Def. Doc. #2701

THE INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST

THE UNITED STATES OF AMERICA, et al

VS

ARAKI, Sadao, et al

AFFIDAVIT

HORIUCHI, Tateki

I, HORIUCHI, Tateki, of lawful age, and resident in Shanghai, China, after having been duly sworn according to the Japanese formula, make the statement as hereto attached of my own free will.

T. Hamila

Shanghai, China, September 16th, 1947.

Sworn and signed in my presence in the same place and on the same date.

Mitness A. Frances

Mr. Tateki Horiuchi, ex-Minister attached to the Japanese Embassy in China, at the time of the Japanese surrender, who worked as the 1st Secretary of the Japanese Legation, under Mr. Shigemitsu from September 1929 to July 1932, and as the Minister attached to the Embassy from January 1942 to March 1943, made the following statement: -

1. The Back Ground of Mr. Shigemitsu's Effort for the Betterment of the Relations of the two Countries.

In April 1929 I was transferred from the Embassy in London to Shanghai Consulate General (where Mr. Shigemitsu was working as the Consul-General and concurrently as Councillor of the Legation) as Consul and concurrently as the 2nd Secretary of the Legation.

In September of the same year, late Minister Sadao Saburi presented his Credentials and thereby the Japanese Government recognized the National Government in Nanking and Mr. Shigemitsu and myself worked under Mr. Saburi.

After making free and private exchange of views with influential Chinese people, Minister Saburi succeeded in completing his plan for the gradual abolition of the extraterritoriality in China. This was done by the special order of the Foreign Minister, Baron Shidehara. The plan was approved by Baron Shidehara in the evening of November 23,

1929, and was to be approved by the Cabinet Meeting. In the following evening Mr. Saburi committed suicide in Hakone. Late Mr. T. Obata was to be appointed as the successor. It was not, however, realized owing to the objection by the National Government of China and Mr. Shigemitsu, as the Charge d'Affaires, was ordered to do his best for improving the relations with China. During his tenure of office Mr. Shigemitsu always exerted himself and with the very helpful corporation of Dr. T. V. Soong, Finance Minister of the National Government, he succeeded in solving many outstanding questions and contributed very much to the betterment of the relations.

E. Reciprocal Tariff Agreement between Japan and China in 1930. (so-called Shigemitsu T. V. Soong Tariff Agreement).

The very unsatisfactory relations between Japan and China caused by the Twenty-one Demand and the Shantung Question was very much improved by the orientation of the so-called Shidehara diplomacy. The first stroke of which was seen in the Special Tariff Conference in Peking of 1925, when Japan took the initiative in recognising in principle Chinese Tariff Autonomy, and the Chinese Delegation went so far as to make an informal commitment to the

Japanese Delegation that after the autonomy was recognized China was ready to conclude a reciprocal Tariff Agreement with Japan for the purpose of preserving the vital trade relation between the two countries. It is to be noted, in this connection, that Mr. Shigemitsu worked as the next to the General Secretary of the Japanese Delegation, Mr. Saburi, and myself assisted them.

The calm air of friendly relations of the two countries which was created by the first stroke of the Shidehara diplomany and lasted for about two years was by and by darkened by the repurcation of the Seiyukai diplomany. Its first program could be seen in the so-called Tō-hō Kaigi (The Far Eastern Conference) next step was dispatching army to Shantung Province with the result of Chi-nan Incident in 1927. When Mr. Shigemitsu began his work it was thought natural that the second stroke of the Shidehara diplomacy should be to materialize the Chinese committment regarding the reciprocal Tariff Agreement, as Japan had already recognized China's Tariff Autonomy by the Yada, T. V. Soong temporary Commercial Agreement in 1928/97.

In the preliminary talk with Dr. T. V. Soong, Mr. Shigemitsu could understand that the higher Chinese Authorities were willing to improve the relations with Japan and were ready to conclude the Tariff Agreement but that they fear that any agreement of long term and covering

long list of Japanese imports could not obtain national sanction. Therefore Mr. Shigemitsu had to surmount many difficulties before pursuading his Government and the business groups interested in China trade to accept the agreement of short term covering only a reasonable small portion of Japanese articles of imported into China. Thus the Agreement signed between Mr. Shigemitsu and Dr. T. V. Soong in May 1930 had the short term of three years and covered a portion of Japanese cotton goods and sea products as well as a small portion of sundries.

Consolidation of the Outstanding Chinese Debts to Japan.

This was one of the thorny questions between the two countries. Most part of the outstanding debts China owed to Japan were thought in China as political loan, which were supplied by the Japanese Government headed by Count Terauchi to the Yuan-hsi-kai Government for the purpose of providing the latter with the money necessary for suppressing Kuomintang (National Party) influence. Now that the National Government were established upon the ground of the Kuomintang even the higher authorities of the Government thought it very difficult to consolidate such kind of debts. While on the part of Japan, as a part of these loans were borne by the Government Banks (a portion

of the loan was defrayed through such bank from the Government Postal Savings which came from the people at large) and the rest by the influential civilian banks the Government had first to effectuate any consolidation scheme of such debts before they could go on with their program of any friendly policy toward China.

After the conclusion of the Tariff Agreement Mr.

Shigemitsu took up this thorny question with Dr. T. V.

Soong and they had arrived at a tentative principle of consolidation to somewhat the effect that the debts should be consolidated in long term amortisation say fifteen to twenty five years that the rate of interest should be lower than the current rate, that the amortisation scheme might be made workable within the paying capacity of the surplus Revenues of the Chinese Maritime Customs and that a portion of the proceeds from the amortisation might be reinvested in China. They tried their best to pursuade their respective Government and after several months of painstaking effort they succeeded in working out the common scheme of consolidation, based upon the above principles, which scheme was approved by their respective Government.

In June or July, 1931, National Government invited to Nanking diplomatic representatives stationed in China of the creditor countries and informally disclosed before them the draft scheme of consolidation of all Chinese outstandGovernment was ready to consolidate all its outstanding foreign debts according to that plan. The creditor-countries were to study the scheme and there was even the prospect of the scheme being adopted by the countries concerned. Owing to the Manchurian Incident immediately happening the chance of consolidation was lost forever.

4. Manchurian Question.

It was true that owing to the ever multiplied efforts on the part of the two Governments, the relations between the two countries had gradually been much improved. In the spring of 1931 the High Authorities of the National Government even went so far as to invite some fifty Japanese junior class officers and men as the technical military advisors and entrusted them to train the efficient Chinese model armies of smaller unit in many technical departments of the modern army. While in Manchuria a dark cloud began to appear as the Chinese local authorities who were nationalized by the Kuomintang influence, tried to recover so-called lost sovereignty by resorting to come arbitrary measures. There some troubles or others occured month by month in the shape of illegal taxation against the Japanese residents, trespassing on the land lease enjoyed by the Japanese as well as the starting of

the construction of railways by the local authorities in defiance of the Japanese treaty rights. After some serious talk with Dr. T. V. Soong Mr. Shigemitsu prepared a tentative memorandum on the activities of the Chinese local authorities in Manchuria against the Japanese treaty rights and interests and submitted it to Dr. T. V. Soong. It was about the beginning of September when Dr. T. V. Soong informed Mr. Shigemitsu of his intention to go to Manchuria to study the matter on the spot for the purpose of finding out some measures for the adjustment of the Manchurian Question. Dr. T. V. Soong wished to see Count Uchida, then President of the South Manchuria Railway and other Japanese authorities in Manchuria and the arrangement for the interviews with them were made beforehand. He was to leave Shanghai on September 18 on board a Japanese steamer of D. K. K. line but the sudden incident in Lin-Tiao-Kow checked his journey.

On the following day Dr. T. V. Soong proposed to Mr. Shigemitsu a plan of establishing a Sino-Japanese Commission of small numbers and trust it to make local settlement of the incident. Mr. Shigemitsu perceived the grave consequences of the incident might give and believed in the urgent necessity of the local settlement thereof and conveyed the proposal to Baron Shidehara and adviced him strongly to accept the proposal. After a few days of deliberation, the pro-

posal was accepted by the Japanese Government and Dr. T.

V. Soong was informed of the acceptance but in view of the
Japanese military activities spreading throughout Manchuria
he notified Mr. Shigemitsu of the withdrawal of his original proposal.

5. Truce Agreement Concluded After the First Shanghai Incident 1932.

Toward the end of 1931 Japanese residents in China were very much annoyed by the ever aggravating anti-Japanese movement throughout China actuated by the Manchurian Incidents. Some diehards elements of the Japanese community in Shanghai were so much insensed as to dare to take some strong attitude toward the Chinese boycottors. Some of them openly criticised Mr. Shigemitsu's weak attitude toward the boycott. Mr. Shigemitsu saw very dangerous atmosphere preveiling and said that he believed it necessary for him to get his pacific policy reconfirmed by the Foreign Minister Mr. K. Yoshizawa (at the beginning of the year Baron Shidehara resigned and Mr. K. Yoshizawa succeeded him as the Foreign Minister in connection with the Cabinet change) so that he could take adequate precautionary measures to forestall any untoward happenings on the spot. He left Shanghai about the middle of January 1932 myself accompanying him. After finishing his talk with the Foreign

Minister and other high authorities in Tokyo, he was to leave Kobe on January 28 on board a Japanese steamer of N. Y. K. line, when he saw the news of the Shanghai Incident. Two divisions of the army were dispatched for the purpose of protecting Japanese residents in Shanghai. After fighting against the 19th Route Army for about a month the Japanese Army had succeeded in repulsing the Chinese army out of twenty kilometers of Shanghai. Mr. Shigemitsu strongly pursuaded, with the help of the late Mr. Yosuke Matsuoka who was in Shanghai as the personal representative of the Foreign Minister to assist Mr. Shigemitsu, Commander late General Shirakawa to issue stop-fighting order to his army so that the army should not deviate from the original objective of protecting the residents in Shanghai. The order was issued on March 4, and negotiations for the Truce Agreement were began between Mr. Shigemitsu and Lieut .- General late K. Uyeda on the Japanese side and Mr. Kuo Tai-chi and General Huang Chiang on the Chinese side. Sir Miles Lampson, British Minister and Mr. N. Johnson, American Minister and others assisted, as the informal mediators, the conclusion of the Agreement.

After one month and a half of very hard work of the negotiators and mediators, all the difficult questions were solved except giving finishing touch to one of the very thorny one of temporary stationing of a certain small

unit of the Japanese army in the suburbs of Shanghai and there was ample prospect of the Truce being signed in a week or so.

on April, 29, Mr. Shigemitsu and several other Japanese top-high civil and military personages on the spot fell victim to a bomb thrawn by a Korean mankiller on the occasion of celebrating the Emperor's Birth Day held in Hongkew Park. He was seriously wounded and felt his life was in danger. In the evening of the of the bombing he invited myself and two others of his stuff to his bedside and dictated a telegram to the Foreign Minister to the effect that in view of the ultimate objective of Japan for keeping everlasting friendly relations with China, the Truce Agreement should be signed at any cost and therefore that the original attitude toward the Truce Agreement should in no way be changed even though the present bomb incident had had some connections with the Chinese Policy toward Japan.

On May 5, the Truce Agreement was signed by the representatives of Japan and China. When Mr. Shigemitsu signed the documents on his bed his condition was still serious. After finishing his signature he said to late Mr. Samuel Chang, who was present on the occasion as the Chinese Secretary representing the Chinese Delegate, that the two nations, China and Japan were destined to be

friends and that he hoped that the Truce Agreement would help such friendship and asked Mr. Chang to convey these words to Mr. Kuo Tai-chi. I was at his bedside when he signed the Agreement and when he spoke to Mr. Chang. His leg was computated about an hour or two later.

6. Abolition of the Japanese Extraterritoriality in China in 1943.

Mr. Shigemitsu came to Nanking as the Japanese Ambassador to Nanking in January 1942. I was, then, in Shanghai as the Consul-General and concurrently as the Minister attached to the Embassy in Nanking. In November 1, of the same year I was transferred to Nanking as the Minister attached to the Embassy and worked under Mr. Shigemitsu until he went back to Tokyo and became the Foreign Minister in April 1943. Mr. M. Tani succeeded Mr. Shigemitsu and came to Nanking in the following month and I remained in the same position until the Japanese surrender in August 1945.

In January 1943 Japan concluded a Treaty of Alliance with the Nanking Government, signed by late Mr. Wang Chingwei and Mr. Shigemitsu, and made a Declaration of the Japanese policy toward China including early abolition of the Extraterritoriality in China. In accordance with the above commitment Ambassador Shigemitsu and afterward Am-

bassador Tani negotiated with the Foreign Minister of the Nanking Government and after several months of negotiation two treaties were signed between them in March and in June or July, 1943, in March, 1943, one for the rendition of five Japanese concessions in Tientsin, Hankow, Socchow, Hangchow and Amoy as well as of the International Settlement in Shanghai and the Legation Quarter in Peking, and the other for the Recognition of the Chinese Taxation, on a gradual basis, upon the Japanese residents in China as a step toward the total abolition of the Japanese Extraterritoriality in China.

September 16th, 1947. Shanghai, China. 1. Hounds

極東國祭軍事

垂米 利加合衆國 其

哲供选書

进 掛 场 干 城

天,下二勤教シタシーデアリマシテ、 了儿一九二年九月五十一九三二年 シテ、一九四年一月ョリー九四年三月近大使館附公使トンテ生光 城内干城八日本降伏當時左 上月汽日本公使館一等書記信上 左ノ通り陳述致シマス。 中華民国日本大使館附公使デ

公使館二等書記信上三方轉化上十一人之外一重光氏、總领事兼公 九九年四月私在倫敦大使館与在上海總領事館"領事兼 館勢事信上三月回地三在勤三月居之之多 面图演像改善一周不重老氏 努力一将原

五十五 九月故佐分利复男公使力信任状事棒星之三月日

意見交換力行了 本政府八南京三几國民政府了 作り上ゲマシタ 下一勤努之之之久. 外務大臣 图:放厂心治外法權,漸進的粮廢計 和公使八中國,要人上自由日日以私的二 認シマシタが、電老氏及ど私八佐分 幣原男爵,特别一命令三元

認せる上閣議、承認の受か答がアリマング。其、理由夜佐分利氏へ リマシタの 計画八一九二九年 十一月十三日夜都原男司学承

民國 國民政府 関係改善 最多盡又中的 根が自殺シ、 ,及対ニョリ実現セズ、重老氏が代理公使トレテ民国 故小幡氏 命セラレマシタ、重老氏八任期中 任二任命せん苦デアシシタ然之

努力之戶國民政府財政部 決し関係 改善多大、貢献ラナシマシタ 宋子文博士一大二有益十努力言

九三。年日華 肉稅協定) 協定一所謂重老宋子文

九二五年 二十一衛佛要求及心山東向 , 北京特别 阅税 外交一方 題ニュル日華間り花が面白年イ 議二見多人、日本八自発的一中國 大生改善之之之多、其一看八

税 目主一帝則 为 重要十通為関係了維持不自的了 風代表八日本代表二对之自主

臣 英一美之法意又不力量老氏が日本 結九用意が几日非公式的 次二居了了工厂又和面代了

手得ワダノデアリマス。

和一篇八 絕十空氣八 所謂東方会議二見えし、ソノ次八山東省八派兵元 約一年前續一夕而國友交與係 復治三日川本次墨了了來又之久

件デアリマシタ。重老代動動人

り約束が実現スルエトニア 世x頃二群奉外交,第一発八高然互惠関係協定,周己中國側 九年天田宋子文暫定通高協定三十中國人民般自主了承認 戸居マンダ、ソレハ日本が既二九六

ラダノデアルカラデアリマス。

部、日本上、関係、改善が改之且少関税協定締結、用意へたが、 重光氏八宋子文博 的會談二於戶中國最高首腦

長期一切定了日本一輸入品可多了十三人多之一八國民一費同可得了十 イラシイコトがワカワタノデアリマス。 戸重光氏、短期協定戸合理的

ヨワ

國與馬三萬心門有礼食業家 十一部,日本人对中國輸入品が工限心之一步受諾也心為、政府及心中 说得了八三多大,困難二打克

ツグ要がアーマンタ、斯グテー九三。年五月重老氏上宋子文博士上前

署名也戶上夕協定八三年,短期产日本人 一部 雜製品、海產物及心

若干、雅俊ラ合ンデをマンタ。

三中四對日借數,整理

借軟デアラテ、寺内伯ラ首班上九日本政府が國民電、勢力が抑 之八两國间,尼介十间題デアリマング 中國一村日借穀八中國八八政治

压化一人一个多大金多度世凯政府二 供給シタモノデアル ト考へうしテをマシタ

國民政府八國民党多基礎トンテ 樹立也元夕為, 政府首腦部定此

種借数多整理スニューハ 大三国難デアル 一考へ了居之之夕。然心日本側

一於一大比等借数人 一部八政府系銀行がショインシア居り(借款

部八右銀行了通过、一般民暴郵便野金三丁大出中上夕毛八万万元

残余八有力乙民间銀行か出之テ 虚人一厂、政府八中国三对人人友交政策

計画実行二先ッテ 一整理案了先が実施大心要が万

ターデアーマス。

ア株リ上ケ、一八試完之整理看則另見出之でシタ、ソノ要矣八例公 皮稅協定 缔结後 重老氏八宋 子文博士,尚此,厄介力問題

五年乃至三五年,長期产銷却之、利率、現行利率的低人

銷却計画中國海関收入余剩金言心支拂能力以内戶行人儿子 上之里少鋪却可以收入一部八 中国三再投資又几十之了一一一

少多。 断氏八各文本图政府, 說得一好人人、数作骨为折多後

左牵则是多共通,整理实力作成之、此人实人之少以存入来说

アイヤンク。

九三一年六月力七月二國民政 有八值權國,駐華外交代表了

マシダ 債權国、同案ラ研究ストコトトナリ、関係國が之ラ探揮人 會八永久二失心タデ ル見透シモアリマシア、其、直後 示以政府此一案言り 南京一招大 非公式二中國 外國借款全部整理,用意了儿工上外灰之 外国借款全部一整理計画案是 起少夕滿洲事養人為整理人機

安产了了了了一九三一年春國民政府首临部八日本若不 四国政府,多大, 国人民保护斯次改善也多八事

将校、下士约五十名为 顧的上三方招聘之、近代

軍隊,各種技術部門二於元 中国軍一能率的力模範小

部隊一訓練力之二委任人一所又广行中又之名然止滿洲於

八國民電力勢力二日少合作スルコト 少夕中國一地方電電が、独

断好手段三丁一所謂主權,靈失了回復之中戶試之為

暗墨が現しテ東マン名同方面 於テ八日本人在留民二村又小非合

法的上課稅、日本人,商租權侵害又八日本條約上一權利习侵

害シア地方信馬が鉄道ラ建 設人一等一形戸種少事件が

本一條約上一權益三对心滿洲二於上中國地方官憲一行動真 月夕起少之名重光氏八宋子文 博士上重要大食孩为行为後日

現地二於テ事態の研究不為満洲、社多意向为重老氏。傳へて 九學書試案が作成シ之ヲ宋子文博士二提出シシス九月初デ リマシタが、宋子文博士八满洲向殿解决了法子発見元目的

多, 年子文博士、當时,满鉄總裁内田伯其,他在满果

當局上會了希迪三元予以會見 ノ手笔を行心マンタ。大八九月大

日大連汽船會社、日本船が上海の出発で、街デアリマングが柳条

溝、突発事件が氏、旅行ラトメテシマンマンシ

翌日宋子文傳士八重老氏三村之少数,中日混合委員會設

置シ之二事件一局地的解决了委又計画了提樂シマシタ、重老代

八事件,重大力結果可予想之一一地方的解决,必要力

確信之、此,提案习解原男二傳達之受諾不中分強力進言之交

七八受諾一百通報サンシンの然之日本側,軍事行動が満洲 面日芳虚,後右提案八日本政府,容儿所上十八余子文博

拡大シア来多分、氏八季提生 撤田尹重屯八二傳八戶東下之之

五一九三年十十一次上海事養停戰協定一緒施

九三一年末中国一日本在留民、 満洲事意多動機上不上中国全于

排日運動,激化ヨリ大二低マサレマンク。上海、日本人團、強硬分子

八大人二处了中国一排日運動者二对之何等力乃强硬能度了取八大人二处了中国一排日運動者二对之何等力力强硬能度了取

ララトシマンタ。中二公然排日二対スル重老氏、弱慢了非難なモ

毛アラシタ。重老氏八極メテ險悪ナ空氣が拡が、了看取し、氏ノ

平和的政策习苦澤外務大臣(同年始幣原男群職也可苦降

民が内阁更迭ニョリ、外相トシテ 後月龍人之夕)二再確認之

止人生後ラ探リタイモノデアルト思 旬上海,出発之、私人氏。同行之之之名,外務大臣其一他東京首 白本在留民保護為陸軍 /神产ラ立タラトシタ時上海事件,報道の見タイデアラス 一十會談,然人夕後、此一月三十日日本郵船會社日本能 一二個師団が後進セラレマンク 了上述でマンタ。 氏八九三二年一月中 現地厂面白りナイ事件、発生方防

万月南 和十九路軍上 聞と日本軍八中国ノ軍隊ラ上海カラ二十 里老氏八手傳為外務大臣,個人的

故自川大将三停戰命令軍一二出之、軍が最初一目的名上海在 留民保護カラソレナイヤウニスルヤウ大二説得一好メマシダ。此、命令八 代表者上三丁上海一來了在夕故松間洋在氏,助力之下,司令官 中将、中國側八郭泰被及心黄强将軍一向二用始十七七人名英国 公使「サー、マイルズ、ランプスン」氏 三月四二出也、停戰協定,交涉八日本側八重老氏及也改植田 米園公使工具、ジョンスン氏等

モ北公式,調停役上了物定人稀熱了援助之之之 交选卷时者及一锅停役が一下月半大了努力之多後国難高

題八一切解決步、唯上海,郊外二日本軍小部隊了當分駐

停戦が締結せした大力見透しが十分フキマシタの 題ノ仕上ゲラスルタケトナれ一週旬

等が好公園が行心夕天長節祝賀人際野人刺客投多 明年九日重免民及可規地,日本侧了最毛重立了夕文官藏

感到多處羅軍事件 私上他一同僚二人为一死床。停一呼

爆弹人摄性上ナリマング。 天八重

傷可見之生命八危險三路以外

舒也、外務大臣二对以日本が中国上私久人友交関係,維持九上

万完起,目的二點之 停戦協定八何トシテモ署名セラルマキデア

從了一停戰協定:对己從東,態度、假令今四,爆運車

マナアハナイトノ趣日ノ電報プロ授 分中國,村日政策三何等户 川側がアラダニシテモ、全然麦更人 シスシック・

重光氏が病床デ文書一器名三夕時 五月五日停戰協定八日本及中国,代表者言り墨名也已必多 然后企之夕中国代表 八万代八其場

故丁世之工工上張氏二日華面 國人友部一戶上 重命三户人体

秦被我二傳言之心称水人又之多 協定がかれ友好関係多助長人 和八代的物定里界在之外時後 希望スルト述べ、スラ部

代一物了言了女時、一般床,傍一在 、二時间後

二切断サレタノデアリマス。

六一九四三年中国二於允治外法權人散奏

重老氏八九四年一月日本人大使上之产南京八末了之名。當時私人

總領事兼南京大使館附公使上之 日私人大使館附公使上了一南京一轉式重老氏的東京一师 丁上海一居之之夕。同年十月

公之大が重老氏ノ後仕上シテ南京上東ラレマンタが、私八九四五年 1一九四年四月外務大臣上十九汽

氏,下产勤務之之久,翌月谷

自日本一降伏选同可地位一留了了之久。

九四三年一月日本八南京政府 同盟條約月締徒之故汪精

等中國一村不日本人政策,宣言了行行之名地在人的東一從 衛氏及日重光氏が署名之中国一於仁治外法權一早期散鹿

重光大使、次戸谷大使、南京政府外交都長上交涉と、数個 一支涉後一九四三年三月及心月二两者,间三少、俗的加署名

也是不多人人人人大津、漢口、蘇州、抗州及厦门人五少日本 租界这一上海一去同租界一边 選,為ノモノデアリ、他八中国於

留民"对礼中国侧课税,渐進的承認,為一之人 了几日本了治外还權,全處三至几一步上三戶在華日本在

中華民国上海二於一九四年年人也

内于城(署名)