

# Lambda Calculus - Informal description (1A)

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- **CFG for the Lambda Calculus**
- Function Abstraction
- Function Application
- Free and Bound Variables
- Beta Reductions
- Evaluating a Lambda Expression
- Currying
- Renaming Bound Variables by Alpha Reduction
- Eta Conversion
- Substitutions
- Disambiguating Lambda Expressions
- Normal Form
- Evaluation Strategies

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

# CFG for Lambda Calculus (1)

The central concept in the **lambda calculus** is an **expression** which we can think of as a program that returns a result when evaluated consisting of *another lambda calculus expression*.

Here is the grammar for lambda expressions:

$\text{expr} \rightarrow \lambda \text{variable} . \text{expr} \mid \text{expr expr} \mid \text{variable} \mid (\text{expr}) \mid \text{constant}$

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# CFG for Lambda Calculus (2)

$\text{expr} \rightarrow \lambda \text{variable} . \text{expr} \mid \text{expr expr} \mid \text{variable} \mid (\text{expr}) \mid \text{constant}$

A **variable** is an identifier.

A **constant** is a built-in function such as *addition* or *multiplication*,  
or a constant such as an *integer* or *boolean*.

all **programming language constructs**

can be represented as **functions**

with the pure lambda calculus

so these **constants** are unnecessary.

However, some constants may be used for notational simplicity.

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- Function Application
- Free and Bound Variables
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# Function Abstraction (1)

A **function abstraction**, often called a **lambda abstraction**, is a **lambda expression** that defines a **function**.

A **function abstraction** consists of *four parts*:

a **lambda** followed by a **variable**, a **period**, and then an **expression** as in  **$\lambda x.expr$** .

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# Function Abstraction (2)

For example, the function abstraction  $\lambda x. + x 1$  defines a **function of x** that *adds x* to **1**.

**Parentheses** can be added to lambda expressions for clarity.

Thus, we could have written this function abstraction as  $\lambda x.(+ x 1)$  or even as  $(\lambda x. (+ x 1))$ .

In C this function definition might be written as

```
int addOne (int x) {  
    return (x + 1); }  
}
```

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# Function Abstraction (3)

Note that unlike C  
the **lambda abstraction** does not give a **name** to the **function**.

The **lambda expression** itself is the **function**.

We say that  $\lambda x. \text{expr}$  binds the **variable**  $x$  in **expr** and  
that **expr** is the **scope** of the **variable**.

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- CFG for the Lambda Calculus
- Function Abstraction
- **Function Application**
- Free and Bound Variables
- Beta Reductions
- Evaluating a Lambda Expression
- Currying
- Renaming Bound Variables by Alpha Reduction
- Eta Conversion
- Substitutions
- Disambiguating Lambda Expressions
- Normal Form
- Evaluation Strategies

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# Function Application (1)

A **function application**, often called a **lambda application**, consists of an **expression** followed by an **expression**:

**expr1 expr2.**

The first **expression** **expr1** is

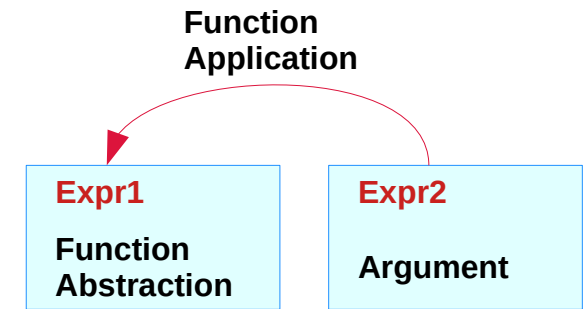
a **function abstraction**

the second **expression** **expr2** is

the **argument** to which the **function** is applied.

All **functions** in **lambda calculus** have exactly one argument.

**Multiple-argument functions** are represented by **currying**,



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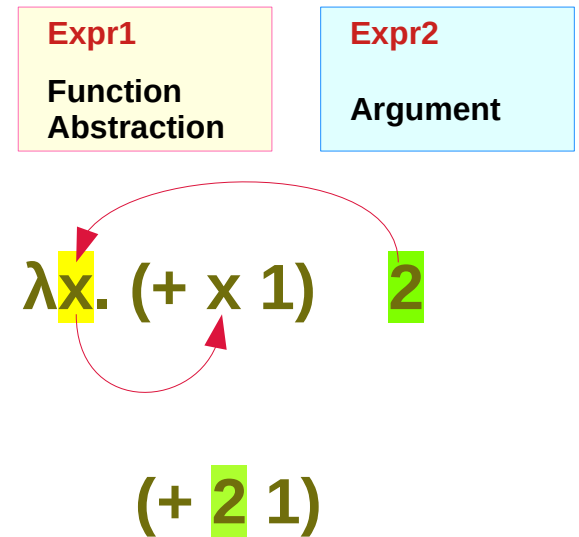
# Function Application (2)

the **lambda expression**  $\lambda x. (+ x 1)$  **2**  
is an **application** of the **function**  $\lambda x. (+ x 1)$  to the **argument** **2**.

This function application  $\lambda x. (+ x 1)$  **2** can be evaluated  
by substituting the **argument** **2** for the **formal parameter**  $x$   
in the **body**  $(+ x 1)$ .

Doing this we get  $(+ 2 1)$ .

This substitution is called a **beta reduction**.



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# Function Application (3)

**Beta reductions** are like macro substitutions in C.

To do **beta reductions** correctly, we may need to **rename bound variables** in **lambda expressions** to avoid name clashes.

**function application** associates left-to-right; thus,

$$f\ g\ h = (f\ g)\ h$$

**function application** binds more tightly than  $\lambda$ ; thus,

$$\lambda x. f\ g\ x = (\lambda x. (f\ g)\ x).$$

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# Function Application (4)

**Functions** in the lambda calculus are **first-class citizens**;

**functions** can be used as arguments to functions

**functions** can return functions as results.

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- Function Abstraction
- Function Application
- **Free and Bound Variables**
- Beta Reductions
- Evaluating a Lambda Expression
- Currying
- Renaming Bound Variables by Alpha Reduction
- Eta Conversion
- Substitutions
- Disambiguating Lambda Expressions
- Normal Form
- Evaluation Strategies

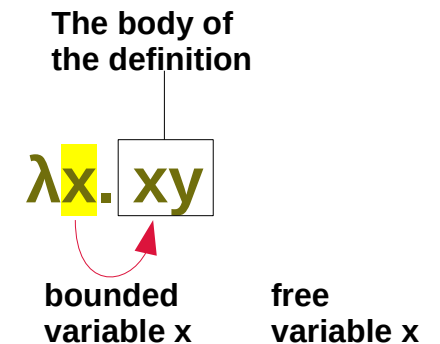
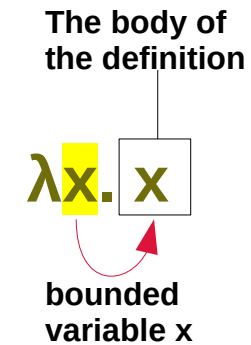
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# Free and bound variables (1)

In the function definition  $\lambda x.x$   
the **variable**  $x$  in the **body** of the definition (the second  $x$ )  
is **bound** because its first occurrence in the definition is  $\lambda x$ .

A **variable** that is not bound in **expr**  
is said to be **free** in **expr**.

In the function  $(\lambda x.xy)$ ,  
in the **body** of the **function**  
the **variable**  $x$  is **bound**  
the **variable**  $y$  is **free**.



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# Free and bound variables (2)

Every variable in a **lambda expression** is  
either **bound** or **free**.

**Bound** and **free variables** have  
quite a different status in functions.

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# Free and bound variables (3)

In the expression  $(\lambda x.x)(\lambda y.yx)$ :

in the **body** of the leftmost expression

the **variable x** is **bound** to the first lambda.

in the **body** of the second expression

the **variable y** is **bound** to the second lambda.

the **variable x** is **free**

independent of the **x** in the first expression.

The first  
lambda

The second  
lambda

$(\lambda x. x) (\lambda y. yx)$

variable x  
bounded  
to the 1<sup>st</sup>  
labmda

variable y  
bounded  
to the 2<sup>nd</sup>  
labmda

variable x  
free

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# Free and bound variables (4)

In the expression  $(\lambda x. xy)(\lambda y. y)$ :

in the body of the leftmost expression  
the **variable y** is **free**.

in the body of the second expression  
the **variable y** is **bound** to the second lambda.

The first  
lambda

The second  
lambda

$(\lambda x. xy) (\lambda y. y)$

variable x  
bounded  
to the 1<sup>st</sup>  
lambda

variable y  
bounded  
to the 2<sup>nd</sup>  
lambda

variable y  
free

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# Free and bound variables (5)

Given an **expression**  $e$ , the following rules define  $FV(e)$ ,  
the set of **free variables** in  $e$ :

If  $e$  is a **variable**  $x$ , then  $FV(e) = \{x\}$ .

If  $e$  is of the form  $\lambda x.y$ , then  $FV(e) = FV(y) - \{x\}$ .

If  $e$  is of the form  $xy$ , then  $FV(e) = FV(x) \cup FV(y)$ .

An expression with no **free** variables is said to be **closed**.

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- Function Application
- Free and Bound Variables
- Beta Reductions
- Evaluating a Lambda Expression
- **Currying**
- Renaming Bound Variables by Alpha Reduction
- Eta Conversion
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<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

# Currying (1)

All **functions** in the **lambda calculus** are

**prefix** and

take *exactly one* argument.

If we want to apply a **function** to more than one argument,

we can use a technique called **currying**

that treats a **function** applied to more than one argument

to a sequence of applications of **one-argument functions**.

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# Currying (2)

For example, to express the sum of 1 and 2

we can write  $(+ 1 2)$  as  $((+ 1) 2)$

the expression  **$(+ 1)$**  denotes the **function**  
that adds 1 to its **argument**.

Thus  $((+ 1) 2)$  means

the **function**  $+$  is applied to the **argument** 1

the **result** is a **function**  **$(+ 1)$**  that adds 1 to its **argument**:

$$(+ 1 2) = ((+ 1) 2) \rightarrow 3$$

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# Currying (3)

In lambda calculus, each input is preceded by a  $\lambda$  symbol.

A function can have more than one input.

Currying a **function** of two inputs transforms that function into a **function** with one input by passing one of the **inputs** into it.

currying turns **f(x,y)** to **g(y)**

**g** is **f** with **x** passed into it.

**g** only takes one input, **y**.

**f(x,y) = x + y** if **x = 3** then **f(3,y) = 3 + y ... g(y)**

<https://functional.works-hub.com/learn/higher-order-functions-lambda-calculus-currying-maps-6e539>



# Currying (4)

Similarly in lambda calculus:

$$\begin{aligned}\lambda x. \lambda y. (x+y) \ 3 \ y \\ &= (\lambda x. (\lambda y. (x+y)) \ 3) \ y \\ &= (\lambda y. (3+y)) \ y \\ &= \lambda y. (3+y) \ y\end{aligned}$$

<https://functional.works-hub.com/learn/higher-order-functions-lambda-calculus-currying-maps-6e539>

# Currying (5)

One can curry recursively, and turn a function of any number of input to a function of that number of input minus one.

$$\begin{aligned} & (\lambda x. \lambda y. \lambda z. (x+y+z) 3) 4 5 \\ &= (\lambda y. \lambda z. (3+y+z)) 4 5 \\ &= (\lambda z. (3+4+z)) 5 \\ &= (3+4+5) \end{aligned}$$

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# Currying (6)

a **function g** with multiple arguments, eg) **g 3 4**  
this allows us to define a multi-argument function  
as a **function** that returns a **function**:

define **g** as  $\lambda x. \lambda y. (x^2 + y^2)^{1/2}$

This says that **g** takes a **parameter x** and returns a **function**  
that takes a **parameter y** and returns  $(x^2 + y^2)^{1/2}$ .

**g 3 4**  $\Rightarrow$   $(\lambda x. \lambda y. (x^2 + y^2)^{1/2}) 3 4$   
 $\Rightarrow$   $(\lambda y. (3^2 + y^2)^{1/2}) 4$   
 $\Rightarrow$   $(3^2 + 4^2)^{1/2} = 5$

<http://www.cburch.com/books/lambda/>

# Currying (7)

**g 1** is the **function**

that takes a **parameter y** and returns  $(1 + y^2)^{1/2}$ .

define **h** to be **g 1**,

which would refer to the function  $\lambda y.(1 + y^2)^{1/2}$ .

The lambda calculus is particularly useful

when we want to talk about **functions**

whose **parameters** are **functions** or which return **functions**.

<http://www.cburch.com/books/lambda/>

# Currying (8)

For example, suppose we define **s** according to the following:

$$\mathbf{s} = \lambda h. \lambda z. h (h z).$$

Here, **s** takes a function **f** as a **parameter** and returns the **function** that returns the result of applying f twice to its argument.

Thus, if **f** is  $\lambda x. x + 1$ , then we can try to determine what function **s f** represents:

<http://www.cburch.com/books/lambda/>

# Currying (8)

```
s f  ⇒  (λh.λz.h (h z)) (λx.x + 1)
      ⇒  λz.(λx.x + 1) ((λx.x + 1) z)
      ⇒  λz.(λx.x + 1) ((λx.x + 1) z)
      ⇒  λz.(λx.x + 1) (z + 1)
      ⇒  λz.((λx.x + 1) (z + 1))
      ⇒  λz.(z + 1) + 1
      ⇒  λz.z + 2
```

Thus, **s f** is a function that returns two more than its argument.

<http://www.cburch.com/books/lambda/>

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- Currying
- **Renaming Bound Variables by Alpha Reduction**
- Eta Conversion
- Substitutions
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- Normal Form
- Evaluation Strategies

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

# Alpha reduction (1)

The name of a **formal parameter** in a **function definition** is *arbitrary*.

We can use *any variable* to *name* a **parameter**, so that the function  $\lambda x.x$  is equivalent to  $\lambda y.y$  and  $\lambda z.z$ .

This kind of renaming is called **alpha reduction**.

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# Alpha reduction (2)

Note that we cannot rename  
**free variables** in expressions.

Also note that we cannot change  
the name of a **bound variable** in an expression  
to conflict with the name of a **free variable** in that expression.

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# Alpha reduction (3)

formal parameters are only names:  
they are correct if they are consistent.

```
(λx . (λx . + (- x 1)) x 3) 9
↔ (λx . (λy . + (- y 1)) x 3) 9
→ ((λy . + (- y 1)) 9 3)
→ (+ (- 9 1) 3)
→ (+ 8 3)
→ 11
```

[http://www.cs.columbia.edu/~aho/cs4115/Lectures/2014\\_EdwardsLC.pdf](http://www.cs.columbia.edu/~aho/cs4115/Lectures/2014_EdwardsLC.pdf)

# Alpha reduction (4)

You've probably done this before in C or Java:

```
int add(int x, int y)
{
    return x + y;
}

↔

int add(int a, int b)
{
    return a + b;
}
```

[http://www.cs.columbia.edu/~aho/cs4115/Lectures/2014\\_EdwardsLC.pdf](http://www.cs.columbia.edu/~aho/cs4115/Lectures/2014_EdwardsLC.pdf)

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- Function Abstraction
- Function Application
- Free and Bound Variables
- Beta Reductions
- Evaluating a Lambda Expression
- Currying
- Renaming Bound Variables by Alpha Reduction
- Eta Conversion
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- Disambiguating Lambda Expressions
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<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

# Substitution (1)

For a **beta reduction**, we introduced the notation  $[f/x]e$  to indicate that the **expression f** is to be substituted for all free occurrences of the **formal parameter x** in the **expression e**:

$$(\lambda x.e) f \rightarrow [f/x]e$$

**f** for **x** in **e**

**f** expression

**x** formal parameter

**e** expression

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# Substitution (2)

To avoid name clashes in a substitution  $[f/x]e$ , first rename the **bound variables** in  $e$  and  $f$  so they become **distinct**.

Then perform the **textual substitution** of  $f$  for  $x$  in  $e$ .

For example, consider the substitution  $[y(\lambda x.x)/x] \lambda y.(\lambda x.x)yx$ .

After renaming all the **bound variables**

to make them all **distinct** we get  $[y(\lambda u.u)/x] \lambda v.(\lambda w.w)v x$ .

Then doing the substitution we get  $\lambda v.(\lambda w.w)v(y(\lambda u.u))$ .

In the first expression

$x \rightarrow u$

In the second expression

$y \rightarrow v$

$x \rightarrow w$

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# Substitution (3)

The rules for substitution are as follows.

assume  $x$  and  $y$  are **distinct variables**, and  $e$ ,  $f$  and  $g$  are **expressions**.

Substitution rules for **variables**

$$[e/x]x = e$$

$$[e/x]y = y$$

Substitution rules for **function applications**

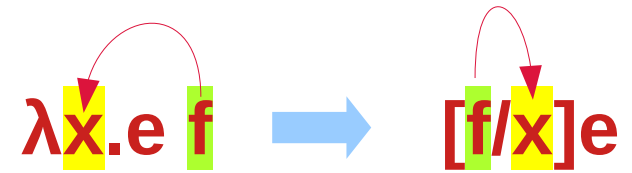
$$[e/x](f g) = ([e/x]f) ([e/x]g)$$

Substitution rules for **function abstractions**

$$[e/x](\lambda x.f) = \lambda x.f$$

$$[e/x](\lambda y.f) = \lambda y.[e/x]f$$

provided  $y$  is not **free** in  $e$  (this is called the "freshness" condition).



$f$  for  $x$  in  $e$

$f$  expression

$x$  formal parameter

$e$  expression

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# Substitution (3')

assume  $x$  and  $y$  are **distinct variables**

For **variables**

$[e/x]x = e$

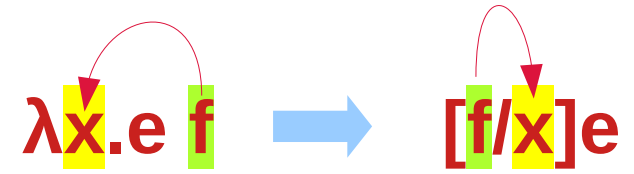
$x \leftarrow e$

$[e/x]y = y$

$y$  is a **variable**

cannot contain  $x$

no substitution



$e$  for  $x$  in  $f$

$e$  expression

$x$  formal parameter

$f$  expression

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# Substitution (3')

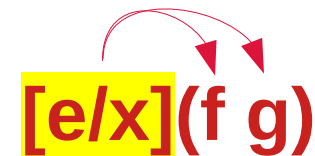
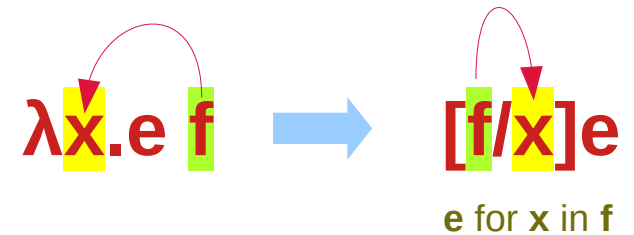
assume  $e$ ,  $f$  and  $g$  are expressions.

For function applications

$$[e/x](f\ g) = ([e/x]f)\ ([e/x]g)$$

$f$  and  $g$  are expressions

can contain the formal parameter  $e$



$$([e/x]f)\ ([e/x]g)$$

distribution of the substitution  
over the expressions  $f$  and  $g$

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# Substitution (3'')

assume  $x$  and  $y$  are **distinct variables**, and  $e$ ,  $f$  and  $g$  are **expressions**.

Substitution rules for **function abstractions**

$$[e/x](\lambda x.f) = \lambda x.f$$

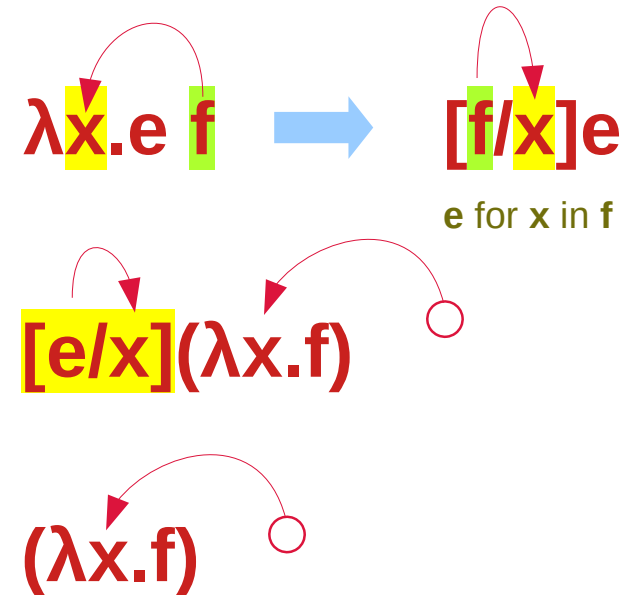
$x$  in the **expression  $f$**  is a **formal parameter** (bounded)

can be renamed by an **alpha reduction**

then the **expression  $f$**  does not have  $x$  for a **substitution**

$$[e/x](\lambda y.f) = \lambda y.[e/x]f$$

provided  $y$  is not free in  $e$  (this is called the "**freshness**" condition).



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# Substitution (3'')

assume  $x$  and  $y$  are **distinct variables**, and  $e$ ,  $f$  and  $g$  are **expressions**.

Substitution rules for **function abstractions**

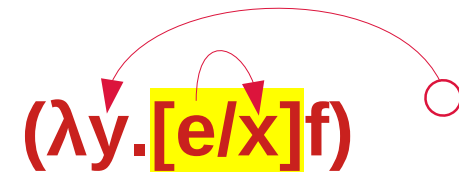
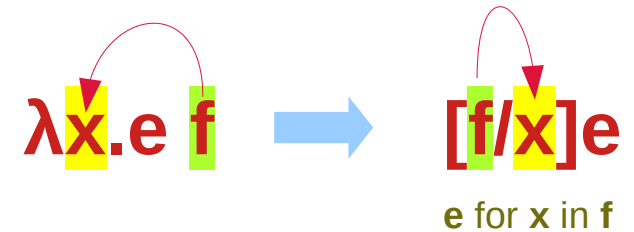
$$[e/x](\lambda x.f) = \lambda x.f$$

$$[e/x](\lambda y.f) = \lambda y.[e/x]f$$

provided  $y$  is not **free** in  $e$  (this is called the "**freshness**" condition).

the **expression**  $f$  may contain **variable**  $x$

the **expression**  $e$  may contain **variable**  $y$



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# Substitution (4)

Examples:

$$[y/y](\lambda x.x) = \lambda x.x$$

$$\begin{aligned} [y/x](\lambda x.y) &= (\lambda x.y) \\ &= (\lambda x.y)y \end{aligned}$$

Note that the **freshness condition** does not allow us to make the substitution  $[y/x](\lambda y.x) = \lambda y.([y/x]x) = \lambda y.y$  because **y** is **free** in the **expression y**.

$$(\lambda y.x) \quad [y/x]$$

Substitution rules

for **function abstractions**

$$[e/x](\lambda x.f) = \lambda x.f$$

$$[e/x](\lambda y.f) = \lambda y.[e/x]f$$

provided **y** is not **free** in **e** ("**freshness**" condition).

the **expression f**

may contain **variable x**

the **expression e**

may contain **variable y**

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## References

- [1] <ftp://ftp.geoinfo.tuwien.ac.at/navratil/HaskellTutorial.pdf>
- [2] <https://www.umiacs.umd.edu/~hal/docs/daume02yaht.pdf>