

18 Sep 1947

MEMORANDUM FOR: Mr. Crowe
FROM : EDWARD P. MONAGHAN, Chief,
Investigative Division, IPS
SUBJECT : Defense Witness

1. Please find attached hereto list of material available on the following witness and/or witnesses.

DEFENDANT

HATA

WITNESS

UGAKI, Kazunari

LIST OF MATERIAL AVAILABLE

Report by Curtis
Info from Oshima Subpoena
Curriculum Vitae
G-2 Report
Info from Shiratori Subpoena
Info from Shigemitsu Subpoena
Special Report G-2

2. Please acknowledge receipt of this memorandum by initialling and returning attached carbon copy to this office, Room 300.

Incl
(Described above)

EPM
EDWARD P. MONAGHAN

REPORT BY: John A. Curtis
4 Feb 1947

MEMORANDUM FOR THE FILE

SUBJECT: UGAKI, Kazunari (or Kazushige)

Address: A resident of Atami City, Izusan.

Personnel File on UGAKI, Kazushige #355

File 376, serial 27: While the above was still Foreign Minister, he insisted that the Cabinet Planning Board be only an execution organ and its administration duties to be left to the Foreign Office. Army opposed this plan and later forced UGAKI out of office on September 29, 1938 and set up the New Board independent of the Foreign Office with the Five Ministers as chief advisors and the Premier as President.

File 102, serial 11, Member of Cabinet Advisory Council October 1937.

ATIS Press Translation 331

File 99, serial 39

File 22, serial 12: Acted as instigator in the March Incident.

File 58, serial 80

File 60, serial 139

File 61, serial 74

File 62, serial 95

File 76, serial 103

File 300, serial 101

File 319, serial 68

File 343, serial 68

File 383, serial 72: Contains same information

File 48, serial 7: Represents left wing of old military group.

UGAKI, Kazunari (cont'd)

File 00, serial 212: As War Minister in KATO Cabinet, opposed Military retrenchment.

File 00, serial 212: Following fall of HIROTA Cabinet, UGAKI was ordered to form new Cabinet.

File 250, serial 17: Patriotic organizations

File 295, serial 1: Received an Imperial Order to form a new cabinet but the Army would not appoint a new War Minister.

File 60, serial 5:

No further information concerning the above contained in the file.

COPIES: 3 File
1 Mr. Newbill

UGAKI, Kazushige - Shizuoka Prefecture, Izu-Nagaoka

Request by OSHIMA, Hiroshi

- (a) The nationality of the witness is Japanese.
- (b) Witness was Foreign Minister in 1938, and will testify as to the circumstances of OSHIMA's appointment as Ambassador to Germany in 1938.

CURRICULUM VITAE

Birthplace: Prefecture OKAYAMA
 Former name: UGAKI Mokuji
 Present name: UGAKI Kazushige
 Date of Birth: June of 1868

<u>DATES</u>	<u>APPOINTMENTS, DISCHARGES, REWARDS, PUNISHMENTS, ETC.</u>
26 July 1890	Graduated from Military Academy
26 March 1891	Appointed 2nd Lieutenant Infantry. Attached to the 10th Infantry Regiment
15 April 1891	Discharged of former duties and attached to the 2nd Imperial Guard Infantry Regiment
3 Feb 1891	Conferred the Senior Class of the 8th Court Rank
28 Sept 1894	Appointed a lieutenant Infantry
2 Nov 1894	Conferred the Junior Class of the 7th Court Rank
15 June 1895	Discharged of former duties and attached to a company of Military Academy students
3 Oct 1895	Granted 1st class salary
19 Oct 1895	Awarded the 6th Order of Merit with Single Ray of the Rising Sun for distinguished services during the War of 1904-05, with Annuity of 70 yen. Awarded the 6th Class Order of the Rising Sun
16 Jan 1898	Discharged of former duties and attached to 33rd Infantry Regiment
10 Oct 1898	Appointed Captain Infantry. Appointed Commander of of a Company of 33rd Infantry Regiment
22 December 1898	Conferred the Senior Class of the 7th Court Rank
3 June 1901	Discharged of former duties and attached to the General Staff Office
18 Feb 1902	Relieved of duties attached to the General Staff Office and appointed a Member of the General Staff
3 July 1902	Granted 1st Class salary

22 Aug 1902	Discharged of former duties and dispatched to Germany to study Military Science
29 Nov 1902	Awarded 5th Class Order of the Sacred Treasure
13 Jan 1904	Appointed Major, Infantry
22 March 1904	Conferred Junior class of the 6th Court Rank
5 April 1904	Relieved of former duties to stay in Germany and attached to the General Staff Office
27 Nov 1905	Awarded 8th Degree of the 3rd Order of Korea with the permission to wear it
28 Dec 1905	Relieved of duties attached to the General Staff Office and appointed a member of the General Staff
24 Feb 1906	Discharged of former duties and dispatched to Germany to study Military Science
1 April 1906	Decorated with the 4th Class Order of the Golden Kite and the War Medal for services in the War of 1904-05. Awarded the Imperial Order of the Rising Sun with the little Cordon of the 4th Class
13 Nov 1907	Appointed Lieutenant-Colonel, Infantry
27 Dec 1907	Conferred the Senior Class of the 6th Court Rank
5 Feb 1908	Discharged of duties to stay in Germany and appointed a member of the General Staff
21 April 1908	Returned to Japan
19 Dec 1908	Discharged of former duties and appointed member of the Military Training Department and member of the General Staff
20 Jan 1909	Appointed a member of Investigation Committee for revising the Infantry Drill Regulations. Dis-
1 August 1909	charged of former duties and appointed Chief of the 1st Section of the Military Training Department; Ordered to be a staff
18 April 1910	Appointed a Member of Investigation Committee for revising the Field Artillery Drill Regulations.
14 June 1910	Appointed a Member of the Investigation Committee for revising the Heavy Artillery Drill Regulations

30 Nov 1910	Appointed a Colonel, Infantry.
9 Jan 1911	Appointed Concurrently a Commissioner for the Army Technical Committee
10 Feb 1911	Conferred the junior class of the 5th Court Rank
9 Sept 1911	Discharged of former duties and appointed Chief of Military Service Section in the Military Service Department, War Ministry
4 June 1912	Appointed a Member of the Military Motor Car Investigation Committee
31 July 1912	Appointed commissioner for the Imperial Funerals (of Emperor Meiji)
1 August 1912	Appointed member of the Funeral Ceremony Staff
8 November 1912	The system of Imperial Funeral Office abolished
17 Dec 1912	Appointed member of Examination Committee for Military Intendance School for 1912
11 March 1913	Awarded Russian Order of the Saint Anne of 2nd Degree and permission to wear it. Discharged of duties of concurrent post in the Army Technical Committee
31 August 1913	Relieved of duties as Member of Military Motor Car Investigation Committee. Discharged of active service and appointed commander of the 6th Infantry Regiment
16 May 1914	Awarded Imperial Order of the 3rd Class Sacred Treasure
25 Jan 1915	Discharged of former service and appointed Chief of Military Affairs Office of the Military Service Department, War Ministry
9 Feb 1915	Appointed a Member of Examination Committee of the Military Intendance School for 1915
12 April	Appointed Commissioned Official attached to the Commissioner of the Coronation and was ordered to take service at the Office of the Rites Section

21 April 1915 Appointed Member of the Military Motor Car Investigation Committee

9 August 1915 Discharged of the duties of Member of the Military Motor Car Investigation Committee and of duties as member of the Military Intendance School Examination Commission for 1915

10 Aug 1915 Appointed Major General. Appointed President of the Infantry Officers School

10 Sept Conferred the senior class of the 5th Court Rank

24 March 1916 Discharged of former duties and appointed the Chief of the 1st Section of the General Staff

7 Nov 1915 In connection with meritorious services during the War of 1914-15 awarded Middle Cordon of the Order of the Rising Sun and granted ¥900. Awarded a War Medal for 1914-15 War Services.

18 Aug 1916 Appointed a Member of the National Defense Committee

8 March 1918 Dispatched to China

29 March 1918 Appointed member of a Committee for the Conclusion of a Military Agreement between Japan and China

May 1918 Ordered to return to Japan

18 June 1918 Awarded the 3rd Degree of "Bunko" Order of the Chinese Republic and the permission to wear it

29 Sept 1918 Awarded the Imperial Order of the 2nd Class Sacred Treasure

1 Nov 1918 Relieved of former duties and appointed the Chief of General Affairs Office of the General Staff, and concurrently the Chief of the 1st Section of the General Staff

8 Nov 1918 Appointed a Member of the Munitions Supply Com.

19 Dec 1918 Awarded the Great Cordon of the 2nd Class Order of "Kakuma" of Chinese Government and the permission to wear it

15 Jan 1919 Discharged of former duties

21 Feb 1919 Appointed a Member of the National Census Committee

1 April 1919	Discharged of former duties and appointed the Chief Director of the Military Academy
23 April 1919	Relieved of duties as Member of the Munitions Supply Committee
12 May 1919 Census	Relieved of duties as Member of the National Census Committee. Discharged of duties as secretary of the National Defense Committee
23 April 1919	Appointed a member of the Council for the Crown Prince's study in connection with the abolition of the said Council from 1st March of 1921, discharged of the above duties.
25 July 1919	Appointed Lieutenant-General
10 Sept 1919	Conferred junior class of the 4th Court Rank
1 March 1921	Awarded a Golden Sake Cup for meritorious services in connection with the Crown Prince's Study Council
11 March 1921	AWARDED Relieved of former duties and appointed Commander in Chief of the 10th Division
1 Nov 1920	Awarded the Imperial Order of Double Rays of the Rising Sun and a sum of 3900 yen. This was granted in connection with meritorious services during 1915-20 War and conclusion of the Peace Treaty with Germany
30 Sept 1921	Conferred the senior class of 4th Court Rank
13 May 1922	Discharged of former duties and appointed Chief of the Educational General Inspection Section and concurrently the Permanent Chief of the Cadets Examination Commission
26 June 1922	Appointed a member of the Investigation Committee for Educational Text Books
10 Oct 1923	Relieved of former active duties and concurrent duties
10 Oct 1923	Appointed Vice War Minister
10 Oct 1923	Promoted to the 1st Rank of the Higher Civil Service and appointed Chief of the Military Technical Council
18 Oct 1923	Appointed a Councillor to the Imperial Restoration Board after the Great Earthquake

25 Oct 1923	Attached to the Central City Planning Bureau
1 Nov 1923	Discharged of duties as member of the Investigation Committee for Educational Text Books
5 Nov 1923	Appointed a member of the National Property Investigation Commission
9 Nov 1923	Appointed Member of the Maritime Committee
1 Dec 1923	Appointed Vice-Chairman of the Horse Breeding Investigation Committee
3 Dec 1923	Appointed a Member of the Harbors Investigation Commission and Highways Commission
10 Dec 1923	Appointed a Member of the Administrative Commission under the jurisdiction of the War Ministry
17 Dec 1923	Appointed a Member of the Railroads Commission
25 Dec 1923	Appointed a Member of the Administrative Service under jurisdiction of the War Ministry
7 Jan 1924	Appointed War Minister
10 Jan 1924	Conferred the junior class of the 3rd Court Rank
12 Jan 1924	Discharged of duties as Chief of the Military Technical Committee
30 Jan 1924	Relieved of the duties as member of the National Property Investigation Commission
4 Feb 1924	Relieved of duties as a member of the Harbors Investigation Commission and of duties as a member of Highways Improvement Commission
7 Feb 1924	Relieved of duties as vice Chief of the Horse Breeding Investigation Commission
23 Feb 1924	Awarded 1st Class Imperial Order of Sacred Treasure
25 Feb 1924	According to Imperial Ordinance No 25 the Official System for Restoration of the National Capital is abolished
26 Feb 1924	Relieved of duties as a Member of the Maritime Commission
6 March 1924	Relieved of duties as a member of the Central city Planning Bureau.

18 July 1924	Relieved of duties as a member of the Railroads Commission
1 August 1925	Appointed General
15 Jan 1927	Conferred the senior class of the 3rd Court Rank
15 April	Appointed temporary Acting-Governor General of Korea
19 April 1927	Awarded Grand Cordon of the Imperial Order of the Rising Sun
20 April 1927	Discharged of duties at own request
20 April 1927	Appointed a Councillor for Military Affairs
20 April 1927	Appointed temporary Acting Governor-General of Korea
1 Oct 1927	Relieved of duties as temporary acting Governor-General of Korea
12 April 1928	Appointed Commander in Chief of the Military Parade during Imperial Birthday Review Ceremony
28 Feb 1929	Appointed 1st Special Inspector
2 June 1929	Discharged of former duties
2 June 1929	Appointed War Minister
15 July 1929	Appointed vice-president of the Natural Resources Investigation Commission
1 Feb 1930	Conferred the junior class of 2nd Court Rank
14 April 1931	Discharged of duties at own request
14 April 1931	Awarded the Privileges of former Posts
14 April 1931	Appointed War Councillor
17 June 1929	Put on Reserve list at own request
17 June	Appointed Governor-General of Korea
1 April 1934	Put on the Second Reserve List
29 April 1934	Awarded a set of Golden Sa _{ke} Cups (in connection with meritorious services during 1917-1934)

5 August 1936	Discharged of duties at own request
5 August 1936	Awarded Special honors and privileges as a Minister of State
5 August 1936	Awarded the Grand Cordon of the Imperial Order of the Rising Sun with Paulownia Flowers
15 Oct 1937	Appointed Councillor to the Cabinet
26 May 1938	Appointed Foreign Minister
27 May 1938	Relieved of duties of a Councillor to the Cabinet at own request
1 June 1938	Conferred the senior class of 2nd Court Rank
25 June 1938	Appointed concurrently Minister of Colonies
2 June 1938	Made a report to H.I.M. the Emperor concerning inspection tour through prefectures: Mie, Nara, Kyoto and Sichi
30 Sept 1938	Relieved of active service and concurrent duties at own request
30 Sept 1938	Awarded honors and privileges of former duties.

GENERAL HEADQUARTERS
FAR EAST COMMAND
Operations, CIS, G-2
Compilation Branch

SUBJECT: UGAKI, Kazunari General

1944 SHIGEMITSU gave a banquet for former Foreign Ministers, Vice-Ministers and diplomats. Among those present were Koki HIROTA, UGAKI, NOMURA, YOSHIKAWA, Seomu TOYODA, Shigenori TOGO and Tsuneo MATSUDAIRA.

SHIGEMITSU explained negotiations which led to the conclusion of recent Japanese-Soviet agreements and also gave a long review on the current international situation.

Subject left Tokyo 9/15/44 by air for Keijo on tour of inspection of Manchukuo and China. Accompanied by Lt. Gen. Rihachiro BAZAI and his secretary, Yoshio YANA. (FCC 9/12/44).

His Majesty the Emperor of Manchukuo today granted an audience at the Imperial Palace to General UGAKI. Prior to the Imperial audience, General UGAKI paid homage at the Kienkuo Mausoleum. Retiring from the Palace, UGAKI made a courtesy call on Premier General CHANG CHING HUI at the latter's official residence. UGAKI during the afternoon conferred with Rokuzo TAKEBE, Director-General of the General Affairs Board, as well as other government leaders. This evening he was a guest at a dinner party given by Premier Chang. (RT 9/18/44).

Arrived Peking from Hsinking on Sept. 19 on an inspection tour, conferred with General Naosaburo OKABE, Supreme Commander of the Japanese Forces in North China on Sept. 20. On the same day General UGAKI also met with Vice-Admiral Kiyonobu SHIOZAWA, Japanese Minister and later made an official call on WANG KEH MIN, Chairman of the North China Political Affairs Council, who later honored UGAKI at a banquet. (FCC 9/22/44). WANG KEH MIN and UGAKI exchanged views on the current situation in Nanking, China. (FCC 9/28/44).

SOURCE: OWI, 10 July 1945.

UGAKI, Kazushigo

Request by Shiratori, Toshio

Address: Present address of this witness is unknown.

Formerly a general in the Japanese Army. His last official position was Foreign Minister of Japan.

UGAKI, Kazunari

Request by Shigemitsu, Mamoru

Witness is now in Atami City, Izu.

Defendant further requests the Tribunal, in accordance with Sect. III, Art. 9 (e) of the charter, to order the production of the following documents necessary for his defense:

Document

Location

Diary of M. M. Litvinov, former Commissar of Foreign Affairs of the Soviet Union (from July 1, 1938 to Aug. 31, 1938) excerpts were introduced as Exhibit No. 754.

I.P.S., War Ministry
Bldg., Tokyo, Japan

The facts to be proved by this witness and document will show the policies, utterances, actions and intent of the accused Shigemitsu, during the time in which he is charged by the indictment and the facts regarding events with which he is charged.

The relevance of the testimony of this witness, who was associated with the accused Shigemitsu during the time charged in the indictment, or had personal knowledge of such events is, in its tendency, to establish that the accused Shigemitsu took no part in any of the conspiracies charged to him; and was not in any way connected with the planning, initiating or waging of wars of aggression; and was, furthermore, in no way connected with the commission of any crimes against peace and is not guilty under the indictment or any of its counts.

The date upon which attendance of the witness will be required to testify cannot at this time be stated accurately, but attendance for consultation with defense counsel is required forthwith.

The date of production of above document will be after the commencement of the defense of the accused.

General Kagushige (Kazunari) UGAKI: Former Cabinet Minister.

1868 June	Born Okayama Prefecture.
1891	Graduated Military Academy. 2nd Lt., Infantry.
1900	Graduated Military Staff College with highest honors.
1905	Participated Russo-Japanese War on staff of First Army.
"	Military Attache in Germany. Commander 6th Infantry Regiment.
1912-13	Chief, Military Affairs Section, War Office.
1915	Chief, Military Affairs Section, War Office, Major General.
1916-18	Chief, 1st Bureau, General Staff.
1919	Commandant, Army Staff College. Lieutenant General.
1921	Commander 10th Division.
1922-23	Director, General Affairs Bureau, Inspectorate.
1924 Jan-June	Minister of War in Kiyoura Cabinet.
1924-26	Minister of War in 1st and 2nd Takaaki Kato Cabinets.
1926-27	Minister of War in Wakatsuki Cabinet.
1925	General.
1927-28	Member Supreme Military Council.
1929-31	Minister of War in Hamaguchi Cabinet.
1931	Retired from army.
1931-36	Governor General of Korea.
1937 Jan.	Failed to carry out Emperor's request to form Cabinet.
1937	Appointed to Cabinet Advisory Council.
1938 May-Sept.	Minister of Foreign Affairs in 1st Konoye Cabinet.
1938 June-Sept.	Overseas Minister in same.

Address: 1, Naito-cho 1-chome, Yotsuyaku, Tokyo.

An able soldier, administrator, and shrewd politician. Largely responsible for modernization of Japanese Army. Retired from army in 1931 to become active in politics. Following resignation of Hirota Cabinet in January 1937, the Emperor directed Ugaki to form a new cabinet. The selection met with immediate and unqualified approval of all groups except the army who refused to designate a Minister of War. Ugaki therefore was obliged to inform the Emperor of his failure to form a new government. Army opposition to Ugaki had existed for some time and was believed to be based on his assent to decrease the army by four divisions in 1925, as well as his moderate views on governmental reorganization, augmented national defense, and economic reform to meet military needs. (1, 150, 151, 142)

Ambassador Grew in "Ten Years in Japan" states that Ugaki accepted Foreign Office portfolio in May 1938 on condition that political and economic affairs in China would be taken out of army's hands. He assured Ambassador Grew of his earnest desire to develop good relations with United States and said he would guarantee protection of American interests in China; further, that "Open Door" in China would be maintained and that Japanese Government would fully respect principle of equal opportunity

General Karushige (Kasunari) UGAKI: (cont'd)

there. Was unable to carry out promises and on 28 September 1938 resigned from Cabinet after dispute with army over control of special organ to be created for China's exploitation. Rather than surrender to army all Foreign Office functions in China, he chose to quit.

His administration of Korea was brilliant and relatively moderate compared to that of Generals Minami and Koiso, but more strict than that of the late Admiral Saito, his predecessor. Ugaki supported the continental expansion policy without approving methods used by Japanese Army leaders. (71)

Ugaki has been in relative obscurity for several years, but during September and October 1944 made an extensive inspection tour of Manchuria and China as far south as Shanghai in company with General Hanzai. He is a cousin of Vice/^{Admiral} Kanji Ugaki, currently in command of Ominato Naval Station.