

Monad P3 : Lambda Calculus (1F)

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Lambda Calculus

CFG for Lambda Calculus (1)

The central concept in the **lambda calculus** is an **expression** which we can think of as a program that returns a result when evaluated consisting of *another lambda calculus expression*.

Here is the grammar for lambda expressions:

$\text{expr} \rightarrow \lambda \text{variable} . \text{expr} \mid \text{expr expr} \mid \text{variable} \mid (\text{expr}) \mid \text{constant}$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

CFG for Lambda Calculus (2)

$\text{expr} \rightarrow \lambda \text{variable} . \text{expr} \mid \text{expr expr} \mid \text{variable} \mid (\text{expr}) \mid \text{constant}$

A **variable** is an identifier.

A **constant** is a built-in function such as *addition* or *multiplication*,
or a constant such as an *integer* or *boolean*.

all **programming language constructs**

can be represented as **functions**

with the pure lambda calculus

so these **constants** are unnecessary.

However, some constants may be used for notational simplicity.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (3) – function abstraction

A **function abstraction**, often called a **lambda abstraction**, is a **lambda expression** that defines a **function**.

A **function abstraction** consists of *four parts*:
a **lambda** followed by a **variable**, a **period**,
and then an **expression** as in **$\lambda x.expr$** .

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (4) – function abstraction

For example, the function abstraction $\lambda x. + x 1$ defines a **function of x** that *adds x* to **1**.

Parentheses can be added to lambda expressions for clarity.

Thus, we could have written this function abstraction as $\lambda x.(+ x 1)$ or even as $(\lambda x. (+ x 1))$.

In C this function definition might be written as

```
int addOne (int x) {  
    return (x + 1); }
```

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (4) – function abstraction

the **function abstraction** $\lambda x. + x 1$

C function definition

```
int addOne (int x) {  
    return (x + 1); }
```

Note that unlike C the **lambda abstraction**

does not give a **name** to the function.

The **lambda expression** itself is the **function**.

We say that $\lambda x.expr$ binds the **variable** x in **expr**

and that **expr** is the **scope** of the **variable**.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – function application

A **function application** $\lambda x.e f$ is evaluated by substituting the **argument** f for *all free occurrences* of the **formal parameter** x in the body e of the **function definition**.

We will use the notation $[f/x]e$ to indicate that f is to be substituted for all free occurrences of x in the expression e .

$$\lambda x.e f \quad \longrightarrow \quad [f/x]e$$

argument f 
expression e , formal parameter x

e x x x



e f f f

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – free and bound variables

In the function definition $\lambda x.x$
the variable x in the body of the definition (the second x)
is bound because its first occurrence in the definition is λx .

A variable that is not bound in expr is said to be free in expr .

In the function $(\lambda x.xy)$, the variable x in the body of the function
is bound and the variable y is free.

Every variable in a lambda expression is either bound or free.
Bound and free variables have quite a different status in functions.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – free and bound variables

In the expression $(\lambda x.x)(\lambda y.yx)$:

The variable x in the body of the leftmost expression is bound to the first lambda.

The variable y in the body of the second expression is bound to the second lambda.

The variable x in the body of the second expression is free.

Note that x in second expression is independent of the x in the first expression.

In the expression $(\lambda x.xy)(\lambda y.y)$:

The variable y in the body of the leftmost expression is free.

The variable y in the body of the second expression is bound to the second lambda.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – free and bound variables

Given an expression e , the following rules define $FV(e)$, the set of free variables in e :

If e is a variable x , then $FV(e) = \{x\}$.

If e is of the form $\lambda x.y$, then $FV(e) = FV(y) - \{x\}$.

If e is of the form xy , then $FV(e) = FV(x) \cup FV(y)$.

An expression with no free variables is said to be closed.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (6) – beta reduction

Examples:

$$(\lambda x.x)y \rightarrow [y/x]x = y$$

in the express x ,
substitute the parameter x with the argument x

$$(\lambda x.xzx)y \rightarrow [y/x]xzx = yzy$$

in the express xzx ,
substitute the parameter x with the argument y

$$(\lambda x.z)y \rightarrow [y/x]z = z$$

in the express z ,
substitute the parameter x with the argument y

since the formal parameter x does not appear in the body z .

This **substitution** in a **function application** is called
a **beta reduction** and we use a **right arrow** to indicate it.

$$\lambda x.e f \rightarrow [f/x]e$$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (7) – beta reduction

If $\text{expr1} \rightarrow \text{expr2}$, we say expr1 reduces to expr2 in one step.

In general, $(\lambda x.e)f \rightarrow [f/x]e$ means that

applying the **function** $(\lambda x.e)$ to the **argument expression** f
reduces to the **expression** $[f/x]e$

where the **argument expression** f is substituted
for the function's **formal parameter** x in the **function body** e .

$$\lambda x.e f \rightarrow [f/x]e$$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (8) – beta reduction

A **lambda calculus expression** (aka a "**program**") is
"run" by *computing a final result*
by repeatedly applying **beta reductions**.

We use \rightarrow^* to denote the **reflexive and transitive closure** of \rightarrow ;
that is, zero or more applications of **beta reductions**.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (9) – beta reduction

Examples:

$(\lambda x.x)y \rightarrow y$

illustrating that $\lambda x.x$ is the **identity function**

$(\lambda x.xx)(\lambda y.y) \rightarrow (\lambda y.y)(\lambda y.y) \rightarrow (\lambda y.y)$;

thus, we can write $(\lambda x.xx)(\lambda y.y) \rightarrow^* (\lambda y.y)$.

we have applied a **function** to a **function**
as an argument and the **result** is a **function**.

$\lambda x.e f \rightarrow [f/x]e$

$(\lambda x.xx) (\lambda y.y)$ **function argument**
 $(\lambda y.y)(\lambda y.y)$
 $(\lambda y.y)(\lambda y.y)$ **identity function**
 $(\lambda y.y)$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (10) – beta reduction

Examples:

$$(\lambda x.x)y \rightarrow y$$

illustrating that $\lambda x.x$ is the **identity function**

$$(\lambda x.xx)(\lambda y.y) \rightarrow (\lambda y.y)(\lambda y.y) \rightarrow (\lambda y.y);$$

thus, we can write $(\lambda x.xx)(\lambda y.y) \rightarrow^* (\lambda y.y)$.

\rightarrow^* to denote the **reflexive and transitive closure** of \rightarrow
that is, zero or more applications of beta reductions

Transitive relation

$$x R y \text{ and } y R z \text{ then } x R z$$

Reflexive relation

$$x R x$$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Evaluation models of a function

Call-by-value:

arguments are evaluated before a function is entered

Call-by-name:

arguments are passed unevaluated

Call-by-need:

arguments are passed unevaluated
but an expression is only evaluated once
and shared upon subsequent references

http://dev.stephendiehl.com/fun/005_evaluation.html

Comparisons

Call by name is non-memoizing non-strict evaluation strategy where the **value(s)** of the **argument(s)** need only be found when **actually used** inside the **function's body**, **each time anew**:

Call by need is memoizing non-strict a.k.a. **lazy evaluation** strategy where the **value(s)** of the **argument(s)** need only be found when used inside the **function's body for the first time**, and then are available for any further reference:

Call by value is **strict** evaluation strategy where the **value(s)** of the **argument(s)** must be found **before entering** the function's body:

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Comparisons

Call by name	non-memoizing	non-strict
Call by need	memoizing	non-strict
Call by value		strict

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Comparisons

Call by name the **value(s)** of the **argument(s)** need only be found when **actually used** inside the **function's body**, **each time anew**:

non-memoizing non-strict

Call by need the **value(s)** of the **argument(s)** need only be found when used inside the **function's body for the first time**, and then are available for **any further reference**:

memoizing non-strict

Call by value the **value(s)** of the **argument(s)** must be found **before entering** the function's body:

strict

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Memoization / Sharing

Memoization is a technique for storing values of a **function** instead of recomputing them each time the **function** is called.

Sharing means that **temporary data** is physically stored, if it is used multiple times.

<https://wiki.haskell.org/Memoization>

Strictness

Strict evaluation, or **eager evaluation**, is an evaluation strategy where **expressions** are evaluated as soon as they are bound to a **variable**.

when $x = 3 * 7$ is read, $3 * 7$ is immediately computed and **21** is bound to x .

Conversely, with **lazy evaluation** **values** are only computed when they are needed.

In the example $x = 3 * 7$, $3 * 7$ isn't evaluated until it's needed, like if you needed to output the value of x .

<https://en.wikibooks.org/wiki/Haskell/Strictness>

<https://wiki.haskell.org/Sharing>

Laziness

Haskell is a **non-strict** language, and most implementations use a strategy called **laziness** to run your program. Basically **laziness == non-strictness + sharing**.

Laziness can be a useful tool for improving performance, but more often than not it reduces performance by adding a **constant overhead** to everything.

<https://wiki.haskell.org/Performance/Strictness>

Laziness

Because of **laziness**, the compiler can't
evaluate a function **argument**
and pass the **value** to the function,

it has to record the **expression**
in the **heap** in a **suspension** (or **thunk**)
in case it is evaluated later.

Storing and evaluating **suspensions** is costly, and unnecessary
if the **expression** was going to be evaluated anyway.

<https://wiki.haskell.org/Performance/Strictness>

Call by name

$h\ x = x : (h\ x)$

$g\ xs = [head\ xs, head\ xs - 1]$

$g\ (h\ 2) = let\ \{xs = (h\ 2)\}\ in\ [head\ xs, head\ xs - 1]$

$= [let\ \{xs = (h\ 2)\}\ in\ head\ xs, \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [head\ (h\ 2), \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [head\ (let\ \{x = 2\}\ in\ x : (h\ x)), \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [let\ \{x = 2\}\ in\ x, \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [2, \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= \dots$

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Call by need

```
h x = x : (h x)
g xs = [head xs, head xs - 1]

g (h 2) = let {xs = (h 2)}      in [head xs, head xs - 1]
        = let {xs = (2 : (h 2))} in [head xs, head xs - 1]
        = let {xs = (2 : (h 2))} in [2,    head xs - 1]
        = ....
```

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Call by value

```
h x = x : (h x)
```

```
g xs = [head xs, head xs - 1]
```

```
g (h 2) = let {xs = (h 2)}           in [head xs, head xs - 1]
         = let {xs = (2 : (h 2))}     in [head xs, head xs - 1]
         = let {xs = (2 : (2 : (h 2)))} in [head xs, head xs - 1]
         = let {xs = (2 : (2 : (2 : (h 2))))} in [head xs, head xs - 1]
         = ....
```

All the above assuming `g (h 2)` is entered at the GHCi prompt and thus needs to be printed in full by it.

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Reductions in the expression $f\ x$

Given an expression $f\ x$

Call-by-value: Evaluate x to v
Evaluate f to $\lambda y.e$
Evaluate $[y/v]e$

Call-by-name: Evaluate f to $\lambda y.e$
Evaluate $[y/x]e$

Call-by-need: Allocate a thunk v for x
Evaluate f to $\lambda y.e$
Evaluate $[y/v]e$

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by **value** (1)

Call by value is an extremely common evaluation model. Many programming languages both **imperative** and **functional** use this evaluation strategy.

The essence of **call-by-value** is that there are two categories of expressions: **terms** and **values**.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by **value** (2)

Values are **lambda expressions** and other **terms** which are in **normal form** and cannot be reduced further.

All **arguments** to a **function** will be reduced to **normal form** before they are bound inside the lambda and reduction only proceeds once the **arguments** are reduced.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by value (3)

For a simple arithmetic expression, the reduction proceeds as follows. Notice how the subexpression $(2 + 2)$ is evaluated to normal form before being **bound**.

```
(λx. λy. y x) (2 + 2) (λx. x + 1)
=> (λx. λy. y x) 4 (λx. x + 1)
=> (λy. y 4) (λx. x + 1)
=> (λx. x + 1) 4
=> 4 + 1
=> 5
```

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by name (1)

In **call-by-name** evaluation,
the **arguments** to lambda expressions are substituted as is,
evaluation simply proceeds from left to right
substituting the outermost lambda or reducing a value.

If a substituted expression is not used it is never evaluated.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by name (2)

For example, the same expression we looked at for **call-by-value** has the same normal form but arrives at it by a different sequence of reductions:

```
(λx. λy. y x) (2 + 2) (λx. x + 1)
=> (λy. y (2 + 2)) (λx. x + 1)
=> (λx. x + 1) (2 + 2)
=> (2 + 2) + 1
=> 4 + 1
=> 5
```

Call-by-name is **non-strict**, although very few languages use this model.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by need (1)

Call-by-need is a special type of **non-strict evaluation** in which **unevaluated expressions** are **represented** by **suspensions** or **thunks** which are passed into a **function** **unevaluated** and **only evaluated** when **needed** or **forced**.

When the **thunk** is forced the **representation** of the **thunk** is **updated** with the **computed value** and is **not recomputed** upon further reference.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by need (2)

The **thunks** for unevaluated lambda expressions are allocated when evaluated, and the resulting computed value is placed in the same reference so that subsequent **computations** share the result.

If the **argument** is never needed it is never computed, which results in a trade-off between **space** and **time**.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by need (3)

Since the evaluation of subexpression does not follow any pre-defined order, any impure functions with side-effects will be evaluated in an unspecified order.

As a result call-by-need can only effectively be implemented in a purely functional setting.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by value (3)

For a simple arithmetic expression,
the reduction proceeds as follows.
Notice how the subexpression $(2 + 2)$ is evaluated
to **normal form** before being bound.

```
(λx. λy. y x) (2 + 2) (λx. x + 1)
=> (λx. λy. y x) 4 (λx. x + 1)
=> (λy. y 4) (λx. x + 1)
=> (λx. x + 1) 4
=> 4 + 1
=> 5
```

http://dev.stephendiehl.com/fun/005_evaluation.html

References

- [1] <ftp://ftp.geoinfo.tuwien.ac.at/navratil/HaskellTutorial.pdf>
- [2] <https://www.umiacs.umd.edu/~hal/docs/daume02yaht.pdf>