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Witness Safford

Questions by: Mr. Richardson

it in 14 parts and imagine that you will receive it tomorrow. However, I am not sure."

Mr. Richardson: And it was that language which informed you that there would be more to follow?

Captain Safford: It was that language which informed me there was more to follow.

Mr. Richardson: Now, if this message was delivered and how it was delivered would be the responsibility and act of someone other than yourself?

Captain Safford: That is correct. That message was translated by the Army and the time of delivery in the Navy Department all depended upon what time the Army sent our copies of the translation over to the Navy Department. That is not a matter of record and we can only guess.

Mr. Richardson: Can you tell me how long it was after your attention was called to the pilot message that any execute appeared on the long 14-part message to which it referred?

Captain Safford: The long 14-part message actually was received in the Navy Department and our men on watch began what we call processing it before I could have seen the translation of the pilot message.

Mr. Richardson: What do you mean by, before your men were processing it, what do you mean by that, what is

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Witness Safford

Questions by: Mr. Richardson

"processing"?

Captain Safford: The first five or six parts of the long 14-part message were received in the Navy Department I believe about 10 minutes of 12, just before noon. The officer on watch telephoned over to the War Department and found out that the War Department Unit was securing it at 1:00 o'clock because they were observing the normal working hours prescribed by the Civil Service Commission at that time and therefore he held it and worked on it himself although it was an Army responsibility under a joint agreement under date of 1941 whereby the Army processed the messages on the even days of the month and the Navy on the odd days. Processing means decoding or decrypting where it had to be done, exclusion of the code where that had to be done, recovery of the key where that had to be done, translation and finally smoothing up and typing the smooth copies for distribution to higher authority.

A number of copies were typed; early in the year I think we were limited to 4; by December 1941 I think there were 12 or 14 copies prepared, half of which went to the Navy and half to the Army for distribution.

Mr. Richardson: Would they go to anyone else than the Army and the Navy?

Captain Safford: By agreement which was made and

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Questions by: Mr. Richardson

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Witness Safford approved on the 12th of November 1941 the Navy made all

deliveries to the White House via the Naval Aide to the President, who at that time was Rear Admiral Beerdall and

the Army made all deliveries to the Secretary of State.

Mr. Richardson: Well, would those deliveries be made out of the number of copies that had been furnished to the Navy and to the Army?

Captain Safford: They were made out of the total number of copies and their copy was identical with the ones of the Army and Navy.

Mr. Richardson: Would there be new copies or simply one of the multifold copies that had been delivered to them?

Captain Safford: They were one of the multifold number of copies.

Mr. Richardson: Well, then, when that message was delivered in that way a copy of what was delivered, in the ordinary course of recording, would appear in the files of the particular department that got the copy?

Captain Safford: There was a file copy kept in the Mavy Department in my section. There was a file copy kept in the War Department. I think it was originally kept by the SIS and later taken over by G-2 after there had been an unfortunate leak and name calling in connection with it which was followed by a controversy as to who was responsible

Witness Safford

Questions by: Mr. Richardson

for the leak.

Mr. Richardson: And the Navy assumed the responsibility for sending one of these copies to the White House?

Captain Safford: That is correct, sir.

Mr. Richardson: And the Army would have the responsibility of sending a copy to the Secretary of State?

Captain Safford: That is correct, sir.

Mr. Richardson: And when those copies were delivered they would become a part of the files of the office or person to whom they were delivered?

Captain Safford: No, sir. They were collected afterwards. Sometimes they were allowed to keep them 24 hours.

We wanted them back as soon as we could get them. And they were destroyed. I believe the Army destroyed everything but the file copy. The Navy kept one file copy and also another copy so that we would have a loose copy to work with and not have to remove a copy from the file.

Mr. Richardson: Then there would be one copy remaining in the files of the Navy and one copy remaining in the files of the Army?

Captain Safford: At all times.

Mr. Richardson: How many copies would come to rest and remain in your files?

Captain Safford: Always one; generally a second.

Witness Safford

Questions by: Mr. Richardson

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Mr. Richardson: How many communications units, where messages were being intercepted and brought in, were we maintaining at that time?

Captain Safford: Do you mean the intercept stations where we were intercepting?

Mr. Richardson: I want the intercept stations first.

Captain Safford: We had major intercept stations

at Winter Harbor, Maine; Sheltenham, Maryland; Bainbridge

Island, Washington; Heeia on the Island of Oahu, and at Corregidor.

We had a small intercept direction-finding station at Guam, a small one at Imperial Beach, California. We had a small intercepting direction-finding station at Amagansett, Long Island; and Jupiter, Florida.

In addition a number of direction-finder stations which did not attempt any intercepting.

Mr. Richardson: If anyone made an intercept that would be transferred by them where?

Captain Safford: Normally to their primary control station or office, or CI unit, as we called it.

Occasionally it would come direct to Washington, depending upon what type of message it was, and what the instructions were in the case.

Mr. Richardson: It is a fact, is it not, Captain, that

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Witness Safford Questions by: Mr. Richardson the Washington office had the most experienced personnel and was the most extensive office of that kind that we had in the world, was it not?

Captain Safford: It had a few of the most experienced personnel, but 90 percent of them had been in service less than a year. It was a training ground, as well as a working place.

Mr. Richardson: But it was the best we had?

Captain Safford: It was the largest we had. I would say that the best we had, as far as experienceand all around skill was up at Pearl Harbor.

Mr. Richardson: Was there any division of activity assigned to these various stations, for instance, Washington, Pearl Harbor, and Corregidor, as to what character of work they should do, or were they all doing the same thing?

Captain Safford: That was highly specialized. The
Navy Department was responsible exclusively for the handling
of anything which originated in the Atlantic Ocean, I mean
from the European Continent. It was responsible for Japanese
diplomatic communications; it was responsible for backing
up our other two stations on their particular problems,
and was responsible for the training of personnel to send
out to the outlying stations, because we did not believe in

Witness Safford Questions by: Mr. Richardson sending untrained personnel into the field.

Mr. Richardson: Then this 14th part message we are talking about came into the station here in Washington in the regular course of the kind of intercepting that that station was supposed to do?

Captain Safford: That is correct.

Mr. Richardson: Now, I think you testified that around 12 o'clock the first four or five sections of this 14-part message came in.

Does that mean when they came in in code?

Captain Safford: That is when they came in, in code in teletype from Bainbridge Island, Washington, or other stations which had intercepted the message.

Mr. Richardson: Now, how long did it take before those various sections of the message that came in were translated into English?

Captain Safford: Bainbridge Island copied a whole what we call schedule of radio transmissions from Tokyo to San Francisco. They transcribed all of the Government messages and ignored the commercial messages. The Government ment messages included in other systems on other points, and a lot of messages which had no connection with the 14-part. There was no external way to differentiate.

Everything of interest to Washington was punched on a

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Witness Safford Questions by: Mr. Richardson teletype tape and when the tape was completely prepared it was sent into the Navy Department by TWX through the teletype wire exchange by mechanical transmission at a rate of 60 words a minute, and received by the Navy Department.

This high speed transmission cut our tolls to a third, and we got faster service.

Witness Safford

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Then it was taken by the watch officer and decoded into the basic form as rapidly as possible. Then we knew what we had to do with it next. In many cases the Japanese would use another code underneath this so-called purple machine. In this case they did not. Therefore we saved time. Usually these messages came in Japanese and had to be translated into English. In this case it came in English.

Questions by: Mr. Richardson

Mr. Richardson: Let me be sure that I understand. This message, 14-part, as I understand it, came in in ordinary code which, when translated in the ordinary way, gave you the English translation?

Captain Safford: Not translated in the ordinary way. We were in possession of the Japanese diplomatic cipher machine known as purple to conceal its real nature. The Army got that for us. We helped build the machines.

Mr. Murphy: Mr. Chairman, I am wondering if it is necessary to go into technicalities. We have gone far enough in attacking national security without going into details on this.

Mr. Richardson: Since this is the first time anybody has raised that point I am perfectly willing to stop.

Mr. Murphy: It was raised before by me and I want to again protest the necessity of the Captain revealing the mechanics and the details of how we broke the code.

Witness Safford

Questions by: Mr. Richardson

not see how it could help national security or help national defense or add anything to the inquiry.

Mr. Richardson: Mr. Chairman, I have no intention of going into it.

Mr. Murphy: I don't mean counsel; I don't mean to criticise counsel.

Mr. Richardson: Captain, don't give us any of the operative details as to just how a code is broken. All I am interested in is that the code came in.

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Witness Safford

Questions by: Mr. Richardson

Mr. Murphy: May I move that the part that the witness already related so far as the mechanics are concerned be stricken from the record?

Mr. Gearhart: Mr. Chairman, that is absurd. Why/be so so secretive about things that every foreign agent in the world knows all about? These matters are well known, well understood, have already been the subject of books and magazine articles, there is no secret about them and there is no use pretending that there is.

Mr. Murphy: I want my position to be clear. I move that the part that is in the record about the mechanics and the construction of the where-with-al be stricken from the record.

The Vice Chairman: Permit the chair to inquire. You Are in a position, Captain, to know better than we are what the situation is with respect to this matter.

Captain Safford: Mr. Chairman, I think I can answer the essential part of the questions as regards the time element, which is very important, and not give away anything that is essential to security.

The Vice Chairman: Well, have you said anything so far that would endanger the element of security?

Captain Safford: No, sir, nothing that has not been brought out in the papers.

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Witness Safford

Questions by: Mr. Richardson

The Vice Chairman: All right. Does that take care of the situation?

Mr. Murphy: May I ask one question? You say that the -mechanics which you have just outlined have been in the papersi

Captain Safford: Yes, sir.

Mr. Murphy: I would like to know which one? Well, I will go into that later, as to what paper.

The Vice Chairman: All right. You understand the counsel's statement?

Captain Safford: Yes.

The Vice Chairman: And the committee's desire along that line, Captain, and I feel sure that you are in better position to help take care of that than even we are.

Mr. Richardson: When this message began to come in was there any attempt made to make any delivery of any portion of it prior to the reception of the first thirteen parts?

Captain Safford: No, sir, not to my knowledge, except that Commander McCollum, who was the head of the Japanese section of Naval Intelligence, knew that the message was in and coming in and being worked on when it was partially in. I think he knew that around three or four o'clock in the afternoon.

Mr. Richardson: But there was no delivery outside of

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your office of this message so that anyone could read it or see it or know of it or act on it or deliver it until the first thirteen parts had come in, was there?

Captain Safford: The message was not ready for delivery until about nine o'clock in the evening. It might have been ready for delivery a little earlier on a limited scale.

Mr. Richardson: Now, by "the message" you refer to the first thirteen parts?

Captain Safford: I mean the first thirteen parts.

Mr. Richardson: Did you consider the first thirteen parts as a complete message for the purpose of delivery?

Captain Safford: I never saw the first thirteen parts until Monday morning.

Mr. Richardson: When did you last see or hear anything of this message of Saturday, December 6th?

Captain Safford: I left the office at the close of working hours, at 4:30 P.M. on Saturday, December 6th. It was the first time in two weeks that I had observed normal working hours,

At that time Commander Linn had come on and was re-working the message. There had been a mistake in the key which was set up on the machine which decoded the message and the whole entire part we had in there was badly garbled and because of its importance Linn thought it was better to check the key

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first and find out the mistake and produce perfect copy rather than to clear the garble by guess and maybe make mistakes at oritical points in the message. This would take quite a little bit of time and we simply had to throw away all the work that had been done before.

Linn was my best man on the watch side. Normally I do not expect watches from a man in charge of a section. He was taking the place of a man whom we had let go on Christmas eve and we were hoping that we would be able to get somebody else to take his place.

Kramer was standing by to deliver the message. As soon as it was completed McCollum knew about it.

Mr. Richardson: Were you there?

Captain Safford: I was there until 4:30. I checked it and said, "There is nothing I can do but get in your way and make you nervous. I am going home."

Mr. Richardson: Then after 4:30 you knew nothing of your own knowledge as to what happened to the thirteen part message?

Captain Safford: Until Monday morning, when I got the reports from Linn and Kramer on it.

Mr. Richardson: Well, now, did you on Monday morning get reports from them with reference to the thirteen parts?

Captain Safford: I did immediately and about anything

Witness Safford

Questions by: Mr. Richardson

else that happened over the week end.

Senator Lucas: May I ask a question at this point, counsel?

Captain, did you know that these thirteen parts were com-

Captain Safford: We could read enough to --

Senator Lucas: No, I am talking about you, not "we".

Did you yourself personally know that these thirteen parts
were coming in?

Captain Safford: We knew -- I knew at 4:30 from what we had that it was the first part of the long message. In fact, the rest of it was coming in, began coming in I think around 3:30 and it took about an hour for the whole message to come in and other messages mixed up with it.

Mr. Richardson: Did you see the thirteen parts before you left at 4:30 that afternoon?

Captain Safford: No. I saw all thirteen parts in their original code form but you could not identify them until they had been decoded.

Senator Lucas: That is what I say. You could not identify them.

Captain Safford: They had probably twenty or twenty-five messages on hand, thirteen of which were the various parts of this and the rest were other messages. They could not be

Witness Safford

Questions by: Mr. Richardson

identified until they had all been de coded.

Mr. Richardson: Then you left your office at 4:30? Captain Safford: That is correct.

Mr. Richardson: And you did not again see any part of this message until Monday?

Captain Safford: Until Monday.

Mr. Richardson: And all of the transactions that occurred after 4:30 on Saturday, - on Saturday evening and Sunday morning came after you left?

Captain Safford: That is correct.

Mr. Richardson: And you had no independent knowledge of this?

Captain Safford: That is correct.

Mr. Richardson: Might I ask of the committee --

Senator Ferguson: Did he work Sunday?

Mr. Richardson: Might I ask the committee whether the committee desires me to interrogate Captain Safford as to the hearsay report which he got with reference to this message on Monday? Because it is apparent from his testimony that his own personal knowledge ceased at 4:30 on Saturday afternoon.

Senator Ferguson: Mr. Chairman, I think we should have that because that was an official report.

The Vice Chairman: Well, permit the chair to inquire at

Witness Safford

Questions by: Mr. Richardson

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this point. Is counsel prepared to present other witnesses who can give definite testimony and not hearsay on these points?

Mr. Richardson: Yes, that is right,

Senator Lucas: May I ask counsel if there is any conflict in the report that was made to the Captain and what the witnesses will testify to when they come to the stand?

Mr. Richardson: I do not know what the Captain's testimony will be. I am entirely willing to elicit that if the committee wants it, in view of the fact that it came to him on Monday.

Mr. Murphy: May I as one member of the committee say that I would like to hear what he heard on Monday? I am very much interested in that.

Senator Ferguson: I move, Mr. Chairman, that we take that because it was an official report.

The Vice Chairman: Well, I had rather assumed that the committee would prefer to have the best evidence.

Mr. Richardson: Well, you will have it anyway.

The Vice Chairman: And that is the reason I was inquiring of counsel, if he expects to get the best evidence, which is not hearsay. Does counsel state that he expects to present that?

Mr. Richardson: Yes, we expect to have Lieutenant Kramer,

Witness Safford

Questions by: Mr. Richardson

who is the man who handled it, who was there and knows more about it than anyone else and I just want to exhaust the point with Captain Safford and to do it I would have to ask him now to relate to you what he learned on Monday when he returned to his office.

The Vice Chairman: It will be, of course, hearsay evidence.

Mr. Richardson: Yes.

Mr. Murphy: May I suggest, Mr. Chairman, that the events that occurred between Saturday and Monday would be hearsay but what he heard on Monday is direct evidence and in view of him being here and covering the general picture, what he heard on Monday and, therefore, as it impressed him, I think the whole situation would be direct evidence.

Mr. Richardson: Let us be realistic. What the Captain heard on Monday would be a fact, of course, but it would be a fact that would ordinarily be best testified to by the people who created the acts which he heard,

Chairman: Permit me to inquire of counsel. Are The the people who reported to Captain Safford on Monday and gave him this hearsay information that is now being discussed the witnesses that counsel expects to present here?

Mr. Richardson: Well, until I hear the Captain's statement I would not be able to say that I have the witnesses that

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Witness Safford

Questions by: Mr.Richardson

he contacted, that all of the witnesses that he contacted on Monday will be here.

The Vice Chairman: All right.

Mr. Richardson: I propose, in view of the interest of so many members, to go right on and inquire.

The Vice Chairman: Well, without objection then you may proceed.

Mr. Richardson: All right.

The Vice Chairman: That takes care of your motion, doesn't it, Senator?

Senator Ferguson: Yes.

Mr. Richardson: At what time did you return to your of-

Captain Safford: At the beginning of working hours, which I believe was eight A.M. at that time.

Mr. Richardson: And was your attention then called to anything relating to this fourteen part message?

Captain Safford: I immediately called all of my heads of subsections under me into conference.

Senator Lucas: Who was it that you called?

Mr. Richardson: Whom did you call into conference?

Captain Safford: Commander Kramer, Commander Linn, particularly, and Commander Parke. I believe they were all Lieutenants at the time. I called them in to find out what

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Communications.

Witness Safford

Questions by: Mr. Richardson

had gone wrong and how the people had been surprised the way they had; first, to see if our section had been to blame in any other way and the second, to immediately start writing out a full report of the circumstances, as required by Navy regulations, I believe, and certainly by Navy custom.

Now, I have been in other accidents and collisions, and so forth, and that was always done. In view of so many people being involved it seemed better to prepare such a statement or report of those in my section and let those who were in agreement with that report sign with me and those who held counter-views submit their own views.

Sometime within the week following Pearl Harbor I and the other officers were called into conference in the office of the Director of Naval Communications; I am not certain whether Admiral Noyes presided and he was called away suddenly and Captain Redmond, the Assistant Director of Naval Communications, presided.

Mr. Richardson: When was this?

Captain Safford: This was in the week following the attack on Pearl Harbor; some time prior to the 15th I remember, probably Thursday or Friday.

Mr. Richardson: And where did it take place?

Captain Safford: In the office of the Director of Naval

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Witness Safford

Questions by: Mr.Richardson

Mr. Richardson: The meeting was called for what purpose? Captain Safford: The meeting was called of all of the section heads to discuss the attack on Pearl Harbor and the whispering campaign against Admiral Kimmel and Admiral Bloch which was then getting into full swing,

Mr. Richardson: Now, we are concentrating here at this moment on the fourteen part message.

Captain Safford: Yes, sir. May I finish my statement? Mr. Richardson: Will you bring your testimony to that point?

Captain Safford: The discussion in that meeting was that all section heads were asked to tell all the people not to talk, there was too much loose talk going around, that there would undoubtedly be an investigation later and that anybody who had anything to say would be called before that investigation and permitted to say all they had to say, if they had anything to say, and if we had written out anything to destroy it immediately. I considered it a perfectly logical order from my superior.

Mr. Richardson: Who gave you the order that you were to destroy anything, name these people?

SCaptain Safford: It was either Admiral Noyes or Captain Redmond, the Director or Ass istant Director, on the instructions of Admiral Stark.

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Mr. Richardson: Was it in writing?

Captain Safford: It was not in writing.

Mr. Richardson: Who told it to you?

Captain Bafford; Whichever officer presided at this conference and I cannot remember which one they sent.

Mr. Richardson: It was an oral direction?

Captain Safford: It was an oral direction.

Mr. Richardson: Given to you by either Noyes or Redword?

Captain Saffori: Yes.

Mr. Richardson: What did they say?

Captain Saffords I have said it once before.

Mr. Richardson: Say 1t again.

Captain Safford: We had standing orders not to talk, not to spread the gossip against Admiral Kimmel and Admiral Bloch, to keep anything we had to ourselves until we were called to a witness stand to testify officially and if we had anything in writing to destroy it immediately and pass that word on to our subordinates, and I carried out that order.

Mr. Richardson: What was meant by "anything in writing"? What did you understand it to mean?

Captain Safford: I presumed it to mean notes or any other kind of records which we had in writing.

Mr. Richardson: About what?

Omptain Safford: About the circumstances leading up to the attack upon Pearl Harbor.

Mr. Richardson: Well, then, you understood that it became your duty to go to your office, accumulate all of the
files of your office that had to do with the events leading
up to Pearl Harbor, and destroy them?

Captain Safford: No, sir, only notes which we had made ourselves.

Mr. Richardson: Oh. Was there any reason given why those should be destroyed?

Captain Saffori: Yes, that this was an emergency situation, we had just suffered a terrible defeat, the morale was low, that all kinds of rumors were going out from the Navy Department and we had to put a stop to this whispering campaign. It seemed perfectly logical at the time.

Mr. Richardson: Well, how would you stop the whispering campaign by destroying the notes you made as to the facts?

Captain Safford: At that time I did not question my orders any more than Admiral Wilkinson questioned his verbal orders. We carried them out.

Mr. Richardson: What did you destroy yourself?

Captain Safford: I destroyed considerable notes concerning statements given to me by Lieutenant Linn and Lieutenant
Commander Kramer and other people who were intimately asso-

Witness Safford

Questions by: Mr. Richardson

clated with them.

Mr. Richardson: Well, now, let us get this straight.

Before you left on Saturday at 4:30 the first part of the fourteen part message was coming in, was it not?

Captain Safford: That is correct.

Mr. Richardson: And you did not have any notes with you about anything informative with reference to the parts of that message that had come ing did you?

Captain Safford: The fourteen part message is only one small part of the whole affair.

Mr. Richardson: That may be and you may be very anxious to get on to the other points, but what I am driving at now is to give the committee all of the facts we can find out about the fourteen part message.

Now, you say that when you came back on Monday you got a report with reference to the fourteen part message?

Captain Saffords Yes, sir.

Mr. Richardson: What was that report? Give us the de-

Captain Safforis Well, I --

Mr. Keefe: May we find out whether that report was in writing, Mr. Chairman? I understood the Captain to say that he destroyed -- that he instructed his heads, - that as a result of calling his heads in he instructed them to make

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Witness Saffori

Questions by: Mr. Richardson

sure that they make out a meport in writing and sign it.

Captain Safford: No, sir. Those were verbal reports to me. I was going to make up a consolidated report which everybody would sign when we had all of the facts straightened out.

Mr. Keefe: And those that were in opposition to that, that were dissatisfied with that report?

Captain Safford: They could make out their own report if they thought that we were incorrect as to the facts.

Mr. Keefe: May I ask counsel, was such a report as that actually made up in writing and signed by him and the other persons involved?

Mr. Richardson: Let us get first things first.

When you went back on Monday to your office and met with your associates and subordinates was there any discussion there before any report was made up? Was there any discussion there as to what had happened with respect to the fourteen part message?

Captain Safford: There were many discussions.

Mr. Richardson: Now, tell us what that discussion was, what was it about, if anything?

Captain Safford: Linn told me that the fourteenth part 114 not come in before midnight. He had waited up beyond midnight and it had not come in until the next morning.

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Witness Safford

Questions by: Mr.Richarison

found out from the records of the people on watch that it had come in around five A.M. on Sunday morning and had been sent over to the Army for translation and there was a little foubt as to just what time the Army had sent the translation back. Commander Kramer --

Mr. Richardson: Was there anything said about what had been done with the thirteen parts?

Captain Safford: Lieu tenant Linn said that his work on the thirteen parts had been completed about seven P.M. and after that it was Kramer's responsibility to straighten the message out and get it typed.

Kramer told me that he left the Navy Department about nine P.M.; that he first telephoned to Admiral Stark at his residence in the Observatory Circle and found that he was not at home. Then he telephoned to Admiral Wilkinson, the Director of Naval Intelligence, and requested instructions.

Admiral Wilkinson ordered him to leave a copy at the White House with the President, explaining its urgency and then to come out to Admiral Wilkinson's residence and report to him with the other copies.

Kramer carried those orders out. Kramer told me he did not see the President because the President was having a dinner party and entertaining some high ranking British official, who I think has turned out to be Vice Admiral

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Witness Safford

Questions by: Mr. Richardson

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Kramer left the copy of that with the President's aide, out in the code room, and told him to get word to the President that this was very urgent and he was to interrupt his dinner party and let him see it as soon as possible.

Senator Lucad: What night was this, now?

Captain Safford: This is the night of Saturday, December the 6th, 1941.

I believe that Kramer -- Kramer told me a lot of things at that 'time which are rather lim in my memory having lost the notes. I believe that on the way to Airiral Wilkinson's residence he stopped at the Wardman Park and gave a copy to Secretary Hull and discussed the matter at length with the Secret ary. I know that he saw the Secretary that night and then took the copy to Admira Wilkinson's residence. Secretary Hull called up several people --

Mr. Keefe: You don't mean Secretary Hull?

Captain Safford: Secretary Knox.

Mr. Murphy: He said "Hull" twice.

Mr. Richardson: Let us get this straight. Was this delivered at the Wardman Park or to Secretary Knox?

Captain Safford: It was Secretary Knox, and Secretary Knox called up Secretary Haull and other people and discussed the message with him. In the meantime Secretary Hull hat

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Witness Safford

Questions by: Mr. Richardson

received his copy, --

Mr. Richardson: Go on.

Captain Safford: (Continuing) -- from the Army, and an appointment was made the next morning for Secretary Knox, Secretary Hull, Secretary Stimson to meet in Secretary Hull's office at ten o'clock and Kramer and Colonel Bratton were requested to be there also.

Then Kramer went to Admiral Wilkinson's house, gave him the messages; he had given him the substance of the message over the telephone.

Mr. Keefe: May I interrupt you a moment? What you are telling now is what you claim Kramer told you on Monday?

Captain Safford: Kramer told me on Monday the best I can remember 1t.

Mr. Richardson: Go ahead, Captain.

Captain Safford: So they got it and I specifically asked him, I asked him about Admiral Stark and he said Admiral Stark 111 not receive it but that he was told about it Saturday night and gave orders which he received through Admiral Wilkinson, - I think he was a Captain at that particular time, - to deliver the written message to Admiral Stark's office the following morning, Sunday, at nine A.M., which he 1110

Mr. Richardson: Anything sali about General Marshall?

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Witness Safford

Questions by: Mr. Richardson

Captain Safford: I asked him about the Army and the only thing he said that he knew about the Army was that they had been given their copies at nine P.M. and that Colonel Bratton had gotten a copy to Secretary Hull. He knew nothing about the rest of the Army delivery.

Mr. Richardson: All right. Go right ahead and give us all that you can recall, Captain.

Captain Safford: He had made personal delivery to the Assistant Chief of Naval Operations, who was Rear Airiral Ingersoll. He had also given a copy to the Director of War Plans, who was Rear Airiral Turner.

Kramer remained at Admiral Wilkinson's until about midnight and then when he went home he stopped by the Navy Department to see if the fourteenth part had come in there, to find out if it had been and he told the man on watch to give him a call if anything happened and that he would be down the next morning early because he had to make this nine o'olock appointment at Admiral Stark's office with the thirteen parts of the message.

I believe that some time during the evening that Kramer had phoned Captain McCollum, but Captain McCollum lived way out in Virginia and did not see the message until the next morning when he came into his own office.

Mr. Richardson: Now, to you recall any other facts which

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Witness Saffort

Questions by: Mr. Richardson

were reported to you when you got back to your office on Mon-day? I am only asking you to tell us what you can remember.

Captain Safford: "Yes.

Mr. Richardson: I am not b laming you for not remembering, but is that all you can remember?

Captain Safford: That is in regard to the fourteen part message.

Mr. Richardson: Now, Mr. Chairman --

Mr. Murphy: May I interrupt, counsel? He said that after he was told to destroy the papers that he went and gave orders to those under him. I would like to know who he gave orders to to destroy papers, the names.

The Vice Chairman: Well, that is later in the week.

Mr. Richardson: Let me ask the chairman this: This is the testimony by this witness with reference to the fourteen part message. Is it the desire of the committee to interrogate now individually on that examination of the witness as to the fourteen part message, or is it the desire of the committee to have me now turn from the fourteen part message to the question of the winds message?

Mr. Murphy: Mr. Chairman, I have a request to make. Here is a witness who has told us that he went out and carried out orders to destroy papers and that he ordered those under his jurisdiction to destroy them and I think that is one of the most

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Witness S afford

Questions by: Mr. Richardson

important things before us and I suggest that we proceed to it immediately.

Senator Ferguson: Mr. Chairman, I move that the counsel proceed with the whole examination of this witness on every point that there is and then when the committee gets to examining him it will be an over-all coverage, just like we have done with every other witness.

The Vice Chairman: I am really inclined to think that would be the better course. In other words, counsel, you conduct the examination of this witness as you have on the others.

Mr. Richardson: Until I am through.

The Vice Chairman: Until you get through and then the corrittee will inquire.

Mr. Richardson: Now, go right ahead, Captain, if you think of anything that you have overlooked.

Captain Safford: I asked him if he was certain that the--Mr. Richardson: You asked who?

Captain Safford: Kramer if he thought that the President had seen it that night and he said he thought he did; that --

The Vice Chairman: Repeat that, Captain. I am sorry, I did not hear it.

Captain Safford: Kramer said he thought that the Presiient had seen it that night; that the naval aide to the Presi-

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Witness Safford

Questions by: Mr. Richardson

dent, Admiral Beardall, was a dinner guest at Admiral Wilkinson's and that he had phoned in at the White House and that the
aide had informed him that the President had seen those
thirteen parts and the President then had expressed the desire to do everything possible to get the fourteenth part to
him as soon as it came in.

Mr. Richardson: Can you think of anything else now? Captain Safford: Not on the fourteen parts.

Mr. Richardson: All right. Now, Captain, a few moments ago you referred to a meeting later in the week at which some instructions were given with respect to a whispering campaign and about destruction of notes. When did that take place?

Captain Safford: It was probably a Thursday or Friday following the 7th of December.

Mr. Richardson: And in whose office?

Captain Safford: It was in the office of the Director of Naval Communications.

Mr. Richardson: That would be Wilkinson?

Captain Safford: That would be Admiral Noyes' office.

Mr. Richardson: And who were present?

Captain Safford: All the section heads who were on duty at that time and who were present in the building that day.

Mr. Richardson: And as far as you can remember them give

me the names. Who presidei?

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Witness Saffori

Questions by: Mr. Richardson

Admiral Noyes presided at the meeting. Captain Baffords Then he was called away --

Mr. Richardson: Who was Admiral Noyes?

Captain Safford: He was the Director of Naval Communications.

Mr. Richardson: All right. Go ahead, now.

Captain Safford: He was called away some time before the conference broke up and Captain Redmond, the Assistant Director of Naval Communications, took on in his place.

This word was given us, care down in the name of the Chief of Naval Operations. It seemed a perfectly logical and reasonable order. We were in an emergency situation and there was panic running through the Navy Department at that particular time and there were desperate measures used, it seemed, to get the situation in hand.

Mr. Murphy: I suggest to counsel, he says it come down in the name of the Chief of Naval Operations. Was it written?

Mr. Richardson: How did it come down, orally or in writing?

I presumed there Captain Safford: It came down orally. had been an earlier conference in Admiral Stark's office.

Mr. Richardson: And who purported to convey the information in the first instance, what person?

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Witness Safford

Questions by: Mr.Richardson

Captain Safford: The Director nof Naval Communications.

Mr. Richardson: Who was that?

Captain Safforts Rear Airiral Noyes.

Mr. Richardson: Just what did he say as near as you can recall it? How did he phrase what he had to tell you? Give me your best recollection, that is all I want, Captain.

Captain Safford: He started off that there were altogether too many rumors running around the Navy Department and people running to the newspapers telling them, they were getting in the newspapers and on the radio, they were saying all manner of things against Admiral Kimmel and Admiral Bloch which were not true, that we had to put a stop to that, that we would have to stop these rumors ourselves, if we knew anything let it die with us, pass that word to our subordinates; we have got to stop this thing and not originate any rumors ourselves or any suspicion or anything.

He said if anybody wanted to talk they would be given all the opportunity to talk that they wanted because there would be an official investigation held and we could appear on the witness stand under oath and be responsible for what we sait.

He said, "Furthermore, if you have got any notes or anything in writing, destroy them because somebody might see ther and start something which you don't intend."

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Witness Safford

Questions by: Mr. Richardson

It seemed a perfectly logical and fair order at the time. Mr. Richardson: Well, now, you had present, according to the record, yourself and Admiral Noyes and Captain Redword. Can you think of anyone else who was there?

Captain Safford: I am not certain what ranks they hold now. They were all Captains at the time.

Captain Patterson I believe was there; Captain F. O. Willenbucher. I could probably get a list of the other section heads on duty at the time. I cannot remember them all from memory.

Mr. Murphy: Will you give us your present recollection? The Vice Chairman: Give us your present recollection of all who were present. Give them slowly and if there is any doubt about the spelling of the name give the spelling of the name to the reporter.

Senator Lucas: And also what department they were the head of.

Mr. Murphy: If you know.

Senator Lucas: If you know.

Mr. Murphy: We have already, I think, the names of Noyes, Reimoni, Patterson, Willenbucher and yourself.

Captain Safford: I could not give any more names at the present time without a chance to refresh my memory, without guessing. I did not expect to make this statement, I did

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Witness S afford

Questions by: Mr. Richardson

not expect this matter to come up at all. I am totally unprepared to answer that question any further.

Mr. Richardson: Were you given any direction to destroy any files or official records?

Captain Safford: We were not given any instructions to destroy files or any official records.

Mr. Richardson: Now, did you destroy any notes as a result of that direction?

Captain Safford: I destroyed all the notes I had prepared.

Mr. Richardson: Did you destroy any note that had reference to the fourteen part ressage?

Captain Safford: Only such notes as I had rade concerning the time of delivery, yes, I did.

Mr. Richardson: And those were the notes you had made when Kramer reported to you?

Captain Safford: When Kramer reported to me.

Mr. Richardson: Now, we have spoken of the fourteenth part message. There was a part of that message that contained the equivalent of one o'clock P.M. Was that a part of the fourteenth part?

Captain Safford: No, that was a separate message, Number 907.

Mr. Richardson: Did it come in in the same sort of a code

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Witness Safford

Questions by: Mr. Richardson

that the other message 1117

Captain Safford: It came in the same sort of a code exactly except that it was in Japanese and had to be translated.

Mr. Murphy: May I inquire, counsel? Will you have him give the names of the people that he gave orders to to destroy it? I think that is important.

Mr. Richardson: Didyou give any specific orders yourself, based upon what Admiral Noyes said, to any other persons with reference to the destruction of any of their notes?

Captain Safford: I passed these orders down to my imrediate subordinates whom I can name.

Mr. Murphy: Can or cannot?

Captain Saffords I can.

Mr. Murphy: Will you name them ,please, the ones that you gave orders to to destroy notes?

Captain Saffords Captain G. W. Welker, OP 20-GX. That was his official designation. Captain L. W. Parke, OP 20-GY. Captain A. D. Kramer, OP 20-GZ. These we re the people it directly applied to.

Mr. Murphy: How about Linn't

Captain Safford; I probably also told Linn the same thing, although Linn came under Parke and we would have depended upon Parke to do 1t.

Questions by: Mr. Richardson

Mr. Murphy: How about Brotherhood?

Captain Safford: Brotherhood was only one of the watch officers who came in there under Linn. I did not give it to the watch officers individually, with the possible exception of Linn, who was the senior watch officer.

Mr. Keefe: Mr. Chairman, I do not want to object but it seems to me that there is quite a radical departure from the usual practice of the committee. I thought it was understood that counsel was to examine the witness and when he is through each individual member of the committee would have a right to go into any matter that he wanted to. I do not want to interrupt the witness or the counsel during his examination.

Mr. Richardson: I will say this, Mr. Chairman, that I rather welcome Mr. Murphy's suggestions. I have no objection.

The Chairman: Well, the chair will say that the point made by Congressman Keefe is well taken, although the members have since the beginning of the hearing violated it by interjecting questions during the interrogation of counsel and other members of the committee, but the chair thinks it ought to be observed. If the witness makes a statement that any member of the committee does not understand or gives some name that is indistinct the member has a right, of course, to clarify that, but the chair thinks that counsel and mem-

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bers of the committee when they are interrogating a witness should be permitted to do so without interruption.

Mr. Richardson: Now, Captain, you stated that the socalled one o'clock section of the message came in in a separate message in Japanese.

Captain Safford: In Japanese.

Mr. Richardson: That was different from the way the first fourteen parts came in?

Captain Safford: Yes, because they were in English.

Mr. Richardson: Now, do you know, or was it reported to you how long after the fourteenth part care in that the one o'clock ressage care in?

Captain Safford: The two parts care in about half an hour or an hour apart, maybe closer.

Mr. Richardson: Well, then, what was your information that you got on Monday as to when the one o'clock message care in and was ready for delivery on Sunday?

Captain Safford: It was ready for delivery some time Sunday morning.

Mr. Richardson: You cannot be more definite than that? Captain Safford: I cannot be more definite from my memory.

Mr. Richardson: Now, is it your distinct recollection, Captain, that Lieutenant Kramer told you that this thirteen

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Questions by: Mr. Richardson

part message, the arrival of the thirteen part message had been telephoned to Admiral Stark on Saturday night?

Captain Safford: That was his report to me, - it is my recollection that it was his report to me at the time. I asked him about that particularly because everybody else in authority had received a written copy in person and Admiral Stark had not and I particularly asked him about that, "Did Admiral Stark get it?" And he said, "Yes." He assured me that Admiral Stark knew about that message.

Mr. Richardson: Do you know whether any part of the thirteen part message as such had been sent to Hawail? I am speaking of the thirteen parts now.

Captain Safford: I know that none of that was ever sent to Hawaii. I did not know that Monday morning, I will add.

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did it not, in the 14th part that was coming?

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Mr. Richardson: From your experience in that office,

Questions by: Mr. Richardson

did you regard the 13-part section of the whole message as important?

Captain Safford: I regarded the first 13 parts just as important as the 14th part.

Mr. Richardson: Was there anything about the first 13 parts that was unusual?

Captain Safford: The Japanese, for the first time in the whole series of negotiations, became very abusive in their language in an official note to be presented to the United States Government.

Mr. Richardson: And what conclusion did you draw or would you draw, in view of your experience, with those messages, from the tone of those first 13 parts?

Captain Safford: That they were breaking off diplomatic relations with the presentation of that note, and this was particularly in view of the instructions which they had given in the pilot message about its presentation and holding its presentation until they were told to do it.

Mr. Murphy: What was that last?

Captain Safford: Holding the presentation until they were told to present it.

Mr. Richardson: That made you intensely interested, did it not, in the 14th part that was coming?

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Question by: Mr. Richardson

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Captain Safford: That is correct.

Mr. Richardson: Then will you explain to me why it was that you made no inquiry about the 14th part of the message until you got to your office on Monday? Why did not you call up Sunday morning the first thing and find out about the 14th part? Have you any explanation for that?

Captain Safford: I have an explanation that is perfectly logical in my own mind.

Mr. Richardson: Give it to me.

Captain Safford: I stayed out late Saturday night. I was eating breakfast in my pajamas and bathrobe when I received a telephone call from the watch officer that the Japanese had attacked Hawaii. I realized there had been a slip and a bad slip high up in the Navy Department.

Senator Lucas: What was that last?

Captain Safford: That there was a slip in the Navy
Department, high up. I told the watch officer I would be
on call, I would not leave my house, but if my presence was
needed in the Navy Department I would go down, but I would
not go down unless called for.

Mr. Richardson: Did you make any reference at all to the 14th part of the message?

Captain Safford: I did not make any reference to the 14th part to him that I can recall.

Questions by: Mr.Richardson

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Mr. Richardson: Before you left at 4:30, Captain,
did you read and understand the character of the parts of
the 14-part message that had come in up to the time you left?

Captain Safford: Enough to realize that it was the 14th part of it that they were talking about.

Mr. Richardson: Did you read it far enough to conclude that there was anything unusual about it?

Captain Safford: To conclude that it was particularly hot, and it was probably the last message we would ever receive from the Japanese.

Mr. Richardson: Would you say you read enough of it to arouse your curiosity as to what the rest of it would be?

Captain Safford: Not curiosity. It gave me a sample of what the rest of it would be.

Mr. Richardson: At any rate, whatever you know about it, when you left your office at 4:30 you did not thereafter make any inquiry with reference to it until you came to your office on Monday?

Captain Safford: That is correct.

Mr. Richardson: Your office was then on a 24-hour basis?

Captain Safford: My office was on a 24-hour basis since

the first of February, 1941.

Mr. Richardson: Then there were in your office persons to whom you could have telephoned on Sunday morning and gotten

Witness Safford Questions by: Mr. Richardson the particulars of whatever had happened to the 14th part message?

Captain Safford: That is correct.

Mr. Richardson: Did you receive any telephone from anybody until you got the telephone about the attack?

Captain Safford: Not that I can definitely recall. I usually got three or four telephone calls at night and one more or less telephone call made no impression on me whatsoever. I imagine I was called and told what they had delivered and I promptly forgot about it. I cannot say I recall receiving any calls until I received the call that the attack was on.

Mr. Richardson: You are quite sure that there was no telephone to you that had any reference specifically to this so-called 14th part message?

Captain Safford: I can recall nothing about the 14th part specifically.

Mr. Richardson: Was there anything mid about the 14-part message in this conference that you had later in the week that you testified to?

Captain Safford: There was no mention of the 14-part message whatsoever.

Mr. Richardson: Did you talk to anybody else about the 14-part message after you talked with Kramer on Monday when you got back and got his report?

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Witness Safford

Questions by: Mr. Richardson

Captain Safford: I asked Kramer if a warning message had been sent out and he said he thought of course it had been, but that would be for his superior officers and he did not know.

Mr. Richardson: How far did you live from your office? Captain Safford: About two miles.

Mr. Richardson: Have you anything further, Captain, that you would like to tell the committee with reference to the 14-part message, or the 14th part, or the 1:00 o'clock end of it, or the pilot message? Is there anything further you would like to tell the committee?

Captain Safford: In checking up on the message afterwards we discovered that Tokyo filed the first 13 parts as separate messages a few minutes apart over a span of about three or four hours, apparently, to finish encoding it. Then they delayed about 12 hours before they filed the 14th part. We received the messages, or the parts of it in approximately the same order and the same span of time in which filed. Our people had thought for a long time that they had missed the 14th part, or for some reason we failed to intercept it, and they put in some very worried hours, the men who watched it, and they were very relieved themselves when the 14th part came in and they knew their job was done.

Mr. Richardson: How long was it from the time that

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Questions by: Mr. Richardson

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they reported to you that the first part came in until the 14th part showed up?

Captain Safford: It was roughly 12 hours.

Mr. Richardson: Now was there anyone else at this meeting later in the week, when there was this admonition from Admiral Noyes, was there anyone else there from your immediate section but you?

Captain Safford: I was the only one from my immediate section.

Mr. Richardson: What time of day was that meeting?

Captain Safford: In the morning, I would say around

10:00 o'clock, 10:00 or 10:30.

Mr. Richardson: How long did it last?

Captain Safford: About 15 minutes.

Mr. Richardson: When you left it did you go right back to your section?

Captain Safford: I went right back to my section, called my section heads in and passed the news to them.

Mr. Richardson: Orally?

Captain Safford: Orally; nothing in writing.

Mr. Richardson: And told them just what Noyes told you?

Captain Safford: Just what I had been told, and if

they had any notes about the thing, to get rid of them.

There was nothing said about destruction of official papers.

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Witness Safford

Questions by: Mr. Richardson

Mr. Richardson: Can you tell us a little more in detail as to what you said? Is your recollection keen enough to tell us just what you said to your men?

Captain Safford: As well as I could I passed on to them the exact words that had been given to me.

Mr .Richardson: Was there any discussion of that? Captain Safford: There was no discussion. It seemed the correct thing to do under the circumstances.

Mr. Richardson: You had no idea, did you, Captain, that you were being asked to do anything that was improper or surreptitious?

Captain Safford: Absolutely not, or I would not have done it.

Mr. Richardson: You have no sense of embarrassment or shame for transmitting the report to your division heads? Captain Safford: None at all.

Mr. Richardson: Now when this message would come in, as you testified it did, would it be taken by one man or would several people cooperate in receiving it?

Captain Safford: I did not quite understand the question. Mr. Richardson: You had how many watch officers on duty?

Captain Safford: We had two men on watch, an officer and Chief Petty Officer on a particular system. We had

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Questions by: Mr. Richardson

four of each on the watch list, and each one stood 8 hours on and 16 off.

Mr. Richardson: Would such a message, when it came in, be handled by one man or more than one man?

Captain Safford: By two men together.

Mr. Richardson: It would take two men to handle this 14-part message as it came in?

Captain Safford: The 14-part message, in order to save time we called the Army in and they ran off part of it on their machine in the Munitions Building, and part of it was run on our machine in the Navy Building.

Mr. Richardson: How long after the pilot message came in did you ask the Army for help?

Captain Safford: We did not ask the Army for help until about 3:00 p.m. when the rest of the 14-part message came in and decided with what we had it was more than we could handle.

Mr. Richardson: Then you wanted help?

Captain Safford: Then we wanted help.

Mr. Richardson: And you called on the Army?

Captain Safford: We called on the Army.

Mr .Richardson: Now who called on the Army? Did you?

Captain Safford: Commander Kramer called the Army and got in touch with some officer over there and he called

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Witness Safford Questions by: Mr. Richardson some of his people.

Mr. Richardson: Would they come to your office? Captain Safford: They worked in their own office on their own machine.

Mr. Richardson: And then when all the work was done it was brought together as a complete job?

Captain Safford: It was brought together as a complete job.

Mr. Richardson: What did you mean by your reference a few moments ago of having it translated by the Army?

Captain Safford: That was the 1:00 p.m. message. It is in Japanese and we did not have a Japanese-speaking officer on watch, so we had to send it over to the Army where they arranged for that Sunday, they would handle any transmission, because Kramer had all these appointments with Admiral Stark and with Secretary Knox.

Mr. Richardson: Do you speak Japanese?

Captain Safford: No, sir.

Mr. Richardson: Kramer does, does he not?

Captain Safford: Kramer does.

Mr. Richardson: Have you anything further now that you would like to tell us that has any reference to the 14-part message, or any part of it?

Captain Safford: I would like to say this, that calling

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Witness Safford

Questions by: Mr. Richardson

extra men in the Navy would not have speeded up any work because we only had one machine and could only run one thing at a time. We had to call the Army people, to use their machine over in their own office.

Furthermore, the 6th was supposed to be the Army's day of responsibility, and the only reason we were handling this message was because we were standing a 24-hour watch, week-ends and everything else, and that is the reason for breaking the normal day's duty that was carried on up until this time. I think they went on a 24-hour basis at 6:00 p.m. on Saturday 6 December 1941.

Mr. Richardson: How long have you known Commander Kramer?

Captain Safford: He had served under me two years, I believe.

Mr. Richardson: I now want to ask you some questions, Captain, about what is known as the winds code.

How many times, in how many different investigations of this Pearl Harbor matter, have you testified?

Captain Safford: I have testified four times.

Mr. Richardson: In which hearings?

Captain Safford: I testified before Admiral Hart, before the Navy Court of Inquiry, before the Army Board of Investigation, before Admiral Hewitt, but I was not permitted

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Witness Safford

Questions by: Mr. Richardson

to testify before the Roberts Commission.

Mr. Richardson: And in each of those examinations you testified in considerable detail to the various particulars in connection with what we call the winds code and winds code execute?

Captain Safford: That is correct.

Mr. Richardson: And when you were called, you knew you were going to be a witness here, you prepared a written statement as indicating what you wished to present to the committee on the winds code?

Captain Safford: That is correct.

Mr. Richardson: And would you like to read that in presentation to the committee at this time?

Captain Safford: I would.

Mr. Richardson: With the committee's permission I would ask him to read it.

The Chairman: Without objection, it will be read.

Senator Lucas: Mr. Chairman, in order to save time,

I believe every member of the committee has read the statement, and I was just wondering whether or not counsel could
proceed to examine him on it and let the statement go in the
record at this time?

I do not care to read it again. I would rather have counsel get through with his examination.

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Mr. Keefe: I would like to hear the witness read this statement.

The Chairman: All right. Proceed to read it.

Mr. Keefe: I have read it carefully, but I would like to have him read it.

The Chairman: Go ahead and read it.

Mr. Gearhart: Mr. Chairman.

The Chairman: Congressman Gearhart.

Mr. Gearhart: Mr. Chairman, I forgot to bring my copy over. Is there an extra copy?

Senator Lucas: I would like to have a copy too, as long as he is going to read it.

Mr. Murphy: Mr. Chairman, before the starting of the reading of this statement, I would like to say I think Captain Safford has put parts in this statement that reveal certain mechanics which should not be revealed. I think the Captain knows where they are. However, the statement has been given out so the press and everybody else has it. I will call attention to that when you come to it.

Mr. Keefe: Everything is revealed in the letters of Dewey and Marshall.

The Chairman: We will not go into the Dewey and
Marshall letters. Everything that has been produced before
this committee has been produced without any deletion or

any exceptions to it, and we will make no exception in this case. You will read too entire statement.

Mr. Murphy: Mr. Chairman, as one member of the committee when we come to that part, I would just like to enter my protest.

The Chairman: All right. It will be entered. Enter it now.

Mr. Murphy: I do not want to single it out now, but I have it marked.

The Chairman: Will you go ahead, Captain?

Captain Safford: Yes, sir. The statement regarding

the winds message will start with --

PREVIEW

There was a Winds Message. It meant War -- and we knew it meant War. By the best estimate that can be made from my recollection and the circumstantial evidence now available, the "Winds Message" was part of a Japanese Overseas "New" Broadcast from Station JAP (Tokyo) on 11980 kilocycles beginning at 1330 Greenwich Civil Time on Thursday, December 4, 1941. This time corresponded to 10:30 p. m. Tokyo time and 8:30 a. m. Washington time, December 4, 1941. The broadcast was probably in Japanese Morse code, and was originally written in the Kata-Kana form of written, plain-language Japanese. It was intercepted by the U. S.

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Witness Safford

Navy at the big radio receiving station at Cheltenham, Maryland, which serves the Navy Department. It was recorded on a special typewriter, developed by the Navy, which types the Roman-letter equivalents of the Japanese characters.

Mr. Murphy: That is the part I do not think should be in this statement, Mr. Chairman.

The Chairman: We will note your objection to the reading of that at this point.

Mr. Murphy: I just want to say I do not think the witness should go into the mechanics of how this thing was done.

The Chairman: What is the will of the committee about 1t?

Mr. Murphy: All the papers have been given copies. I just call attention to that fact, that it is improper to do it.

The Chairman: Go ahead and read it. If the Chair is going to pass on it, he will hold that it will all be read without deletion.

Mr. Keefe: Mr. Chairman?

The Chairman: Mr. Keefe.

Mr. Keefe: May I interrupt at this time to ask the Captain who is an officer in the United States Navy, and

Witness Safford

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Mr. Keefe: Mr. Chairman?

The Chairman: Mr. Keefe.

Mr. Keefe: May I interrupt at this time to ask the Captain who is an officer in the United States Navy, and

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Witness Safford

who has carefully prepared this statement who knows the limitations that have been placed upon him in statements heretofore made by the committee, whether or not there is anything in this statement that, in his judgment as an expert in this field, reveals anything that would be of value today to any potential or real enemy of the United States?

Captain Safford: No, sir. I have gone over this whole statement with the legal representative of the Director of Naval Communications.

Mr. Keefe: And it has his approval?

Captain Safford: His qualified approval.

Mr. Keefe: I mean as to the question raised by Congressman Murphy.

Captain Safford: Yes, sir.

The Chairman: Go ahead and read it.

Captain Safford: And it has been given thorough weight by me.

The Winds Message broadcast was forwarded to the Navy
Department by TWX (teletypewriter exchange) from the teletype-transmitter in the "Intercept" receiving room at
Cheltenham to "WA91", the page-printer located beside the
GY Watch Officer's desk, in the Navy Department Communication
Intelligence Unit under my command. I saw the Winds Message

typed in page form on yellow tletype paper, with the translation written below. I immediately forwarded this message to my Commanding Officer (Rear Admiral Leigh Noyes, USN), thus fully discharging my responsibility in the matter.

PREPARATIONS FOR INTERCEPTION

There are various sources of the so-called "Winds Code," two of which have already been introduced as evidence: Tokyo Circular 2353 on page 154 of Exhibit No. 1 and Tokyo Circular 2354 on page 155 of Exhibit No. 1. The most important source was Commander-in-Chief Asiatic Fleet secret dispatch 281430 of November 28, 1941, addressed for information to the Commander-in-Chief Pacific Fleet and Commandant 14th Naval District -- thus letting them in on the secret. I had taken no action personally on the first tip-off (Tokyo Circular 2354), because I was still awaiting the instructions of higher authority. CINCAF 281430 together with Tokyo Circular 2353 and other collateral intercept information apparently made an impression upon the Director of Naval Intelligence, for he immediately sent word to me, through the Director of Naval Communications, that he wished the Communication Intelligence Organization to make every attempt to intercept any message sent in accordance with the Winds Codes. It was a request from Admiral Wilkinson and an order from Admiral Noyes.

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I hastened to comply, with the secondary motive that it would be a feather in our cap if the Navy got it and our sister service didn't.

Just about the time I received Admiral Wilkinson's request, I was shown Tokyo to Washington Serial 843, dated November 27, 1941, prescribing a "schedule of (Tokyo News) Broadcasts," which gave me something tangible to work with as well as giving added meaning to the Winds Code. The "November 29 deadline" indicated that the Winds Code might be used to notify overseas officials as to things which would "automatically begin to happen." Tokyo Circulars 2353 and 2354 blueprinted what this action would be. Tokyo Serial 843 implied that such notification would be made. After a conference with my subordinates, I drafted a summary of Tokyo Serial 843 (or had Kramer do it for me), had it coded in the COPEK system, and released it myselt at 6 p.m. (Washington time) on November 28, 1941. This secret message was transmitted "Priority" to the Commandants of the 14th and 16th Naval Districts for action, and to the Commanderin-Chief Pacific Fleet and Asiatic Fleet for information, and may be identified as OPNAV 282301. This took care of our overseas Communication Intelligence Units; they now had all the available technical information on the subject. I know that they monitored the Tokyo Voice Broadcasts; I

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also know that Corregidor monitored the Tokyo Morse

Broadcasts; in fact, Corregidor and Heeia went beyond their
instructions and guarded the Tokyo Broadcasts 24 hours a
day. Captain Rochefort and Commander Lietwiler can verify
this.

I discussed the situation with Commander Welker, in charge of the intercept and direction-finder stations, and with Chief Radioman Lewis, his technical assistant. Our prospects for interception looked somewhat dubious. We were not encouraged when a day or two later Washington and Rio objected to the new frequency assignments and Rome complained about the poor quality of the Tokyo Voice Broadcasts.

I would like to digress long enough to invite the attention of the committee to the fact that OPNAV 282301 is not included in the "Basic Exhibit of Dispatches" (Exhibit No.37), and that Tokyo Serial 843 (JD-1 #6899:SIS #25446) is not included in the "Intercepted Japanese Diplomatic Messages" (Exhibit No. 1). Three other relevant intercepts not appearing in Exhibit No. 1 are also of interest at this point, namely: Washington to Tokyo Serial 1197 of November 27, 1941(JD-1 #6908: SIS #25476), Rio to Tokyo Serial 482 of November 30, 1941 (JD-1 #6982:SIS 25571), Rome to Tokyo Serial 768 of November 29, 1941 (JD-1 #6981: SIS #25604).

These 5 documents should be intruduced as evidence for the purposes of record.

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Witness Safford

Welker, Lewis and I agreed that 5160 kilocycles would probably come in nicely at Manila and at Pearl Harbor. Station JHL was of too low power to reach the greater distances to the continental United States. 9430 kilocycles appeared a bit high for a night frequency in winter, as far as the West Coast was concerned. There did not seem to be a remote possibility of the 11980 kilocycles and 12265 kilocycles being heard by any station in the Pacific Ocean or along either shore at the time of day scheduled.

Nevertheless, we decided to have Bainbridge Island monitor the Tokyo Morse Code Broadcasts on the chance that the times given in Tokyo Serial 843 might not be given in Tokyo time or the schedules could be heard because of freak conditions.

We did not order Bainbridge Island to monitor the Tokyo Voice Broadcasts because its two sound recorders were guarding the two ends of the Tokyo-San Francisco radio telephone circuit. Our estimates for Bainbridge Island were closely realized: Excellent receivability at the wrong time of day and almost a complete "black-out" of reception on the higher frequencies during the period scheduled for the winds message broadcast.

We agreed that the best chance of intercepting the listed schedules (other than those on 5160 kilocycles) was

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Witness Safford

on the East Coast of the United States. During the winter months the East Coast had good reception of Tokyo during the few hours included in the schedules. Our best bet was Cheltenham, which had been guarding the MAM (Tokyo) Broadcasts to Japanese merchant vessels, so we had up-te-theminute data on the receivability of Tokyo.

According to my memory we decided to play safe and have all East Coast intercept stations monitor the Tokyo broadcasts. We agreed it would be impossible to hear voice broadcasts from Tokyo on the East Coast and therefore did not attempt it. We did not order Guam or Imperial Beach (California) to monitor any of the Tokyo broadcast schedules.

Commander Welker or I sent TWX messages directing the intercept stations at Bainbridge Island (Washington) and at Cheltenham (Maryland) to monitor the schedules given in Tokyo Serial 843 as first priority and to forward all plain-language Japanese intercepts on these schedules to the Navy Department by teletype. We may have sent these instructions to other stations also. We did not want English or coded messages -- only written Japanese. We gave the same instructions to both stations, and sent them out immediately after releasing the previously mentioned OPMAY 282301.

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Witness Safford

I have confirmation of the above orders plus knowledge of existing receiving conditions in the monthly reports from Cheltenham, Winter Harbor, and Bainbridge Island, extracts from which are quoted below:

Station "M" (Cheltenham) - Operations - November 1941

Receiving conditions throughout the month were very good on all frequencies. Atmospheric disturbances have been at a minimum. Orders received from OP-20-GX at 2315 (GCT) November 28, via teletype to give highest priority to various broadcasts at designated Japanese broadcast stations. These schedules were covered and found to be press broadcasts sent in both Kana and English. Log sheets were forwarded to OP-20-GX daily with regular traffic files.

Station "M" (Cheltenham) - Operations - December 1941

Receiving conditions during the month were fair to good on all frequencies. At 2300, 7 December 1941, telephone orders received from OP-20-GX to drop the Tokyo JJC/MAM schedules and assignments; continued watch for Orange activity.

Station "W" (Winter Harbor) - Operations - December 1941

Receiving conditions in general. Daily attempts were made to intercept Tokyo and Osaka channels employed to Europe, but only on a few occasions was any intercept

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possible.

Station "S" (Bainbridge Island) - Operations - November 1941 During the month of November a sharp increase has been noticed in the amount of message traffic sent on the Kana General Information Broadcasts. Where before we seldom averaged more than one or two such messages monthly, it is now not unusual for two or three such messages to appear daily. These messages are sent in both number code and Kana.

On 28 November, a directive was received by TWX from Op-20-GX which called for coverage of the following stations at times specified, with priority transmission of intercepted material by TWX. Times listed were given as PST. Because the use of PST time designation is unusual, we asked for a verification, but were told that time zone was uncertain and verification was not possible.

Senator Lucas: Mr. Chairman, I am wondering if the witness would tell us as he goes along what those symbols mean.

Captain Safford: Yes. TWX was the teletype exchange. You call the switchboard. They plug you in and charge you by the minute.

PST is Pacific Standard Time. And GCT, Greenwich Civil

Time.

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PST	GCT	STATION	FREQUENCY
0100	(0900)	JVJ	12275
0130	(0930)	JUO	9430
0200	(1000)	JVJ	12275
0300	(1100)	JHL	5160
0400	(1200)	JHL	5160
0500	(1300)	JHL	5160
0530	(1330)	JHP	11980

Since the time zone indicated was not certain we were faced with the possibility that the time could be either GCT, PST, zone - 9, or even a combination of these. As soon as the directive was received we started copying all broadcasts of this same type which were readable at "S". We found that in some cases other stations were tied in with the stations listed in the original directive, and that although we could not copy the station listed we could copy the cornetted channel carrying the same broadcast.

The stations and times that we can copy are listed below. Time used is GCT.

GCT	STATION	FREQUENCY	CORNETTED WITH
0000	JVJ	12275	JUP
0030	JUD	15880	JVJ/JAU2
0100	JUD	15880	JVJ

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2	GCT	STATION	FREQUENCY	CORNETTED WITH
3	0130	JVJ	12275	
4	0200	JVJ	12275	
5	0230	JVJ	12275	JUP/JUD
6	0300	JVJ	12275	JUD
7	0330	JVJ	12275	JUD
8	0400	JVJ	12275	
9	0430	JVJ	12275	
10	0500	JVJ	12275	JUD
11	1300	JHL	5160	
12	2200	JVJ	12275	
13	2300	JVJ	12275	
14	2330	JVJ	12275	
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The important thing is that with the exception of the 1300 schedule from station JHL on 5160 kilocycles there was a complete "black-out" for 16 or 18 hours where no broadcasts from Tokyo could be heard and the schedules on which we expected the winds message came in the middle of this long period of "black-out".

At my instructions, or at least with my concurrence, Commander Welker consulted with his opposite number in the War Department, Captain Schukraft, and ascertained that the Army was monitoring for the winds message at San Francisco, and possibly elsewhere, but was not monitoring

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for the winds message anywhere on the East Coast of the United States. I do not know what sort of instructions the Army gave its intercept stations. I do not know why the Army failed to monitor for the winds message on the East Coast of the United States; Colonel Sadtler or Colonel Schukraft may remember.

I believe that the above-mentioned conference was held before we issued instructions to our own intercept stations.

The F.C.C. was requested by the War Department to monitor for the winds message on the Tokyo voice broadcasts and was given the code words of Tokyo Circular 2353 but without their meaning. The F.C.C. was not furnished the Tokyo broadcast schedules nor any mention of the fact that the winds message could come by Morse code.

The F. C.C. was requested to monitor the winds message at its monitor station at Portland, Oregon, and also at one of its monitoring stations on the East Coast of the United States. The latter request was not complied with because the F.C.C. doubted if voice broadcasts from Tokyo could be heard on the East Coast of the United States. The F.C.C. monitor station at Honolulu also monitored for the winds message, at the request of the local military authorities.

The F.C.C. monitor station at Portland, Oregon, could

WARD & PAUL, WASHINGTON, D

not possibly have intercepted the same winds message that Cheltenham did because Cheltenham was monitoring for Morse code, exclusively, and the F.C.C. station at Portland was monitoring for voice, exclusively.

In addition to the stations previously named, the winds message was monitored for at the following localities, to my personal knowledge:

	Heeia, T.H.	(U.S. Navy) Voice only			
	Corregidor, P.I.	(U.S. Navy) Voice and Morse			
Singapore		(British Intelligence) -?-			
		(Australian Intelligence)-?-			
Java		(NEI Intelligence) -?-			

Intercept stations in Canada, England, and China probably watched for it too. And, of course, the Japanese diplomatic and consular stations listened for the winds message themselves on their own receiving sets.

On December 1, 1941, I was shown the translation of Tokyo Circular 2444 (Exhibit No. 1, page 209), advising that London, Hongkong, Singapore and Manila had been ordered to destroy their code machines, and instructing Washington to retain its machine regardless of other instructions.

The significance of the winds message now became very clear to me and I began to take the matter most seriously.

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Witness Safford

So did Colonel Sadtler, over in the War Department. The only means by which Tokyo could announce its decisions of peace or war to its overseas diplomatic representatives who had destroyed their regular codes was by means of the emergency winds code. This applied to London and the Far East but not to Washington. Higher authority in the War and Navy Departments likewise took a greatly increased interest in the winds message, and began heckling me as to the possibility of having missed it. I instituted a daily check of the incoming teletype messages to see that our intercept stations were doing as much as could be expected of them.

One evening, about December 1, 1941, I drove out to Station "M" at Cheltenham, Maryland, and remained until about midnight. The primary purpose of my visit was to inspect the new landline telegraph for direction-finder control which had been completed at Cheltenham and the Navy Department, which was scheduled to be placed in service on December 1, 1941, but which had been delayed by installation difficulties at some of the outlying stations. I made a personal check of the winds message watch and, as I recall, found that Chief Radioman Wigle was monitoring the Tokyo News Broadcasts 24 hours a day and had assigned qualified Kana operators to this duty. I have further

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documentary proof that Cheltenham was monitoring the Tokyo broadcasts in the fact that between 1200 and 1500 GCT, on December 6, 1941, Cheltenham intercepted and forwarded to the Navy Department Tokyo Serials 902-2 and 904, plus two other messages. This is entered in the GY log for December 6, 1941: Items Nos. 6609, 6610, 6618, and 6619. These messages were transmitted by Station JAH (Tokyo) to San Francisco on 7630 kilocycles. The Tokyo-San Francisco circuit was not a regular Cheltenham assignment.

I may summarize the preparations for interception by stating that the United States Navy listened for the winds message at Cheltenham, Maryland, and did everything that it possibly could to intercept it elsewhere, and that the other services did all that they considered reasonable.

INTERCEPTION

There is no basis for assuming that the winds message had to be sent on a voice broadcast. In 1941, the Japanese Government was sending out "General Information Broadcasts" as well as "Domei News" to its diplomatic and consular officials in foreign lands. This was partly to give speedier service, partly to permit use of the Japanese Morse Code and the Kata-Kana form of written Japanese, and partly to be independent of foreign communication

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Witness Safford

systems in emergency.

Each office had its own Japanese radio operator and its own short-wave receiving set. We knew it. The United States Government was doing the same thing itself, with a Navy radio operator serving at each post. The German Government was doing likewise but was a bit ahead of us, with machine reception. We used to "sample" these broadcasts periodically until the F.C.C.'s Foreign Broadcast Intelligence Service came into existence and relieved the U. S. Navy of this duty. I wish to reiterate that neither Japan, the United States, nor Germany was dependent on voice broadcasts for direct communication from the seat of government to overseas officials.

The radio schedules listed in Tokyo Serial 843 were in Morse (i.e., dot-and-dash) code exclusively; either Japanese Morse, International Morse, or both. We expected that the winds message would be sent in Morse Code -- and it was. If the winds message had been sent on a voice broadcast the U.S. Navy would have missed it, unless it came on a schedule receivable at Pearl Harbor or Corregidor.

The original documents giving details of the interception of the winds message are not available. Therefore it is necessary to reconstruct the situation from circumstantial evidence and by process of elimination. Collateral

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information has been plotted or recorded on a single sheet, a reduced size photograph of which is appended. This graph tells the story better than words and shows just what actually happened. It should convince the most skeptical.

As I have previously testified, the frequency, distances, and time of day were such that the winds message could be heard on the East Coasts of the United States and Canada, while it was a physical impossibility for it to be heard (except under freak conditions) on the West Coast of the United States and Canada, Pearl Harbor, Manila, Java, and Singapore. Everything checks perfectly; there is no element of doubt as to conditions of radio wave propagation.

The winds message could be heard also in the North Atlantic Ocean, British Isles, and Western Europe, but it could not be heard in Burma, Australia, or in Rio de Janerio. It was sent on the so-called "European Schedule" of Tokyo's big foreign broadcasting station "J-A-P" and was intended for London. We knew that the Japanese Ambassador in London had destroyed his secret codes three days previously; this was the only way that Tokyo could get news to him secretly. Reception or non-reception at other points was irrelevant. Tokyo knew full well, before the winds message was sent, that it probably would not be

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received in Washington or in Rio. That was immaterial --

In the next sentence I would like to make a change.

I would like to change the word "my" to "our", so that
the sentence would read:

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Our ability to intercept it was due partly to good luck, partly to our foresight, and partly to the high quality of the Navy operators and receiving apparatus at Cheltenham.

The Winds Message broadcast was forwarded by teletype (TWX) from Cheltenham to the Navy Department (Op-20-GY) shortly before 9:00 a.m. on December 4, 1941. Kramer distinctly recalls that the Winds Message was shown to him by the GY Watch Officer after 8:30 a.m. on that date. It was my recollection, as stated in previous testimony, that I had first seen the Winds Message a little after eight a.m. on December 4, 1941. The Winds Message broadcast was about 200 words long, with the code words prescribed in Tokyo Circular 2353 appearing in the middle of the message, whereas we had expected to find the code words of Tokyo Circular 2354 in a Morse broadcast. All three "code words" were used, but the expression meaning "North Wind Cloudy" was in the negative form.

When I first mw the Winds Message, it had already been translated by Lieutenant Commander Kramer, in charge of the Translation Section of the Navy Department Communication Intelligence Unit. Kramer had underscored all three "code phrases" on the original incoming teletype sheet. Below the printed message was written in pencil or colored

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crayon in Kramer's handwriting, the following free translations:

"War with England (including NEI, etc.)

War with the U. S.

Peace with Russia."

I am not sure of the order; but it was the same as in the broadcast and I think England appeared first. I think Kramer used "U.S." rather than "United States." It is possible that the words "No war", instead of "Peace," were used to describe Japan's intentions with regards to Russia.

"This is it!" said Kramer as he handed me the Winds

Message. This was the broadcast we had strained every nerve

to intercept. This was the feather in our cap. This was

the tip-off which would prevent the U. S. Pacific Fleet

being surprised at Pearl Harbor the way the Russians had

been surprised at Port Arthur. This was what the Navy

Communication Intelligence had been preparing for since

its establishment in 1924 -- War with Japan!

DISTRIBUTION

I immediately sent the original of the Winds Message up to the Director of Naval Communications (Rear Admiral Noyes) by one of the officers serving under me and told him to deliver this paper to Admiral Noyes in person, to track him down and not take "no" foran answer, and if he could

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not find him in a reasonable time to let me know. I did not explain the nature or significance of the Winds Message to this officer. In a few minutes I received a report to the effect that the message had been delivered.

It is my recollection that Kramer and I knew at the time that Admiral Noyes had telephoned the substance of the Winds Message to the War Department, to the "Magic" distribution list in the Navy Department, and to the Naval Aide to the President. For that reason, no immediate distribution of the smooth translation of the Winds Message was made in the Navy Department. The six or seven copies for the Army were suchd over to the War Department as rapidly as possible: here the Navy's responsibility ended. The individual smooth translations for authorized Navy Department officials and the White House were distributed at noon on December 4, 1941, in accordance with standard operating procedure. I have no reason for believing that the Army failed to make a prompt distribution of its translations of the Winds Message.

I am thoroughly satisfied in my own mind that Admiral Noyes telephoned to everyone on his list without delay:

I cannot bring myself to imagine otherwise. There is some question as to whether the Admiral was understood, but this only shows the unreliability of telephone messages. Any

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misunderstanding of what Admiral Noyes said was of negligible effect because written translations of the Winds

Message were distributed within 2 or 3 hours of his telephone calls. In fact it was not until 1944 that any suggestion or criticism was offered that any official on the

"Magic" distribution list - Navy, Army, State Department,

or White House - had not been notified that the Winds Message had been received or that the Winds Message had been

translated in any terms other than War and Peace.

My final verification of the fact that the Winds Message translation was typed and distributed lies in the fact that about December 15, 1941, I saw a copy of it in the special folder of messages which were being assembled for Admiral Noyes to present to the Roberts Commission. I checked these over with Kramer for completeness as well as for the elimination of irrelevant material. Kramer told me in 1944 that he had shown Assistant Secretary Forrestal a special set of Pre-Pearl Harbor messages about December 10, 1941, when Secretary Knox was making his personal investigation at Pearl Harbor, and that he discussed those messages with Mr. Forrestal for about two hours. This set of messages was apparently the basis and possibly the identical file that was given Admiral Noyes and shown to the Roberts Commission via Admiral Wilkinson. This was the last time I

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saw the Winds message. I believe that the translation of the Winds Message was given the JD-1 Serial number of 7001, because this number is missing and unaccounted for, and comes within the range of messages translated on December 3 and 4, 1941.

The distribution of the Winds Message was the responsibility of Naval Intelligence and not Naval Communications.

I had no responsibility in the matter after forwarding the original message to Admiral Noyes and after checking

Kramer's "folder" to see that the messages were presented in a logical and understandable order.

ACTION TAKEN AS A DIRECT RESULT OF THE WINDS MESSAGE.

About an hour after I had send the original Winds

Message up to Admiral Noyes, I received a call from him on
the inter-phone to the effect that we ought to tell Guam to
burn their excess codes and ciphers. I replied that I was
in full agreement but there were other odds and ends to
be taken care of, and that I would have some messages ready
for his approval by noon.

As a direct result of the Winds Message and other contemporaneous information from intercepted Japanese messages, I prepared the following secret message:

OPNAV 042754 (Priority) - Not yet introduced as evidence.
OPNAV 042000 (Priority) - Not yet introduced as evidence.

OPNAV 042017 (Deferred) - Page 44 of Exhibit No. 37.

OPNAV 042018 (Deferred - Not yet introduced as evidence.

OPNAV 042019 (Deferred) - Not yet introduced as evidence.

I took four of these messages up to Admiral Noyes' office, cleared them through the Assistant Director of Naval Communications (Captain Joseph R. Redman) and made an appointment to see the Admiral with his secretary, as per office instruction. I was called to his office shortly before 3:00 p.m.

OPNAV 041754 was a correction to a previous Priority message, and was sent in response to a Priority service message requesting verification of the last four groups of OPNAV 040343 (page 43 of Exhibit No. 37). I released this message myself during the noon hour to save time.

OPNAV Priority 042000 for action of CINCPAC, CINCAF, COM 16, COM 14, Guam and Samoa, made a "new Intelligence" cipher effective immediately and directed the immediate destruction of the old cipher by Guam and Samoa. This message was released by Admiral Noyes himself, and is the most important of the five which were sent on this occasion because the precedence did give some idea of urgency.

OPNAV Deferred 042017, for action of Guam and for information of CINCPAC, CINCAF, COM 14 and COM 16 was sent in the new cipher made effective by OPNAV 042000. It

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directed Guam to destroy excess cryptographic aids and other secret matter. This message was rewritten by Admiral Noyes and was released by Admiral Ingersoll. My original wording was much stronger than the message actually sent, because I had directed the destruction of everything except the system in which sent and the current edition of the Direction Finder Code. However, I was not trying to use this message as the vehicle for a war warning as I had the day before in OPNAV 031855 (page 41, Exhibit No. 37). I was just trying to insure that Grum "stripped ship" before a Japanese Commando-raid from Saipan, 100 miles away, captured a complete allowance of codes and ciphers, a matter for which I was officially responsible. Admiral Noyes made no mention of a war warning when he directed me to prepare this message and I feel sure he did not have any such warning in mind when he toned down my original draft. This message had to be sent "for Information" to CINCPAC, and others, as notification that Guam's allowance of codes and ciphers was being reduced, and as a reminder to Guam to notify the addressees what systems would be available for its future communications. This message was sent DEFERRED to insure that OPNAV 042000 would arrive well in advance and thus avoid confusion and unnecessary messages at this critical time.

OPNAV 042018 and OPNAV 042019 are not important except that they help establish the date the Winds Message was intercepted and the time and date that the unsent warning message, prepared by Commander McCollum, was seen by me.

EVALUATION OF THE WINDS MESSAGE

Evaluation of the Winds Message was not based on JD-1 #6850 and \$6875 alone. CINCAF 281430 gave much stronger translations of Tokyo Circulars 2353 and 2354, which dispelled any doubt as to whether or not WAR was meant by the literal translation:

"Japan - (blank) relations are in danger."

This message contained official British translation furnished by Singapore, from which I quote:

"NISHI NISHI ENGLAND INCLUDING OCCUPATION OF THAI OR INVASION OF MALAY AND N.E.I."

That means war, no matter how worded. No one disputed this British translation in November-December, 1941: in fact our own translation was considered consistent with it.

Two confirmations of the British translation came from the official Netherlands East Indies Government translations of Tokyo Circulars 2353 and 2354. Colonel Thorpe, the Senior Army Intelligence Officer in Java, sent an official message via the Navy addressed to General Miles, the Chief of Army Intelligence in Washington, which is a

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matter of record in previous Pearl Harbor investigations.

This message may be identified as Alusna Batavia 031030

dated December 3, 1941. I quoted from this message:

"FROM THORPE FOR MILES WAR DEPT. CODE INTERCEPT:

JAPAN WILL NOTIFY HER CONSULS OF WAR DECISION

IN HER FOREIGN BROADCASTS AS WEATHER REPORT AT END.

EAST WIND RAIN UNITED STATES:

NORTH WIND CLOUDY RUSSIA;

WEST WIND CLEAR ENGLAND WITH ATTACK ON THAILAND
MALAY AND DUTCH EAST INDIES."

Copies of this message were circulated in the Navy
Department, and the Chief of Naval Operations was indicated
as receiving a copy.

Consul General Foote, our Senior Diplomatic Representative in the Netherlands East Indies, on December 4, 1941 (Java time), which is December 3, 1941 (Washington time) sent a similar message to the Secretary of State, from which I quote:

"WHEN CRISIS LEADING TO WORST ARISES FOLLOWING WILL BE BROADCAST AT END WEATHER REPORTS:

ONE EAST WIND RAIN WAR WITH UNITED STATES,

TWO NORTH WIND CLOUDY WAR WITH RUSSIA,

THREE WEST WIND CLEAR WAR WITH BRITAIN INCLUDING

ATTACK ON THAILAND OR MALAYA AND DUTCH INDIES.

WHEN THREAT OF CRISIS EXISTS FOLLOWING WILL BE USED FIVE TIMES IN TEXTS OF GENERAL REPORTS AND RADIO BROADCASTS:

ONE HIGASHI EAST AMERICA,

TWO KITA NORTH RUSSIA,

THREE NISHI WEST BRITAIN WITH ADVANCE INTO THAILAND AND ATTACK ON MALAYA AND DUTCH INDIES.

This message was received in the State Department at 9:19 a.m. on December 4, 1941 (Washington time). Copies were forwarded to the War and Navy Departments by the State Department liaison Officer, Mr. Orme Wilson. They were given a wide circulation in the Navy Department.

My own evaluation of the foregoing, on December 4, 1941, was about as follows:

- (A) The Basic Japanese War Plan was divided into 3 categories or provided for 3 contingencies, any or all of which might be followed, namely:
 - (1) War with the United States
 - (2) War with Russia
- (3) War with England including the invasion of Thailand and the capture of Malaya and the Dutch East Indies.
- (B) The Winds Message gave us the answer in all 3 cases:

Affirmative for the 1st and 3rd categories, and Negative for the 2nd.

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(C) The Winds Message was probably a "Signal of Execute" of some sort.

The "Signal of Execute" theory received strong confirmation from a secret message received from the Philippines in the early afternoon of December 4, 1941. This message informed us that the Japanese Navy had introduced a new cipher system for its so-called "Operations Code" at 0600 GCT that date. This time was 7-1/2 hours before the Winds Message was broadcast. I might add that there was only one J-A-P European broadcast per day, so the times coincided as closely as possible. I would like to add also that my subordinates on Corregidor spotted and reported this change only nine hours after it was made. The message may be identified as Commandant 16th Naval District Priority 041502 dated December 4, 1941, and was addressed to Naval Operations and the Commandant 14th Naval District but not to the Commander-in-Chief, U. S. Pacific Fleet. So far as I know, this message has not been introduced as evidence before any previous investigation of the Pearl Harbor disaster. In fact, this is the first time it has ever been mentioned except to Admiral Hart. The unusual hour and unusual date at which the Japanese Navy changed its "Operations Code," combined with the Winds Message and other collateral information available in the Navy Department,

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made this message highly significant as the probable "Signal of Execute" to the Japanese Navy. Up till now the Winds Message has had to bear a double burden in my testimony.

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As I have previously testified, we expected that if
the Japanese did suddenly attack the United States this
attack would come on a week-end or national holiday. In
fact, a warning message to this effect had been sent out
in April, 1941 (page 1 of Exhibit No. 37). The War Department over-emphasized the imminence of war as forecast by
the "November 29, deadline" and predicted that the Japanese
would strike during the week-end of November 29-30, 1941.

The Navy Department estimated the situation more accurately - the Japanese armada which had been concentrating for the southern invasion was too far from any conceivable objective to give serious consideration to this date. Also the covering Naval forces were not yet deployed and other signs indicated that the U. S. Army estimate was a bit premature.

The next week-end, December 6-7, 1941, was just the reverse. The winds message and the change of the Naval Operations Code came in the middle of the week; 2 days to Saturday and 3 days to Sunday. It was unthinkable that the Japanese would surrender their hopes of surprise by delaying until the week-end of December 13-14, 1941. This was not crystal gazing or "intuition" -- it was just the plain, common sense acceptance of a self-evident proposition. Colonel Sadtler saw it, and so did Captain Joseph

R. Redman, U.S.N. -- according to Colonel Sadtler's testimony in 1944, before the Army Board of Investigation.

The Japanese were going to start the war on Saturday, December 6, 1941, or Sunday, December 7, 1941.

In the next sentence I would like to change the words "Pearl Harbor" to "England and the United States", so that the sentence reads:

The War and Navy Departments had been given 72 hours; advance notification of the attack on England and the United States by the Japanese themselves.

The Chairman: It is now a quarter after four, and we will recess until 10:00 o'clock tomorrow.

(Whereupon, at 4:15 o'clock p.m., the committee recessed until 10:00 o'clock a.m., Saturday, February 2, 1946.)

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