

Doc. 2578 Evid.

Folder 11

(27)

INTERNATIONAL PROSECUTION SECTION

Doc. Nos. 2570-2579, inclusive

9 August 1946

ANALYSIS OF DOCUMENTARY EVIDENCE

DESCRIPTION OF ATTACHED DOCUMENT

Title and Nature: Selected Sections from a handwritten, typewritten and mimeographed binder re "Important Decisions Regarding International and National Policies". Aug-Nov 1938. (Translated in Part)

Date: Aug-Nov 1938 Original Copy Language: Japanese

Has it been translated? Yes No
Has it been photostated? Yes No

LOCATION OF ORIGINAL

Document Section

SOURCE OF ORIGINAL: Foreign Ministry

PERSONS IMPLICATED: ARITA, Hirochi; DOIHARA, Kenji; ISUDA; BANZAI, Rihachiro; KONOE, Fumimaro

CRIMES TO WHICH DOCUMENT APPLICABLE: All-China Military Aggression

SUMMARY OF RELEVANT POINTS

Doc. No. 2570

Parent binder, including miscellaneous dispatches and reports, Aug-Nov 1938.

Doc. No. 2571

Section 1. (Translation Attached) Outline of Management of Administrative Affairs Ensuing from Military Operations in South China. Outline of Management of Administrative Affairs in the Hankow Area. Decided by the War, Naval and Foreign Ministers. 28 Oct 1938. The main object is to maintain South China as an area of military operations. Administrative guidance should be limited within the scope

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necessary to preserve peace and ensure successful military operations. Other administrative affairs are left to the Chinese Gov't as much as possible, so that the Japanese Army will not have to shoulder the task of military government. A "Peace Maintenance Association" will be established. The establishment of local regions will be accelerated by a "Special Committee to cope with Chinese Problems", which will cooperate with the "Canton Liaison Conference of the Army, Navy and Foreign Ministers". This last will guide local regimes after their organizations. Economic policy will be to support the Japanese army in the field for the present, and to promote future foreign trade and recovery of South China itself. We must win over Chinese residents abroad in order to help our plan for South China and gain materials we need by trade with the Southern regions. In respect to third-power interests: Diplomatic and economic complications will be avoided; we will try not to give third powers an excuse to interfere in the incident. England's existing interests will be recognized. Appropriate steps will be taken to ensure that England discard her policy of aiding the Chiang Kai Shek Gov't and accept the administrative policy of Japan. Chinese economic constructions will be financed by Japanese capital. The management of local administrative affairs in occupied areas of South China will be considered, decided and enforced by Army, Navy and Foreign Ministers at the Canton Liaison Conference.

Doc. No. 2572

Section 2. (Translation Attached) Telegrams:

From Consul General In Hongkong NAKAMURATO to ARITA, 3 Nov 1938. (No. 1473): Request that liaison conference for Hongkong be established in the same manner as the one at Canton.

From Foreign Vice-Minister SAWADA to Vice-Minister of Overseas Affairs HAGIMARA, 7 Nov 1938. (No. 480) Paper outlined in Section 1 above is sent to HAGIMARA.

Same paper sent to Finance Vice-Minister ISHIMATA from SAWADA.

Sent to Consul General HORI in Nanking, Consul General UCHIDA in Amoy, Acting Consul General OGURO in HAIKOW from ARITA.

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Another telegram from NAKAMURA to ARITA, 3 Nov 1938, re importance of forming some governing organ for Hongkong. NAKAMURA requests the Army and Navy be consulted.

Doc. No. 2573

Section 3. (Translation Attached) Re establishment of Liaison Committee of Five Ministers Conference 7 Oct 1938.

This liaison committee is organized to screen and select topics for discussion at Five Minister Conferences, and to fill in the concrete essentials to plans and policies made by the Five Minister Conference. The Liaison Committee is formed of representatives of the Foreign, Finance, Army and Navy ministries and of bureaus within these ministries.

Doc. No. 2574

Section 4. (Translation Attached) Principles for the adjustment of Sino-Japanese Relations, decided at the Five Ministers Conference of 25 Nov 1938. Japan, China and Manchuria should unite in good fellowship to establish an Asiatic Axis for peace. Unity should be based on friendship, joint-defense against communism and economic cooperation. An area should be established in North China and Mongolia where Japan can exploit natural resources. A special region should be established in the MONGOLIA region for the purpose of anti-communism in Inner Mongolia. On the lower part of the YANGTZE River there should be established a strongly united area for economic purposes. Inner Mongolia shall be a strong autonomic area. Japan will help the establishment of these areas by despatching special advisors. In order to root out communistic elements, Japan will garrison the necessary forces in North China and Mongolia, and all Chinese forces will be under Japanese command. Excepting the forces mentioned above and Jap forces in the Nanking-Shanghai-Kowchow triangle all Jap forces will be withdrawn. China is to bear a share of maintenance of Jap garrisons. Japan retains the right to supervise and commandeer railways, air routes and all communications in areas where Japanese forces are stationed. China will limit her soldiers and police (in Jap garrisoned areas) to the minimum number necessary for peace maintenance. The three nations will make treaties re economic exploitation of Inner Mongolia, North China and other areas. Special attention will be paid to resources not found in Japan or Manchuria.

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Section 5. (Translation Attached) Emergency Financial Policy for Middle China, decided at the Five Ministers Conference, 5 July 1938. Since it is essential to adjust relations with third countries in order that they stop aiding CHIANG, Japan is prepared to remove restrictions on trade and financial activities of foreign firms and banks in the occupied areas of Central China. We should get the cooperation of third countries, especially England, aiming at the acceleration of trade in Central China. We should issue new currency in anticipation of the collapse of the present currency. Under certain circumstances it may be well to solicit German and Italian aid also. Japan must have financial leadership in Central China. As well as attracting Chinese financial circles, we must aim at undermining the CHUNGKING government. These negotiations must be kept secret.

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Section 6. (Translation Attached) Temporary Diplomatic Policy toward Britain, decided at the Five Minister Conference on 8 July 1938: We must cause Britain to stop aiding CHIANG by impressing her with the justness of our cause.

Creation of a Special Committee for China, decided at Five Minister Conference, 26 July 1938. This committee will be an organ of the Five Ministers Conference, and will enforce policies of that conference and help establish the new Chinese Central Government. Organs on the spot in China will receive orders from the Special Committee for China. Liaison will be maintained between the committee and the Imperial Headquarters by the War and Navy Minister. The committee will be headed by DOIHARA, TSUDA and BANZAI.

Doc. No. 2577

Section 7. (Translation attached) Adjustment of Sino-Japanese relations, decided at Five Minister Conference, 25 Nov 1938. The main purpose in settling the present incident is the establishment of a new order in East Asia based on military victories. We must guard against a third country checking our activities. HAINAN Island will be cap-

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tured if strategically necessary. Foreign Minister said: Economic cooperation between Japan, China and Manchuria would limit profits of outsiders in China. Therefore economic cooperation between the three countries should be played down somewhat in order to prevent complications of international relations and to aid Japanese interests in a wide sense.

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Section 8. (Translation Attached) Telegram dispatched 29 Oct 1938 from KONOE to Consuls HORIUCHI and HIDAKA in PEKING and SHANGHAI respectively. Code No. 3244. Re Management of political affairs in the HANKOW Area.

Summary: "For the time being, we aim chiefly at establishing the Public Order Maintenance Association, and following stabilization of the situation, an anti-comintern local government will be established. The area of this government will be KIANGSI, HUNAN and HUPEH." This is to be carried out by the Special Committee for China supported by the HANKOW liaison Conference of the Army, Navy and Foreign Ministers. We will not start new enterprises backed by our capital.

Telegram dispatched 29 Oct 1938, from KONOE to the above two consuls and NAKAMURA in HONGKONG. Code No. 3243. Re Management of political affairs following the South China operation.

Summary: "South China will be considered an area of military operations." Political affairs to be managed by Chinese. We will limit our guidance to the extent of developing the Public Order Maintenance Association. Use of Japanese capital will be limited to cases where it will aid in development of trade in connection with our plans re Chinese traders (KAKYO) in the Southern Areas.

Doc. No. 2579

Section 9. (Translation Attached) The Establishment of a Federal Committee. Decided at Five Minister Conference. 9 Sep 1938. The Federal Committee will be set up in PEIPING. The F. C. will have jurisdiction over political affairs common to the Provisional Government and the Restoration Govt

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and is to facilitate the establishment of a new Central Govt. The Provisional and Restoration govts will send representatives to the F. C., and the MONGOLIA-SINKIANG Committee may do likewise. (More here on organization of the F. C.) The F. C. will be guided by North China Administrative Control Organ.

Analyst: Lt Wilds

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20-11-A

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Checked by
IWANAGA

Strict Secrecy

Translated by Nomura

Despatched on 29 October, 1938

Code NO. 3242

Essential the management of
Concerning the Points of Political Affairs manage-
ment in HANKOW and the South China Area

To Councillor HORIUCHI in PEKING and

Consul General HIDAKA in SHANGHAI

From Minister KONOYE

On October 28, the ^{essential} points of ^{the management of} political affairs

management in HANKOW and the South China area

were decided upon as is given ^{respectively} in the separate

telegrams Code No. 3244 and Code No. 3243,

myself and

among the Army and Navy ministers and myself
as stated in the separate telegrams code no. 3244
and code no. 3243 respectively.

This is to be reported by the Army and Navy

authorities to their respective actual stations.

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Please transfer
~~I hope that this telegram will be forwarded~~
from SHANGHAI to HANKOW and CANTON. Through
SHANGHAI.

This telegram is ^{addressed} ~~forwarded~~ to PEKING and
SHANGHAI.

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Miss H. Tomura

2578
Code, No. 3244 (^{with} Strict Secrecy)

Concerning the Essential Affairs
Points of Political Management in the
HANKOW area

Addressed to Councillor HORIUCHI in PEKING and
Consul General HIDAKA in SHANGHAI

From Minister KONOYE

Despatched at 8.00 P.M. on 29 October,
1938 by Foreign Minister KONOYE

The full text is shown on the separate
paper.

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ultra
Strict Secrecy

The political affairs management in HANKOW
area ^{should} be managed,
~~as the whole~~, ~~based upon~~, for the
time being, in accordance with ^{general}
~~present~~ ^{the following} principles:

1. Principle

The Management of the political affairs in the
HANKOW area ^{should} be ^{confined} ~~limited~~ to the ~~limit~~ of
the maintenance of the public order and the
^{strategic} operation of the National Army, and the enforce-
^{all other} ~~ment~~ of political affairs other than the above,
^{should} be ^{left} ~~entrusted~~ to the Chinese ^{management} side, as
~~much as possible.~~

~~No. II. Main points~~ ~~Principles~~ Essential measures.

1. Political guidance ^{should} ~~shall~~ be based upon the following points:

(1) We ^{shall} ~~will not~~ ~~particularly~~ ^{carry out a particular} have military

administration. We ~~expect~~ ^{the} self-government is desirable as to the ~~on the part of China) as much as possible, in~~ management ~~the performance~~ of political affairs.

(2) For the time being, we aim chiefly ~~to~~ the

upbringing of the Public Order Maintenance Association, ^{and following the stabilization of the situation} ~~Society~~ are anti-comintern local government.

~~shall be established in accordance with the~~ ~~stabilization of the situation~~

where such ^{is to}
 The area for the above government ~~shall~~ be
 established would be
 That ^{as including}
~~the Provinces of~~ KIANGSI, HUNAN and HUPEH
 provinces for the time being
~~under the present circumstances.~~

As to the
 Establishment of ^{such} the above mentioned govern-
 it is desired that such will be created
 ment ~~shall be left to~~ ^{by} the Chinese side. ~~The~~

promotion of the establishment of such a govern-
 ment, however, shall be carried out chiefly
 by the Espionage Organ, (Special Committee
 for China) supported by Air Political
 Affairs Guidance Organ (HANKOW Liaison
 Conference of the Army, Navy and the Foreign.

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^{authorities}
Ministries) The interior guidance of that ^{and}

government after its establishment shall be

entrusted to the Political Affairs Guidance Organ.

In the task of ~~preparing for the establishment of~~ such

a regime, we must not be in haste ^{so that} and make

we can prevent from making)

a coarse and poor one. government

(3) We shall exert ourselves for the return

^{rehabilitation} and recovery of the Japanese ^{people} around the Japanese

settlement in HANKOW. as its centre.

2. Economic guidance shall be ^{carried out} based upon

the following points:

The key point of

(1) The policy concerning ^{economies} ~~economy~~ ~~stable~~ for
 is to secure
 the ~~presence~~, ~~in~~ ~~chiefly~~ ~~at~~ ~~the~~ ~~acquisition~~ of the
 the materials ~~goods~~ necessary for the existence of the National
~~Army~~, ^{first for the time being} and to endeavor gradually for the rehabilitation
 of the Chinese people and development of our
 trade.

(2) Economic construction shall be performed
 mainly by the Chinese, ^{and} ~~we~~ ^{will} ~~must~~ not attempt
 to start
 new enterprises without ^{our} ~~our~~ ^{own} capital and materials.
 At the same time, we ~~must~~ ^{will} refrain from ^{such} ~~any~~ policy
 as to be feared to ^{troubles} ~~bring about~~ ~~such~~ ~~effect~~
 for the guidance of the Chinese ^{by} ~~means~~ ^{of} ~~such~~

where such trouble occurred, with wide
~~spot in the actual place with a broad minded~~
 view of the whole situation.
~~point of view.~~

As to
 (2) ~~Toward~~ the settlements of the third countries, we
 should ~~try~~ not to give ^{them} unnecessary irritation,
 and ~~we should guard against~~ ^{refrain from} taking ^{any} measures of
 expelling, ~~excluding~~ the third powers.

As to the
 4. Management of political affairs in ^{the} HANKOW

area ^{it} shall be ~~discussed~~ ^{investigated}, decided upon and

HANKOW

shall be carried out by the Liaison Conference
 consisting of the authorities
 of the Army, Navy and Foreign ^{ministries} authorities,

composed of the organs ^{at} the actual station.

of the Army, Navy and the Foreign Minister's
 close
 ✓ Connections and co-operation shall be kept ~~at~~
~~set~~ between the above Liaison Conference
 and the Espionage Organ (Special Committee
 toward for China).

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Code, No. 3243

Ultra
(Strict Secrecy)

Essentials of the Management of the
Points of Political Affairs Management

Following ^{South}
~~accompanying~~ the North China Operation.

Addressed to Councillor ^{HORIUCHI} in PEKING

Consul General HIDAKA in SHANGHAI and
Consul General NAKAMURA in HONGKONG

Despatched at 8.00 P.M. on 29
October 1938, (13th year of Showa)
by Foreign Minister KONOE

ultra
strict Secrecy

The
~~Management of political affairs accompanying~~
~~following~~
~~ing~~ the South China operation,

shall be, for the ^{time being} ~~present~~, managed in
accordance with the

following principles: (As to both provinces of
KANTON and KANSI, and others,
just same.)
General

No. X.I Principle

South China shall be considered ~~purely~~ ^{as a}
~~pure military~~
operation area. The ^{political} ~~guidance of political affairs~~
in that area shall be confined to the extent necessary
~~there shall be kept within the limit of special~~
~~operation~~
~~by necessity~~ for the strategy of the National
Army and the maintenance of ^{the} public order,
and all
~~Enforcement of other political affairs~~

management,
shall be left to the Chinese side as much as
~~possible.~~

Essential measures
II ~~Main points~~

1. Political guidance shall be ^{carried out} based upon the
following points:

(1) We will not ~~participate~~ ^{carry out a particular} have military

administration. We expect ^{The} self-government on

the part of China as much as possible in
is desirable as to the management of

~~our~~ political affairs.

Based upon

(2) According to the principle stated in the above

ing paragraph, ^{our} ~~the~~ political guidance given by you

side shall be limited, for the ^{time being} present, to the extent
 necessary to ~~of up bringing~~ ^{up} of the Public Order Maintenance
 Society Association.

(3) ~~As to the~~ Establishment of a local government shall be
 it is desirable that such will be created by
~~left to the Chinese side.~~ Promotion of the establish-
 ment of a local government, however, shall be
 guided chiefly by the Espionage Organ (Special
 Committee for China) supported by our Political
 Affairs Guidance Organ (CANTON Liason Conference
 of the Army, Navy and the Foreign Ministries ^{authorities})
 Guidance of the local government ^{after} ~~up to~~ its

establishment shall be ~~conducted by~~ ^{entrusted to} the Political Affairs Guidance Organ.

(4). In view of the fact that the government officials ^{generals} and people of South China are not yet ^{aware} ~~convinced~~ of the power of the Imperial army and our national strength, and the fact that they have a peculiar character, guidance ^m of political and other affairs in South China shall be ^{especially} carried out in such attitude as to penetrate our love with the ~~intention~~ ^{intention} to thoroughly convince them toward the majority of the people, under the help of our ~~that we love and protect~~ ^{them,} ~~while enhancing~~ ^{our} military ~~power~~ ^{forces}. ~~By~~ ^{By} this way we ~~must~~ ^{expect} try to

to enable ^(to actually) ~~realized~~ China ~~depend~~ upon Japan.

2. Economic guidance shall be ^{carried out} based on the

following points;

The key point of

(1). The policy concerning economics ^{is to} shall, for

^{time being,} ~~the present,~~ aim chiefly acquisition of the goods ^{secure the materials} ^{first for the time}

necessary for the existence of the National Army ^{being}

and to endeavor gradually, for the rehabilitation ^{Gradually it shall aim at the recovery of the Chinese}

people and development of our trade. Policy to

^{set-up} ~~establish~~ or monopolize new interests

shall not be ^{particularly} ~~particularly~~ taken under the

present circumstances.

(2) Economic construction shall be performed mainly by the Chinese. As a rule, new enterprises without our capital and material shall be limited to such cases ^{as are} especially necessary for the development of trade and for our plans towards the South Seas.

Chinese ^{Traders} ^(KAKYO) emigrants.

(3) As to the Chinese ^{Traders in the South Seas,} emigrants, we should lead them to ^{the direction of} Vanti-CHIAN-KAI-SHEK and pro-Japanese, ^{Taking} ~~decisions~~ with political and other ^{measures,} policies, and make them contribute to our policy toward South China, attempting, at the same time, ~~the~~ ^{to}

development of our trade with the South Seas
 in order to ~~make it easy for us to obtain the~~
 materials we ~~are in need of~~
 (lack) enable us to easily secure.

3. Management ^{of the relations} with the third countries shall be
 carried out
 based on the following points;

- (1) We respect the interests of the third powers,
 and ^{want to} avoid ~~causing~~ diplomatic and economic
 disputes.
 We must especially ~~be vigilant against~~ ^{refrain from}
 taking ^{such} measures as to ~~exclude~~ ^{expel} the third powers,
 and try not to give them a pretext to interfere
 with the incident. In case ~~some~~ ^{any} trouble should

is desirable to be settled immediately
occurs, it shall be conducted as much as

possible, on the spot in the actual place, with
a broad-minded view. wide view of the
whole situation.

(2) Toward Britain we must especially respect

her established rights ^{and} we must strictly avoid

the occurrence of questions
having to do with her. We adopt proper

measures so that she may give up her ^{pro-} CHIAN-KAI-

SHEK aid policy and ^{adapt} herself to the policy

of the Empire.

(3) Friendly attitude shall be maintained toward

AMOI.

4. Management of the political affairs in the
 area occupied by the ~~Army~~ ^{the} South China ~~is planned~~ ^{Army}
~~by the organ in the actual place of the Army, Navy~~
~~and the Foreign Ministry. It shall be~~ ^{investigated} ~~discussed,~~
~~decided~~ ^{upon} and carried out by the CANTON Liaison
 Conference ^{consisting of the authorities} of the Army, Navy and Foreign Ministries
 at the actual station
~~authorities.~~ The area entrusted to the above
 Liaison Conference shall be CANTON and ^{provinces} CANSI
 for the time being.

Close connections ^{and cooperation} shall be kept in secret between
 the above Liaison Conference and the Espionage Organ
 (Special Committee for China).