The original transcript of this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 204 The original shorthand notes of MissCuelphCarlell on this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 198.

FILE NO. 36
SERIAL NO. 9

2498

1 Feb : Shimeda

36-11

CONTINUED INTERROGATION

- 20 -

SHIMADA, Shigetere (Admiral)

Date and Time: 1 February 1946 , 1480-1615 P. M.

Place : Sugamo Prison

Present : Admiral SHIMADA, Shigetere

John Darsey, Esq., Interrogator

Rickey Yamagushi, T/3, Interpreter

Miss Evelyn Cordell, Stenographer

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(Shimada, cont'd) 1 February 1946, 1420-1615 P. M. -94 -

Q. Now, after Japan consolidated its position in Manchuria after the Manchurian Incident, it began to take over the competitive railroads, didn't it?

A. I don't think so, because the railroads besides the Manchuria railroad were attached to the Manchuria Railroad and they were under the control of it, and they also set up new railroads.

- Q. During these years, weren't there two schools of thought in Japan- one, that they should expand to the South in China, and two, that they should expand to the North?
- A. That opinion was in Japan always from the beginning of Meiji, not just in this time.
- Q. And obtained throughout the period of the Manchurian Incident?
- A. Always.
- Q. Then after the Manchurian Incident and Japan had consolidated its position in Manchuria, they gradually began to expand to the North, didn't they?
- A. Yes.
- Q. Isn't it a fact that the capitalization and investments of the South Manchuria Railroad grew enormously in the period of 1951 to 1935?
- A. Yes.
- Q. And continued to increase, and the railroad continued to be developed up until the time of the war with the United States, didn't it?
- A. I think so; always.
- activities in Manchuria during this period was that they would use their rights and special privileges in Manchuria and Mongolia as a basis and on the presumption of trade and commerce to expand its influence into China?

- A. I don't think so. It was not only their purpose to gain something from China, but it was necessary for Japan proper. For example, surplus of iron, coal, beans, etc., was brought to Japan proper, and some went to North China.
- Q. So that in addition to wanting to expand so far as territory was concerned, they wanted to exploit the resources in order that Japan proper could have the surplus; is that what you meen to say?
- A. The real intention was to form a barrier in Manchuria against the Russians, and they also wanted the natural resources from Manchuria.
- 4. In your previous interrogation you said that Russia kept oppressing the Japanese Army in Manchuria, and that Japan had to take Manchuria in order to stop this oppression. Did you say that in your interrogation last September?
- A. In Yokohama we spoke for only a short while, and there were many other points that were essential to talk about; therefore, we did not talk about Manchuria so much.
- 4. Will you read this paragraph and tell me whether you said anything to that effect in your previous interrogation at Yokohama?
- A. (The witness reads again the statement which appears on page 82 of this record). I don't remember saying anything like that, because I don't believe it to be true.
- Q. Don't you remember that at the time just prior to the Manchurian Incident Japanese militarists were urging a more positive policy in Manchuria?
- A. As I said yesterday, the Army wished to settle the situation, I think. We had shed much blood in the Russian War, and we wanted to settle the Manchurian Incident.
- Q. Now, after the China Incident Japan set up the Manchukue Government,
- A. Yes.
- Q. To whom was the Manchuria General Affairs Board responsible?

- A. In Manchuria we had a Chinese Premier, and we had an assistant to the Premier, and I think the General Affairs Board was there.
- Q. Well, the South Manchuria Railway Company obtained a Power- of-Attorney over rights of the other railroads in Manchuria them, didn't it?
- A. Yes, to manage, as I said before, all railroads in Manchuria.
- q. This brought about a continued increase in Japanese investments in Mhe Manchuria Railway, didn't it?
- A. I think it did not increase the investments, because they were always setting up new railroads and the expenditures exceeded the investments.
- Q. The demands on the part of the South Manchuria Railway Company for China from Japan constantly increased until the war with the United States, didn't they?
- A. Yes.
- Q. Did you ever hear of the expression, "Niki Sansuke"? It was an expression used to characterize a group of important Japanese people, or leaders, four or five who were exercising influence in the development of Japanese policy in Manchuria. Did you ever hear of it?
- A. I don't have the faintest idea as to what it is. There must be some mistake in the name.
- Q. Of course you know that Premier Tojo at one time was in charge of the Kwantung Army in Manchuria?
- A. Yes, he was Chief of Staff.
- Q. Did you ever know of Nacki Hoshiro?
- A. Yes, he was the Chief of the General Affairs Board, and he was also in the Tojo Cabinet as Chief Secretary.
- Q. Of course you know Matsucka?
- A. Not quite well; I saw him in Shanghai.

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- Q. You know that at one time he was President of the Manchuria Railway Company?
- A. Yos, he was
- Q. De you know Shinsuke Kishi?
- A. Yos, he was in Manchuria at the same time.
- Q. He was Vice-President of the Economic Section of the Manchucus Government, wasn't he?
- A. Yes.
- Q. Do you know Gisuke Ayukamo?
- A. Yes, he was president of a company.
- 4. He was President of the Manchuria Heavy Industry Company, wasn't he?
- A. Yes.
- Q. And that was a holding company of the railway company, wasn't
- A. Yes, a holding company for the South Manchuria Railway Company.
- Q. It represented the interests of Japan in acquiring war materials, didn't it?
- A. Yes.
- Q. Didyou ever hear that group of men whom I have just identified characterized by the expression "Niki Sansuke"?
- A. Yes, I remember now. Niki Sansuke means these 5 man.
- Q. In other words, that group of men were known as the Japanese brain trust in Manchuria?
- A. They say so.
- Q. Who says so?

- A. The people.
- Q. Didn't the Army recognize that group as the Japanese brain trust in Manchuria?
- A. I don't think so. Only at this time they worked together.
- 4. They were formulating Japan's policy in Manchuria, were they not?
- A. At that time, I think so.
- 4. And that policy was a very positive policy insofar as Japan was concerned, wasn't it?
- A. In that point I don't know, but it may be so.
- Q. Don't you know that the results of their policy definitely proved that it was a positive policy, comparatively speaking, with that which obtained in past years?
- A. I heard that this policy carried out new experiments sometimes.
- Q. To jo was representing the Army point of view with respect to Manchuria at that time, was he not?
- A. Yes, he was Chief of Staff.
- Q. Who else in the army was out-spoken in sustaining Toje and his positive policy in Manchuria?
- A. I.don't know quite well. I had not interest in that point at that time; therefore, I didnot care about that, but the military officers in Manchuria at that time were his assistants.
- Q. Do you think they were supporting Tojo's policy?
- A. Yes.
- 4. In other words, the officers under Tojo were uniformly supporting a more positive policy with respect to Munchuria, weren't they?
- A. At that time, yes.
- able to make their point, and to carry that point?

- A. It may be so.
- Q. And this Niki Sansuke group and the army wanted that positive policy to be predicated upon the original 21 demands which Japan had made upon China, didn't they?
- A. I don't know quite well about that.
- Q. Don't you know that they wanted the right and privilege of leasing land for commerical, industrial and agricultural purposes in China and Emphuria?
- A. I did not hear about that. It was very easy to take on any lease in Manchuria at that time.
- Q. They wanted Japanese subjects to have the right to travel and reside in the eastern part of Manchuria and engage in commercial and industrial activities, didn't they?
- A. Yes.
- Q. And this positive policy contemplated that the movements of Japanese subjects should be free from Chinese law, didn't it?
- A. I think so, yes.
- Q. Don't you know so?
- A. Those rights we got from the beginning of the treaty after the Japanese mar between China and Japan said that, but China had failed to set up laws that the Japanese had the right of way.
- Q. But this positive policy contemplated the expansion of those rights, didn't it?
- A. Yes.
- Q. And this positive policy or program contemplated that Japan should have the right of exploring the 19 iron and coal mines in Fengtien and Kurin, as well as the right of timbering, didn't it?
- A. I don't know quite well about that.
- Q. What do you think about it?

- A. I don't know, but I do know that always in Fengtien and Kurin there were large forests, but I don't think at that time it was so difficult to get a lease or rights.
- Q. Japan did just that, didn't she?
- A. I don't know the truth about that.
- Q. Now, this positive policy with respect to Manchuria existed in the years immediately preceding the Manchurian Incident, the period from 1929 to 1931, didn't it?
- A. Before the Manchurian Incident I don't know quite well. But Niki Sansuke was after the Manchurian Incident.
- Q. I know that this group came into existence after the Manchurian Incident, and that is what I was talking about in the first instance. But this positive policy which was espoused by Niki Sansuke was jeginning to develop in 1929, wasn't it?
- A. I don't know in that point, because in those years I was on board.
- Q. You don't know that Japan was insisting upon its rights to explore this mining and timbering in Manchuria prior to the Manchurian Incident?
- A. Before the Manchurian Incident I don't know.
- q. You are familiar with the 21 demands made by Japan upon China, aren't you?
- A. Yes.
- Q. When were they made?
- A. In ancient times, I think. That is a very old story; before the 9-Power Treaty.
- Q. Then those demands were compromised, weren't they?
- A. Since there wasn't much talk about it them I believe there may have been some compromise.

- 2. But don't you know that in the period from 1927 to 1930 there developed in Japan a movement to revive in different form most of its original 21 demands?
- A. I don't know.
- t. When was the first time you heard of the development of a positive policy on the part of Japan toward Manchuria and China?
- A. After the Manchurian Incident.
- Q. Don't you know that in the period 1927 to 1930 Japan was insisting upon its right of exploring the iron and coal mines in Fengtiem and Kurin?
- A. No. I don't know.
- Q. Wasn't Japan insisting upon its right in that period to build railroads in Manchuria and East Mongolia?
- A. No, I don't know.
- Q. Don't you know that Japan was insisting that its political financial and military advisors in the Manchurian Government should be increased?
- A. No, I didn't hear about that.
- 4. And wasn't Japan insisting upon its right to place police over the Koreans in China?
- A. I don't know.
- Q. Don't you know that Japan was insisting that the administration and development of the Kirin-Changehun Railway should be extended to 99 years?
- A. No. I don't know.
- Q. Don't you know that Japanwas insisting upon its priority in the sale of appeals products from Manchuria to Europe? And America?
- A. I don't know.

- Q. And wasn't Japan insisting upon its exclusive rights of mining in Heilung Kinng?
- A. I don't know.
- Q. Wasn't Japan insisting upon its right to construct a Kirin-Hueining Changchum-Talai Railway?
- A. I don't know.
- 4. Wasn't Japan insisting upon harboring rights in Antung and Yingkow, and the right of through transportation from those harbors?
- A. I don't know.
- Q. And wasn't Japan insisting upon the right of partnership in establishing a central bank of the Three Eastern Provinces?
- A. I don't know.
- Q. And wasn't Japan insisting upon the right of pasturage?
- A. I don't know.
- 4. Multipleu say you are not aware that these demands which I have just recounted formulated component parts of a positive policy on the part of Japan with respect to Manchuria prior to the Manchurian Incident?
- A. I don't know.
- Q. Well now, you do know that each of these demands which I have just recounted came into reality subsequent to the Manchurian Incident, don't you?
- A. After the Manchurian Indident it had come to pass. We could have done it very easily if we had wanted it.
- Q. And Japan did simpose those demands after the Manchurian Incident, didn't it?
- A. Since the contents are not very clear I can't very well say yes or no, but it may be according to what you have just read from the book.

- Q. Well now, after the Manchurian Incident, this Niki Sansuke group espoused a still stronger positive policy than that which was in existence prior to the Manchurian Incident, didn't they?
- A. It may be.
- and this new policy on the part of the Miki Sansuke group resulted in Japan taking over the supervision of all of the existing competitive railroads in Manchuria, didn't it?
- A. Yes.
- Q. And resulted in the construction of additional railroads, didn't it?
- A. Yes.
- Q. Now, prior to the Manchurian Incident the Japanese reilroads were in the South of Manchuria, were they not?
- A. Yes.
- o. After the Manchurian Incident under the guise of building a barrier for defense against possible Russian aggression, Japan began to expand in the North in Manchuria, didn't it?
- A. Yes, for defense.
- Q. And it was in the North that they constructed these new rail-
- A. Yes.
- q. Prior to that time Japan had no way of transportation by which to exploit the natural resources of North Manchuria, had it?
- A. No: that is true.
- Q. Now, the railroads Japan had in the South of Manchuria did not have the encircling lines necessary for minilization and transportation, did they?
- A. That is right, yes.

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- Q. So that for mobilization and transportation purposes these additional railraces were extended in the North, weren't they?
- A. Yes.
- 4. And this was predicated upon the principle of hampering Chinese military, political and economic development on the one hand, and to prevent the penetration of Russian influence on the other, wasn't it?
- A. I think it had no relation to china, but to manchuria only, and the anti-Soviet move.

(The interrogation adjourned at 1615 P. M.)

The original transcript of this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 211. The original shorthand notes of Miss & Cardoll on this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 221.

FILE NO. 36 SERIAL NO. 11

2498

5 Feb - Shimada

36-12

CONTINUED INTERROGATION OF

ADMIRAL SHIMADA, SHIGHTARO

Date and Time: 5 February 1946, 1430-1615 P. M.

Place : Sugamo Prison, Tokyo, Japan

Present : Admiral SHIMADA, Shelgetaro
John Darsey, Esq., Interrogator
Lt. Comdr. F. B. Huggins, Interpreter
Miss Evelyn Cordell, Stenographer

Oath of Interpreter, Administered by Mr. Darsey:

MR.DARSHY: Do you solemnly swear, by Almighty God, that you will truly and accurately interpret and translate from English into Japanese and from Japanese into English, as may be required of you, in this proceeding?

COMMANDER HUGGINS: I do.

Questions by: Mr. Darsey.

- Q. Do you want to make a statement?
- A. I would like to have the following statements appear in the record.

"ABOUT SHINTOISM: The other days in connection with Shintoism, the questions with what seemed to be mysterious in some points were asked. Also actions which were taken by the Magarthur's Headquarters against the Shrine temple gave me a strange impression and it reminded me of the sacrifice made by the Christian people in Rome who encouraged and propagated the teaching of Christianity. I believe that the actions taken against Shintoism were due to misunderstanding on the part of Americans.

"The principle of Shintoism is only concerned with worship of the ancestore, and Shine Temple, from an elden time, deified, a great man as a God, the same as Pantheon of Paris and Rome or Westminster Abbey of London. In Japan from an elden era it was a custom that having nothing concerned with body, only a spirit of greatmen is deified in the Shrine Temple.

"In worshipping of Shrine Temple, spirit of God is represented as a pattern, and refine one's mind and pray
for a happiness. Through the mirror presented in Shrine
Temple, a worshipper reflects its mind and thereby making
its mind - pure, clear, and straight (right). (This is
what is called the ideal. The ideal of which was questioned
the other day, appeared to be forge).

"Before worshipping the God, they do some of the following precedure of penance: "Harai" (Purification with sale or an overgreen tree's brunch); "Misogi" (Purification with water by washing or dipping); "Furi Tama" (Unifying of soul by shaking body).

"During the war a great majority of Japanese people ardently prayed for a victory worshipping the Shrine Temple which was nost natural for the nation. The same prayer was given to the Buddhist temple and not a peculiarity of the Shintoism only. For the mission schools in Japan, the men who were ignorant of the concept that Christianity's incompatibility to worship other than God, seemed to have encouraged and forced the mission schools to worship the Shrine temple, according to hearsay. I am inclined to believe that this incident night have caused the misunderstanding and ill-feeling of the Christians of Japanese and foreigners in Japan and abroad."

"ABOUT H. M. THE EMPEROR: Emperor's hearty and zealous aspiration for lasting peace and hatred of war were often heard directly by all those who were in the positions of the Emperor's advisors (such as Cabinet Ministers, Chief-of General Staffs, etc.), and those who closely served the Emperor. Emperor accepted whole-heartedly a matter that was not even justifiable to him, if it were favored by majority after due deliberation. It clearly indicated that Emperor aboided from being a distator. In a paragraph of the note of the late Prince Konoye, the following memo was found: 'The readyn of the Enperor's being reserved and refrained and very seldom expressed his view upon the subject, was attributed to the fact that the late Prince Saionji and the late Count Makino who favoring the practice of the British Constitutional form in Japan, advised the Emperor to refrain from taking an initiative'. However, in the cases of using the armed forces, often times the Amperor had not give sanction immediately. The Amperor was

lamentably worried for the tendency existed in a part of Japanese Army, in view of the past trifle success in the Manchuria Incident, that the use of the armed force was a common matter. To this tendency the Emperor endeavored with effort to straighten unbiasedly. With respect to the policy of the localization of the Chino-Japanese Incident, it was the Emperor's utmost desire and aspiration warning an extremity of the actions and bring forth a peace between two nations, but unfortunately the Emperer's advisors had failed to satisfy his aspiration. In connection with the negotiation between U. S .- Japan, 1941, Emperor empressed that the negotiation be successful and thereby avoid a war by some means. In the month of Sep. 1941, Esperor, having heard the unavoidable circumstances to prepare for the war at the Court Council. deplorably regretted expressing his dissatisfaction to the situation and quoted the poem composed by the late Emperor Meiji, "All brothers on the earth, methought, Alast Tempest reared the serene seas".

"Cotober, next month, when Imperial order was given to Tojo to organize the Cabinet, Emperor, unprecedentedly spoke to Tojo to discuss and study matirely enew to see if any hope of concluding U. S .- Japan negotiation exists by forgetting the result of the Court Council held last month. Emperor having been informed of no hope of avoiding the war through the reply of Nov. 26, 1941, from U. S. that the war was inwvitable and having heard of the views of the former 7 premiers Emperor lemented deplorably seeking some means of avoiding war. In a note of the late Prince Konoye the following meso was found that, "The Emperor as a head of the Constitutional Government, his attitude was passive as described here, but his intention was definitely for maintaining of peace in the Pacific and avoid entanglement with wer by some means. Emperor's ardent wish and aspiration for peace were so sincere that I had no words of expressing the Emperor's depressed thought".

"In the Rescript of Declaration of War, the Emperor himself added a phrase "against my will" which can be easily understand the Emperor's depressed thought. Sitting in the Court Council which pros and cons as to the constition of hostility which prolonged and failed to reach its decision, the Emperor immediately sanctioned in favor of constition of hostility. It is clearly

self-explanatory how Emperor was always in favor of peace when he expressed himself that peace must be made whatever my fate may be. After the termination of war, in spite of numerous articles in the newspapers regarding the instigntions of over-throwing of the Emperor, in the mind of great majority of the Japanese people (99% of Japanese people, according to the contribution of Dr. Tamaka, prof. of the Imperial University, Tokyo), the concept of respect and love for the Emperor unchanged. With the embertion of ultra-ideologists and proletarists a great majority of the Japanese people respect the Emperor because of his great benevolence, virtue and prestige. Whatever a malicious propaganda may be, it can not be wiped out their great love and devotion for the Emperor.

"A man such as former Ambassador Grew, staying for a long time in Japan, with clear and concise k nowledge of Japan and Japanese will be in the best position to understand this concept".

- the Manchurian Incident am covered its culmination in the establishment of the Manchukue Government, and then Japan taking over the China Eastern Railroad and other railroads in Manchuria and came down to the Shanghai Incident, and I want you to tell me now what you consider to be the underlying causation of the Shanghai Incident.
- A. About the Shanghai Incident, looking at the 1938 Shanghai Incident from the point of view of the Chinese I would say that following the Manchuria Incident and the anti-Japanese feeling which grew among the Chinese there, culminated in their sending troops to the area of Shanghai. From the Japanese point of view, when you asked me the other day whether Japan had instigated an incident in Shanghair at this time I can truthfully say that in my official capacity as Chief of Staff at the time I know that there was no such intention. Rather, we wished to avoid any incidents at that time in that area, as we were fully occupied with the events occurring in Manchuria. To interject at this point, Admiral Memura specifically warned me as Chief of Staff to avoid any overt acts or demonstrations which might lend the Chinese to believe that war was unavoidable.

In this regard, in entering Shanghai I did not go to General Headquarters nor point our guns in the direction of the forts in that vicinity. To continue, China had quite a large Japanese ese population, and in addition we had a force of Japanese Special Maval Lending Force troops there. As I understand the Incident, a Nichiren Sect Priest was killed by some Chinese and when the Special Naval Landing Force went to guard the area clashes occurred with the Chinese troops which had already surrounded the vicinity.

- Q. China had just previous to the Shanghai Incident invoked a boy-
- A. I don't recall.
- Q. You know nothing of the Chinese boycott on Jupanese trade after the Manchurian Incident?
- A. I don't recall a boycott, and I don't feel that a boycott was a pressing problem in that moment.
- 4. And you don't know that the reason for the concentration of the Japanese Maval forces in Shanghai was the invocation of this boycott?
- A. I don't think so. The situation at that moment was ticklish enough and fighting was already going on in Manchuria, so that trade was certainly not one of the most pressing problems.
- Q. Now, the Niki Sansuke group was in control of the Japanese policy insofar as Manchukuo and Shanghai were concorned at that time, weren't they?
- A. I don't believe so. In this period men like Tojo were still fairly junior officers, and while very recently I heard of the Niki Sansuke group, at that time I am certain that they were not yet powerful enough to mold any such policy.
- Q. Where was Matsucko then?
- A. I believe he was in the Foreign Office at the time of the Shanghai Incident, which continued for a period of one month, or after—
 I am not certain which....he came to Shanghai as a consultant to Shigemisu, who was Minister at the time.

- Now, no sooner had the Shanghai Incident been settled than did the Manchumuc Government begin an effort to extend its influence and jurisdiction into Mongolia, didn't it?
- A. Yes, I believe so, although I am not certain of the dates.
- 4. That is when the Johol expedition was begun, wasn't it?
- A. I don't recall such an incident. Where is Jehol?
- Q. Jehol is that province of Mongolia that is just East of the Great China Wall.
- A. I don't recall the dates, but it is my recollection that they started pushing towards Nekka, which might or might not have been what you call Jehol.
- 4. What do you consider to be the justification, if any, for that further effort of expansion on the part of Japans
- A. This was an army matter, and outside the ken of my experience, but their reasons must have been that they felt in order to consolidate the national structure of Manchukuo they would have to extend what was an international boundary of the Great Wall, which would involve moving into Nekka.
- Q. So that Manchukuo moved troops into a corridor which is East of the Great Wall, running from Peking to Tientsin, didn't it?
- A. Yos, that is correct.
- Q. Now, was the name Nekka which you used to describe that territory - did it include Peking and Tientsin?
- A. No. it was outside the Great Wall.
- 4. Will you look at this map and describe what territory you re-
- A. It is the territory outside the Great wall, running from around Shanghai-Ewan, including Chihfeng and the surrounding territory.
- Q. Now, Manchukuo occupied that territory and drove the Chinese out, didn't it?
- A. Yes.

- 4. And subsequently settled the matter by making a treaty with thing declaring the area to be a non-military area, and subject to the jurisdiction of the Manchukue Government, didn't it?
- A. This could not apply to Nekka. I recall there being some sort of agreement regarding a strip of territory which we called KitS. If Nekka is not Johol them my answer of a little while ago is incorrect, as there was no necessity of going outside the Great Wall to strongthen the Manchukus Government, or national structure. This was simply a series of incidents arising from the necessity of driving Chinese troops outside the borders.
- 2. Didn't Manshukuo at that time occupy a strip of territory running from Chengte South through Peking to Mintein?
- A. This I believe was simply an extension of the battles which took place with Chinese troops, chasing them out of certain areas. I den't recall hearing that the borders of Manchuria, or Manchukuo, were to be enlarged any further than the Great Wall.
- 4. By virtue of the settlement of that incident the jurisdiction of the Manchukup Government was extended to include that corridor which I have just described, wasn't it?
- A. No. It had no connection with Manchukuo at all. A Chinese administrator; of course, a puppet of Japan, administered this territory.
- 4. Under the settlement?
- A. I believe so.
- e. Prior to the time the Manchukuo forces went into that territory and occupied it Japan exercised no control or jurisdiction over it in the way of a government whotecover, did it, and had no rights in it?
- A. Yes, it had no government. However, there were a great many Japanese there, and also Japanese interests had been established there for some time, so that quite naturally it was an area which might feater difficulties, and so when the agreement was drawn up it was decided to keep it a non-military none.

- Q. The truth of the matter is that Japan bodily took the territory from China, didn't it?
- A. After driving out the Chinese troops the Japanese troops withdrew into Menchuria to the best of my knowledge.
- Q. But the territory became a part of Japan, didn't it?
- A. I could not call it a part of Japan.
- Q. It no longer belonged to China, didn'it?
- A. Yes, it was a part of China. There was a Chinese Administrator.
- Q. But he was a Japanese pupper, wasn't he?
- A. Yes. However, this government was entirely different from that set up on Menchukuo, and this man cerried out a mild policy under the eyes of the Japanese.
- Q. From them on the territory was no longer subject to the supervision of the Chinese Government, and was administered by the Japanese pupper in a manner compatible with Japanese interests, was it not?
- A. While I was in the Mavy then and am not well acquainted with the subject matter, I don't believe that this men entirely divorced himself from the Chinese Government. Naturally as a puppet he listened to the wishes of the Japanese interests, but we always regarded it as a part of the territory of China.
- Q. Now, the truce in settlement of that incident was known as the Tangku Truce, wasn't it?
- A. You, I think so.
- Jest to the jurisdiction of the Control Chinese Government
 again, did 157
- A. I am not sure about that. However, I don't believe it was considered either a part of Japan or Manchukue.

- Lis policy that it should not undertake any further explusion in the North in Menchuria for fear that it would encounter the opposition of Russia, hadn't it?
- A. Yos.
- 4. And also during this poriod Japan was lending active support to the opposition to the formation of a strong Central Government in China, wasn't it?
- A. I don't know whether they did or not.
- On't you know that Japan vigorously resented the support which was being lent by America to the formation of a strong Central Government in China?
- A. Yes, in a sense. Japan could not appreciate the position taken by the United States, as it seemed unable to understand Japan's sincere policy.
- 4. It was Jerun's policy to keep China divided among itself so that it would have less difficulty in establishing puppet governments favorable to Japanese interests, wasn't it?
- A. From the point of view of the United States you night be able to use those words. However, from Japan's point of view following the Manchurian Incident, we wished to bring to a close the whole matter in an opportune time.
- 4. Japan wished to insure the peace of Greater that Asia by cetablishing puppet governments in the various provinces which would administer the affairs of those areas in a manner compatible with Japanese interests, didn't it?
- A. If you put it that way it might be possible to say that there was a period when such a thing occurred. However, this as on-tirely erroneous, and no peace in East Asia can be guided by setting up puppet governments here and there, nor was this Japan's policy.
- a strong Central Government in China with that statement?

- A. You are speaking of a Central Government in China, but looking at it from Japan's point of view there existed no strong Central Government, and Ching Kai-Shek was only in the process of building his governmental structure. As you know, it was after the movements of such men as Chang Tso-Lin and others that he finally took a prominent place. It was not to Japan's interest to have trouble occupring in China, as Europe and the United States would then interfere with her national affairs. From the United States' point of view Chiang Kai-Shek was possibly the Central figure, but not from Japan's point of view.
- 4. And Japan never lent any support to Chinng Kni-Shek's efforts to establish a strong Central Government in China, did it?
- A. Yes, it did.
- Q. When was that?
- A. In the days when Wang Ching Wei and Chiang Kai-Shek were working together to build the government Japan gave her support to it.
- Q. Wang Shing Wei was sympathetic with Japan's interests, wasn't her
- A. He was a man who had been educated in Japan and understood Japan well, and during this period I would not say he took the position he took later following the outbreak of the Greater Rast Asia War when he clasped hands completely with Japan.
- 4. He was considered a Japanese advisor to Chiang Kai-Shek, wasn't her
- A. No. Not at that time. You are confusing him with the man he was later. Confusing him with the man he was later would be a mistake.
- 4. It was through Wang Ching Wei that Japan hoped to influence the policies of the Ching Kai-Shek Government, warm't it?
- A. I know nothing of that; however, it is only natural that Japan should wish to have a man in the government who understood Japan.
- 4. As Chinng Kai-Shek began to oxystalize his strength Japan grow further and further away from Chinng Kai-Shek, didn't it?

- A. You, that might be true, but the days when Cling Kai-Shek began to exystalize his national structure and manifested his strongth was in the days following the Manchurian Incident when anti-Japanese feeling was rife in China and had been for some time, so that the two events naturally coincided.
- Q. Well now, will you tell me as best you can why it was that Japan felt that it was not good for East Asia that a strong Central Government should be established in China?
- A. I have never heard that Japan did not desire a strong Central Chinese Covernment.
- q. You have heard that it did all it could to preclude the estab-
- A. I have never heard of such a thing. That is a figment of the imagination of foreigners.
- Q. You opposed the trises of Chang Too-Leing as a strong leader in China, didn't you?
- A. I don't know.
- Q. Japan supported the opposition to his son Chang Heath-Lin in his efforts to establish a strong Central Government for China, didn't it?
- A. I don't know.
- Q. And as soon as Chiang Kai-Shek began to demonstrate powers of leadership in the formation of a strong Central Government for China, Japan began to support the opposing forces to him, did it not?
- A. There may have been some cases of Japan supporting a man who understood Japan well, but as for active opposition to Chiang Kai-Shek I know nothing about it.

l. How did Japan conclude that it knew/what was for the best interests for East Asia than any other leadership knew?

- Asia; I imagine you mean following the China Incident, at which time there was no other nation which could take the position of leadership.
- 4. Chiang Kai-Shek might have taken that leadership, if he had had any support and cooperation from Japan, might he not?
- A. You, that would have been a very desirable turn of events, but even today with all the power of the United States behind him, as you well know, Chiang Kai-Shek is barely able to control the communists, but in the days that you were speaking about he was far from being as powerful as he is today.
- On't you know that the circumstances which you have just related are largely attributable to the support which those elements received from Japan through this period, and in opposition to Ching Kai-Shek?
- A. No, I don't know. Nor did Japan have the power to do such a thing. China's problem has been so complex that a simple statement like that, such as Japan hindering things Kai-Shek, or something of the sort, cannot be given as the factor for the rise of the communists today, or any other faction. Just as the United States had trouble with Maxico many years ago, and supported this faction or that, Japan has continuously been involved in Chinase affairs and actually has never been able to put up a man who had sufficient strength to further her our interests. That is how complex the Chinase problem is.
- on the contrary, Japanese interests could be well served by Japan lending sufficient support to opposing forces to keep a strong Central Government from being established, couldn't its
- A. That is an entirely exponeous concept which has been the subject of discussion since the days of Meiji, and which I think Japan realized was wrong long ago. Japan's only hope insofar as China was concerned was to have a strong and united China which we night deal with , and thereby feater trade between the two nations, provide for the safety of Japanese nationals in China, and in all respects benefit mutually by this state of affairs.
- On't you think that the so-colled leadership on the part of Japan to establish the peace and stability of Bast Asia would be in considerably better grace if it were not invariably accompanied by the use of force and the acquisition of territory of other nations in its expression?

- Wes, that's true. However, all this occurred following the Manchurian Incident which I spoke to you about the other day, and the reasons I believe were explained to you.
- Q. How, you stated that during this period, 1933 to 1937 and 1938, it was the public policy of Japan not to endeavor to develop or expand any further in the North, because of anticipated opposition from Russia, conversely speaking, it was the policy of Japan to expand in the South, wasn't it?
- A. If you sum up a very complex matter into a very simple statement, such as that, it places me in a very embarrassing situation to know what to enswer. The matter is not as simple as that.
- 4. However that may be, the end result is that you continued to expand in the South for the next few years, didn't your
- You think about those things over night and we'll talk about them some more tomorrow.

(The interrogation adjourned at 1615 P. M.)

CERTIFICATE OF INTERPRETER

Is Lt. Comdr. 3. B. Huggins, (Serial Number) 167619
being sworm on oath, state that I truly translated the questions
and answers given from English to Japanese and from Japanese to
English respectively, and that the above transcription of such
questions and answers, consisting of 15 pages, are true and
accurate to the best of my knowledge and belief.

Abhaques

Subscribed and sworm to before me,

this | day of February, 1946.

Duly detailed investigating of

Duly detailed investigating officer, International Prosecution Section, GHQ, SCAP. The original transcript of this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 250. The original shorthand notes of Miss 6. Carlel on this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 251.

FILE NO. 36 SERIAL NO. 12

2498

6 Feb. - Shemada

36-13

CONTINUED INTERROGATION OF

ADMIRAL SHIMADA, SHIGHTARO

Date and Time: 6 February 1946, 1450 - 1615 P. M.

Place : Sugamo Prison

Present : Admiral Shimada, Shigetare
John Darsey, Esq., Interrogator
Lt. Robert Boyd, Interpreter

Miss Eyelyn Cordell, Stenographer

Oath of Interpreter, Administered by Mr. Darsey:

MR. DARSEY: Do you selemmly swear, by Almighty God, that you will truly and accurately interpret and translate from English into Japanese and from Japanese into English, as may be required of you, in this proceeding?

LT. BOYD : I do.

Questions by: Mr. Darsey.

- On yesterday we talked about Japan's policy of expansion to the South after the consolidation of the Manchukuo Government and of Japan having occupied the corridor just outside the Great Wall from a place just north of Peiping to Tientsin, having driven the Chinese out, and subsequently by treaty having declared the area a non-military area. Then we talked about the further expansion to the Worth in China and the extension of the jurisdiction of the Manchukuo Government to the province of Jehol. Now, there seemed to be some confusion in your mind as to what territory was referred to as Jehol. I want you to make reference to this may, now, and see if you recognize Jehol as being a territory immediately East of the Chahar territory Morth of Kalgah, South of the Great Heingan Mountains, and West of the province of Liaoning.
- A. In Japanese we dalled it Nekka.
- Q. So that Jehol and Neika is one and the same; is that right?
- A. I think so.

- A. I don't know what the other countries construed or thought about the matter.
- 4. Japan and Manchukuo had no boundaries in Manchuria prior to the conclusion of the Manchurian Incident, did they?
- 4. Monohukuo wished to have some boundaries, and fixed them.
- I don't believe you understood my question. Prior to the Manchurian Incident and the consolidation of that Incident in the formation of the Manchukuo Government, Japan had no boundary rights in Manchuria, did it?
- A. 110.
- to ally had some special treaty rights there?
- A. Yes.
- So that when Japan conquered Manchuria and set up the Manchukue Government if it did not have any previous boundaries, or boundary rights, with respect to China, what claim could it lay to the extension of the jurisdiction of the Manchukue Government to territory further South than had over been included in Manchuria?
- A. In that point I don't know quite well. I was not concerned, but I only guess the Manchukuo Government was very eager to have Jehol, because it was very familiar to the Emperor of Manchukuo, and it was very desirable to him.
- 4. However desirable it might have been to manchukuo to take Jehol into the jurisdiction of Manchukuo, you don't personally think that it was fair, that there was much justice in that position, do you, to just go and arbitrarily take territory away from another national?
- A. It was necessary to talk with China, of course.
- Q. But they didn't do that, did they? They just went in and took it.
- A. In that point I don't know. Probably afterwards China understood, but at that time I don't know.

- don't you?
- A. Yon.
- que lanchuleus to the South in China?
- A. To the Hopeh Province. The Japanese Army pursued the Chinese Army.
- Q. You pursued the Chinese Army through the Great Wall and through Hopen?
- A. Yes.
- Q. And in pursuring the Chinese Army you occupied the territory of Hopen?
- A. Yes, but we withdrew afterwards.
- Q. And you drove out the Chinese?
- A. Yes.
- Q. How did you settle the Hopen territory then? You brought it into the Manchukuo sphere, didn't you?
- A. We tried to neutralize Hopeh---no Chinese and no Japanese Army.
- Q. You mean you made it a non-military mone?
- A. Yes.
- Q. And extended the influence of the Manchukuo Government into
- A. I don't think so; it was neutral.
- Q. But you brought it into the sphere of the influence of the Manchukue Government?
- A. I don't think so. It was not necessary to have the Japanese Army there.

- Q. But you drove the Chinese out of there, and made it a nonmilitary money
- A. Yes.
- 4. By the Tanghu Treaty?
- A. Yos.
- 4. What was the next expansion move on the part of Japan to the South in China?
- A. I don't know.
- 4. Don't you know you drove into the territory of Shantung?
- A. No.
- Q. You never did do that?
- A. No! But I believe we went in there between the Sino-Japanese war and the China Incident.
- 4. And them you started thinking about the North in China, didn't you?
- A. General Li was then in Mangolia; I don't think Japanese troops were there.
- to When did you move over into the province of Chabar?
- A. I think after the Sino-Japanese Incident.
- Q. After the Incident in July, July 7, 1937?
- A. Yes,after that.
- Q. Now, was all peace and quiet on the front between Manchukue and China between the time you occupied Jehol and the time of the China Incident in 1957?
- A. It was quiet by them.

(Shimada, cont'd)

- 4. The European situation was developing at a fast pace at about that time, weam't it?
- A. Yes.
- Hitler was on the murch during those years, and while war had not broken out, he was acquiring territory rather promiscuously, wasn't he?
- A. You.
- Q. And the situation was growing very tense in Europe in 1937, wman't it?
- A. Yose
- Q. Do you consider that this tense situation which was decupying the attention of all of the European powers, as well as the United States, had anything to do with the breaking out of the China Incident in July of 1957;
- A. I don't think so.
- Well now, had Japan inaugurated its New Order in East Asia and its Greater Best Asia Co-presperity Sphere program by this time?
- A. No, the Co-prosperity Sphere wasn't talked about in that time. Frince Konoye first began the principle of co-propority in 1938.
- Q. The New Order program was in operation during those years, wann's 15?
- A. Ho, it was at the same time. The Manchurian Incident occurred spontaneously and didn't come from any well-laid plan-
- Has the group referred to as Hiki Sansuke operating in linechukto during this period?
- A. I don't know quite well the period of the Hiki Sansuke. To jo was Chief of Staff in 1938 and 1939.
- Japan was opposing Chiang Kai-Shek's policies with respect to China during this period, wasn't it?
- After the Sing-Japanese Incident we conflicted with Chiang

- 4. How, will you proceed and relate to us as briefly as possible your version of the China Incident of July 7, 1937?
- In that time, actions for an effective result by the Japanese Army in Manchuria and North China while China was in a disadvantageous stage sometimes were not fair. However, due to the circumstances such consequences were often unavoidable and likely to occur between neighboring nations, thereby causing a rise or barrier of ill sentiment ---- taking advantage of this situstion Chine had planned propagands spreading anti-Japanese feeling throughout chine. It resulted in occurrences at rendom of unlawful and outregeous incidents against Japanese nationals in China. Life and property of the Japanese in China had no longer become safe. The British and Americans in China were secretly supporting this policy, encouraging anti-Japanese movements, as well as humiliation against the Japaness. This antagonism between Japan and China became deeper and deeper in China, and incidents became unavoidable. Of course, the incident of the mree Polo Bridge was the beginning of the China Incident. China, disregarding the constion of hostilities in the year 1932, continued to shout "Dump all the Japanese nutionals and the Japanese Landing Force in Shanghai into the Mampoo River at once,", and concentrated a large force in Shanghai. The Consulates of both the United States and Great Britain, in spite of being representative parties for the treaty of the desention of hostilities as signatories, utterly failed to act accordingly to make the Chinese forces withdraw. This failure on the part of the Consulates aided the rapid spread of the incident, and finally became to the stage that they were unable to place the situation under control.
- On What treaty do you refer to that the United States and Great Britain became parties to resulting in the constition of hestilities in Manchuria?
- A. After the Shanghai Incident of 1982 we made a treaty with the Chinese, with the American and Great Britain ministers as intermediaries and signatories, to make the treaty effective and to guide the proprieties of that treaty.
- That treaty only provided for the commation of hostilities in Shanghai and the withdrawal of troops in there, didn't it?
- A. Yes.

- 2. You don't mean that the United States or Great Britain ever recognized the Emnahukue Government, do you?
- A. No, I don't think so.
- 4. I want to clarify the fact that you don't think that the United States or Great Britain ever recognized the Manchukuo Government?
- A. Oh, No, they did not.
- This general situation which you describe as existing in the relations between China and Japan was the basis for the breaking out of the Sino-Japanese war, rather than any circumstances which came to pass at the Marco Polo Bridge, wasn't it?
- A. The China Incident broke out in North China at the Marco Polo Bridge. Every effort was made to localize and control the situation around the Marco Polo Bridge by an express command of the Emperor, but when the Chinese failed to abide by the treaty of 1932 and entered Shanghai the situation went out of control.
- At the time the Chinese Army entered Shanghai Japan had taken the initiative at the Marco Polo Bridge, had it not?
- As By the terms of the treaty of 1900 various countries; including Japan, had been given the right of maintaining troops in the vicinity of Peiping, so that during this time when the Japanese Army was carrying out the treaty they were shot at by the Chinese.
- 4. And from the Marco Pole Bridge Incident the fighting spread between the Japanese and the Chinese throughout the Manchukus-Chine boundaries, did it not?
- A. No. I don't think so. Such spreading as it was sprang from out of Shinghai and Peiping.
- 4. And Japan from that time continued to invade China until the outbreak of the war with the United States, did it not?
- A. Yes.
- Now, during this time, or at about this time, Japan projected its Monroe Doctrine with respect to China, didn't ity

(Shimada, cont'd)

- A. I don't think so.
- q. Didn't Japan adopt the policy of protecting China against what it considered the imperialism of the Western States?
- A. I didn't hear about that.
- . You don't recall that in 1934 the Japanese Foreign Office de-

"It goes without saying that Japan at all times is endeavoring to maintain and promote her friendly relations with foreign nations, but at the same time we consider it only natural that to keep peace and order in Asia we must even act alone on our own responsibility, and it is our duty to perform it. At the same time there is no country but China which is in a position to share with Japan the responsibility for the maintenance of peace in East Asia.

'Ascordingly, unification of China, preservation of her territorial integrity as well as restoration of rder in that country, are most ardently desired by Japan. History shoes that these can be attained through no other means than awakening and voluntary efforts of China herself.

the oppose, therefore, any attempt on the part of China to avail herself of the influence of any other country in order to recist Japan; we also oppose any action taken by China calculated to play one power against another. Any joint operations undertaken by foreign powers even in the name of technical or financial assistance at this particular moment after Manchurian and Shenghai incidents are bound to acquire political significance. Undertakings of such nature, if carried through to the end, must give rise to complications that might eventually necessitate discussion of problems like division of China and at the same time would have most serious appercussion upon Japan and Mast Asia.

"Japan, therefore, must object to such undertakings as a matter of principle, although she will not find it necessary to interfere with any foreign country negotiating individually with China on questions of finance or trade as long as such negotiations benefit China and are not detrimental to peace in East Agia.

'However, supplying China with war aeroplanes, building aerodromes in China, and detailing military and
naval instructors or military advices to China, or
contracting a losa to provide funds for political
uses, would obviously tend to alienate friendly relations between Japan, China, and other countries, and
to disturb peace and order in Eastern Asia, Japan will
oppose such projects.*

- A. Was that a memorandum to a specific country?
- announcing a policy.
- A. I don't know about it.
- 4. You mean you don't know whether that was made by the Foreign
- A. I did not know about that statement.
- Inpun's policy with respect to China at that time?
- A. I don't know; but it must be true.
- ways lighting China?
- A. Uking didn't hold precisely the same feeling on the subject.
- . So that Japan was imposing its leadership upon China, was it not?
- A. It may be said so, I think.
- Do you think Japan had any right to tell China that it should not align itself with the United States and Great Britain; in an effort to maintain the peace of Greater East Asia?
- A. No, I don't think so. It had not the right.
- Q. But that is what Japan did, isn't it?
- A. By that statement, yes.

- Q. Japan through all these years tried to persuade and force thing not to align itself with the United States and Great Britain, did it not?
- A. It was not a question of foreing them as much as it was a question of ideas; they felt the problems of the Ordent should be handled by the Orientals.
- The long and short of it is that all through these years Japan arbitrarily arrogated to itself the role of leadership in determining what it thought was good for Greater East Asia?
- A. Yes.
- Q. And you went about your efforts in imposing that role of leadership through the use of force whenever the occasion arose, didn't your
- A. No, I don't think so.
- Q. You opposed every effort on the part of China to receive aid and assistance from America and Orest Britain, which might result in China adopting a policy contrary to Japan's program in East Asia, didn't you?
- A. In the point of view of America and Great Britain it might be so, but from the waw point of Japan it is quite to the contrary. Of course, we had intentions, and America and Great Britain also intended southing. Therefore, the intentions were to the contrary, and we could not coincide, but from the view point of Japan it was quite to the contrary.
- ain should stay out of East Asia and let Japan determine what was best for China to do, wasn't it?
- A. It depended upon the case, I think. Sometimes it may be so.
- Q. That was Japan's policy from 1932 through the China Incident, wasn't it?
- A. You, I think so.

(The interrogation adjourned at 1615 P. M.)

CHRYLFIGATE OF INTERPRETER

I. Lt. Robert Boyd, (Serial Number) 236713 being sworm on oath, state that I truly translated the questions and enswers given from English to Japanese and from Japanese to English respectively, and that the above transeription of such questions and answers, consisting of 12 pages, are true and accurate to the best of my knowledge and belief.

RobertmBoyd

Subscribed and sworm to he before me,

this 3 day of February, 1946.

Duly detailed investigating officer, International Prosecution Section,

CHI, SCAP.

The original transcript of this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 258. The original shorthand notes of Missis Carlotte on this interrogation will be found in our EXHIBIT FILE as EXHIBIT # 266

FILE NO. 36
SERIAL NO. 13

2498

8 Let - Shimada

36-15

CONTINUED INTERECOATION OF

ADMIRAL SHIMADA, SHIGHTARO

Date and Time: 8 February 1946, 1430-1615 P. M.

Place : Sugamo Prison

Present : Admiral Shimada, Shigetaro

John Darsey, Esq., Interrogator Hireshi Matsuda, Interpreter Miss Eyelyn Cordell, Stenographer

Oath of Interpreter, Administered by Mr. Darsey:

MR. DARSEY : Do you solemnly sweer, by Almighty God, that

you will truly and accurately interpret and translate from English into Japanese and from Japanese into English, as may be required of

you, in this proceeding?

MR. MATSUDA : I do.

Questions by Mr. Dersey.

- Now, in the last interrogation we talked about Japan's Monroe Doctrine with respect to China and covered the circumstances of the China Incident for the first year or two. As the intensity of the China Incident progressed there was a virtual simultaneous increase in the intensity of the European situation with respect to war, wasn't there?
- A. Yes.
- Q. And Japan in the first one or two years after the beginning of the China Incident in July of 1937 was growing progressively closer in its alignment with the Axis, wasn't it?
- A. Yes.
- With that of the Axis?

- A. I think it was the isolation of Japan from the international situation.
- 4. Do you mean by that that at this time the only friends Japan could find in the international picture were Germany and Italy?
- A. Garmany and Italy also wanted a friend, and there might have been some others whom Japan might accept as friends, but Germany and Italy offered their hands to Japan.
- Q. Were you personally sympathetic with this growing alignment between Japan and Cormany and Italy?
- A. No. quite to the contrary.
- 4. Did you feel that Japan should not align itself with Germany and Italy?
- A. At that time I was on the outside of the political affairs, in Eure and also in China. Therefore, I can't say anything except my personal spinion, and I was quite to the contrary with this alignment. Most of the naval officers were very much against it.
- In these years from the establishment of the Manchukuo Government in Manchuria through the China Incident, did you have any operational assignments in the Mavy in support of the expansion movement in China?
- A. In 1957 just at the beginning of the China Incident I was Assistant Chief of the Neval General Staff until November of that year.
- the China Insident?
- A. As I told you how it happened day before yesterday, I assisted it like that, but the Neval General Staff, containing myself, endeavored to their utmost to localize near Peiping on the Reperer's orders; that was the Japanese policy at that time.
- 4. But serving in that capacity you did dispatch naval forces to support Japan's position in China, didn't you?
- A. The Mavy sent a naval force to China after the Incident expanded to Shanghai; before that we did not send forces.

(Shimada, cont'd)

- Q. And you are willing to assume your full share of the responsibility few the manner in which the Japanese Nevy supported Japan's policy with respect to the China Incident, aren't your
- A. Oh, yes.
- 4. Now, during this period, 1937 to 1939, that the so-called program of the New Order in East Asia was promulgated as a policy by Japan, wasn't it?
- A. Yes.
- Q. And this New Order in East Asia Downson synonomous with the Greater East Asia Co-Prosperity Sphere progress, wasn't it
- A. Yes.
- Q. And this program had as its foundation the principle that Japan should be permitted to assume the leadership in the Greater East Asia Sphere, and that the United States and Great Britain should stay out of its way, didn't it?
- A. We didn't object as long as the United States and Great Britain didn't interfere with the peace of the Orient.
- q. Do you mean by that that Japan didn't want the United States and Great Britain to interfere with its efforts to assume leader—ship in the Greater East Asia Sphere?
- A. We wanted the peace of the Orient, and we suffered very much from interference by the United States and Orest Britain for many years. Therefore, we asked them not to interfere.
- Q. In other words, you felt that Japan would have been more successful in affording leadership in the Greater East Asia Sphere and in putting into effect its New Order in Mast Asia if the United States and Great Britain would stay out of Japan's way?
- A. The word "leedership" you use now is not the same idea. Our purpose was to obtain peace of the Orient. Great Britain and America disturbed us very much by affording arms, unterials of war, etc., to China. Therefore, we wanted to have peace and for them not to interfere.

(Shimmda, cont'd)

- Q. But in any event this New Order program and the Greater East Asia Co-prosperity Sphere program contemplated that Japan would afford the leadership for Greater East Asia, did it not?
- A. Yes.
- And for that program to be put into effect and made successful by Japan it contemplated a change in the status que with respect to East Asia, didn't it?
- A. No, I don't think so, because as I have just said, if there was no interference from the Western prople I think the Orient would be quite at peace, and would have been many years ago.

 That was the object.
- a change in the relationship between Japan and Thailand?
- A. For Theiland we had not so much interest. We received rice, etc., from it, but not so great an interest in it, I think.
- But this New Order program contemplated that Thailand would be brought into the sphere of Japan's leadership, didn't it?
- A. Yes.
- Q. It contemplated that the Philippines would be brought into the sphere of Japan's leadership, didn't it?
- A. If the Philippines agreed we would receive it with great pleasure.
- whether the Philippines agreed or not Japan considered that from a natural point of view the Philippines should be in its sphere of leadership, did it not?
- A. In that point I don't know quite well, but I think it was after the Far Eastern War we considered the Philippines, because the Philippines was an American territory at that time.
- Q. Yes, I know the Philippines was an American territory, but I mean that this New Order contemplated that the Philippines naturally fell within the sphere of the Japanese leadership, didn't it?

(Shimade, cont'd)

- 1320-

- A. If it were possible, I think, but I think most of these people who thought about the New Order did not think about the Philippines at that time. I think it would have been very difficult.
- within the sphere of Japan's leadership, didn't its
- A. I think it was too difficult. Even during the Far Eastern War India generally was outside of the New Order.
- Wew Order in Mast Asia, wasn't it?
- A. Yes.
- In order for Japan to put this New Order into effect and to make its leadership successful it was necessary to change the status quo in the relationship between Japan and the various countries which were to fall within its sphere of leadership, wasn't it?
- A. I don't think it was necessary to change so much, as Japan was a great power in the Orient at that time.
- Irrespective of how strong a power in the Orient Japan was at that time, other countries which Japan felt naturally fell within its sphere of influence and leadership had not voluntarily recognized Japan as such a leader, had they?
- A. Of course, we could not force other countries to ebey the Japaness order. It was not possible, but the idea of the New Order was that they would want the New Order, but of course we could not force them.
- 4. You did force them, didn't you?
- A. Idon't think so; that was only the opinionof the Japanese people. It was not the policy of Japan to forcedt.
- 4. But the effect was that you did empose that leadership, by force, didn't you?
- A. No, I don't think so.

(Shimada, cont'd)

- Q. Did Japan ever enter into any negotiations with Great Britain in regard to its rights in Hongkong and the Malay Peninsula about this territory coming within the sphere of the leadership of Japan?
- A. We did nothing to Hongkong or the Malay Peninsula. We did not force at all into that territory.
- Q. You never did negotiate with Great Britain, did you?
- A. No.
- Q. You never did declare war on Breat Britain?
- A. Yes.
- Q. When did you do thut?
- A. In December, 1941.
- Q. You made a formal declaration of war on Great Britain?
- A. Yes.
- Q. You are sure.
- A. Yes, we did against the United States and Great Britain.
- . Had you previously tried to negotiate with Great Britain about the questions involved between Japan and Great Britain?
- A. We always spoke with America and America spoke with Great Britain, and we thought America represented Great Britain.
- 4. You never had any direct dealings or negotiations with Great Britain?
- A. Not directly; I don't think so.
- Q. Now, if Japan had been victorious youwould have imposed your New Order program in Greater East Asia whether the countries involved wanted is or not?
- A. I don't think so. It was not the idea of Hakke Ichiu, which meant good-will and benevolence, not by forcing them.

- Q. Do you mean to say that Japan would have withdrawn from all of the territories in the Pacific which it had conquered and occupied during the war voluntarily?
- A. I don't think so; it was quite necessary at the time.
- Q. Isn't it a fact that the basic cause of the war with the United States and Great Britain was because they would not agree to Japan's plan for setting up its New Order in East Asia?
- A. No. I don't think so.
- Rest Asia program that certain British possessions should gain their independence?
- A. If we had had victory it might have occurred.
- Q. In other words, if you had been victorious you enticipated that certain British pessessions in the Greater East Asia Sphere should be granted their independence?
- A. You.

one

- Q. Was Burms of those possessions?
- A. Yes.
- 4. And was it contemplated that Japan would set up a puppet govern-
- A. Yes.
- of Japan's leadership in Greater East Asia?
- A. Yos.
- 4. Now, was the questions of the independence of Burms ever taken up by Japan with Great Britain prior to the war?
- A. Before the war we did not think about that.

- Q. Now, prior to the China Incident did the people of China or any representative government of China ever approve of Japan's New Order and its East Asia program?
- A. The New Order I believe hegen after the Incident- in about 1938 by Prince Konoye. I think the military people had many opinions about China.
- Q. Well, for many years prior to the China Incident, prior to 1938, Japan had a policy of a Monroe Doctrine with respect to Greater East Asia similar to the New Order and the East Asia program, as you have stated, didn't it?
- We only objected to arms and war materials being supplied to unfortunate China; that was Japan's statement, but you cannot call that the Monroe Doctrine; it is quite different.
- q. You felt that Japan should be left free to extend leadership in the Greater East Asia Sphere without interference with that leadership on the part of Great Britain and the United States, didn't you?
- A. From the Manchurian Incident especially the United States interfered very much with Japan, and after the China Incident it did not at all recognize the Japanese position at all.
- Reitain would permit Japan to afford the United States and Great establishment of what it considered to be a New Order for East Asia you would have had no objection to the United States and Great Britain?
- A. No, you go always to the New Order. I am not talking about that. We had special interests in Manchuria, but in spite of that position America did not understand it at all. Therefore, the situation grew deeper and deeper.
- Quest Britain should not in any way offer any political leadership so far as East Asia was concerned, has it not?
- A. I think the very cause of this great war and the collision was against our interests and America's interests.

- 4. Here you personally sympathetic with Japanese policy with re-
- A. That is a very difficult question. Sometimes I could not personally coincide with Japanese politics, but looking at it from Japan's view point and America's view point, I felt that America should sometimes recognize Japan's position. Especially the army did not do well, very often. At the same time America did not recognize at all Japan's position. This was the reason for the conflict.
- 4. With respect to the New Order program sponsored by Japan which contemplated that certain British pessessions should have their independence, and that Japan should set up the puppet governments for them in order to bring them into the sphere of Japan's leadership, were you personally sympathetic with that program and those policies on the part of Japan.
 - A. That program was after thewar. Of course, in peace time it was not good at all. It was very bad. But if war times it was sometimes necessary to have puppet governments to govern the states, because military forces could not govern them.
 - 4. Well, if you hadn't had a war you would not have been able to have any pupper governments, would you?
 - A. That was the effect of the war, not the object of Japan's policy.
 - Wasn't it the abject of Japan's New Order in East Ages progrem that certain British possessions should have their independence? Such as Burma?
 - A. I don't think so, because this plan courted by Prince Konoye in 1958 had nothing like that. Even for China we did not think so. We were always thinking to talk with Chinag Kai-Shek, but it was not possible until the last time.
 - Q. Don't you know that Japan considered that Burma naturally fell within the sphere of its leadership?
 - A. I don't know quite well about Burma when Konoye courted this idea, but I think we thought about Burma after the war.

- Ton consider that as a result of the war if you had been victorious Burms would have been granted its independence and that Japan would have set up a puppet government?
- A. Yos.
- Japan's leadership under its New Order progress?
- A. Yes.
- Q. You also considered that if Japan had been victorious it would have granted similar independence to the Philippines, didn't you?
- A. Yes.
- Q. And you would have established a puppet government there?
- A. If we had been victorious it would not have been a puppet government. It would have been a matural government.
- 4. But you would have brought the Philippines within the sphere of Japan's leadership if you had been victorious?
- A. Yes.
- Q. Were you personally sympathetic with those war sims?
- A. You.
- 2. And you are willing to assume your share of the responsibility for the support which you lent to it?
- A. Oh, yes.
- Q. How, after the relationship between Japan and the Axis became closer, Japan executed some agreements with the Axis, didn't it?
- A. I was not in Japan at that time; I was in China, and don't know quite well.
- 4. But you have learned that Japan did execute a series of treaties and pasts with Cormany and Italy? The first one was in 1959.

(Shimada, contfd)

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- A. I don't know.
- Q. Do you remember the Anti-Comintern Pact with Germany and Italy by Japan?
- A. When I was in China, yes.
- as that with Germany and Italy?
- A. I was quite to the contrary, as I said before. Most of the naval officers thought to the contrary; that caused the confusion of the Hiranuma Cabinet.
- 4. You personally felt then that Japan should not have any part of that association with Germany and Italy. Is that right?
- A. Yes, it was very bad.
- 4. What do you think was the purpose of the execution of the Anti-Comintern Pact in 1939 between Japan, Cormany and Italy?
- A. I don't know. I learned by a note of Prince Konoye, and it was a very impressive statement
- Q. Have you learned that at the time of the ammunagment of the execution of the Anti-Comintern Pact by Japan that a secret agreement was also executed by Japan, Germany and Italy at that time?
- A. I don't know.
- Q. You have never heard of that secret agreement?
- A. No.
- 4. You never heard that Japan, Germany and Italy entered into a secret past at that timeby virtue of which Germany and Italy would not lend any support to Russia in the event Russia got into a war with Japan?
- A. No, I don't know.
- Q. Of course you are familiar with the organization of the Imperial Rule Assistance Association in 1940, even't you?

(Shimada, constd)

- A. Only by the newspapers. I was in China at that time.
- Q. Were you sympathetic with the purposes of that organization, as you learned them to be?
- A. It depended upon if this society would do a good thing for the country, then I would coincide, because until that time the political position did a great deal of wrong, and whether I was for it or not was determined by their position.
- Q. You are familiar with the execution of the Tri-partite Pact in September of 1940 between Germany, Italy and Japan, aren't you?
- A. About this I did not know at all, as I said before, but learned from the newspapers by Konoye's note.
- Q. That is the first you heard of it?
- A. Yes.
- Q. What position did you occupy then?
- A. Commander-in-Chief in China, the China fleet.
- Q. Do you recall the Foreign Minister Matsucko making this statement in the Diet with respect to the Tri-partite agreements

that of enabling all nations of the world each to take its own proper place in accordance with the spirit of Bakko Ichiu, the ideal which inspired the foundation of our Empire. The object of the Three-Power Pact, concluded between Japan, Germany, and Italy, on September 37 last, is none other than the realization of the same great ideal.....The pact states that Germany and Italy recognize and respect the leadership of the Japanese in the establishment of a new order in Greater Bast Agia."

- A. That is the same idea expressed as when you read from the book the other day.
- Q. Do you recall such a statement?

- A. No, but of course I may have read it in the newspapers, but I don't recall.
- Were you sympathetic with such a public policy as referred to in that statement?
- quite to the contrary.
- what do you consider to be the reason for the execution of that pact by Japan?
- I can't guess, bucause I was always quite to the contrary, and I can't find my good purpose in that pact.
- Q. Now, the China Incident continued up until the outbrook of war with the United States and Japan during that period kept pushing further and further into China, did it not?
- Q. And of course continued to do so until after the war with the A. Yes. United States broke out?
- A. To the extent that Japan's power would permit.
- And you say if Japan had been victorious it would have granted independence to those peoples and governments which it considered to fall within its sphere of leadership; is that right?
- Q. Now, previously in this interrogation we talked about the Im-A. Yes. perial Conference of December 1, 1941. I want to know if you remember who convoked that conference, or called it?
- A. Premier Tojo.
- Q. The normally calls an Imperial conference?
- A. I think always Tojo. The Emperor only attends.
- Q. Do I understand you to say that normally speaking the Premier calls the Imperial conference?
- With the Emperor's permission, and the Emperor came to the assembly. Ordinarily Tojo sonds the letter to the assembly.

(Shimada, cont'a)

- Q. Do you think the Emperor of his own initiative ever convenes an Imperial Conference, normally speaking?
- A. Sometimes. That depends upon the circumstances. Sometimes he calls one of his own initiative, but generally the Premier asks the Emperor to call it, or asks for permission to call it.
- Q. Sometimes the Emperor calls it, but usually the Premier calls it.
- A. Yes.
- Q. On this occasion, December 1, 1941, who called this conference?
- A. Premier Tojo.
- Q. And it was suggested by the Emperor?
- A. No, Tojo.
- q. The procedure for convening an Imperial Conference is not provided for constitutionally, is it?
- A. No. I don't think so.
- Q. How, who usually attends an Imperial Conference?
- A. It depends upon the program, I think.
- Q. Does the entire Cabinet always attend the Imperial Conference?
- A. Sometimes no. In this case I think so, as the problem was very grave.
- do not attend an Imperial Conference?
- A. Yes.
- Q. Does the General Staff always attend an Imperial Conference?
- A. It depends upon the progrem. Sometimes they may not attend.

- 4. The determines who is to attend an Daperial Conferences
- A. I think whoever asks the Emperor's permission to call a conference, If other persons asks the Emperor other than the France, but in most cases it is the Premier.
- In most cases the Francer determines who is to be invited to attend the conference?
- A. Yes.
- to be invited?
- A. It depends upon the case. I don't remember the present Emperor ever having called anyone to attend a conference, or ever having called an imperial Conference.
- Particular person to be invited to attend an Imperial Conference?
- A. If the Emperor santed someone about some grave deed, but I think the present Emperor has not done that until now, by my memory.
- Gonferences arecalled by the Framier?
- A. Yes.
- 4. Sometimes that may be suggested to the Emperor by other members of the Cabinet?
- A. In very rare cases it may be.
- Q. But any Cabinet member would be privileged to suggest that an Imperial Conference be called if he wanted to do so, wouldn't hey
- A. Yes, if the Cubinet would agree to that suggestion,
- Q. And while the Emperor might call an Emperial Conference of his own initiative, if he manted to, this has not been done by the present Emperor insofar as you know?
- A. No.
- A- Now, you say you have refreshed your memory and recall the per-

(Shimmda, cont'd)

A. Yes, they were as follows:

Tojo (Premier) (Army)
Shimada (Navy)
Toga (Foreign Minister)
Eaya (Finance)
Hashida (Education)
Koisumi (Welfare)
Susuki (Without portfolio)
Ino (Agriculture)

Hara (Pres. of Priny Council)
Sugiyama (Chief of Army
General Staff)
Hagano (Chief of Haval
General Staff)
Associate - Hoshins
(Shief Secretary of Cabinet)
(He had no vote or voice unless
questioned).

- Q. And this conference was held in the presence of the Emperor?
- A. Yes.
- Q. And as you have previously stated, it was at this conference the decision was made to go to war?
- A. Yes.
- Q. The decision of the Imperial Conference was unanimous, wasn't it?
- A. Yes, oh yes.
- 4. You agreed to this decision, and actively supported it?
- A. Oh, yes.
- Q. And I believe you said that decision was reduced to writing?
- A. Yes.
- And as you have previously stated, the Emperor approved that decision by signing it either the day of the Imperial Conference or the next day afterwards?
- A. Yes, I don't remember whether it was that day or the next.
- Q. Now, we have had quite a long interrogation. Do you consider that you have had fair and just breatment by us in this interrogation?
- A. Oh, yes, I am very much grateful to you in that point, because you were so kind and very fair.

(Shimada, cont'd)

- Q. And if you should be charged formally you would expect to receive this same kind of treatment in any trial that you might be made a party to, wouldn't your
- A. I would be very happy if it would be so.
- Q. You of course understand we are only conducting an investigation now to determine the facts in the matter?
- A. Yes.
- Q. And that should you be charged with any crime you would be served with a formal indistment?
- A. You.
- Q. Now, I understand you have a statement which you wished to be placed in the record?
- A. Yes. (The statement follows):

When main cause of the Far Eastern War has its root in the Manchuria and China-Japan Incidents. In the actions of Japanese Army there was semetimes unfair manner, losing the sympathy. With this opportunity the U. S. and Great Britain utterly disregarding and failing to show any sign of understanding for the Japanese positions, favored China in ex-parts manner. The U. S. and Britain, taking these incidents as the opportunity for an intervention in the Orient, encouraged China by giving the aid in large quality by all the means and thereby made the prolongation of the China-Japan Incident as anglo-American policy together with arms, material aid to China. On the other hand U. S. hurging the expansion and preparation of the armed forces, oppressed Japan high handedly and at last brought Japan to the verge of life and death.

"The fundamental cause of the Far Eastern War has its root in the collision of interests of America and Britain against Japanose interest in China including Manchuria. It takes two non to fight, and now Japan is defeated. Is it true, "No victory is illegal but defeat is illegal"

(The end)

OATH OF INTERPRETER

I, HIROSHI MATSUDA, (Serial Number)

being sworn on oath, state that I truly translated the questions and answers given from English to Japanese and from Japanese to English respectively, and that the above transcription of such questions and aswers, consisting of 17 pages, are true and accurate to the best of my knowledge and belief.

Herosti Matsuda

Subscribed and sworn to me before me, this day of February, 1946.

Duly detailed investigating officer, International Prosecution Section, OHQ, SCAP.

OMNETFICATE OF STREEGGRAPHER

I, MYSIMU COMBRIL, hereby sertify that I noted as stonegrapher at the interrogation set out above, and that I transcribed the foregoing questions and answers, and that the transscription in true and accurate to the best of my knowledge and belief.

This the 12 day of February, 1946.

Euclyn Cordell

CERTIFICATE OF IMPERROGATOR

I. JOHN DARSHY, sertify that on Pebruary 5, 6, and 8, 1946, personally appeared before mo. SHIMADA, Shigetare, and according to Lt. Goods. F. B. Haggins, Lt. Robert Doyd and Hiroshi Matsuda, Interpreters, respectively, gave the foregoing answers to the several questions set forth therein.

John Dans

Tolyo, Japan

15 Fabruary 1946.

Memored by Fishelly for exerticise Ex. 1128-A

Excerpts from Interrogation of Shigetaro SHIMADA on 23 January 1946

- "Q. YAMAMOTO proposed his Pearl Harbor attack plan to the General Staff early in 1941, did he not?
- "A. I heard that he offered it in January of 1941.
- "Q. At that time he was Commander-in-Chief of the combined fleet, was he not?
- "A. Yes.
- "Q. Admiral NAGANO authorized him to proceed with a study of the plan in March of 1941, did he not?
- "A. In that point I don't know the date, but I think it was later.
- "Q. But it was early in 1941, wasn't it?
- "A. I think it was perhaps May or June.
- "Q. May or June when his plan was adopted for study?

 "A. At first the ordinary plan did not have any plans for the attack on Pearl Harbor, but it was made formal in May or June. The studying and training of a squadron by YAI AMOTO was begun in May or June of 1941, I think..."
- "Q. You know that the Navy started developing a shallow water torpedo early in 1941, don't you?
- "A. Yes, I know very well.
- "Q. That was because the Navy was well aware that the water at Pearl Harbor was shallow water, wasn't it?
- "A. Yes.
- "Q. And the fleet practiced with the use of such a torpedo throughout the summer of 1941, did it not?
- "A. Yes, I think so ... "
- "Q. Now, on November 10, 1941, didn't Vice-Admiral NAGULO issue aboard his flagship, aircraft 'Akagi' striking force operation order No. 1, which ordered all forces to complete battle operations by November 20, 1941?
- "A. I think that is so.
- "Q. Tankan (Hitokappu) Bay of Etorofu Island in the Kuriles was designated in the striking force order No. 1 as the rendezvous for the task force, wasn't it?
- "A. Yes.
- "Q. Between November 21 and November 27, 1941, the task force rendezvoused at Tankan Bay, didn't it?
- "A. Yes.
- "Q. The task force left Tankan Bay on November 27, 1941, and sailed East until December 4th or 5th, didn't it?
- "A. Yes.

"A.

"Q. Then it altered its course to the Southeast toward

RETURN TO ROOM 301

- "Q. Upon reaching a point approximately 250 miles from Hawaii the first wave of planes was launched, wasn't it?
- "A. Yes.
- "Q. A fleet of from 20 to 30 Japanese submarines from the Sixth Fleet was patrolling outside Pearl Harbor before the strike, wasn't it?
- "A. Yes.
- "Q. And a fleet of some 5 or 6 midget submarines attempted to gain entrance to the Harbor, didn't it?
- "A. Yes "

抱藏 山本氏八其一具珠湾攻数手打画了会 昭和二十一年一月二十 レタノ八九四一年(昭和十 三品遇野东郎訊面 , 板姿 六年ーーが期テハアりでセンデ 謀本部

谷公氏八九四年一月 居 超強なシター 私一南人

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右八人花禄十四七八人

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