

Monad P3 : Lambda Calculus (1F)

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Lambda Calculus

CFG for Lambda Calculus (1)

The central concept in the **lambda calculus** is an **expression** which we can think of as a program that returns a result when evaluated consisting of *another lambda calculus expression*.

Here is the grammar for lambda expressions:

$\text{expr} \rightarrow \lambda \text{variable} . \text{expr} \mid \text{expr expr} \mid \text{variable} \mid (\text{expr}) \mid \text{constant}$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

CFG for Lambda Calculus (2)

$\text{expr} \rightarrow \lambda \text{variable} . \text{expr} \mid \text{expr expr} \mid \text{variable} \mid (\text{expr}) \mid \text{constant}$

A **variable** is an identifier.

A **constant** is a built-in function such as *addition* or *multiplication*,
or a constant such as an *integer* or *boolean*.

all **programming language constructs**

can be represented as **functions**

with the pure lambda calculus

so these **constants** are unnecessary.

However, some constants may be used for notational simplicity.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Abstraction (1)

A **function abstraction**, often called a **lambda abstraction**, is a **lambda expression** that defines a **function**.

A **function abstraction** consists of *four parts*:

a **lambda** followed by a **variable**, a **period**, and then an **expression** as in **$\lambda x.expr$** .

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Abstraction (2)

For example, the function abstraction $\lambda x. + x 1$ defines a **function of x** that *adds x* to **1**.

Parentheses can be added to lambda expressions for clarity.

Thus, we could have written this function abstraction as $\lambda x.(+ x 1)$ or even as $(\lambda x. (+ x 1))$.

In C this function definition might be written as

```
int addOne (int x) {  
    return (x + 1); }  
}
```

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Abstraction (3)

Note that unlike C
the **lambda abstraction** does not give a **name** to the **function**.

The **lambda expression** itself is the **function**.

We say that $\lambda x. \text{expr}$ binds the **variable** x in **expr** and
that **expr** is the **scope** of the **variable**.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Application (1)

A **function application** $\lambda x.e f$ is evaluated by substituting the **argument** f for *all free occurrences* of the **formal parameter** x in the body e of the **function definition**.

We will use the notation $[f/x]e$ to indicate that f is to be substituted for all free occurrences of x in the expression e .

$$\lambda x.e f \longrightarrow [f/x]e$$

argument f
expression e , formal parameter x

e x x x



e f f f

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Application (2)

A function application, often called a lambda application, consists of an expression followed by an expression: expr expr .

The first expression is a function abstraction and the second expression is the argument to which the function is applied.

All functions in lambda calculus have exactly one argument.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Application (3)

Multiple-argument functions are represented by currying,
For example, the lambda expression $\lambda x. (+ x 1) 2$
is an application of the function $\lambda x. (+ x 1)$ to the argument 2.

This function application $\lambda x. (+ x 1) 2$ can be evaluated
by substituting the argument 2 for the formal parameter x
in the body $(+ x 1)$.

Doing this we get $(+ 2 1)$.

This substitution is called a beta reduction.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Function Application (4)

Beta reductions are like macro substitutions in C.

To do beta reductions correctly
we may need to rename bound variables in lambda expressions
to avoid name clashes.

Function application associates left-to-right; thus, $f\ g\ h = (f\ g)h$.

Function application binds more tightly than λ ; thus, $\lambda x. f\ g\ x = (\lambda x. (f\ g))x$.

Functions in the lambda calculus are first-class citizens;
that is to say, functions can be used as arguments to functions
and functions can return functions as results.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – free and bound variables

In the function definition $\lambda x.x$
the variable x in the body of the definition (the second x)
is bound because its first occurrence in the definition is λx .

A variable that is not bound in expr is said to be free in expr .

In the function $(\lambda x.xy)$, the variable x in the body of the function
is bound and the variable y is free.

Every variable in a lambda expression is either bound or free.
Bound and free variables have quite a different status in functions.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – free and bound variables

In the expression $(\lambda x.x)(\lambda y.yx)$:

The variable x in the body of the leftmost expression is bound to the first lambda.

The variable y in the body of the second expression is bound to the second lambda.

The variable x in the body of the second expression is free.

Note that x in second expression is independent of the x in the first expression.

In the expression $(\lambda x.xy)(\lambda y.y)$:

The variable y in the body of the leftmost expression is free.

The variable y in the body of the second expression is bound to the second lambda.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (5) – free and bound variables

Given an expression e , the following rules define $FV(e)$, the set of free variables in e :

If e is a variable x , then $FV(e) = \{x\}$.

If e is of the form $\lambda x.y$, then $FV(e) = FV(y) - \{x\}$.

If e is of the form xy , then $FV(e) = FV(x) \cup FV(y)$.

An expression with no free variables is said to be closed.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (6) – beta reduction

Examples:

$$(\lambda x.x)y \rightarrow [y/x]x = y$$

in the express x ,
substitute the parameter x with the argument x

$$(\lambda x.xzx)y \rightarrow [y/x]xzx = yzy$$

in the express xzx ,
substitute the parameter x with the argument y

$$(\lambda x.z)y \rightarrow [y/x]z = z$$

in the express z ,
substitute the parameter x with the argument y

since the formal parameter x does not appear in the body z .

This **substitution** in a **function application** is called
a **beta reduction** and we use a **right arrow** to indicate it.

$$\lambda x.e f \rightarrow [f/x]e$$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (7) – beta reduction

If $\text{expr1} \rightarrow \text{expr2}$, we say expr1 reduces to expr2 in one step.

In general, $(\lambda x.e)f \rightarrow [f/x]e$ means that

applying the **function** $(\lambda x.e)$ to the **argument expression** f
reduces to the **expression** $[f/x]e$

where the **argument expression** f is substituted
for the function's **formal parameter** x in the **function body** e .

$$\lambda x.e f \rightarrow [f/x]e$$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (8) – beta reduction

A **lambda calculus expression** (aka a "**program**") is
"run" by *computing a final result*
by repeatedly applying **beta reductions**.

We use \rightarrow^* to denote the **reflexive and transitive closure** of \rightarrow ;
that is, zero or more applications of **beta reductions**.

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (9) – beta reduction

Examples:

$(\lambda x.x)y \rightarrow y$

illustrating that $\lambda x.x$ is the **identity function**

$(\lambda x.xx)(\lambda y.y) \rightarrow (\lambda y.y)(\lambda y.y) \rightarrow (\lambda y.y)$;

thus, we can write $(\lambda x.xx)(\lambda y.y) \rightarrow^* (\lambda y.y)$.

we have applied a **function** to a **function**
as an argument and the **result** is a **function**.

$\lambda x.e f \rightarrow [f/x]e$

$(\lambda x.xx) (\lambda y.y)$ **function argument**
 $(\lambda y.y)(\lambda y.y)$
 $(\lambda y.y)(\lambda y.y)$ **identity function**
 $(\lambda y.y)$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Lambda calculus (10) – beta reduction

Examples:

$$(\lambda x.x)y \rightarrow y$$

illustrating that $\lambda x.x$ is the **identity function**

$$(\lambda x.xx)(\lambda y.y) \rightarrow (\lambda y.y)(\lambda y.y) \rightarrow (\lambda y.y);$$

thus, we can write $(\lambda x.xx)(\lambda y.y) \rightarrow^* (\lambda y.y)$.

\rightarrow^* to denote the **reflexive and transitive closure** of \rightarrow
that is, zero or more applications of beta reductions

Transitive relation

$x R y$ and $y R z$ then $x R z$

Reflexive relation

$x R x$

<http://www.cs.columbia.edu/~aho/cs4115/Lectures/15-04-13.html>

Evaluation models of a function

Call-by-value:

arguments are evaluated before a function is entered

Call-by-name:

arguments are passed unevaluated

Call-by-need:

arguments are passed unevaluated
but an expression is only evaluated once
and shared upon subsequent references

http://dev.stephendiehl.com/fun/005_evaluation.html

Comparisons

Call by name is non-memoizing non-strict evaluation strategy where the **value(s)** of the **argument(s)** need only be found when **actually used** inside the **function's body**, **each time anew**:

Call by need is memoizing non-strict a.k.a. **lazy evaluation** strategy where the **value(s)** of the **argument(s)** need only be found when used inside the **function's body for the first time**, and then are available for any further reference:

Call by value is **strict** evaluation strategy where the **value(s)** of the **argument(s)** must be found **before entering** the function's body:

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Comparisons

Call by name	non-memoizing	non-strict
Call by need	memoizing	non-strict
Call by value		strict

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Comparisons

Call by name the **value(s)** of the **argument(s)** need only be found when **actually used** inside the **function's body**, **each time anew**:

non-memoizing non-strict

Call by need the **value(s)** of the **argument(s)** need only be found when used inside the **function's body for the first time**, and then are available for **any further reference**:

memoizing non-strict

Call by value the **value(s)** of the **argument(s)** must be found **before entering** the function's body:

strict

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Memoization / Sharing

Memoization is a technique for storing values of a **function** instead of recomputing them each time the **function** is called.

Sharing means that **temporary data** is physically stored, if it is used multiple times.

<https://wiki.haskell.org/Memoization>

Strictness

Strict evaluation, or **eager evaluation**, is an evaluation strategy where **expressions** are evaluated as soon as they are bound to a **variable**.

when $x = 3 * 7$ is read, $3 * 7$ is immediately computed and **21** is bound to x .

Conversely, with **lazy evaluation** **values** are only computed when they are needed.

In the example $x = 3 * 7$, $3 * 7$ isn't evaluated until it's needed, like if you needed to output the value of x .

<https://en.wikibooks.org/wiki/Haskell/Strictness>

<https://wiki.haskell.org/Sharing>

Laziness

Haskell is a **non-strict** language, and most implementations use a strategy called **laziness** to run your program. Basically **laziness == non-strictness + sharing**.

Laziness can be a useful tool for improving performance, but more often than not it reduces performance by adding a **constant overhead** to everything.

<https://wiki.haskell.org/Performance/Strictness>

Laziness

Because of **laziness**, the compiler can't
evaluate a function **argument**
and pass the **value** to the function,

it has to record the **expression**
in the **heap** in a **suspension** (or **thunk**)
in case it is evaluated later.

Storing and evaluating **suspensions** is costly, and unnecessary
if the **expression** was going to be evaluated anyway.

<https://wiki.haskell.org/Performance/Strictness>

Call by name

$h\ x = x : (h\ x)$

$g\ xs = [head\ xs, head\ xs - 1]$

$g\ (h\ 2) = let\ \{xs = (h\ 2)\}\ in\ [head\ xs, head\ xs - 1]$

$= [let\ \{xs = (h\ 2)\}\ in\ head\ xs, \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [head\ (h\ 2), \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [head\ (let\ \{x = 2\}\ in\ x : (h\ x)), \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [let\ \{x = 2\}\ in\ x, \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= [2, \quad let\ \{xs = (h\ 2)\}\ in\ head\ xs - 1]$

$= \dots$

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Call by need

```
h x = x : (h x)
```

```
g xs = [head xs, head xs - 1]
```

```
g (h 2) = let {xs = (h 2)}           in [head xs, head xs - 1]
```

```
        = let {xs = (2 : (h 2))}    in [head xs, head xs - 1]
```

```
        = let {xs = (2 : (h 2))}    in [2,     head xs - 1]
```

```
        = ....
```

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Call by value

```
h x = x : (h x)
```

```
g xs = [head xs, head xs - 1]
```

```
g (h 2) = let {xs = (h 2)}           in [head xs, head xs - 1]
         = let {xs = (2 : (h 2))}     in [head xs, head xs - 1]
         = let {xs = (2 : (2 : (h 2)))} in [head xs, head xs - 1]
         = let {xs = (2 : (2 : (2 : (h 2))))} in [head xs, head xs - 1]
         = ....
```

All the above assuming `g (h 2)` is entered at the GHCi prompt and thus needs to be printed in full by it.

<https://stackoverflow.com/questions/61601125/haskell-semantics-call-by-name-value>

Reductions in the expression $f\ x$

Given an expression $f\ x$

Call-by-value: Evaluate x to v
Evaluate f to $\lambda y.e$
Evaluate $[y/v]e$

Call-by-name: Evaluate f to $\lambda y.e$
Evaluate $[y/x]e$

Call-by-need: Allocate a thunk v for x
Evaluate f to $\lambda y.e$
Evaluate $[y/v]e$

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by **value** (1)

Call by value is an extremely common evaluation model. Many programming languages both **imperative** and **functional** use this evaluation strategy.

The essence of **call-by-value** is that there are two categories of expressions: **terms** and **values**.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by **value** (2)

Values are **lambda expressions** and other **terms** which are in **normal form** and cannot be reduced further.

All **arguments** to a **function** will be reduced to **normal form** before they are bound inside the lambda and reduction only proceeds once the **arguments** are reduced.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by value (3)

For a simple arithmetic expression, the reduction proceeds as follows. Notice how the subexpression $(2 + 2)$ is evaluated to normal form before being **bound**.

```
(λx. λy. y x) (2 + 2) (λx. x + 1)
=> (λx. λy. y x) 4 (λx. x + 1)
=> (λy. y 4) (λx. x + 1)
=> (λx. x + 1) 4
=> 4 + 1
=> 5
```

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by name (1)

In **call-by-name** evaluation,
the **arguments** to lambda expressions are substituted as is,
evaluation simply proceeds from left to right
substituting the outermost lambda or reducing a value.

If a substituted expression is not used it is never evaluated.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by name (2)

For example, the same expression we looked at for **call-by-value** has the same normal form but arrives at it by a different sequence of reductions:

```
(λx. λy. y x) (2 + 2) (λx. x + 1)
=> (λy. y (2 + 2)) (λx. x + 1)
=> (λx. x + 1) (2 + 2)
=> (2 + 2) + 1
=> 4 + 1
=> 5
```

Call-by-name is **non-strict**, although very few languages use this model.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by need (1)

Call-by-need is a special type of **non-strict evaluation** in which **unevaluated expressions** are **represented** by **suspensions** or **thunks** which are passed into a **function** **unevaluated** and **only evaluated** when **needed** or **forced**.

When the **thunk** is forced the **representation** of the **thunk** is **updated** with the **computed value** and is **not recomputed** upon further reference.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by need (2)

The **thunks** for unevaluated lambda expressions are allocated when evaluated, and the resulting computed value is placed in the same reference so that subsequent **computations** share the result.

If the **argument** is never needed it is never computed, which results in a trade-off between **space** and **time**.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by need (3)

Since the evaluation of subexpression does not follow any pre-defined order, any impure functions with side-effects will be evaluated in an unspecified order.

As a result call-by-need can only effectively be implemented in a purely functional setting.

http://dev.stephendiehl.com/fun/005_evaluation.html

Call by value (3)

For a simple arithmetic expression,
the reduction proceeds as follows.
Notice how the subexpression $(2 + 2)$ is evaluated
to **normal form** before being bound.

```
(λx. λy. y x) (2 + 2) (λx. x + 1)
=> (λx. λy. y x) 4 (λx. x + 1)
=> (λy. y 4) (λx. x + 1)
=> (λx. x + 1) 4
=> 4 + 1
=> 5
```

http://dev.stephendiehl.com/fun/005_evaluation.html

References

- [1] <ftp://ftp.geoinfo.tuwien.ac.at/navratil/HaskellTutorial.pdf>
- [2] <https://www.umiacs.umd.edu/~hal/docs/daume02yaht.pdf>