# MERCHANT SHIPPING (SECURITY OF SHIPS AND PORT FACILITIES) ORDINANCE

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#### HONG KONG SPECIAL ADMINISTRATIVE REGION

Ordinance No. 13 of 2004



TUNG Chee-hwa Chief Executive 24 June 2004

An Ordinance to implement the December 2002 amendments to the International Convention for the Safety of Life at Sea, 1974 and the International Ship and Port Facility Security Code and related provisions in the Convention to enhance security of ships and port facilities; and to provide for incidental or related matters.

[25 June 2004]

Enacted by the Legislative Council.

#### 1. Short title

This Ordinance may be cited as the Merchant Shipping (Security of Ships and Port Facilities) Ordinance.

#### 2. Ordinance binds the Government

This Ordinance binds the Government.

## 3. Interpretation

- (1) In this Ordinance, unless the context otherwise requires—
- "Administration" (主管機關), in relation to a ship, means the government of the state whose flag the ship is entitled to fly;
- "authorized officer" (獲授權人員) means—
  - (a) any officer of the Marine Department of or above the rank of Marine Inspector Class II;
  - (b) any police officer of or above the rank of Sergeant; or
  - (c) any public officer authorized under section 9;

- "the Code" (《國際規則》) means the International Ship and Port Facility Security Code adopted by the Conference of Contracting Governments to the International Convention for the Safety of Life at Sea, 1974 on 12 December 2002:
- "Contracting Government" (締約政府) means the government of a party to the Convention;
- "the Convention" (《公約》) means the International Convention for the Safety of Life at Sea, 1974 (SOLAS);
- "designated port facility" (經指定港口設施) means a port facility designated as a designated port facility under section 7;
- "Director" (處長) means the Director of Marine;
- "high-speed craft" (高速船) means a craft capable of a maximum speed in metres per second equal to or exceeding 3.7V<sup>0.1667</sup> where V is the displacement corresponding to the design waterline (m³);
- "Hong Kong ship" (香港船舶) means a ship which is registered in Hong Kong under the Merchant Shipping (Registration) Ordinance (Cap. 415);
- "international voyage" (國際航程) means a voyage from—
  - (a) a place of a party to the Convention to a place outside the party; or
- (b) a place outside a party to the Convention to a place of the party; "management" (管理人), in relation to a port facility, means the owner, occupier or operator of the port facility;
- "non-Hong Kong ship" (非香港船舶) means a ship other than a Hong Kong ship;
- "port facility" (港口設施) means a location (including an anchorage, a waiting berth and an approach from seaward) where ship/port interface takes place;
- "recognized security organization" (經認可的保安組織) means a recognized security organization recognized under section 8;
- "Secretary" (局長) means the Secretary for Economic Development and Labour;
- "ship" (船舶) means—
  - (a) a ship (including a high-speed craft) that carries more than 12 passengers and is engaged on international voyages; or
  - (b) a cargo ship (including a high-speed craft) of 500 gross tonnage or upwards that is engaged on international voyages,
  - and includes a mechanically propelled vessel capable of engaging in drilling operations for the exploration for or exploitation of resources beneath the sea-bed such as liquid or gaseous hydrocarbons, sulphur or salt, not on location;
- "ship/port interface" (船/港界面) means interactions that occur when a ship is directly and immediately affected by actions involving the movement of persons or goods or provision of port services to or from the ship.

(2) A reference in this Ordinance to the Convention or the Code is a reference to the Convention or the Code, as the case may be, as amended from time to time.

# 4. Application to ships and vessels

- (1) This Ordinance applies—
  - (a) to a Hong Kong ship, whether or not it is in Hong Kong;
  - (b) to a non-Hong Kong ship intending to enter Hong Kong or in Hong Kong; and
  - (c) for the purposes of imposing restriction for abating or containing any security threat, to any vessel in Hong Kong.
- (2) This Ordinance does not apply to—
  - (a) warships;
  - (b) naval auxiliaries; and
  - (c) other vessels owned or operated by a government and used only on government non-commercial service.

# 5. Extent of application to certain designated port facilities

The Director may specify the extent of application of the Convention and the Code in relation to any designated port facility which—

- (a) is used primarily by vessels not engaged on international voyages; and
- (b) is required occasionally to serve ships to which this Ordinance applies.

#### 6. Rules

- (1) The Secretary may make rules for the purposes of this Ordinance.
- (2) Without prejudice to the generality of subsection (1), rules made under this section may—
  - (a) require compliance with the Convention and the Code in relation to ships and designated port facilities;
  - (b) create offences for the purpose of paragraph (a) and provide for imprisonment not exceeding 3 years and a fine not exceeding \$500,000;
  - (c) empower the Director to delegate to any recognized security organization functions relating to security of ships or designated port facilities that may be performed by such recognized security organization under the Code subject to the exceptions specified in section 4.3 of part A of the Code;

- (d) make procedural provision for the delegation pursuant to the power under paragraph (c);
- (e) provide for the setting of security levels by the Director;
- (f) empower the Director or any person designated by him to give security instructions when the highest security level is set;
- (g) make provision for appeal against a decision made by the Director under this Ordinance;
- (h) require compliance with requirements of the security levels referred to in paragraph (e) in relation to ships and designated port facilities;
- (i) require compliance with security instructions referred to in paragraph (f);
- (j) confer on the Director any power that may be exercised by—
  - (i) a Contracting Government;
  - (ii) an Administration; or
  - (iii) any person who is authorized by a Contracting Government,

under Chapter XI-2 of the Convention or the Code;

- (k) make provision for—
  - (i) the specification of the extent of application under section 5: and
  - (ii) the designation of designated port facilities under section 7;
- (l) empower the Director to charge fees and make provision for the recovery of such fees;
- (m) empower the Director to declare any area of the waters of Hong Kong to be an area closed to all vessels or to any class or type of vessels for security reasons.
- (3) The rules made under this section, to the extent required for the operation of section 4, have effect outside Hong Kong.
- (4) The rules made under this section may amend the Schedule to the Administrative Appeals Board Ordinance (Cap. 442) to make any decision made under the rules subject to appeal under that Ordinance.

# 7. Designation of designated port facility

- (1) The Director may by notice published in the Gazette—
  - (a) designate any port facility as a designated port facility;
  - (b) vary any particulars of a designated port facility including the delineation of its boundary, its hours of operation and its name; or
  - (c) declare that a designated port facility ceases to be a designated port facility.
- (2) A notice published under subsection (1) is not subsidiary legislation.

- (3) The management of a port facility may appeal to the Administrative Appeals Board against a decision of the Director—
  - (a) to designate or not to designate the port facility as a designated port facility; or
  - (b) to declare or not to declare that the port facility ceases to be a designated port facility.
- (4) The lodging of an appeal under subsection (3) against a decision does not prevent the decision from taking effect.
- (5) The Director shall make, in such manner as he thinks fit, available for inspection free of charge by the public a list of all designated port facilities.

## 8. Recognized security organizations

- (1) The Director may in writing recognize any person who or organization which—
  - (a) has appropriate expertise in security matters and appropriate knowledge of ship and port operations; and
  - (b) is widely recognized in the international maritime community as having good standing,

as a recognized security organization.

- (2) The Director may revoke a recognition given under subsection (1) if he is satisfied that the recognized security organization concerned does not meet the requirements prescribed in subsection (1)(a) and (b).
- (3) The Director shall not exercise his power under subsection (2) without—
  - (a) giving adequate prior notice of his intention to do so to the recognized security organization concerned; and
  - (b) giving the organization an opportunity to make representation.
- (4) Any person or organization aggrieved by a decision of the Director to revoke a recognition under subsection (2) may appeal to the Administrative Appeals Board against the decision.
- (5) The lodging of an appeal under subsection (4) against a decision does not prevent the decision from taking effect.

#### 9. Authorized Officers

- (1) The Director may authorize in writing any public officer to be an authorized officer.
- (2) An authorized officer may exercise such powers or perform such duties as may be conferred or imposed on him pursuant to this Ordinance, the Convention or the Code.

# 10. Inspections and control of ships

Subject to sections 12 and 13, an authorized officer may, for the purposes of ascertaining whether or ensuring that the provisions of this Ordinance which relate to ships are complied with, exercise one or more of the following powers—

- (a) to board and inspect a ship;
- (b) to require the master or owner or charterer of a ship or any person who is responsible for the operation of the ship or any other person on board the ship to produce such documents and give such information as specified by an authorized officer;
- (c) to examine and copy any document referred to in paragraph (b);
- (d) to take such measurements and photographs and make such records as he considers necessary;
- (e) to direct any person referred to in paragraph (b) to take such actions as are necessary to ensure that the provisions are complied with;
- (f) if a direction made under paragraph (e) is not complied with, to detain the ship until the direction is complied with.

## 11. Inspections of designated port facilities

Subject to sections 12 and 13, an authorized officer may, for the purposes of ascertaining whether or ensuring that the provisions of this Ordinance which relate to designated port facilities are complied with, exercise one or more of the following powers—

- (a) to enter and inspect a designated port facility;
- (b) to require the management of a designated port facility to produce such documents and give such information as specified by an authorized officer;
- (c) to examine and copy any document referred to in paragraph (b);
- (d) to take such measurements and photographs and make such records as he considers necessary;
- (e) to direct any person referred to in paragraph (b) to take such actions as are necessary to ensure that the provisions are complied with.

## 12. Magistrate's warrants

- (1) An authorized officer shall not enter any part of a ship or a designated port facility which is used solely for dwelling purpose pursuant to section 10 or 11 except by virtue of a warrant issued by a magistrate under subsection (2).
- (2) If a magistrate is satisfied by information on oath by an authorized officer that it is necessary, for the purpose of ascertaining whether or ensuring that the provisions of this Ordinance which relate to ships or designated port facilities are complied with, to enter any part of a ship or a designated port facility which is used solely for dwelling purpose pursuant to section 10 or 11, he may issue a warrant to an authorized officer to effect entry.

## 13. Further provisions as to powers of inspection

- (1) An authorized officer shall produce proof of his identity and authority upon request before or on exercising his powers under section 10 or 11.
- (2) An authorized officer may, if necessary, use reasonable force in exercising his powers under section 10 or 11.
- (3) A person who fails to comply with a requirement or direction made under section 10 or 11 commits an offence and is liable on conviction to a fine at level 5 and to imprisonment for 6 months.
- (4) A person who obstructs an authorized officer in exercising his powers under section 10 or 11 commits an offence and is liable on conviction to a fine at level 3 and to imprisonment for 3 months.
- (5) If, in response to a requirement made under section 10(b) or 11(b), a person—
  - (a) produces or gives to an authorized officer any document or information that he knows to be false in a material particular; or
  - (b) produces or gives to an authorized officer any document or information that is false in a material particular and being reckless as to whether the document or information is true in such particular,

the person commits an offence and is liable on conviction to a fine at level 5 and to imprisonment for 6 months.

# 14. Exemption

- (1) The Director may grant exemption from any provision of this Ordinance for—
  - (a) ships engaged on short international voyages on fixed routes between designated port facilities and port facilities outside Hong Kong; or

- (b) such designated port facilities,
- if they are covered by an agreement between the Government and another Contracting Government on alternative security arrangements.
- (2) The Director may grant exemption from any provision of this Ordinance for a Hong Kong ship or a class of Hong Kong ships if he is satisfied that security measures which are at least as effective as those provided for in the provision have been implemented in relation to the ship or class of ships.
- (3) The Director may grant exemption from any provision of this Ordinance for a designated port facility or a class of designated port facilities which is not covered by an agreement referred to in subsection (1) if he is satisfied that security measures which are at least as effective as those provided for in the provision have been implemented in relation to the port facility or the class of port facilities.

## 15. Master's discretion for ship safety and security

If the master of a ship takes or executes any decision which, in his professional judgment, is necessary to maintain the safety and security of the ship, the taking or execution of that decision shall not by itself constitute a breach of any duty owed to any person by him under any contract (including an employment contract).

# 16. Duty to avoid undue detention or delay

- (1) When the Government—
  - (a) imposes control measures under paragraph 1 of Regulation XI-2/9 of the Convention; or
- (b) takes steps under paragraph 2 of that Regulation, it has a duty to make all possible efforts to avoid a ship being unduly detained or delayed.
- (2) Failure to discharge the duty under subsection (1) shall, for the purpose of the law of tort, be a breach of statutory duty.

### 17. Access to Convention and Code

The Director shall—

- (a) keep a copy of the English and Chinese texts of Chapter XI-2 of the Convention and the Code at his office; and
- (b) allow the public to inspect such texts during normal business hours free of charge.

# **Consequential Amendments**

# **Administrative Appeals Board Ordinance**

#### 18. Schedule amended

The Schedule to the Administrative Appeals Board Ordinance (Cap. 442) is amended by adding—

"64. Merchant Shipping (Security of Ships and Port Facilities)
Ordinance (13 of 2004)

A decision of the Director of Marine under section 7(1)(a) or (c) or 8(2)."