO IMPRESSED WITH POSSIBILITY THAT [UMNOV] IS HIMSELF RIS AGENT. WOULD APPRECIATE DIR COMMENTS AFTER FILE STUDY.

2. BECAUSE OF CLOSE INVOLVEMENT [UMNOV] WITH [PHILIP] ^{and} ALIAS ALBERTI WE ALSO FORWARDING ALBERTI FILE. PLEASE RETURN BOTH FILES WHEN FINISHED WITH THEM.

END OF MESSAGE

SECRET

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

REPRODUCTION BY OTHER THAN THE ISSUING OFFICE IS PROHIBITED.

Copy No.

SECRET
(When Filled In)

DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION		
		7. RI FILE NO.		
		3. DISPATCH OR SOURCE DOC. SYMBOL NO.	4. DATE	
		7. SOURCE CRYPTONYM	8. DATE OF INFO.	9. EVALUATION
5. ANALYST	6. DATE PROCESSED	10. DISSEMINATED IN	11. DATE	

DOCUMENT DISPOSITION	
12. CROSS REFERRED TO THIS FILE	13. TRANSFERRED TO RI FILE NO.

PERTINENT INFORMATION

14.

AUGSBURG, EMIL /DR./
 SEX M DOB 07 MAY 04
 LODZ, POLAND
 CIT GERMANY
 AKA ALBERTI, ERNST /DR./
 STAFF OFFICER BND.

AUGSBEGNFAFH 843859

200-005-027
 EGMA 53504
 15 FEB 61

PAER
 000000000000000000000000
 111111111111111111111111
 2222222222222222222222
 3333333333333333333333
 4444444444444444444444
 5555555555555555555555
 6666666666666666666666
 7777777777777777777777
 8888888888888888888888
 9999999999999999999999
00 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20

PROCESSED

15. FILE THIS FORM IN FILE NO. ➔

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 SOURCES METHODS EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2000 2006

12 Jan 61
AUGSBURG, Dr. Emil

WALTER D. HOLDENESS

15 Jun 59:4. @Dr. ALBERTI: In connection with the NTS discussion, [DORBACH] said that he was delighted that approval had been granted for @Dr. ALBERTI to get into the act as far as NTS and the [KRASOWSKI] case were concerned. [DORBACH] said that he had had to do a fair amount of pushing to get this approved because [KLAUSNER] was much against it. On the other hand, @Dr. ALBERTI was very enthusiastic

about the idea and also very enthusiastic about the [KRASOWSKI] case. [DORBACH] said that in his opinion @Dr. ALBERTI would be very helpful, would be able to take a considerable load off of [DORBACH] (ALBERTI would be dealing directly with the NTS case officer on the [KRASOWSKI] case) and that ALBERTI was considerably more competent than he often was given credit for being. [DORBACH] said that unfortunately ALBERTI had been pushed so far into the background with dull research work that his actual operational talents rarely had a chance to assert themselves. [DORBACH] concluded by saying that [KLAUSNER] had no respect for the NTS, did not see that there was any CE significance to the NTS, and thought it was a waste of time for any of his personnel to have anything to do with NTS or the emigres in general. This comment resulted in a general conversation about the CE versus positive significance of emigre activities in West Germany. Although [DORBACH] seemed to overrate the positive significance of the emigre field, he was very frank in admitting that emigre activities inevitably turn out to be a hotbed of CE activities or potential activities.

6 Sep 59, [] ... [DORBACH] also mentioned that he was finding the services of @ALBERTI a godsend. [DORBACH] said that although @ALBERTI had been reluctantly freed by [KLAUSNER] to work only on the [ABIDEON/154] case @ALBERTI was taking a healthy interest in the overall [] [CE] problem and was branching out to such an extent that it was saving [DORBACH] a considerable amount of time and effort. [DORBACH] said that he hoped to be able to get @ALBERTI, whom he described as extremely competent in the Soviet emigre field, increasingly into NTS matters presuming [KLAUSNER] did not clamp down on the use of @ALBERTI. [DORBACH] said that @ALBERTI was personally very pleased with the new arrangement and felt for the first time in years that his extensive background was being put to proper use. ...

7 May 59, ABC check sheet from [] and [] Referent on Soviet emigre matters (CE). "Authority" on structure and organization of RIS. Although he is in "Evaluations", ALBERTI has one agent (V-2626) who is his contact to emigre circles. ALBERTI formerly compiled the CE Sitrep.

4 Apr 60, []:
1. [] [DORBACH] talked at some length about his meeting in Frankfurt with [] and discussions with various [] members. [DORBACH] said that during his 6 week absence on sick leave @ALBERTI would take over the [UPSWING] responsibility for contact with []

12 Jan 61, []: [REIMER] said that @ALBERTI carried a pistol so large that it had been dubbed a "Zimmer Flak".

MEMO FOR RECORD

SUBJ: @ALBERTI

17 March 1958 DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCES METHODS EXEMPTION 3B2
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000-2006

On 1 April, the @ALBERTI family will be reunited when they move from the vicinity of Ansbach to Krailing (near Gräfefing). 831 wonders how this will affect Lydia DEUTSCHENDORF.

[Gräfefing]

Attachment to [SIGMA] 56154, 13 September 1961

[FLEMING][UPHILL] - [] meeting 7 September 1961

6. [Gleb UMNOV] - [FLEMING] mentioned that while in Washington [BERNHARD] had been briefed on the security problem involving [MELBARDIS], on [MELBARDIS]'s contact with [UMNOV], and the suspicion that [UMNOV] might be an RIS agent and actually serve as a communication channel between [MELBARDIS] and the KGB. [FLEMING] said that as we knew, [UMNOV] was handled by [ALBERTI], who in [UPHILL]'s opinion was completely on the level. [FLEMING] said he had requested [Dr. HERTER] on the basis of a suitable pretext, to discuss the question of [UMNOV] with [ALBERTI]. [FLEMING] said the result was that [ALBERTI] had no doubts whatsoever concerning the reliability of [UMNOV], and that in discussing the matter in considerable detail, [HERTER] also got the impression that [UMNOV] probably was okay. [FLEMING] said it was probable that [ALBERTI] had an inevitable feeling of loyalty toward [UMNOV], who was an old friend, but that [ALBERTI] was objective enough so that he would inevitably bring up any really questionable aspects of [UMNOV]'s background or indications there should be any doubt about his bona fides.

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CENTRAL INTELLIGENCE AGENCY
SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

PATCH		CLASSIFICATION S E C R E T	DISPATCH SYMBOL AND NO [EDMA]-56464
TO Chief, EE	Chief of Station, Germany		HEADQUARTERS FILE NO Unknown FF: [CAPT] []
FROM Chief, Munich Liaison Base			DATE 18 October 1961
SUBJECT [UPHILL] [CAPT] [] [UDESK] "Roter Esel"		RE. "43-3" - (CHECK "X" ONE)	
		<input type="checkbox"/> MARKED FOR INDEXING <input checked="" type="checkbox"/> NO INDEXING REQUIRED	
ACTION REQUIRED See Below		INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY	

REFERENCES:

1. [UPHILL] asks if we might be able to locate a copy of a publication called "Protokoll der Vernehmung zweier Mitarbeiter des Toxikologischen Instituts des NKVD". According to [UPHILL] the OGH-Fremde Heere Ost published a series of brochures called "Roter Esel", so called because of their red covers. The above Protokoll was one of them, and probably was published in 1942 or 1943.

2. This particular document is remembered by alias ALBERTI who claims it is an extremely interesting and thorough description of the RIB use of poison, apparently a highly developed technique even before the war. As the title suggests, the contents are based on the interrogation of two NKVD toxicologists captured by the Germans.

3. We do not suggest that an all-out search be made for this paper, but we feel it would be worthwhile for Headquarters to canvas persons who might be aware of its existence, and who might know where a copy could be located. The paper could certainly be put to good use in connection with [] and the plan to surface []

Approved: _____

Distribution:

2 - EE
2 - COS []

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SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

CLASSIFIED MESSAGE

SECRET

DATE 126
20 NOV 61

TO DIRECTOR

FROM MUNICH

ACTION: C/CI (CI TEMPO COPY SLOTTED AT 0825 20 NOV 61)

INFO: [COP, /EE 2, D/OS. 2, S/C 2]

ROUTING

1	G	4
2	cc	5
3		6

NOV 20 1143Z 61

PRIORITY

IN 24904

PRITY DIR INFO [FRAN] [FROM] CITE [MUN] 8682

RR

REQUEST FILE ON [UPHILLS] ALIAS ALBERTI LOANED TO HQS AS PART
OF MATERIAL ON [UMNOV] BE RETURNED BY FASTEST POSSIBLE MEANS.

END OF MESSAGE

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SOURCE METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

SECRET

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Copy No.

Chief, Munich Liaison Base,

Chief of Station, Germany

Chief, EE

EMILLI [] []
Peter Esel

None, for your information

Ref: [] 56464, 18 October 1961

Attempts to locate the publication requested in Reference have so far been futile. We have requested [] to locate a copy but have received no results to date. We will notify you of the results of their search.

[]

Distribution:

- 2 - []
- 1 - COS []

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHODS EXEMPTION 3828
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

20 Nov. 1961

[] 3253

[] []

ld

4976

- EE []
- EE []
- RI

DISPATCH

CLASSIFICATION
SECRET

PROCESSING

	PROPOSED	ACTION	ACCOMPLISHED
INFO	Chief of Station, Germany Chief of Base, Frankfurt	XX NO INDEXING REQUIRED	
FROM	Chief, EE	ONLY QUALIFIED HEADQUARTERS DESK CAN JUDGE INDEXING	
SUBJECT	[REDACTED] [UPHIL] [UJDROWSY] Alias ALBERTI	ABSTRACT	
		MICROFILM	

ACTION REQUIRED - REFERENCES

[EGMS]-3253

1. While we are unaware of the reason for your recent urgent cabled request for the return of Subject's file, we naturally hastened to take a good look at ^{the file} on the theory that [MLB] and/or [UPHIL] might have run across reason to suspect Subject. Please let us know the basis of your request.

2. The record shows, of course, that Subject's background is full of all those elements which are inclined to evoke suspicion of an [UPHILLE] including wartime activities, accusation of war crimes, former GV L membership, transfer to [UPHIL] headquarters [CE] shop, and some rather interesting and provocative discussions with [MLB] members.

3. Reference falls in this latter category. ~~While~~ we have no way of knowing whether Subject has any legitimate basis for discussing the [] surfacings with [MLB] or whether in fact he attempted to do so in connection with reference request. Even if he did not, it is conceivable his request is based upon a Soviet EEI having to do with [UPHIL] and/or KUBARK action in the [] case.

4. From the description of "Roter Esel", it would seem that the series would logically be found among materials for which Subject has previously asked us to search. It appears he keeps trying in spite of the fact that he has been told we do not have the material.

Distribution:

2 - [MLB]

2 - COS

2 - [FOE]

SENSITIVE

REF [DO] 70-112

DATE TYPED	DATE DISPATCHED
24 Nov 61	27 NOV 1961

DISPATCH SYMBOL AND NUMBER
[EGMW]-11131

HEADQUARTERS FILE NUMBER
[]

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCES METHODS EXEMPTION 382B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000-2008

CROSS REFERENCE TO

CLASSIFICATION

SECRET

ORIGINATING

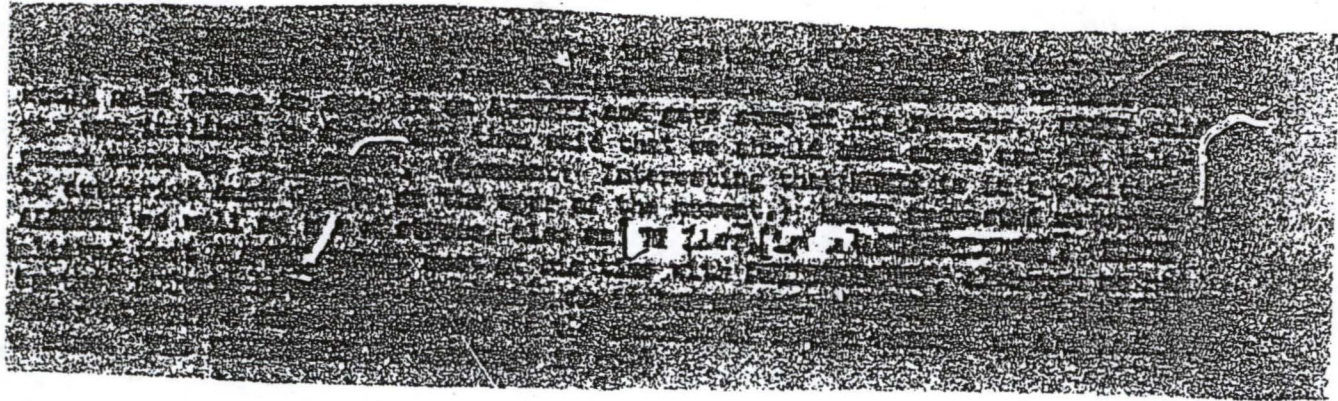
OFFICE	OFFICER	TYPIST	EXT.
[]	brk	[DDP/EE/G]	3311

COORDINATING

OFFICE SYMBOL	DATE	OFFICER'S NAME

2 - EE/G/L

Att C tc 56976, 24 Nov 61



DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCES METHODS EXEMPTION B2E
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2000

BEST AVAILABLE COPY

TO : DIRECTOR

FROM : MUNICH

ACTION : C/EE 3

INFO : [COP, C/CI, C/FI 2, C/FI/D, S/C 2]

1	4
2	5
3	6
DEC 2 1212Z 61	
ROUTINE	
IN 31568	

INFO FROM FROM CITE MUNI 3377

← [UPHILL][UJDROWSY]

(Handwritten scribble)

1. [UJDRUM] INTERROGATION REPORT SHOULD ONLY [UJDROWSY][UJDRUM]

REPORTING TO HIS RE. [] TOOK PLACE DURING [UJDROWSY] LAST VISIT

[UJDRUM] COLOGNE PRIOR ARRESTS. [UJDROWSY] ASKED USE [UJDRUM] CIPHER

AND S/W MATERIAL TO SEND MESSAGE REPORTING BACKGROUND RE. []

PLUS FACT POLICE INVESTIGATION WOULD SUBSTANTIATE [] CLAIMS.

WE KNOW FROM [UPHILL] THAT [UJDROWSY] LAST SAW [UJDRUM] COLOGNE 13

OCT AFTER VISITS [WEYDE] AND VARIOUS OTHERS 11 AND 12 OCT.

SINCE [] SURFACED 14 OCT, SURFACING

PRESUMABLY NOT DONE BASIS INFO

FROM [UJDRUM] OR [UJDROWSY], BUT BASIS INFO FROM OTHER SOURCE WHO REPORTED

IN EARLIER RE. [] CONFESION AND EXTENT TO WHICH POLICE

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

CLASSIFIED MESSAGE

SECRET

DATE :

TO :

FROM :

ACTION :

INFO :

ROUTING	
1	4
2	5
3	6

PAGE 2

IN 31568 [MUN] 8877

INVESTIGATION SUPPORTED CLAIMS.

2. NOTE PER [MUN] 7752, ALIAS DR. ALBERTI HELPED INTERROGATE

MUNI LATTER HALF SEPTEMBER. [UPHIL] RECENTLY HAS RENEWED INTEREST ALBERTI AS SUSPECT AND AGREED [CALLIKAN] COVERAGE ALBERTI.

PLEASE GET PRECISE DATES ALBERTI LEARNED OF AND POINT OUT

POSSIBILITY HE COULD HAVE LEAKED INFO TO RIS SINCE THEY

PRESUMABLY HAD DETAILED INFO SEVERAL WEEKS PRIOR SURFACING.

ALTHOUGH THERE OTHER POSSIBILITIES FOR LEAK, ALBERTI NO DOUBT BEARS

CONSIDERATION.

END OF MESSAGE

C/S COMMENT: *INTERROGATION NOTES RE [UJDROWS] AND [UJDRUM] AND OPS NOTES.

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DATE 2000 2008

Machtendienstlicher Werdegang
des Dr. ALBERTI
Referent bei 128

Von	Bis	Als
1932	1936	Vmann, später Vmann-Führer in Mittel- und Ostpolen auf II- und I-Gebiet für Abwehrstelle BERLIN
1936	1945	Bibliothekar, später Abteilungsleiter am Wannsee-Institut für Kultur- und Nationalitätenfragen des Ostens ab 1938 stellv. Institutsleiter
Sept. 1945	Okt. 1946	Assistent des Prof. KWIAKOWSKI beim ANDERS-ND
Mai 1947	Sept. 1948	Auswerter bei der Gruppe MERCK des OIC
Okt. 1948	Dez. 1949	Leiter einer russischen Gegenspionagegruppe der GV I
Jan. 1950	Nov. 1953	Referent für Gegenspionage im Stab der GV I
ab 1.12.1953		Referent bei 128

Rec'd vom H. Künze

8. Dec 61

ATT to [REDACTED] 57229, 2 January 1962
Contact Reports NYBAT

8 December 1961, Page 2

6. ALBERTI - [KUNZE] was given Yellow No. 25869 including some of our traces on ALBERTI as well as the HDC results for retention. [REDACTED] noted that it was interesting that ALBERTI had made such frequent trips to Berlin in 1952 and 1953 and that he had remained in Berlin so long on each trip.

7. ALBERTI - [REDACTED] turned over a memo from Ftl. KUNZE, giving a report on ALBERTI's various relatives.

14 Dec 61

4. Further UPHILL Handling of Security Problem UJRANDSC - Near the middle of the session, [BERNHARD] summed up where he felt [UPHILL] stood and where they were going on further investigative action. [FLEMING] said a few words on the same subject near the end of the meeting. The gist of their comments was:

A. [BERNHARD] said that it should not be assumed that [UPHILL] had forgotten that, on the basis of [REVISION]'s info, there still were additional possibilities of [UPHILL]. However, because of a sheer lack of manpower, [UPHILL] had had to zero in on the one thing that seemed most tangible and that might be broken through intensive investigative action - the [UJROWS] complex. At this point, [UPHILL] was carrying on with follow up investigations of ALBERTI and GUREIGN. [UPHILL] also expects to devote considerable attention to the "Nachfolger" matter and also the "Mutual Assistance" matter - although both were sufficiently vague and intangible so that investigation would be tough. [BERNHARD] also indicated that consideration had been given to the possibility of increasing the size of the small [UPHILL] team working on [UJRANDSC] matters in the future; however, for the time being this will not be done.

14 Dec 61

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1-162

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THE DEPARTMENT OF STATE (IR/P)

January 30, 1962

[U.S. MISSION BERLIN]

FOREIGN PUBLICATIONS: Procurement for WF

Department's OM of December 15, 1961 - order no. 35 - 1185 - 3

We have approached a number of libraries and Institutes regarding the whereabouts of

PROTOKOLL DER VERBANDENDE ZWISCHEN MITARBEITERN DES TOXIKOLOGISCHEN
INSTITUTS DER WEHR. DER-Franke Heere Ost.

We are quoting below (in translation) a letter received from the Institut fuer Zeitgeschichte, Munich:

"In answer to your letter of January 12, 1962, we regret to inform you that our Institute does not have the brochure PROTOKOLL DER VERBANDENDE ZWISCHEN MITARBEITERN DES TOXIKOLOGISCHEN INSTITUTS DER WEHR. We do not know of any library being in possession of the brochure.

The brochure appears to be an official document, classified secret, which was issued by the General Staff of the Army, Abteilung Franke Heere Ost. It is most likely in the files of this department. Guide # 30 to German records, microfilmed at Alexandria, (Records of Headquarters, German Army High Command, Part III), indicates that above files are not available for research; the guide lists on page 117 ff. files of the Generalstab der Wehr, Abteilung Franke Heere West but not those of Abteilung Franke Heere Ost.

As far as we know, approximately 114 linear feet of files of Abteilung Franke Heere Ost were captured and transported to the U.S. If the brochure is not in the World War II records

[REDACTED]

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War II Records Division of the National Archives,
the Department of the (U.S.) Army may know of
its whereabouts. We believe that investigation
of other sources or deposits will be fruitful."

We are keeping the order pending and would appreciate
additional leads the Department may be able to supply.

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OPERATIONS MEMORANDUM

TO: THE DEPARTMENT OF STATE (DHR/P)

Date: February 2, 1962

FROM: U.S. MISSION BERLIN

SUBJECT: FOREIGN PUBLICATIONS: Procurement for W

REF: Department's OM of December 12, 1961 - order no. 55 - item 3
our OM - PO-168 of January 30, 1962

The following additional information on

PROTOKOLL DER VEREINIGUNG ZWISCHEN MITARBEITERN DES TOXIKOLOGISCHEN
INSTITUTS DER HEVA. OEH-Fremde Heere Ost

was received from the Geographic Officer, Bonn, who contacted
the German Foreign Office and the Research Office of the German
Ministry of Defense:

The German Foreign Office has no knowledge of the document.

The Defense Ministry, after checking with the Office of
Military History in Freiburg, informed Bonn that only the
documents of the OEH were returned to Germany and that the
files of the OEH are still in the United States. The information
was given by Lieutenant Colonel Dr. Friedel, Ministry of Defense,
Bonn.

The Chief of the Berlin Document Center is of the opinion
that the brochure is among the holdings of the National Archives
in Washington. This is also the opinion of the Institut fuer
Zeitgeschichte, Munich, as reported with our referenced OM.

*Brochure not in National Archives
3 Mar 62*

c.c. Geographic Officer, Bonn

[EZWANZI]shd

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FOR COORDINATION WITH STATE

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SOURCES METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

AUGSBURG, EMIL / DR. Y
SEX M DOB 01 MAY 04 [REDACTED] 005-038/19
POLAND, LODZ MK: 09045
CIT ? 08 MAR 62
OCC GOVERNMENT OFFICIAL See LB | CC
@ ALBERTI
SUBJECT APPEARS IN REFERENCE DOCUMENT,
THE TRANSLATION OF THE SG INTERROGATION
OF HEINZ FELFE WHO WAS ARRESTED AS A KGB
AGENT IN NOV 61. RND OFFICIAL. SEE PAGES
50, 78, 229, 272, 273.

[EGO EGM]

0012413

AUGSBURG, Dr. Emil ALTHAUS, Dr. Ernst © Y-2907
photo prior to Jul 53
b. 1 May 1904; Lodz, Poland
@ ALBERTI, Ernst Dr.
@ ALTHAUS, Ernst Dr.
@ KRAUSS, Dr.



Photo 9 Aug 50.

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DATE 2000 2006

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(When Filled In)

DOCUMENT TRANSFER AND CROSS REFERENCE

SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION			
		2. RI FILE NO.			
		3. DISPATCH OR SOURCE DOC. SYMBOL NO.			4. DATE
		7. SOURCE CRYPTONYM	8. DATE OF INFO.	9. EVALUATION	
5. ANALYST	6. DATE PROCESSED	10. DISSEMINATED IN		11. DATE	
DOCUMENT DISPOSITION					
12. CROSS REFERRED TO TMS FILE			13. TRANSFERRED TO RI FILE NO.		

PERTINENT INFORMATION

14.

AUGSBURG, EMIL /DR./
 SEX M DOB 01 MAY 04
 POLAND, LODZ
 CIT ?
 OCC GOVERNMENT OFFICIAL
 @ ALBERTI

SUBJECT APPEARS IN REFERENCE DOCUMENT,
 THE TRANSLATION OF THE SG INTERROGATION
 OF HEINZ FELFE WHO WAS ARRESTED AS A KGB
 AGENT IN NOV 61. [PND] OFFICIAL. SEE PAGES
 50, 78, 229, 272, 273.

915413

[22W-005-038/19]
 [MA-03045]
 08 MAR 62

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[EGO EGM]

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15.

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FORM 867 USE PREVIOUS EDITIONS.

SECRET

(7-46)

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		3. DISPATCH OR SOURCE DOC. SYMBOL NO.			4. DATE
		7. SOURCE CRYPTONYM	8. DATE OF INFO.	9. EVALUATION	
5. ANALYST	6. DATE PROCESSED	10. DISSEMINATED IN		11. DATE	
DOCUMENT DISPOSITION					
12. CROSS REFERRED TO THIS FILE			13. TRANSFERRED TO RI FILE NO.		

PERTINENT INFORMATION

14.

AUGSBURG, EMIL /DR./
 [] SEX M DOB ? []
 CIT ?
 OCC ?
 @ ALTHAUS, /DR./
 MENTIONED IN SG INTERROG. OF [] KGB
 PENE. AGENT OF THE [BND] ARRESTED NOV 61. REPORTED
 TO THE KGB DURING SUMMER 52 BY [] SEE
 PAGE 48.


[EGM EGO]

[B2W-005-038/19] - - - - -
 [MX] -03046 PA TR 1687934
 08 MAR 62

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SUBJECT OF DOCUMENT		DOCUMENT IDENTIFICATION			
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6. ANALYST	5. DATE PROCESSED	10. DISSEMINATED IN		11. DATE	
DOCUMENT DISPOSITION					
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PERTINENT INFORMATION

14. [REDACTED]

ALBERTI, EMIL
SEX M DOB ?

EEGA/A

EGMW 12030
10 JUL 62

1419820

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01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20

CIT ?
OCC ?
@ OF AUGSBURG, EMIL /DR./
SHOWING RELATIONSHIP TO SUBJ OF

[EGO]

1 419 820

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SOURCES METHODS EXEMPTION 3BZE
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

15. FILE THIS FORM IN FILE NO. ▶

*Management
relates to files
200*

S E C R E T

DO DOCUMENT EXTRACT

THE FOLLOWING INFORMATION CONCERNING THE SUBJECT OF THIS 201
DOSSIER HAS BEEN EXTRACTED FROM ANOTHER OFFICIAL DO FILE.
PERSONS PERFORMING NAME TRACES ARE CAUTIONED THAT THE DOCL-
MENT FROM WHICH THIS EXTRACT WAS PREPARED CONTAINS ADDITIONAL
INFORMATION PERTAINING TO THE SUBJECT AND SHOULD BE REQUESTED
FROM IP/FILES.

ALBERTI, EMIL
[]
SEX M []

[ECO-COS-027]
[EAM]-12030
10 JUL 62

a CE AUGSBURG, EMIL /CF./ SHOWING RELATIONSHIP
IC SLEJ CF
[] []

DATE 10 JAN 77 CCRF CARD 05325625

S E C R E T

E2 IMPDET CL BY [CE4975]

GER-3 cont'd
15 Jan 63

NO FOREIGN DISSEM

A What is his name ?

F His name is Dr. Emil AUGSBURG, like the city near Munich.

A-U-G-S-B-U-R-G. And he has two other names: the one is

Dr. ALBERTI and the other one Dr. ALTHAUS. He came originally

from the so-called Hochschule fuer Politik - means sort of a university

for politics in Berlin. And he promoted - there he got his degree as a

doctor, being a doctor of political science. In the Third Reich he was

with SS of course. He was an SS Sturmbannfuehrer which means in the

rank of a major in the SS. He had a leading position in the so-called

Wannsee Institut. This Wannsee Institut was part of RSHA, Branch VI.

He speaks fluently Russian and Polish and Ukrainian and some other

Eastern dialects and languages. He has a lot of connections and contacts

to people from - well, emigres as well as under-cover people which were

formerly in Eastern states and Satellite states and live now in the Bundes

Republik and so on, especially to emigres from the Polish people and from

the Soviet Union. During the war I think he was at least sometimes on

special services in Russia where you never know what his real duties were.

They are covered up like most of these. I personally think he must have

had to do with the so-called Einsatzkommandos which had to do with Jews

and the elimination of Jews and so on.

B He doesn't live with his wife.

-22-

NO FOREIGN DISSEM

GER-3 cont'd
15 Jan 63

NO FOREIGN DISSEM

F No. He lives separated from his wife and he has a mistress.

[END OF TAPE]

NO FOREIGN DISSEM

SECRET

NO FOREIGN DISSEM

GER-4

15 January 1963

F Maybe we can continue a little on this fellow here: ALBERTI or ALTHAUS or really AUSBURG. Well I told you he has a lot of contacts with Polish people and with people from Russian origin - emigres and I don't know what else. He came into our organization in 1948, in Karlsruhe again, and in December 1953, he too came from Karlsruhe to headquarters, in the same group together with RISCHKE, with FELFE, and to the same branch. He lives separated from his wife and has a mistress with the name of DEUTSCHENDORF, first name Lydia. She was his former secretary when he was working in Karlsruhe, in the Karlsruhe organization. G.V.L. More or less one of the greater staffs of the old organization.

B He is a big man.

F White hair now.

B Long time he has white hair.

F Yes, almost all the time we know him. It's not a matter of old age but of - well he's just made that way with white hair. His contacts, especially his contacts with people from Polish and Russian origin are to say the least, or the simplest, not clear. Maybe he is honest.

A I don't know him.

F You don't know him. Have you ever heard of one of these names written

NO FOREIGN DISSEM

NO FOREIGN DISSEM

GER-4 cont'd
15 January 63

down here: the first one UMANSKI, Oleg.

A No. Maybe, but I am not sure about these name.

F These are cover names from the same fellow.

A I am not sure.

F You are not sure. This so-called Wannsee Institut was a special institute for RSHA where they collected basic material for later operations of - well, positive intelligence or destructive operations, propaganda.

A That organization is known to them of course.

F Yes, certainly must be well-known.

A But I don't have any comments on this matter.

F Well, if you don't have there is nothing to be said more about him. They belonged more or less all together. I suppose there is not much sense in telling you names.

NO FOREIGN DISSEM

NO FOREIGN DISSEM

15 Jan 1963

F Yes, you did. Now I'd like to discuss another person with you and he too comes from RSHA. He's a fellow born in 1904 and he's born in Lag, in Poland, and married, four children. He too comes from the same group of people in Karlsruhe where RISCHKE came from. - VL

-21-

NO FOREIGN DISSEM

CONTINUATION OF DISPATCH	CLASSIFICATION [REDACTED] / S E C R E T	DISPATCH SYMBOL AND NO. [EGMA] - 63952
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e. According to [Gustav Adolf TIETZE, CIKURZ] []
 10 or 12 persons were transferred out of the [CE section] when
 [PETERSEN] reorganized it [in 1963 in CATIDE's] latest effort to
 repair damage caused by [UDROVSY]. This paragraph lists the
 personnel changes in [CATIDE's CE/CI] and security elements which
 have become known to [MLB]

27 Feb 64

(1) Dr. Emil AUGSBURG, CALBERTI []
 was transferred from CE.

DISPATCH

CLASSIFICATION

SECRET

MARKED FOR INDEXING

NO INDEXING REQUIRED

ONLY QUALIFIED DESA

CAN HOUSE INDEXING

MICROFILM

TO: [KDESK] CHIEF OF STATION, [LERN] [FRANKFURT OPERATIONS BASE]

FROM: [LERN] MUNICH LIAISON BASE

SUBJECT: [URANDOM] [LITIDE] [BERNHARD NOTZNY VON GACZINSKI] [] AND GUTHERS [] []

ACTION REQUIRED - REFERENCES

- A. [EGMW] 2030, 9 JULY 1962
- B. [GMA] 64356, 13 APRIL 1964

1. IT HAS RECENTLY COME TO OUR ATTENTION THAT SUBJECTS ARE IN CORRESPONDENCE WITH EACH OTHER. WE BELIEVE THAT IT WOULD BE WORTHWHILE TO REVIEW PAST [CALLIKAS] ON NOTZNY VON GACZINSKI TO DETERMINE HOW FREQUENT THIS CORRESPONDENCE IS AND WHETHER THERE ARE ANY ITEMS IN THE CONTENT WHICH ARE PERTINENT TO THE [URANDOM] INVESTIGATION OF [GEBAUER]. REFERENCE B FORWARDS THE ITEM WHICH CALLED THIS CORRESPONDENCE TO OUR ATTENTION. WE ALSO HAVE AN EASTER CARD FROM NOTZNY THANKING [GEBAUER] FOR HIS 17 MARCH LETTER WHICH WAS [INTERCEPTED] THROUGH [URANDOM] AND WAS PASSED TO [ALIAS FLEMING].

2. WHILE IN WASHINGTON IN 1961 [ALIAS BERNHARD] WAS BRIEFED PARTIALLY ON [] WE DO NOT KNOW WHETHER NOTZNY WAS MENTIONED AND WHETHER THERE IS ANY WAY WE CAN RAISE THE [GEBAUER] RELATIONSHIP WITH [FLEMING] CITING THE [] IMPLICATIONS. THE ONLY PREVIOUS OCCASION OF WHICH WE ARE AWARE THAT LINKED [GEBAUER] TO [] PERSONALITIES, WAS [FLEMING'S] STATEMENT IN FEBRUARY 1962 THAT [GEBAUER] HAD SOME PECULIAR TIE-IN TO THE MUENCHNERHOF HOTEL IN MUNICH AND THAT [GLEB UMNOV] [] ALWAYS STAYED THERE WHEN IN MUNICH.

3. YOUR COMMENTS ON THE SIGNIFICANCE OF THE NOTZNY-GEBAUER RELATIONSHIP ARE REQUESTED.

CONCUR -- [] []

APPROVED [] []

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- 2 - CHIEF, EE
- 2 - CHIEF, KUDES
- 2 - COS, [GERMANY]
- 2 - CHIEF, [FOB]

GROUP 1
Excluded from automatic
downgrading and
declassification

CROSS REFERENCE TO

DISPATCH SYMBOL AND NUMBER

DATE

[GMA] 64299

14 APRIL 1964

CLASSIFICATION

HQS FILE NUMBER

SECRET

[]

APERTURE COPY PRODUCTIONS

DISPATCH		CLASSIFICATION CONFIDENTIAL	PROCESSING ACTION IX
TO	Chief, CSB/Frankfurt; Chief, CLS; Chief of Base, Berlin		MARKED FOR INDEXING
INFO.	Chief, EE; Chief of Base, Bonn		NO INDEXING REQUIRED
FROM	Acting Chief, Munich Liaison Base		ONLY QUALIFIED DESK CAN JUDGE INDEXING
SUBJECT	CATIDE/UJVAULT Trace Request on Gleb UMSOW, @ UMSKIY		MICROFILM
ACTION REQUIRED - REFERENCES Traces and BDC Check			
<p>1. CATIDE requests traces on security grounds and a Berlin Document Center check on the following:</p> <p>Gleb U UMSOW [] <i>Umsow</i> DOB: 28 July 1916 POB: Petrograd, USSR</p> <p>@ Gleb U UMSKIY [] DOB: 12 April 1916 POB: Rostov, USSR</p> <p>According to his own statements Subject served first as a police officer in Smolensk then Minsk for the German forces after the 1941 invasion of Russia. Later he was attached to the ROA (Vlassov Army) and at one time worked for RSEA III-D Sonderkommando Ost. At the end of the war he was allegedly an SS-Obersturmfuehrer (1st Lt.) and was a PW from 1945 until December 1946 at (Camp King) Oberursel and/or Puessen. He states that at Oberursel his papers and documents were changed for security reasons from the name UMSOW to UMSKIY.</p> <p>2. Subject is Y-2526, a "retired" CATIDE agent, who was extremely active all over Germany in enigre circles from about 1946 to at least 1957. Apparently he worked at first for OUBEX or ODOPAL out of Puessen and later for CATIDE out of Karlsruhe (GVL). In recent years, CATIDE has had considerable doubts as to his bonafides, particularly since he worked for GVL at the same time as UJROWSY. Despite that fact he allegedly was never approached by the RIS. Munich files contain considerable reports containing his name connected with various suspicions, particularly since he apparently made a habit of using AIS cover for his CATIDE activities. All suspicions were always explained by his CATIDE status. In 1957 he was surveilled by the [] as a result of a suspicion that he was connected with a "return to the homeland" type letter. Again the fact that he worked for CATIDE was apparently considered to establish his bonafides. In the light of the UJROWSY affair that decision might well be reversed.</p>			
<p>GROUP I Excluded from automatic downgrading and declassification</p> <p>31 JUL 1964 - CONTINUED -</p>			
ORIG REFERENCE TO	DISPATCH SYMBOL AND NUMBER EWA - 65004	DATE 23 July 1964	
	CLASSIFICATION CONFIDENTIAL	FOR FILE NUMBER 324-5-25/7	

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2006

Handwritten:
1977
CAMOUFLAGE (3)

COM

CLASSIFICATION

D E N T I A L

DISPATCH SYMBOL AND NO.

EGSA - 65004

3. The only reference to his having belonged to the RSEA III D Sonderkommando Ost, which according to our recollection was German deception operations against the NKVD, is a carded reference. Another note on Subject's card indicates that his name was found with a Heidelberg cover address in the notebook of Camouflage 33; Munich records offer no explanation for this note. In 1962 apparently CALLIAK coverage was requested (EGSA-12030 dated 9 June 1962, document not available) under the UPRANDOM project.

4. In addition, Subject's file is full of names of exigre types of which Munich files have such a liberal supply. Anyone of his contacts, of course, could have been an RIS contact, and yet convicted RIS types among his contacts (WIDROMSY) would not necessarily implicate him.

5. We request on behalf of CARIE any truly derogatory information indicating that he could have been a post World War II RIS agent.

6. CSB and CIS: Please trace the Services - All Agency Name Trace forms are forwarded under separate cover.

7. BDC: Please check the Berlin Document Center on Subject and forward one photocopy each to MLB and EE of any available information. BDC forms are attached.

APPROVED:

ATTACHMENTS:

- A. All Agency Name Trace forms (2) UNDER SEPARATE COVER
- B. BDC Check Forms (2), HEREWITH

CONTACT REPORT

[] with
[] @CASTROP and [] CDR. HERDER
1230-1400, 7 September 1965
[] Bruecke

155 [] UMNNOV, Gleb
165 [] AUGSBURG, Emil

[] and [] CDR. HERDER

[] with [] @CASTROP
7 September 1965

1. On 7 September [] CATIDE's [] CDR. HERDER informed us that [] CATIDE is now finally completely disenchanted with both [] Gleb UMNNOV [] aka [] Oleg UMANSKI and Dr. Emil AUGSBURG, [] alias Dr. ALBERTI. After a great deal of soul and file searching [] CATIDE apparently has come to the conclusion that most likely both men are at least untrustworthy if not actually under Soviet control. [] CATIDE has also come to the realization, however, that they will not be able to prove any suspicions they might have against either of the men and that as long as both of them are kept at arms length they will not be able to do any more damage than they have already done.

2. The real problem [] CATIDE is now facing is termination. It appears that under German labor laws a person can not be fired except for cause as long as he has been on the payroll for a period of ten years. At least the [] Government can not do so. Both AUGSBURG and UMNNOV meet those requirements. They are also, apparently, fully aware of their position and have indicated that they will fight any attempt at separation. The inquiries made last year about UMNNOV (ref) were apparently based on the hope that KUBARK traces and a possible EDC check would unearth some derogatory information on UMNNOV which would give [] CATIDE a cause for dismissal. That was not the case. [] CATIDE now suggests that we place CALLIKAK on both men in the hope that it will reveal some illegal or at least unauthorized intelligence activity which may give them a cause for termination. They are convinced that both men maintain unauthorized contacts and that it is simply a matter of finding what contacts these are. They also intend to state to each of them again that they are forbidden to maintain contact with each other. Breaking of that injunction alone, might be cause for dismissal, depending on the nature of the contact following the reiterations of the prohibition. By the way [] CATIDE are now of the opinion that the reason for the negative EDC traces on UMNNOV is most likely the fact that Subjects true name is neither UMNNOV nor UMANSKI, and that most likely both his dpob's are false.

3. Both subjects are well known throughout the Russian emigre circles in Europe and have a large number of supporters and friends in those circles. [] CATIDE would like to know whether [] CALLIKAK headquarters has a [] linguist who is in no way connected with the emigration, who could process [] the tapes. [] CHERDER admitted to us that [] CATIDE has no one who could be considered

unbiased in that respect. They were particularly interested in keeping the information of their current request from [DORBACH] whom [HERDER] considers hopelessly biased in favor of AUGSBURG. [HERDER] is convinced that if any emigrants were charged with the processing of the [tapes] the information would eventually leak back to the target personalities.

4. For Chief, EE: Do KUBARK files contain any information on either subject which might serve [CATIDE's] purposes. [HERDER] stated that their files are very meager and that it is entirely possible that derogatory information previously submitted to them might have been destroyed either deliberately by [UJDROWSY] or as a result of prejudice by the likes of [DORBACH]. He therefore requests that the criterion of previous availability to [CATIDE] be discontinued.

[]
We reminded [CASTROP] and [HERDER] that we had never received a reply to our March 1965 request for information on STAERNER and deMICHEL. They promised to look in to it.

[]
[]
TYPED: 13 September 1965

1965 - 8810

INDEX: YES NO
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SECRET 161619Z
 DIR FRAN STUT INFO BORN GMNY FROM CITE MUNI 3798 [] ACTING
 [] CATIDE [] RANDOM [] CALLIKAK
 REF [MUNI] 3797 (N53512) 16 SEP 65 IN 53517

1. AT [CATIDE] REQUEST HAVE ARRANGED THROUGH LOCAL [CALLIKAK] [UNIT PLACE COVERAGE ON] DOCTOR EMIL AUGSBURG ALIAS ERNST ALBERTI [] [] BORN 1 MAY 1904 LODZ, POLAND, SUBJECT [CATIDE] STAFFER WELL KNOWN KUBARK FILES. AS PART AUGSBURG INVESTIGATION [CATIDE] ALSO REQUESTING [CALLIKAK COVERAGE] GLEB UMNOW [] []
 SEE REF.

- 2. MOST RECENT INFO [MUNI] FILES [EGMA]-63952, FEB 64
- 3. KUBARK FILES POST FEB 1964 INFO ONLY. [FRAN STUT.]

TRACE SERVICES.
 4. WILL PASS [COVERAGE CATIDE] WHEN TRACES RECEIVED. DETAILS FOLLOW BY POUCH.

SECRET
 CFN: 3798 3797 EMIL AUGSBURG ALIAS ERNST ALBERTI [] [] MAY 1904 LODZ POLAND KUBARK AUGSBURG GLEB UMNOW [] [] [EGMA] 63952
 FEB 64 KUBARK FEB 1964

BT
SECRET
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 SOURCES METHODS EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2000 2006

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 TO FILE NO. CLASSIFIED MESSAGE TOTAL COPIES
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 4 8
 ADVANCE COPY RID COPY ISSUED SLOTTED TUBED
 UNIT TIME BY
CHARLIE H
ADD c/c c/f

SECRET 161617Z
 DB DIR (FRAN, STUT) INFO (GMNY BONN CITE MUNI 3797 [] ACTING)

[CATIDE] [RANDOM] [CALLIKAK]
 F [MUNI] 3798
 16 APR 65 IN 53512
 IN 53512

1. [CATIDE] REQUESTS IMMEDIATE [TELEPHONE AND MAIL COVERAGE]
 REG UMANSKY, KARLSRUHE, WEINBRENNERSTR. 81, TEL 50388. [TAPES
 ID TRANSCRIPTS REQUESTED]

2. SUBJECT IS [CATIDE AGENT] OLEG UMANSKY []
 RN 12 APR 16 ROSTOW, USSR AKA GLEB UMNOW, BORN 28 JULY 16
 PROGRAM, USSR. SUBJECT BEING CHECKED IN CONNECTION [CATIDE]
 INVESTIGATION EMIL AUGSBURG [] []. SEE REF.

3. SUBJECT TRACED [EGMA]-65004, JULY 64. REPLIES CONTAINED
 [W] 13930, [EGOT]-24153, [EGB]-8286, AND NEGATIVE CR REPLY 12 AUG 64.
 4. REQUEST EXPEDITED
 AND SERVICE TRACES POST AUG 64.

5. [PROB]: PLEASE REQUEST IMMEDIATE COVERAGE. WILL NOT
 TAKE TO [CATIDE] UNTIL ALL TRACES COMPLETED. POUCHING DETAILS.

RE T
 3797 3798 OLEG UMANSKY KARLSRUHE WEINBRENNERSTR, 81 TEL 50388
 UMANSKY [] 12 APR 16 ROSTOW USSR AKA GLEB UMNOW 28

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 DATE 2000 2006

IAN CAMPAIGN, SENT TO ADVANCE HQ. 16 SEP 41 WOUNDED. APR 42 RELEASED

FROM HOSPITAL, AND SENT ON EXPLORATORY MISSION SOUTHERN RUSSIA
AND CAUCASUS FRONT. 1944 PROMOTED TO STURMBANNFUEHRER.
SUBSEQUENT END OF YEAR, CONTINUED EASTERN RESEARCH. 1948 MEMBER
"GRUPPE CONDOR" AS EVALUATOR. ALSO MEMBER OF POLISH GENERAL ANDER'S
STAFF IN NORTHERN ITALY.

2. ABOVE INFO RELEASABLE [CAT ID].

3. FYI: ADD INFO REVEALS AUGSBURG FORMER ODOPAL EMPLOYEE WHO
DROPPED W/O PREJUDICE DURING 1947.

4. CR AUG 64 REPLY TO [EGMA] 65004 IN ERROR. CURRENT CHECK
CR FILES RE GLEB UMNOW, DPOB 28 JUL 16 PETROGRAD AKA OLEG UMANSKY,
DPOB 12 APR 16 ROSTOW DISCLOSES VOLUMINOUS INFO. WILL POUCH RESULTS
UPON RECEIPT.

SECRET

CFN: 7171 3798 3797 CR DR EMIL AUGSBURG AKA ERNST ALBERTI DPOB 1 04
LODZ POLAND SD EASTERN 1924 LODZ LEIPZIG 1928 ADUEDO POLISH RUSSIAN
LEIPZIG PAUL LIST-VON BRESSENDORF 1936-38 DEPT EASTERN DEPT WANNSEE
BRLN RSHA 1943 FED G O 1938 SS UNIV POLIT 1939 POLAND 1939-40 WANNSEE
.94, RUSSIAN HQ 16 41 42 RUSSIA CAUCASUS 1944 STURMBANNFUEHR R 1948
GRUPPE CONDOR POLISH ANDER'S NORTHERN ITALY FYI ADD INFO AUGSBURG

W/O 1947 CR 64 [EGMA] 65004 CR RE GLEB UMNOW DPOB 28 16 PETROGRAD AKA
OLEG UMANSKY DPOB 12 16 ROSTOW INFO

BT

SECRET

4/CE

☐ AUGSBURG
☐ UMN OV

☐ w/CASTROP

18 November 1965

I took the opportunity of asking CASTROP about the UMN OV case and whether he knew if UMN OV was married; he did not. I gave him the various names I found that could be his mother (KALUGIN and GOSIT) and he said he would check it out. I said I would also run traces. CASTROP said that AUGSBURG made such a fine appearance of a distinguished and scholarly man that no one ever believed he could lie. According to CASTROP, this is how he could protect UMN OV. CASTROP is convinced that UMN OV was involved in murder in Smolensk and that AUGSBURG was also guilty of some war crimes. He wants to meet again on the subject and bring HERMANN of ACKERMANN's shop along. HERMANN has been researching the CATIDE files. A meeting has been scheduled for 29 November.

155/UMANSKY, Oleg (UMNOV, Oleg)
155/AUGSBURG, Emil

☐ w/CASTROP

5 January 1966

4. I mentioned to CASTROP that, while we have been providing translations of conversations in Russian to the German language on both of these cases, we have not been translating intercepted letters since the written stuff has for the most part appeared to be inconsequential. I suggested to CASTROP that we leave it up to him whether or not he wishes us to provide translations in specific cases where in CASTROP's judgment the extra effort would appear to be worthwhile.

155/AUGSBURG, Emil

☐ w/CLAUSEN

25 August 1966

3. We passed CLAUSEN the new transcripts providing translations from Polish into German of conversations showing up on two transcripts on Subject which CLAUSEN had returned to me in our most recent meeting. (The transcripts involved are 20854-208663, conversation 6; and 20909-209095, conversation 5.) CLAUSEN then passed us the tape corresponding to intercept 207250-207263 on which CLAUSEN would like conversation 4 (in Polish) translated into German. I passed this tape to Chief/CALLIAK for processing.

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NAZI WAR CRIMES DISCLOSURE ACT
DATE 2000 2008

Chief, EE; Chief, CSB/Frankfurt
Chief, [CLS] Chief, Berlin Operations Base

Chief of Station, Germany; Chief, Bonn Ops Base

Chief, Munich Liaison Base
[CATIDE] [UNAVAIL] [UNRANDOM] [CINEROVE]

ACTION: Traces Please

REFERENCE: [EGMA]-67710, 8 December 1965 (not sent Berlin, CSB or [CLS])

1. Traces are requested on the basis of security for [CATIDE] on:

- A. Olga Nikolayevna #*UMANSKY
 DOB: 15 July 1895
 POB: USSR
 ADD: Karlsruhe, Weinbrennerstrasse 81 (1965)
 CIT: Stateless
 AKA: Helene #*KALUGIN-GOSIT
 ADD: Karlsruhe, Maria Alexanderstrasse (1949)
 AKA: Olga #*GOSIT
 ADD: Weilheim/Bavaria, Parshetsiedlung #2 (1949)
 AKA: Helene or Olga #*KALUGIN
 ADD: Ettlingen-Baden, Bahn Postlagernd (1949)
 Mother of [] with possible aliases

- B. Oleg Konstantinovic #*KALUGIN-GOSIT
 DOB: Ca. 1911 to 1921
 POB: USSR
 CIT: Stateless
 AKA: Gleb or Oleg Konstantinovic #*KALUGIN
 AKA: Gleb or Oleg Konstantinovic #*GOSIT
 AKA: Gleb or Oleg Konstantinovic #*GONTSHARENKO
 Possible names used by []

2. All Agency Name Trace Request forms are forwarded under separate cover to [CSB] and [CLS] for tracing the [Services.]

DISTRIBUTION:

- 2 - EE, w/o att.
- 2 - CSB/Frankfurt, w/att.
- 2 - Berlin Ops Base, w/o att.
- 2 - [CLS] w/att.
- 2 - COS, Germany, w/o att.
- 2 - Chief, Bonn Ops Base, w/o att.

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DATE 2000 2008

13 DEC 1965

REF ID: A67710	OPERATION SYMBOL AND NUMBER [EGMA]-67708	DATE 8 December 1965
	CLASSIFICATION SECRET	DISPATCH SYMBOL []
	ORIGINATING OFFICE	
	DATE	

3. Berlin: Please run a Berlin Document Center Check on both subjects. BDC forms are forwarded herewith. Please provide one copy of any available reply to EE and one to [MLB] Please keep the reply to this dispatch separate from other BDC replies.

APPROVED: []

ATTACHMENTS:

NT forms, u.s.c.
BDC forms, h.w.

Chief, EE

COS, Germany: Chief, Bonn Ops Base

Chief, Munich Liaison Base
CATIDE UGRANDOM LOISPROVE

Gleb UMNOV

ACTION: See paragraphs 13, 14 and 18

- REFERENCES:
- A. MUNI-3797, September 1965
 - B. EGMA-67708, 8 December 1965
 - C. EGMA-67709, 8 December 1965

Summary: CATIDE's Special Security Office is currently engaged in an investigation of Gleb UMNOV and his friend and handler, ALBERTI. This dispatch is primarily concerned with UMNOV. It contains background information on, and a review of CATIDE's investigation of UMNOV to date, information developed from research in depth in MLB files, information developed through CALLIKAK, and some preliminary comments on our findings.

1. Background and Review of Current Investigation: In a memorandum dated 14 July 1964, ACKERMANN (Operational Security) requested traces including BDC on Gleb UMNOV, born 28 July 1916 in Petrograd, USSR, alias Oleg UMANSKY, born 12 April 1916 in Rostov, USSR. He asked particularly whether it was true that Subject had changed his name from UMNOV to UMANSKY while in Camp King on orders from American authorities. ACKERMANN told orally that UMNOV was a CATIDE agent whom they hoped to fire because they now believed he may have been working for the RIS over the years and because he had been associated with UJDROWSY. Our request for traces was forwarded in EGMA-65004, 23 July 1964. We received negative replies in EGOT-24153, 19 August 1964; EGBT-8286, 25 August 1964; and from JSAREUR CR dated 12 August 1964. EGMA-13930, 20 August 1965 stated that there was nothing specific in his file to indicate UMNOV was a Russian agent. It mentioned that earlier CALLIKAK information indicated that he maintained contact with an impressively large number of women around Germany. We advised CATIDE that we could find no information to substantiate UMNOV's story about his change in name and that we had no additional information.

DISTRIBUTION:
 2 - EE
 2 - Germany
 2 - Bonn Ops Base

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CROSS REFERENCE TO	DISPATCH SYMBOL AND NUMBER	DATE
[]	EGMA-67710	8 December 1965
	CLASSIFICATION	DISPATCH NUMBER
	SECRET	[]
ORIGINATING		
OFFICER	TYPIST	EXT
COORDINATING		
OFFICER	DATE	OFFICER'S NAME

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 SOURCES METHODS EXEMPTION 3B2B
 NAZI WAR CRIMES DISCLOSURE ACT
 DATE 2000 2008

2. In August 1965 CASTROP asked [] to arrange a meeting between Dr. HERDER and [] to discuss the UMNOV case. A meeting was held on 7 September 1965 with CASTROP and HERDER from CATIDE and [] from MLB. HERDER informed us that CATIDE was finally disenchanted with UMNOV and ALBERTI [], UMNOV's handling officer. After a great deal of soul- and file-searching CATIDE has come to the conclusion that both men may be Soviet penetrations of CATIDE, and that, in any case, neither man is to be trusted. CASTROP believes that AUGSEURG and UMNOV knew each other in Smolensk during the war, that both were involved in war crimes, and that both are aware of each other's role in that respect. CATIDE has no way to verify its suspicions that the men may be under Soviet control and can only keep them at arm's length so that they may do no more damage than has already been done. HERDER said that CATIDE could not terminate them because of their length of service, but intended to forbid their maintaining contact with one another (this has not yet been done as we indicate in paragraph 15 below). HERDER opined that the BDC check was most likely negative because the true name of their suspect is neither UMNOV nor UMANSKY.

3. HERDER said that they would request CALLIKAK coverage on both men but were concerned about translation from the Russian because all of CATIDE's Russian speakers moved freely about in Russian emigre circles where UMNOV maintains numerous contacts, and therefore could be expected to hear about the coverage. HERDER was especially anxious to keep DORBACH [] from learning about the investigation because DORBACH is hopelessly biased in favor of ALBERTI. CALLIKAK coverage was requested as indicated in Reference A., and CALLIKAK Munich agreed to do the translation. Neither of the two CALLIKAK employees doing the translations is a Russian.

4. We received negative traces to Reference A in DIR-44589, September 1965 and FRAN-8009, October 1965. This time, however, USAREUR CR came up with positive traces, which were transmitted in EGSA-11388, 27 September 1965. The information contained in paragraphs one through six of the CR reply were transmitted to CASTROP and HERDER on 6 October 1965. HERDER stated that our memorandum contained far more information than was available in their files. HERDER attributed this fact to a decision made years before by Dr. KLAUSNER [] not to card emigre types. CASTROP has since advised us that HERMANN of ACKERMANN's shop has been delegated to pull together everything CATIDE has on UMNOV but that to date he has been able to find disappointingly little. CATIDE is also checking through Ludwigsburg War Crimes Office for any information that might be available there.

5. Information Obtained from Research in MLB Files: HERDER asked whether it would be possible to search old emigre files to see if anything could be turned up on UMNOV. We pointed out that this would be a monumental task to undertake at our Headquarters but promised to search local files for leads that might enable our headquarters to come up with pertinent information. This we did as we found time and the results of that research is contained in succeeding paragraphs.

6. MGLA-12943, August 1952, which was a study of Colonel BAUN's [] activities in the immediate post-war years, mentions a meeting that took place in 1946 between BAUN and General DRO (Drasjanet KANAYAN). DRO asked BAUN to intercede to have UMNOV released from detention at Mannheim; note that MGLA-1291, February 1951 (see also paragraph 8 below) indicated UMNOV had been an interpreter for General DRO during the war. DRO was an Armenian who worked with the Germans during WW II. It appears that BAUN may have complied with DRO's request because Munich files contain old "D" documents (D1724, 1725)

containing a December 1946 request from the ODIBEX unit in charge of CATIDE's predecessor organization (hereafter called CATIDE) for the transfer of UMNOV (and others) to a special camp; UMNOV was at Darmstadt at the time. The transfer was approved. According to D-1374, a request was received on 11 February 1948 by the ODIBEX unit mentioned above asking whether UMNOV was employed by that organization. The request indicated that UMNOV was a Russian wanted for war crimes because he had been a member of Sicherheitsdienst III d and Sonderkommando Ost. The request was passed on to CATIDE who replied that, while UMNOV was known to "Organization 9500" (sic), he was not employed by CATIDE. A negative reply was forwarded to the original requestor (another ODIBEX unit). UMNOV's name also appears in two other "D" documents (D 121 and D 4227) not available at MLB.

7. We next hear of UMNOV in 1949 in connection with the Irena KUSINA (born February 1928 in Warsaw) case. By now UMNOV was a full-fledged member of the organization working under Nikolai ZAHAROV aka SONIN []; KUSINA was a sub-agent. KUSINA was considered to be a Polish agent who passed deception material to UMNOV. Her husband, Eugene KOSIN, was a suspected RIS agent. The traffic on the case was contained in WASH-9706, March 1949; FRAN-1497, March 1949; PARI-4229, July 1949; FULL-041, July 1949 and MGLA-137, 26 July 1949. In connection with the KUSINA/UMNOV case at the time mention was made of a 1947 ODOPAL report that UMNOV was connected with Alexander CHIKALOV [], a suspected Soviet agent. Another ODOPAL report from the same year concerning the interrogation of one Sergei ZAVALISHIN, states that "UMNOV visited ZAVALISHIN's print shop and inquired about secret inks. UMNOV had been identified for ZAVALISHIN by friends as a NKVD agent". MGLA-137 dismissed the two ODOPAL reports as logical errors because of UMNOV's activities in the emigre field.

8. MGLA-1291, February 1950, which was a study of GV L, CATIDE's CE Dienststelle in Karlsruhe listed UMNOV alias UMANSKY as head of SV POIKA (targetted against the Poles). He was listed as the only staff member with 8 agents. UMNOV was described as a Ukrainian who had first offered his services to the Germans at Kiev during the war, who had been used by the RSHA and who had been an interpreter for General DRO. According to MGL-3036, August 1950, Gleb UMNOV and what was left of his group were "on the skids". UMNOV's name appears on the lists of GV L staffers and agents during 1951 and 1952 (MGLA-8760, 8761, 9531, 9532, and 12939). We have carded references to the following dispatches which could not be located in Munich files: MGLA-633, MGSA-6307, MGSA-4641, MSHA-3143, MGKA-35090, EGLA-7755, and EGLA-7756.

9. EGMA-11726, July 1954 which forwarded reports on PERSHAN (Frederick G. PERSHAN, born 26 January 1893 in Modlen, Poland) sources included one on UMNOV. PERSHAN believed that UMNOV was employed by an ODYOKE agency and seemed to feel he was a good man. PERSHAN also included biographic data on UMNOV provided him by Boris Sergeyevich WOLF aka WOLFF-LUEDINGSHAUSEN [] on 29 April 1953. There are some contradictions between WOLF's report and the information from USAREUR CR sent in EGSA-11388. These discrepancies are commented on in paragraph 16 below.

10. EGLA-9526, June 1954 was a dispatch on UMNOV's background and activity. According to that dispatch UMNOV used the following addresses in 1949: Weilheim-Bavaria, Pärchetsiedlung #2 c/o Olga GOSIT and Ettingen/Baden, Bahn Postlagernd, c/o Frau KALUGIN. In tracing KALUGIN and GOSIT we ran across an interesting carded reference to D 2504 (no longer available Munich). The card listed a 18 July 1949 request for permission for Helene KALUGIN-GOSIT, born 15 July 1895, to move to Karlsruhe, 10 Maria Alexanderstrasse. According to EGLA-9526 this was UMNOV's address from 1948 until at least 1954. KALUGIN-GOSIT was listed as a member of Organization 114, predecessor to GV L. Organization 114 was also UMNOV's organization.

We found no traces of an Olga GOSIT and no identifiable traces on KALUGIN. (See also paragraph 17 below).

11. EGMA-23965, 12 November 1956 was a report on UMNOV and Professor Mykhaylo MULLER (Born 26 November 1883, Millerevo/Don, USSR). The dispatch transmitted an AECAPLIN-1 [] report of a meeting he had with Ivan KASHUBA [], Intelligence Chief of the OUN/BANDERA organization. KASHUBA believed that UMNOV was implicated with "DNIPRO", the Ukrainian branch of the MIKHAILOV RETURN TO THE HOMELAND COMMITTEE. KASHUBA claimed that during the war UMNOV had served with the GESTAPO and had liquidated Ukrainian nationalists. KASHUBA said that UMNOV could have served in the GESTAPO as an NKVD agent. UMNOV's name also appears in a PERSHAN contact report forwarded as attachment B to EGMA-28251, August 1957. We quote the pertinent excerpts: "...LARIONOV [] gave LEBEDEV [] 'tips' and photos relating to Gleb Konstantinovich UMNOV...and his suspected IS work for a western service. According to these 'tips', UMNOV plies his friends with liquor to obtain information; it is also insinuated that UMNOV is a double agent. LEBEDEV allegedly told SKARZHINSKY [] he would not write anything about UMNOV, after SKARZHINSKY told LEBEDEV not to publish false information. SKARZHINSKY speculated that.....in the case of UMNOV, LARIONOV.. acted on behalf of SHUBA-100 which in this case wanted to get even with an old opponent from WW II days (CASE OFFICER COMMENT: Since all of this information comes from SKARZHINSKY, it must be taken with a big grain of salt)". According to the [] contact report forwarded with EGMA-38469 and 38876, November 1958, [] KGB case officer was particularly pleased when he [] identified a photograph as portraying Gleb UMNOV. Another report forwarded with EGMA-48230, 30 March 1960 was interesting in that the KGB officer asked [] if he knew UMNOV's true name; [] did not. (We note with interest that although Subject has officially used the name of UMANSKY since 1946 he was known throughout Russian emigre circles as UMNOV. We have already communicated this fact to CASTROP without giving any particular source for our information and he commented that the KGB must also be aware of Subject's use of the name UMNOV).

12. UMNOV's name also appears in the following dispatches: EGMA-25757, 28668, 33103, 38430, 38941, 38971, 39097, 39629, 40213 and 42179; these dispatches had no particularly illuminating information. EGLA-25045 and 25075 dealt with the CASTONE surveillance of UMNOV.

13. EGMA-56164, 13 September 1961 transmitted contact reports having to do with UJDROWSY. An excerpt from one of the reports [] with @FLEMING, 7 September 1961) is in our UMNOV file. FLEMING told [] that while in Washington @BERNHARDT had been briefed on the security problem involving (Alexander) MELBARDIS [] on MELBARDIS's contact with UMNOV and the suspicion that UMNOV might be an RIS agent and actually serve as a commo channel between MELBARDIS and the KGB. At the time FLEMING had Dr. HERDER take up the case with @ALBERTI who vouched for UMNOV unequivocally and HERDER accepted ALBERTI's judgement. We have no further information on this point and the sensitive portions of the MELBARDIS file were destroyed. We would appreciate any enlightenment Headquarters can provide on this score.

14. EGMW-12030, 9 July 1962 on ZROCEAN linked ALBERTI and UMNOV with Victor Aleksandrovich LARIONOV []. Unfortunately the dispatch did not help much as far as UMNOV is concerned and the other key files in the case, viz. those on Marion BALDWIN [] and Helmut RINKE [] were destroyed. We would appreciate your checking with KUDESK to see if they can provide any information on UMNOV not available in his Headquarters 201 file. EGMW-12030 was the last document on UMNOV received in Munich prior to the replies to EGMA-65004, July 1964.

15. UMNOV's Current Situation as Learned Through CALLIKAK: UMNOV is still in touch with ALBERTI and this fact is known to FLEMING who recently gave tentative approval for UMNOV to make an operational trip to Braunschweig. UMNOV does not have German citizenship and he had to send his passport and other data to ALBERTI before he could get permission for the trip. UMNOV does not have any regular job and claims to be an invalid. An alias BENTIN (who we think may be identical with JUERGENS) called ALBERTI one day to check on the condition of UMNOV's mother who was listed in CATIDE's wartime evacuation plan along with UMNOV. UMNOV is still in touch with a great many women around Germany and it is not clear just what his game is. We will provide an analysis of the information gained through CALLIKAK by separate dispatch at a later date.

16. Some Points Worth Noting: We have most of our information about UMNOV's life from EGMA-11726, EGLA-9526, EGMA-23965 and EGSA-11388. In spite of certain inconsistencies most of the reports agree with each other but this is not surprising since UMNOV himself was probably the ultimate source of most of the information. Certain points might be noted: According to UMNOV himself, he joined the Germans in Smolensk, but according to EGLA-9526 and to KASHUBA he joined the Germans in Kiev. KASHUBA also stated that UMNOV was not a Russian as he claimed but a Ukrainian (CALLIKAK coverage indicated UMNOV has an abiding interest in Ukrainian Church affairs). According to UMNOV his father was killed in 1938, presumably for holding anti-Communist views but WOLF's story sent with EGMA-11726 states his father was a Czarist officer killed by the Bolsheviks in 1920. In either case it is difficult to understand how UMNOV was able to get such an advanced education. This is even harder to understand when he states he was known to be anti-Communist and was thus selected to direct the Russian police under the German occupation within a month of the time Smolensk was overrun. In one report he is called German-obsessed but he himself claims not to like Germans. There are other inconsistencies but the most interesting question is the one of name. Oleg UMANSKY is admittedly an alias; Dr. HERDER believes that UMNOV is also an alias, a belief apparently shared by []'s KGB case officer. At any rate he must have used the name UMNOV during the war because he was wanted for war crimes under that name; the change to UMANSKY was probably done to keep UMNOV from being prosecuted for those crimes. See the next paragraph for certain far-out possibilities regarding UMNOV's true name.

17. The coincidence of the names used by UMNOV for cover addresses in 1949 (paragraph 10 above) viz. Olga GOSIT and Frau KALUGIN, with the carded reference to Helene KALUGIN-GOSIT who apparently moved to UMNOV's address in Karlsruhe may have provided another tracing possibility for us. UMNOV currently lives with his mother, who goes under the name Olga Nikolayevna UMANSKY (as known from CALLIKAK) and it is possible that she is identical to Helene KALUGIN-GOSIT and also possible that parts of her name were used by UMNOV as a cover address. While it is quite possible that UMNOV's mother also changed her name we believe it worth while to check out all possibilities. We are therefore forwarding trace requests in Reference B on UMNOV's mother using all possible variations and on UMNOV using the names KALUGIN and GOSIT. (We have also asked CASTROP to check out the names). As another remote possibility for developing further information on UMNOV we are asking for up-to-date traces on UMNOV's old agent, Irene KUSINA (see paragraph 7 above) in Reference C.

18. Comment: From the evidence available it appears certain that UMNOV was involved in war crimes for which he was never punished and that ALBERTI might also have been involved. Although we have no evidence to prove UMNOV is or has been a Soviet agent he has certainly been susceptible to approach and we know that the KGB

was interested in him at one point. We are inclined to agree with CASTROP and HERDER that both men are security risks but we are not sanguine about developing clear proof of their having ties to hostile intelligence. We can only hope that CATIDE will be able to come up with something based on leads we are able to furnish. We would therefore appreciate an early Headquarters reply to the questions raised in paragraphs 13 and 14 above; please advise what may be passed to CATIDE. We would also appreciate Headquarters advice as to how much of the information contained in paragraphs 5 through 11 above may be passed to CATIDE.

APPROVED:

for _____

[

]

DISPATCH

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SECRET

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X

MARKED FOR INDEXING

TO Chief, EE; Chief, [CLS]
Chief, CSB/Frankfurt

NO INDEXING REQUIRED

INFO. Chief, Bonn Ops Base; COS, Germany

ONLY QUALIFIED DESK
CAN JUDGE INDEXING

FROM Chief, Munich Liaison Base

MICROFILM

SUBJECT [CATIDE] LUJVAULT; LCIMPROVE UJRANDOM [BEDOX]
Irena GIEROWSKI, Born 26 February 1928 in Warsaw, Poland

ACTION REQUIRED - REFERENCES

ACTION: Traces please

REFERENCE: [EGMA]-67710, 8 December 1965 (Not sent [CSB] or [CLS])

1. Post 1949 traces are requested on:

Card
Irena #*GIEROWSKI []
DOB: 26 February 1928
POB: Warsaw, Poland
CIT: Unknown [] []
AKA: Irena #*KUSINA (first marriage) [] []
NEE: Irena #*NAUMOFF
Sub agent handled by [Gleb UMNOV] [] [] in 1949.
Suspected of being Polish Agent.

2. The only post-1949 information on Subject in Munich files is [OBBA]-5769, 26 June 1956. She was married at that time to:

Jerzy #*GIEROWSKI [] []
DOB: 23 December 1922
POB: Warsaw, Poland
CIT: Unknown
Suspected UB agent

According to the dispatch both Jerzy and Irena GIEROWSKI were refused immigrant visas to the United States by the Antwerp

ATTACHMENT: NT forms h.w.

DISTRIBUTION:

- 2 - EE, w/o att.
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[EGMA]-67709

8 December 1965

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[CGMA] 67709

Consulate. Both individuals were apparently also mentioned in
[OBBA] 5290, 8 May 1956, not available in [Munich]. We should also
mention a carded reference to one Irene NAUMOFF or NAUMHOF, born
11 December 1927 in Warsaw. She was traced by the American Consulate
General in Munich on 25 June 1962. At that time she was an applicant
for the position of Exhibit Guide for USIA in Washington, D.C.
Although the date of birth is different, it is close enough to make
it worth mentioning.

3. All Agency Name Trace Request forms are forwarded
herewith to [CSB] and [CLS] for tracing the Services.

APPROVED: [REDACTED]

SECRET

Chief, Munich Liaison Base

X

Chief of Station, Germany

Chief, EE Division

[CAGLE]/[REDACTED]/[REDACTED]/Operational

From [REDACTED], born 25 February 1928, Warsaw, Poland

Please pass paragraph 2 to [CAGLE]

Reference: [REDACTED]-6770, 8 December 1955

1. Headquarters has no information dated subsequent to 1949 concerning Subject which can be passed to [CAGLE]. We do, however, have one report concerning Subject's husband which may be passed. This report is contained in the attachment to [REDACTED]-3290, dated 4 May 1955, which is mentioned in reference. [REDACTED]-3290 concerns Subject's and her husband's application for immigration to the United States under the Refugee Relief Program and has an attachment which contains traces on Subject and her husband. Except for the report mentioned above, these traces are either from another [REDACTED] Agency or dated prior to 1950.

2. For passage to [CAGLE] An unclassified report dated January 1956 questions the source of Subject's husband's income with the implication that he may be receiving funds from the Warsaw government. (Headquarters comment: We have no other information concerning this report.)

3. The Irina Skowron, born 11 December 1927, Warsaw, Poland, mentioned in reference is not identical with Subject. However, she is related to Subject as the listed Subject and her husband as sister-in-law and brother-in-law in an [REDACTED] news trace report.

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2 - [REDACTED]
1 - [REDACTED]

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DATE 2000 2008

[SECRET] - 14701

5 January 1956

SECRET

[]

1 - [REDACTED]
1 - [REDACTED]

[REDACTED]

[]

] b1

5959

S E C R E T

Chief, Munich Liaison Base

Bonn Operations Base

Chief, EE

[CATIDE] [LIVVAULT] [LIRANDON] [LCIMPROVE]

[Olga Nikolayevna UMANSKY, Oleg Konstantinovic KALUZIN-GOSIT

REFERENCE: [BOM] 67708, 8 December 1965

Headquarters files contain no identifiable information on Olga UMANSKY or Oleg KALUZIN-GOSIT. An [ODENVY] report makes reference to a Frau UMANSKY, not further identified, a 1956 resident of Baden West, Weimbrennerstr. 81, who was visited at that time by an Ivan ERBCHIN, a Soviet national living in Milwaukee, alleged to have been working for the RIS. No evidence confirming that allegation was found, however.

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- 1 - Bonn Ops Base

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[BOM] 14767

28 January 1966

S E C R E T

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[]

Hqs. Distribution: [EE/G/CE]

- 2 - [EE/G/CE]
- 1 - [EE/G/IS]

[] mld

6028

SECRET
When Filled In

EXTRACT FROM SOURCE REPORT

FOURNUMBER BY [X] 13244 DATED 31 Jan 66

155/UMANSKY, Oleg [UMNOV, Gleb]
155/AUGSBURG, Emil

[] [X] CASTRO 5 January 1966

4. I mentioned to [CASTRO] that, while we have been providing translations [of conversations] in Russian to the German language on both of these cases, we have not been translating [intercepted letters] since the written stuff has for the most part appeared to be inconsequential. I suggested to [CASTRO] that we leave it up to him whether or not he wishes us to provide translations in specific cases where in [CASTRO]'s judgment the extra effort would appear to be worthwhile.

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155/AUGSBURG, Emil

W/C LAUSEN 23 Sept 66

2. [CLAUSEN] stated that he had never received the [tape and corresponding] transcript relating to [Intercept 207260-207263] on which he had previously asked me to have [conversation #4] translated from Polish into German. I expressed my surprise that action had not been completed on this during my absence (particularly since I had been able to recall the passage of the action line to C/[CALLIKAK] before going on leave). I checked into this with C/[CALLIKAK] in a meeting in my office on 26 September and learned that the translation job had been performed and the results in turn passed back to us. The [tape] in question was returned to us along with a new [Intercept] bearing the number [66 G/210395] which,

(according to the [CALLIKAK] Log), was received on 1 September and apparently passed by [] to [CATUSE] along with other [CALLIKAK] materials that had accumulated up to that date. I will give this information to [CLAUSEN] in our next meeting.

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455/AUGSBURG, Emil

[] / [] CLAUSEN 30 Sept 1966

2. As a follow-up of my last discussion with [CLAUSEN] concerning the translation job on one of the [conversations in intercept 207260-207265] I told [CLAUSEN] that a check with my records revealed that the results had been passed to [CATUSE] on either 1 September or 31 August under the new serial number [660/210395]. I stated that this item had been passed by [] during my absence on leave and had been included along with other [CALLIEAK] materials that had accumulated up to that time. [CLAUSEN] stated that he would check back through his records and would let me know if he had any difficulties finding the item in question.

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TO Chief, EUR

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CAN JUDGE INDEXING

FROM

A/Chief, Munich Liaison Base

MICROFILM

SUBJECT [CATUSK] [UJVENTURE]

Dr Emil Augsburg []

ACTION REQUIRED - REFERENCES

ACTION: See Below

REFERENCE: [EGMA]-69279, 13 September 1966 []

[]
[E/MAB]

Transmitted herewith for Headquarters disposition is the [MLB] dossier on Dr. Emil AUGSBURG, whose services with the Organization were terminated by September 1966.

[]

APPROVED: []

Per

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Distribution:

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Attachment:

As stated above, H/W

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[] 26 APR 1967

CROSS REFERENCE TO

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DATE

[EGMA] 70274

21 April 1967

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